

# HAZARD: FLOOD - Exercises



Marco Chini

Luxembourg Institute of Science and Technology (LIST)

29 September 2023

ESA UNCLASSIFIED - For ESA Official Use Only





## Floodwater mapping over bare soil

# Introduction



Statistical-based algorithms typically parameterize a distribution functions to assign pixels to 2 semantic classes of interest: 'water' & 'non-water'.

Flooded areas often represent only a small fraction of an entire SAR scene: difficulty in parameterizing such a distribution function.

Thus, the distribution of a SAR scene's backscatter values is often not clearly bimodal.

A sufficiently high percentage of flooded pixels is typically required to estimate a reliable and robust distribution function that can be used for accurate delineation of all water bodies.



# Introduction



The capability to detect flood cannot only rely on spectral signatures but also on the amount of changed pixels w.r.t. the image size.

Split-based approach (SBA) has already been successfully applied to overcome this drawback, tiling images in sub-images of fixed size based on user expertise and image characteristics.

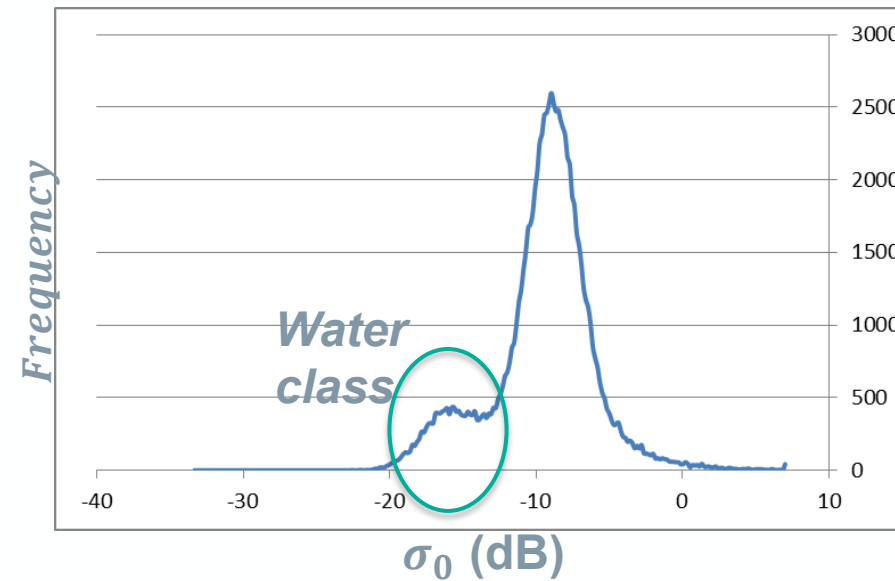
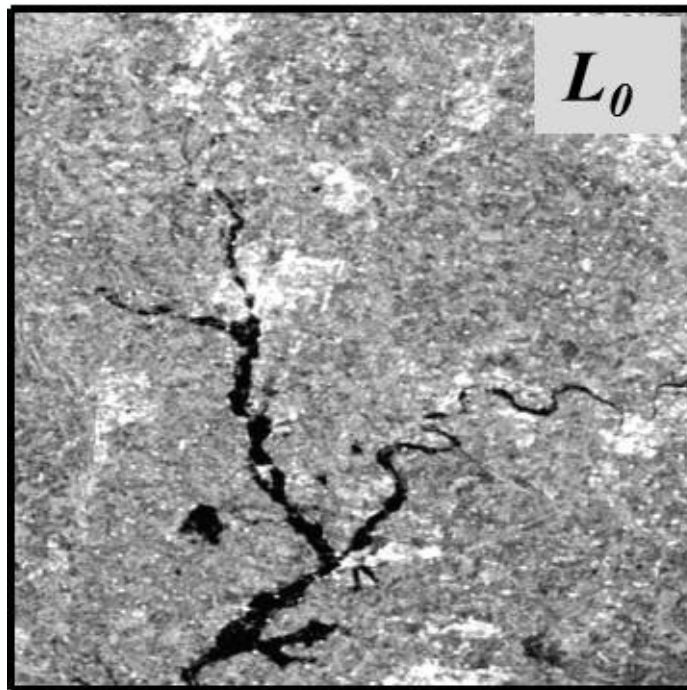
Nowadays, the availability of many different satellite missions with different characteristics highlight this aspect.

In the Hierarchical Split-Based Approach (HSBA) the size of tiles is not fixed a priori but it depends on the bimodality of the backscattering histogram of a tile.



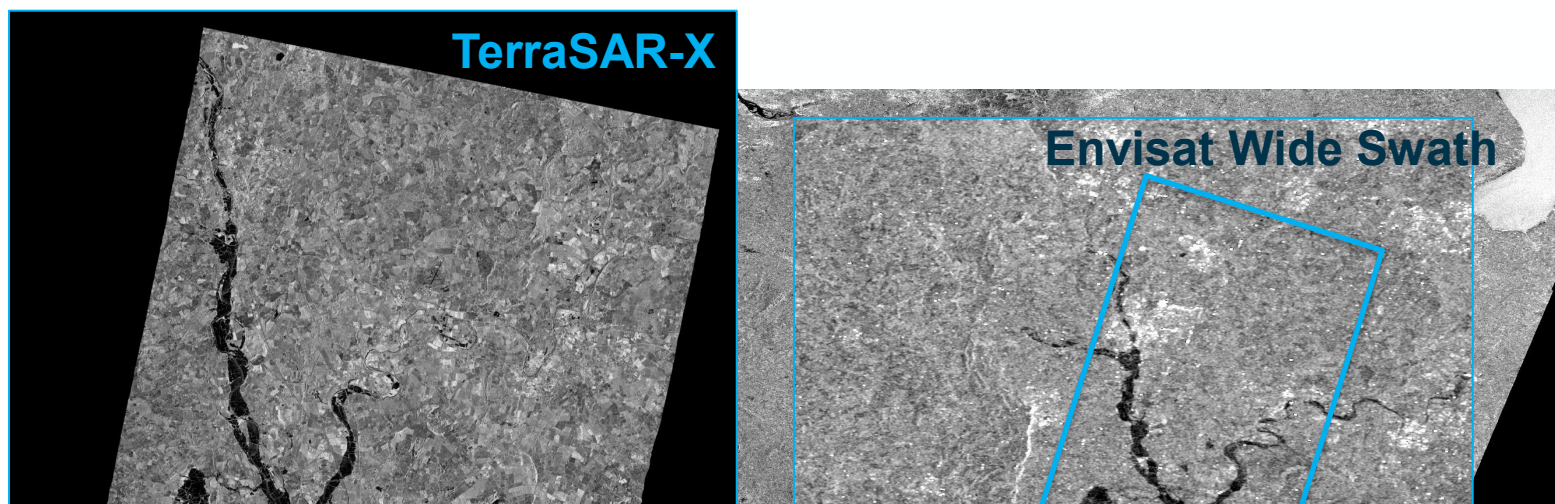


## SAR flood image



$$f_{flood\ IM}(\sigma_0) = f_{water}(\sigma_0) + f_{no\ water}(\sigma_0) = A_w e^{-\frac{(\sigma_0 - \mu_w)^2}{2\sigma_w^2}} + A_{nw} e^{-\frac{(\sigma_0 - \mu_{nw})^2}{2\sigma_{nw}^2}}$$





	Resolution (m)	Swath width (Km)	Wavelength (cm)	Flooded pixels
Envisat WS	150	400	5.6	0.05 % (5100x2850)
TerraSAR-X	3	30	3	2% (15135x21294)



Pre-processing of inputs (Flood & Reference images)

Hierarchical Split-Based Approach (HSBA) to select bimodal areas

Based on the statistics of the selected bimodal areas, we use a hybrid methodology, which combines region growing and change detection, for the automatic extraction of the flood extent in the entire scene.



Reference and flood images are:

- Co-registered on SAR geometry

- Calibrated

- Geocoded on a common cartographic system using a DEM

- De-speckled in order to attenuate the speckle component and increase the equivalent number of looks (ENL)

Logarithmic operator is applied:

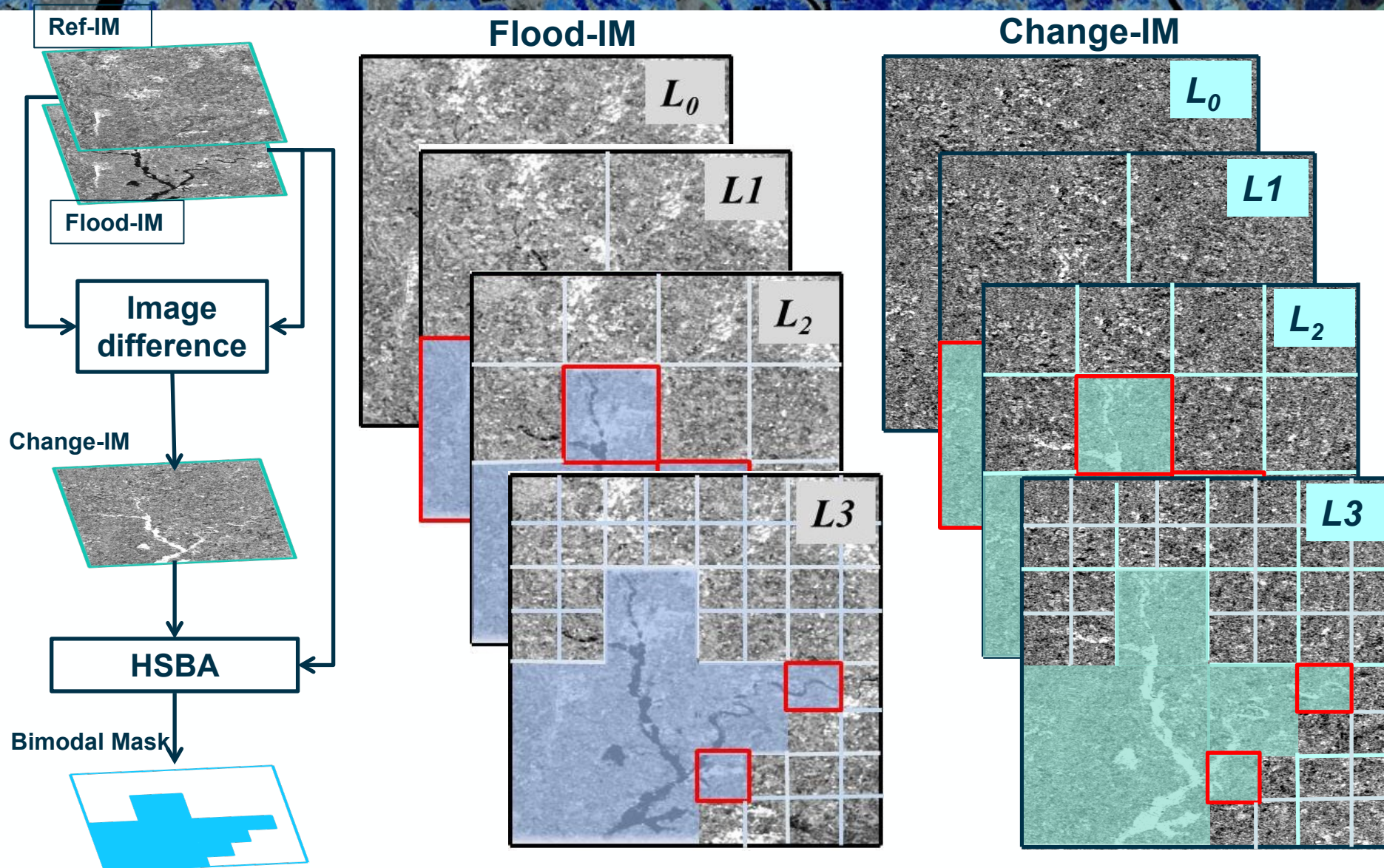
- to increase the dynamic of low intensity values

- to obtain a more symmetrical classes distributions

- with the increased ENL, the PDF of the speckle approaches the Gaussian one faster with log-transformed



# Methodology - HSBA





Distributions of Food-IM and Change-IM are assumed Gaussian:

$$f_{flood\ IM}(\sigma_0) = f_{water}(\sigma_0) + f_{no\ water}(\sigma_0) = A_w e^{-\frac{(\sigma_0 - \mu_w)^2}{2\sigma_w^2}} + A_{nw} e^{-\frac{(\sigma_0 - \mu_{nw})^2}{2\sigma_{nw}^2}}$$

$$f_{change\ IM}(\Delta\sigma_0) = f_{no\ change}(\Delta\sigma_0) + f_{change}(\Delta\sigma_0) = A_{nc} e^{-\frac{(\Delta\sigma_0 - \mu_{nc})^2}{2\sigma_{nc}^2}} + A_c e^{-\frac{(\Delta\sigma_0 - \mu_c)^2}{2\sigma_c^2}}$$

To fit the distributions the Levenberg-Marquardt algorithm is used.

Rules to select tiles:

Flood-IM and Change-IM Histograms bimodal, Ashman D > 2

$$AD_{flood\ IM} = \sqrt{2} \frac{|\mu_w - \mu_{nw}|}{\sqrt{(\sigma_w^2 + \sigma_{nw}^2)}} > 2 \quad AD_{change\ IM} = \sqrt{2} \frac{|\mu_{nc} - \mu_c|}{\sqrt{(\sigma_{nc}^2 + \sigma_c^2)}} > 2$$

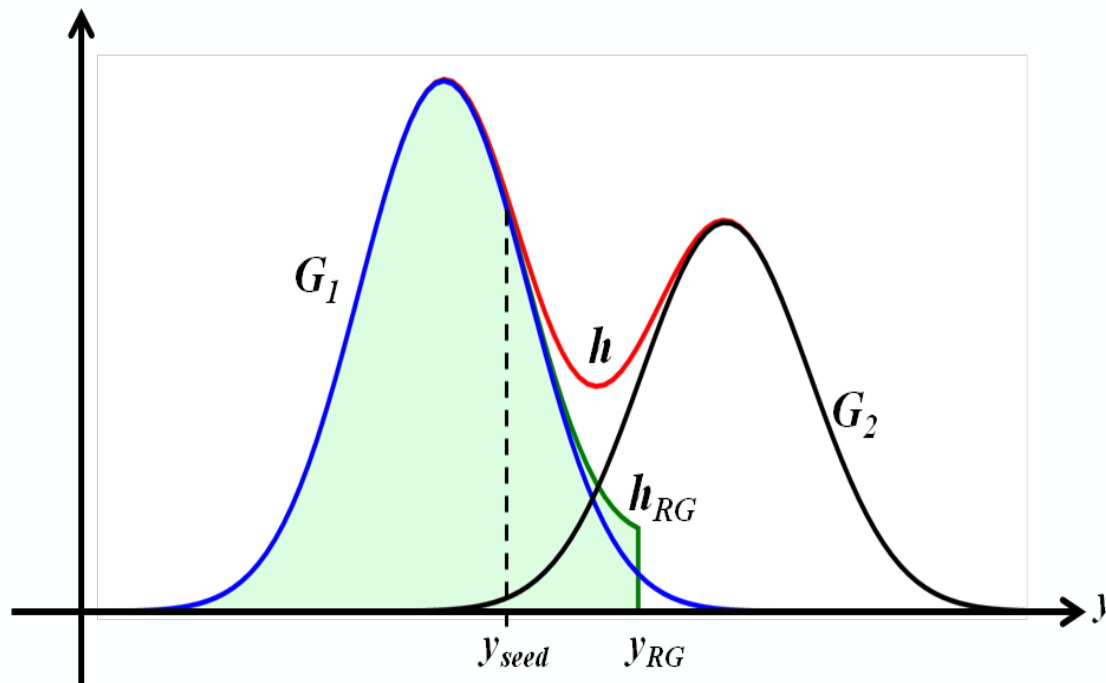
Smallest class: 10% of the tile.

Class of changes must have a positive mean value.

# Methodology – Region growing

The selection of the threshold can benefit from the combination of the contextual information of the image with its intensity information.

Here, we use a region growing approach that assumes that pixels constituting the target class are clustered rather than randomly spread out over the entire image.





# Test case: Severn river 2007

Flood event: July 22, 2007.

## Dataset:

### TerraSAR-X (3m):

July 25, 2007 (Flood).

July 22, 2008 (Reference)

### Envisat WS (150m):

July 27, 2007 (Flood).

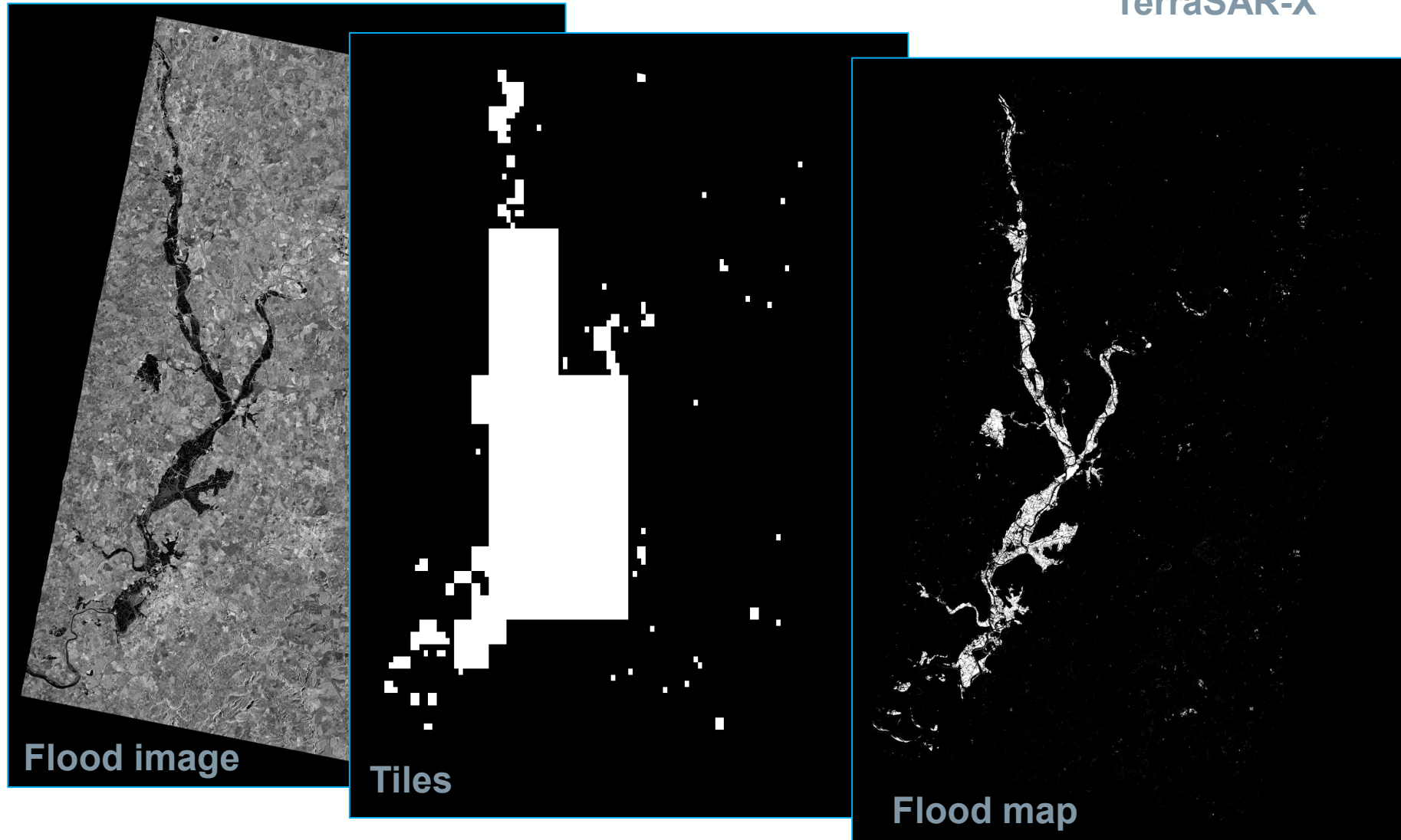
August 16, 2010 (Reference).

## Validation Dataset:

Aerial photographs: July 24, 2007 (0.2 m)

# Test case: Severn river 2007

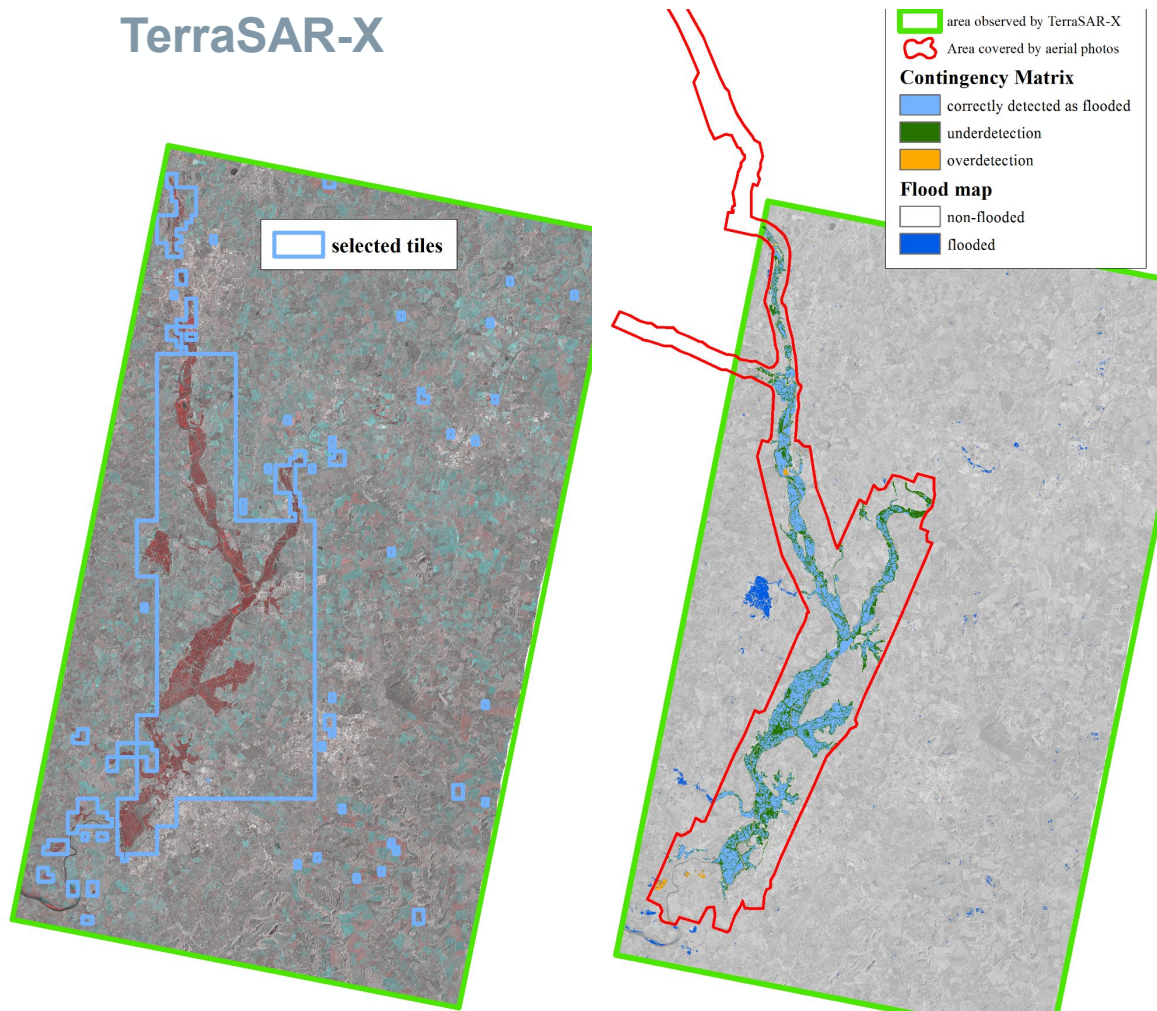
TerraSAR-X



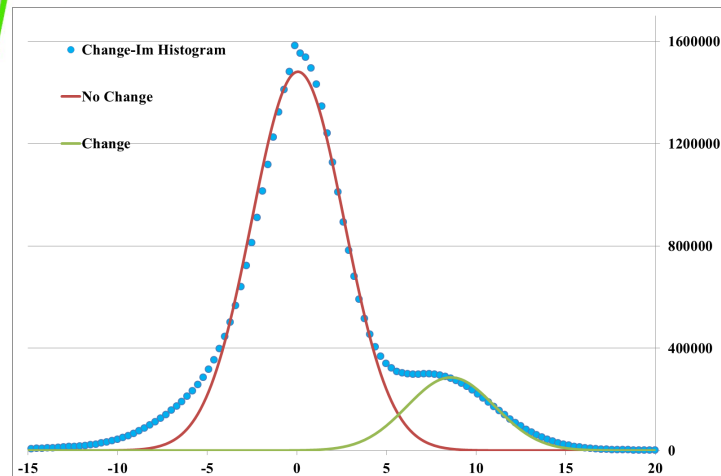
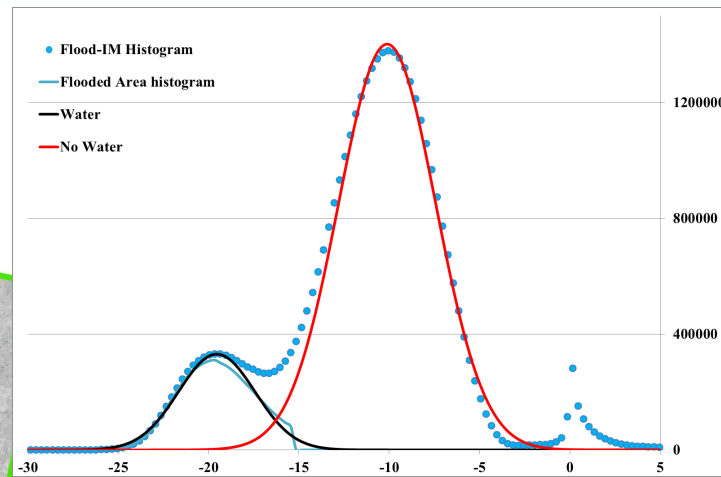


# Test case: Severn river 2007

## TerraSAR-X



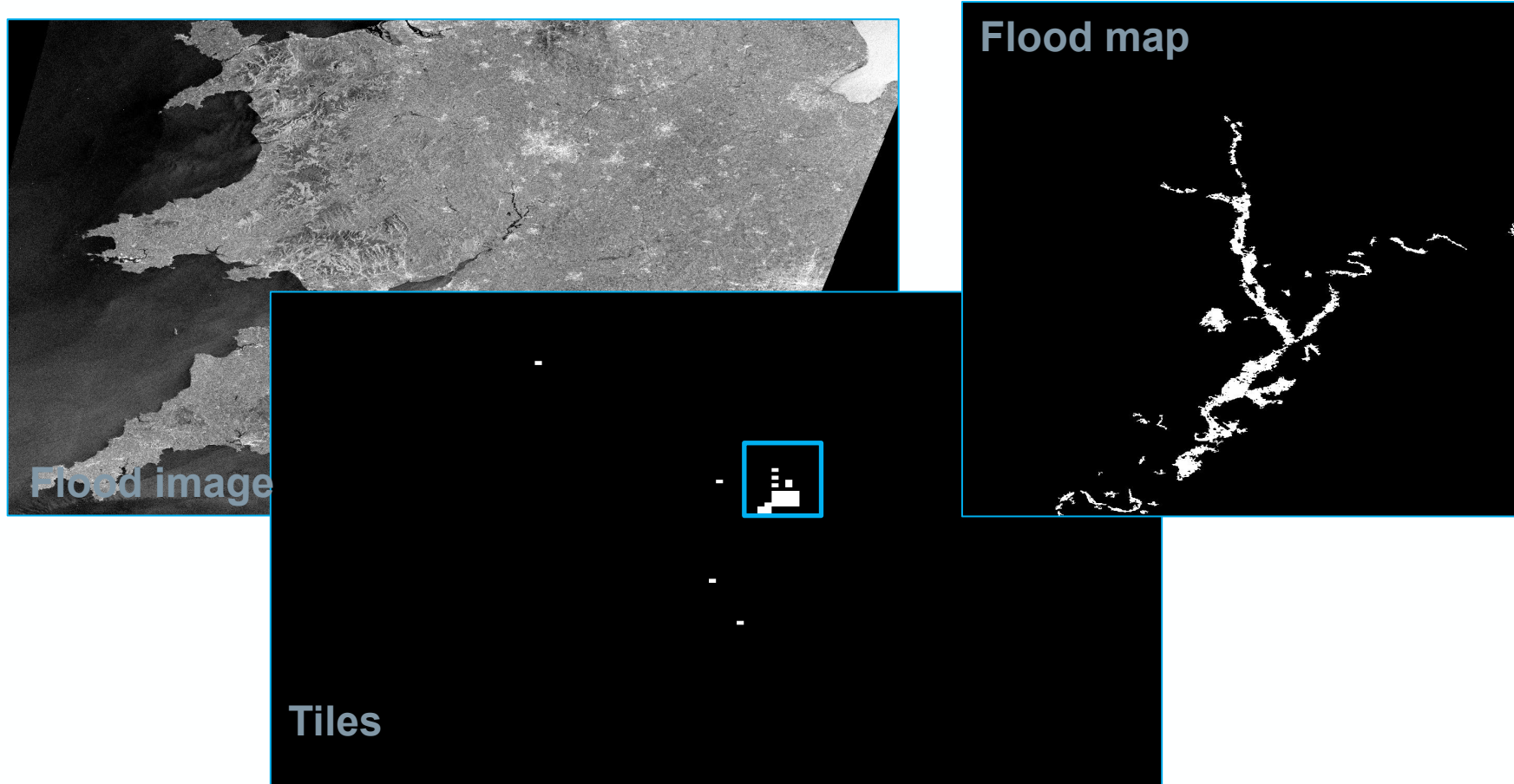
- area observed by TerraSAR-X
- Area covered by aerial photos
- Contingency Matrix**
- correctly detected as flooded
- underdetection
- overdetection
- Flood map**
- non-flooded
- flooded



Accuracy: 90%

# Test case: Severn river 2007

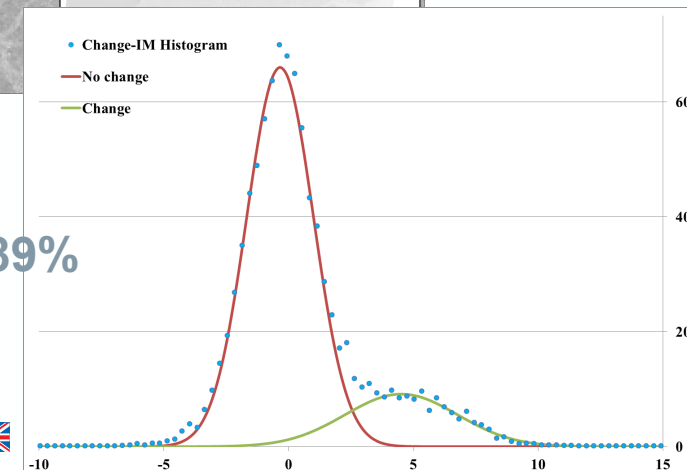
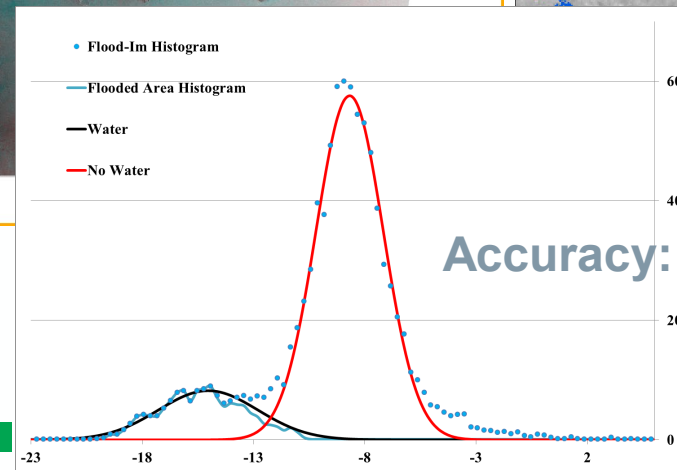
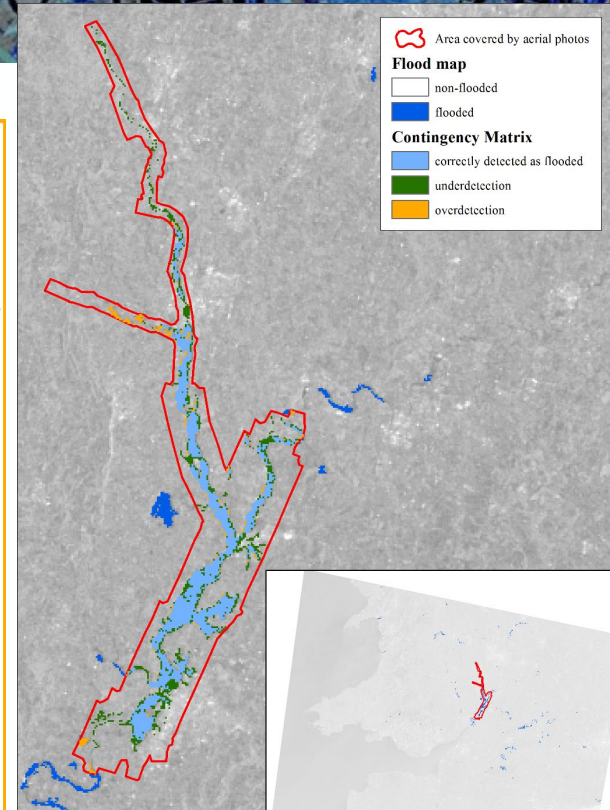
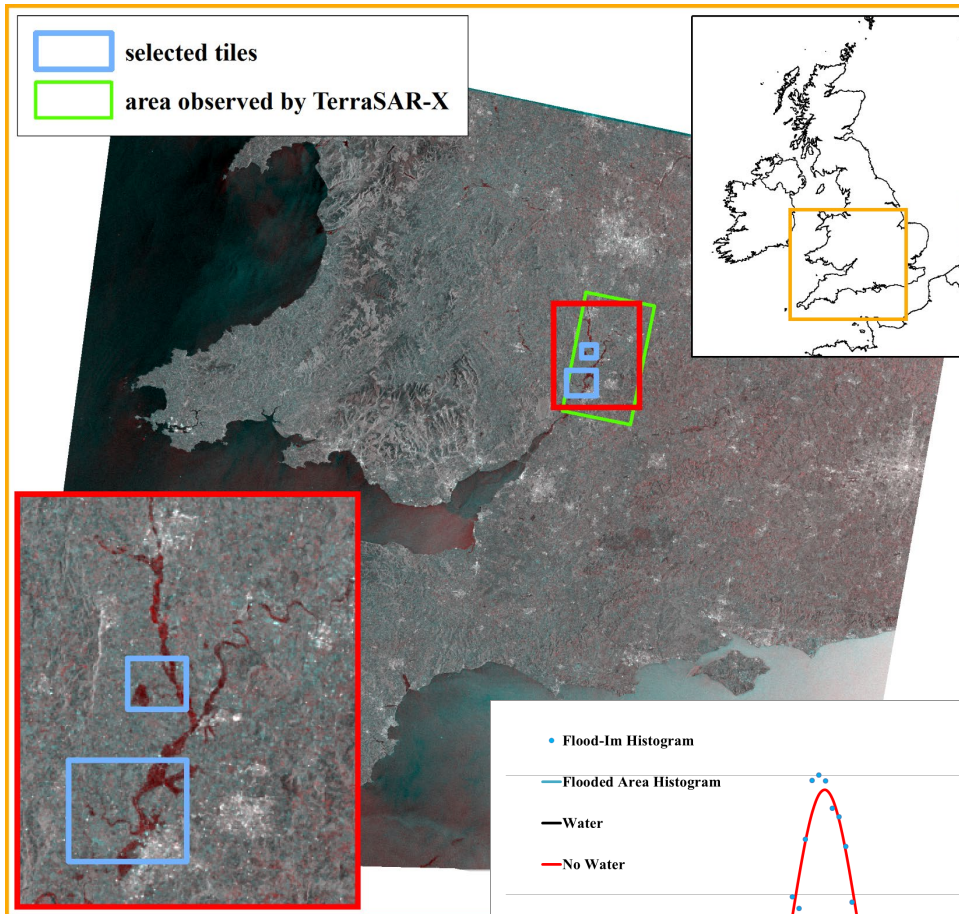
## Envisat – Wide swath





# Test case: Severn river 2007

## Envisat – Wide swath



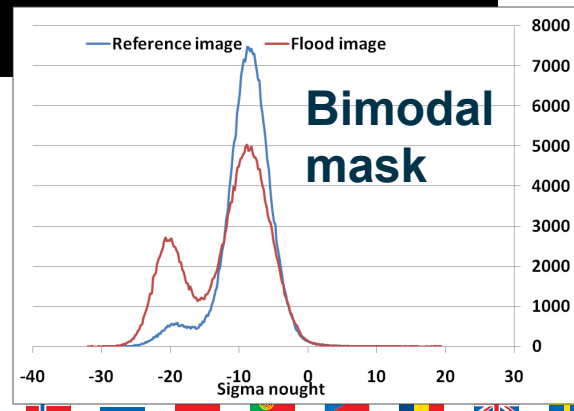
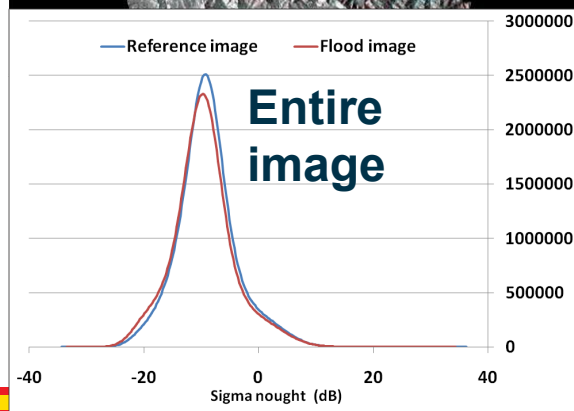
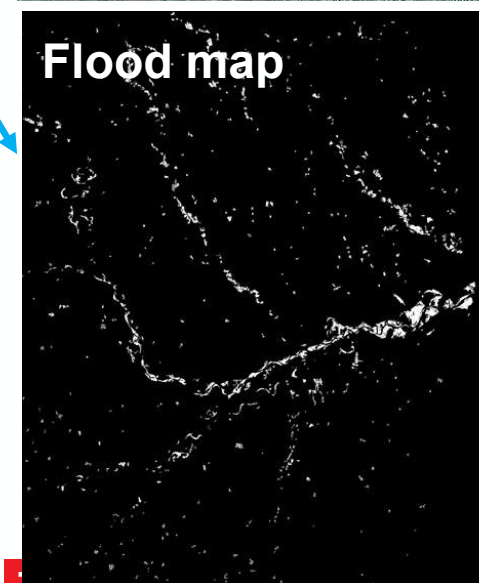
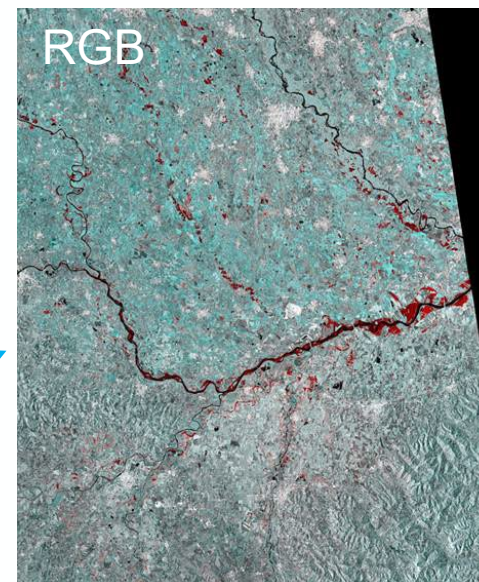
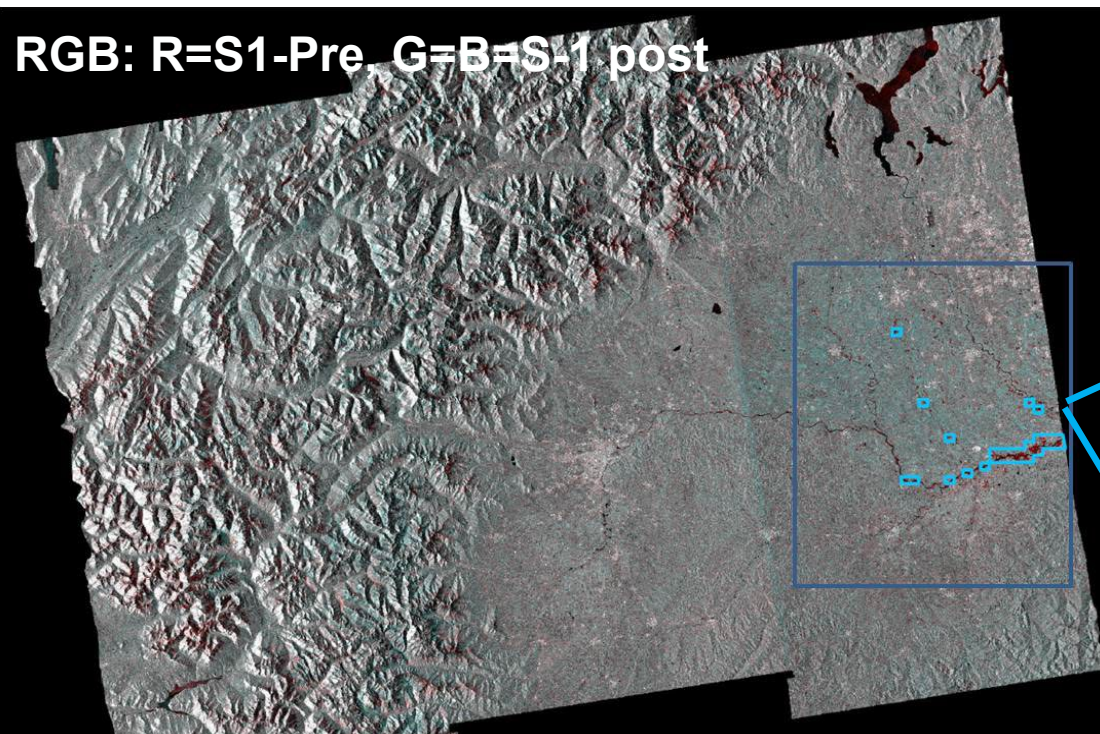
Accuracy: 89%





# Po river (Italy). October 2014.

Sentinel-1, Spatial Resolution: 20m

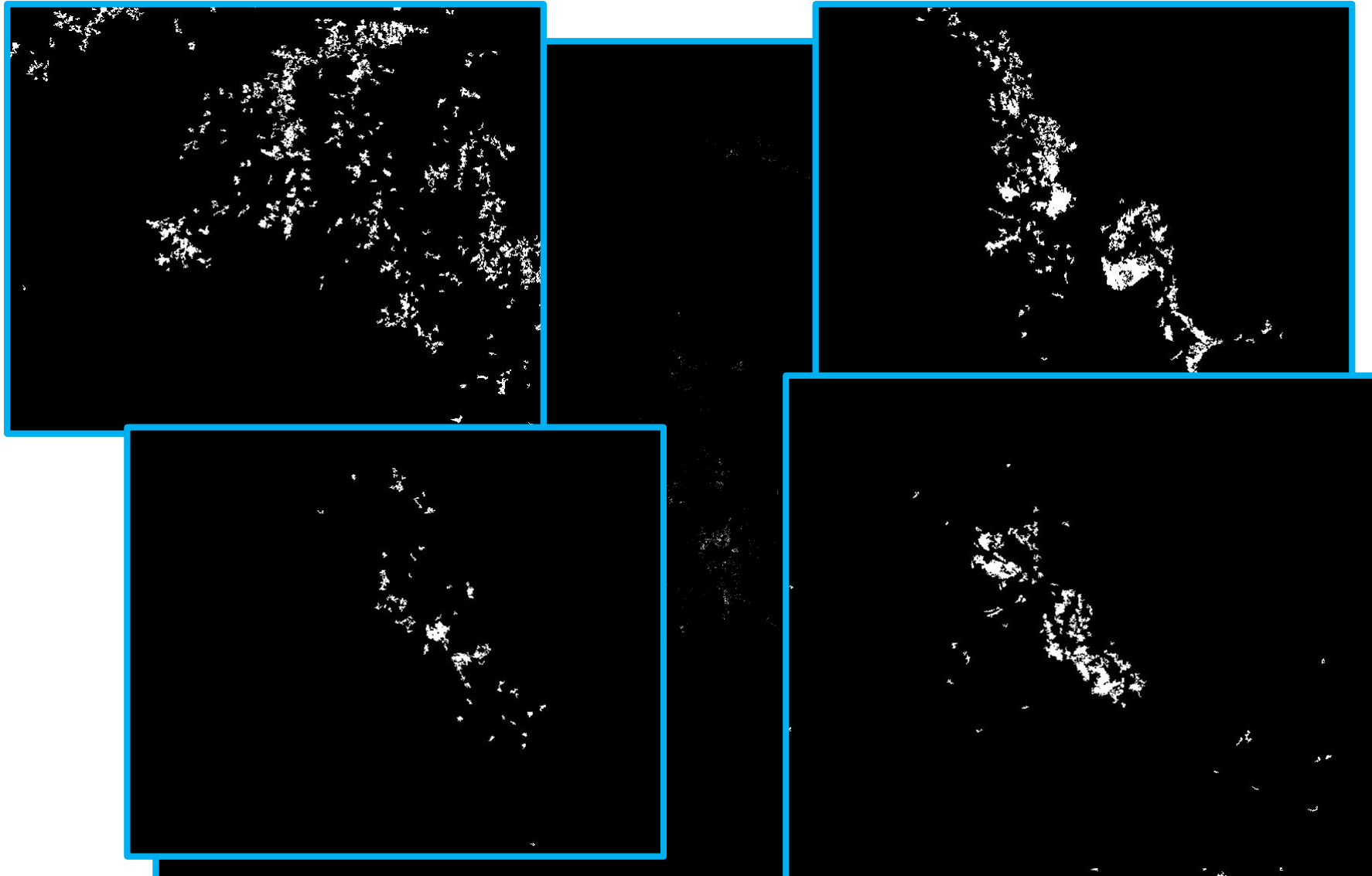




# Philippine. December 2014

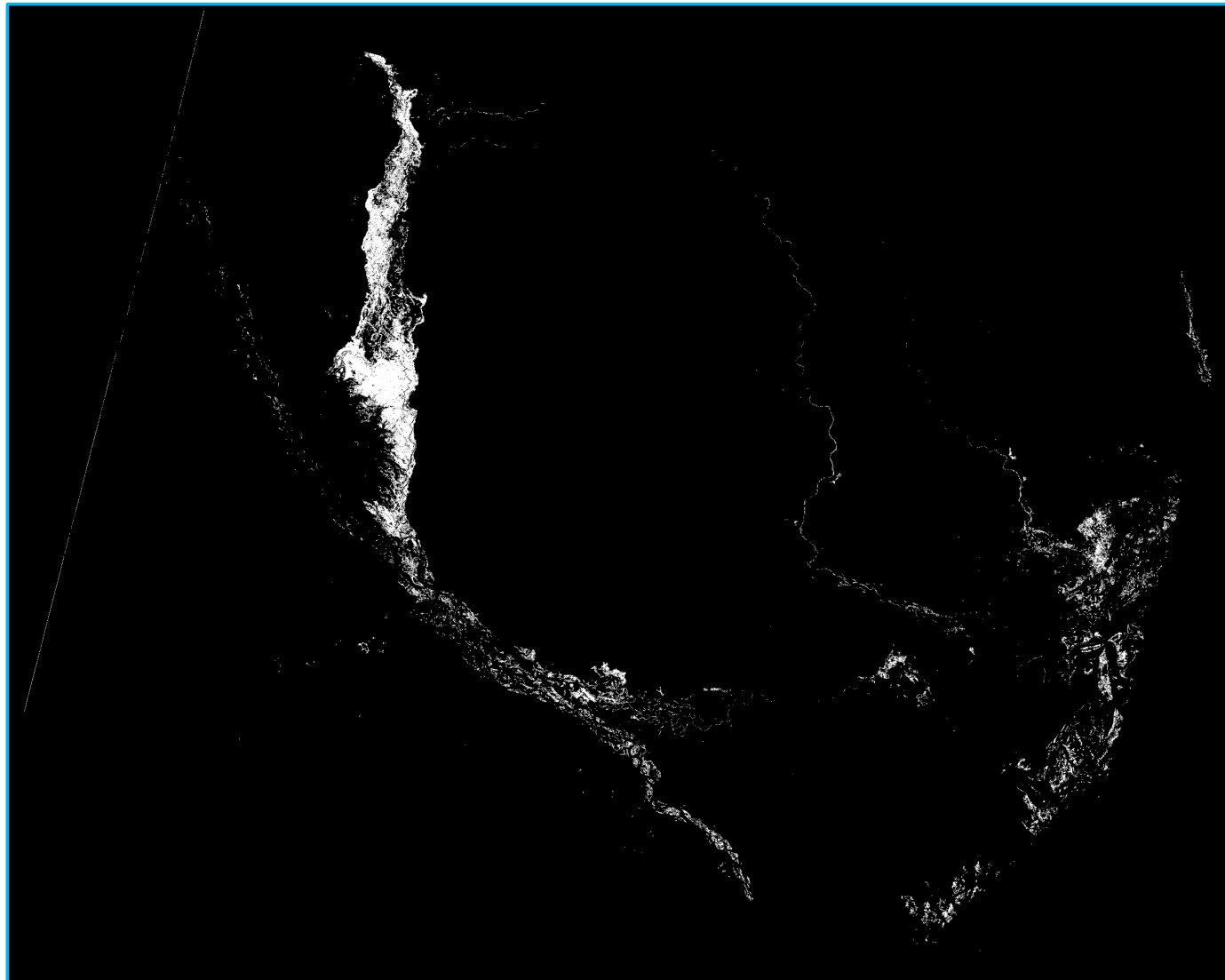


Sentinel-1,  
Spatial  
Resolution:  
20m



# Zambezi. January 2015

Sentinel-1, Spatial Resolution: 20m





## Floodwater mapping in urban areas

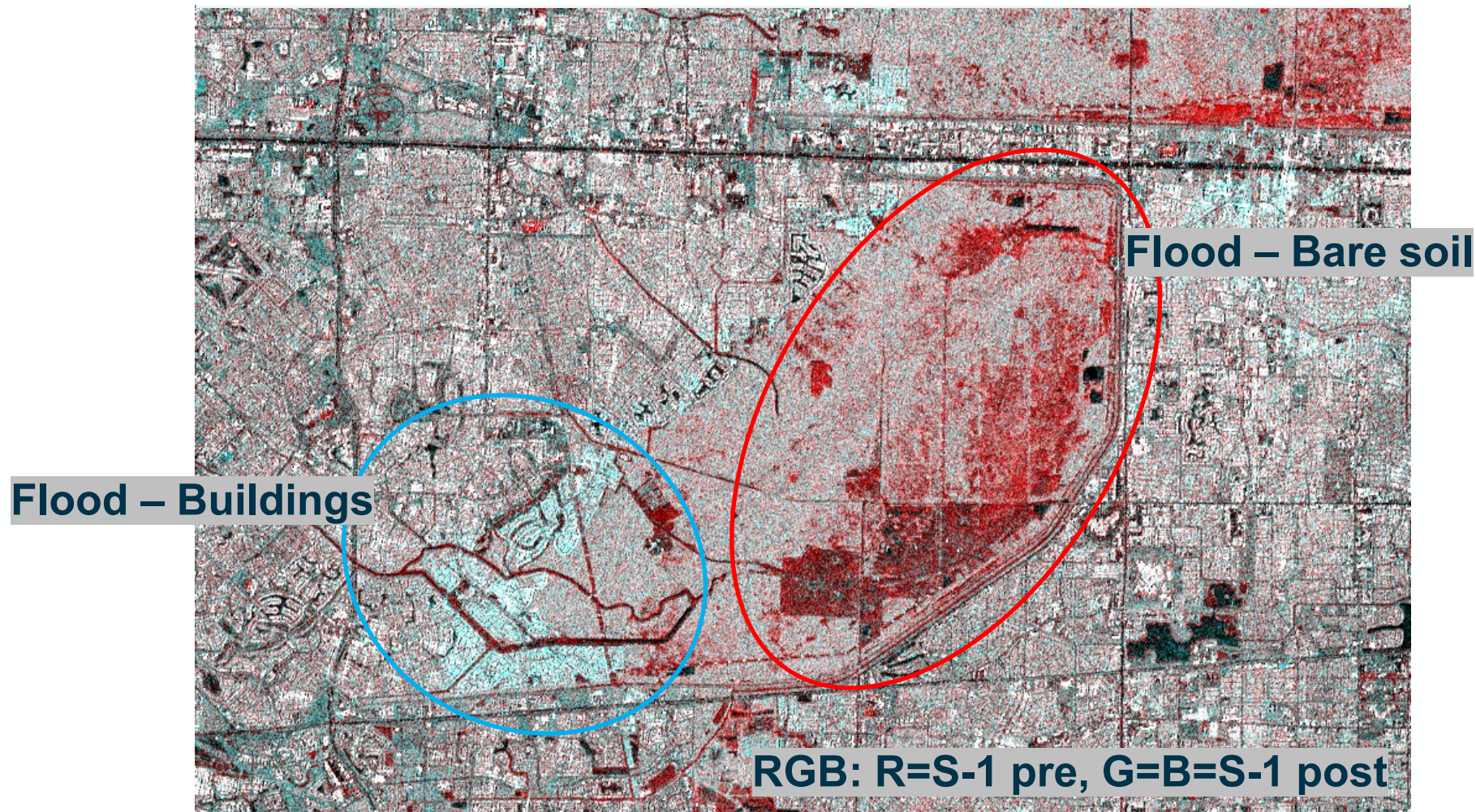
The presence of water in urban areas, e.g., on a road in front of a building, can be detected thanks to a significant enhancement of the double-bounce scattering mechanism, as the smooth and high permittivity water increases the surface reflectivity.

The double-bounce increase, due to the presence of floodwater, may not be high enough if the building facades are not parallel to the SAR flight direction.

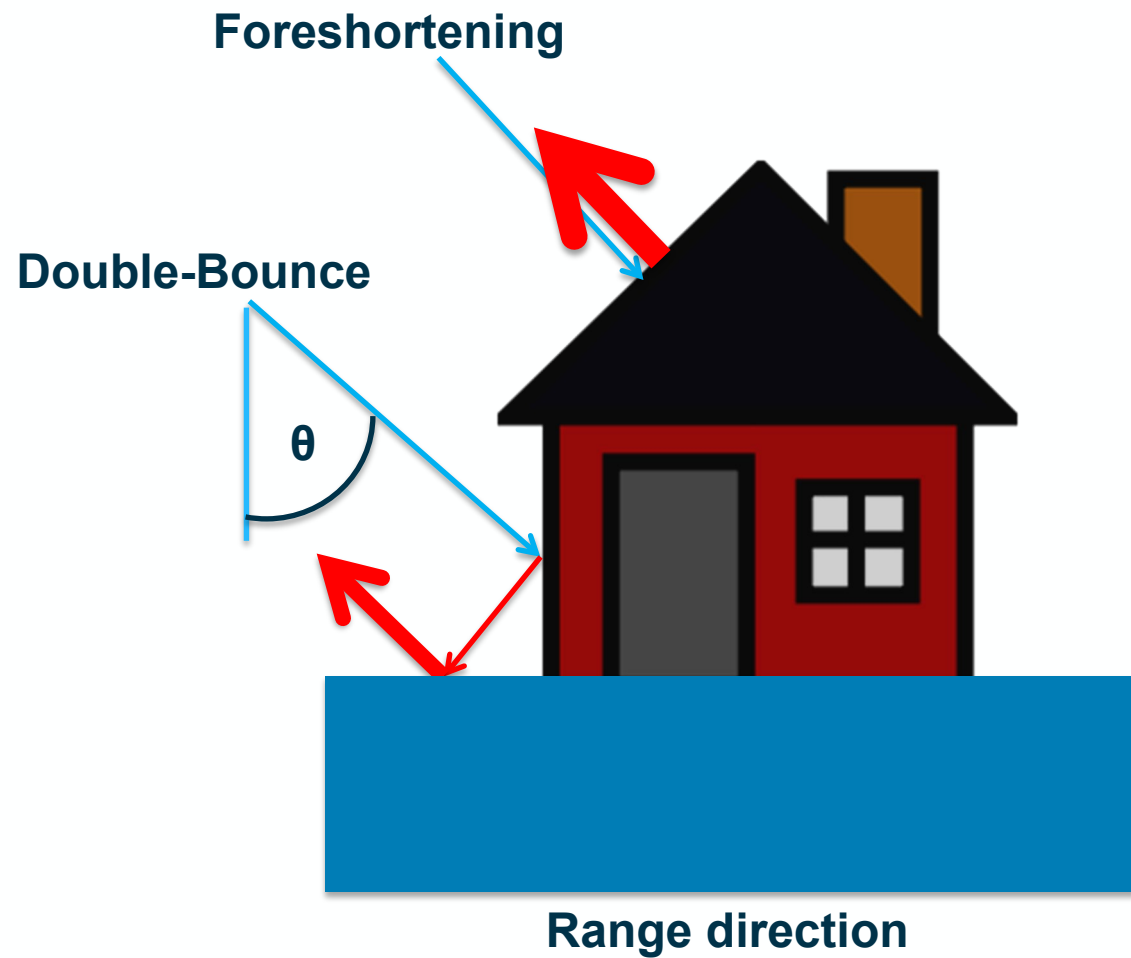
The Interferometric SAR (InSAR) coherence,  $\rho$ , can be used to reduce under detection in urban areas.



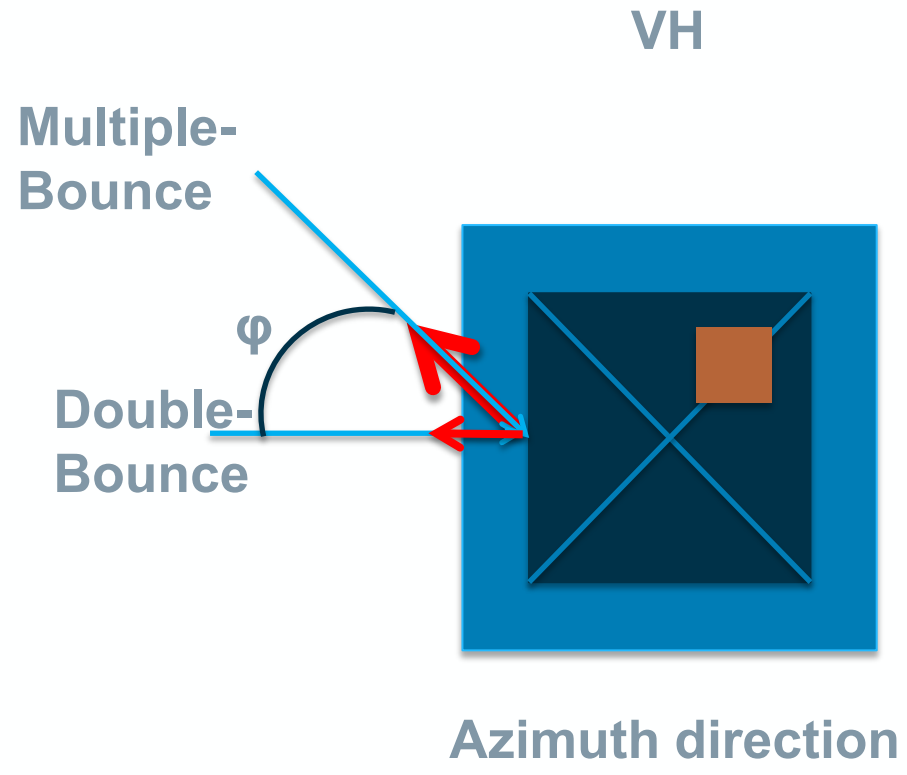
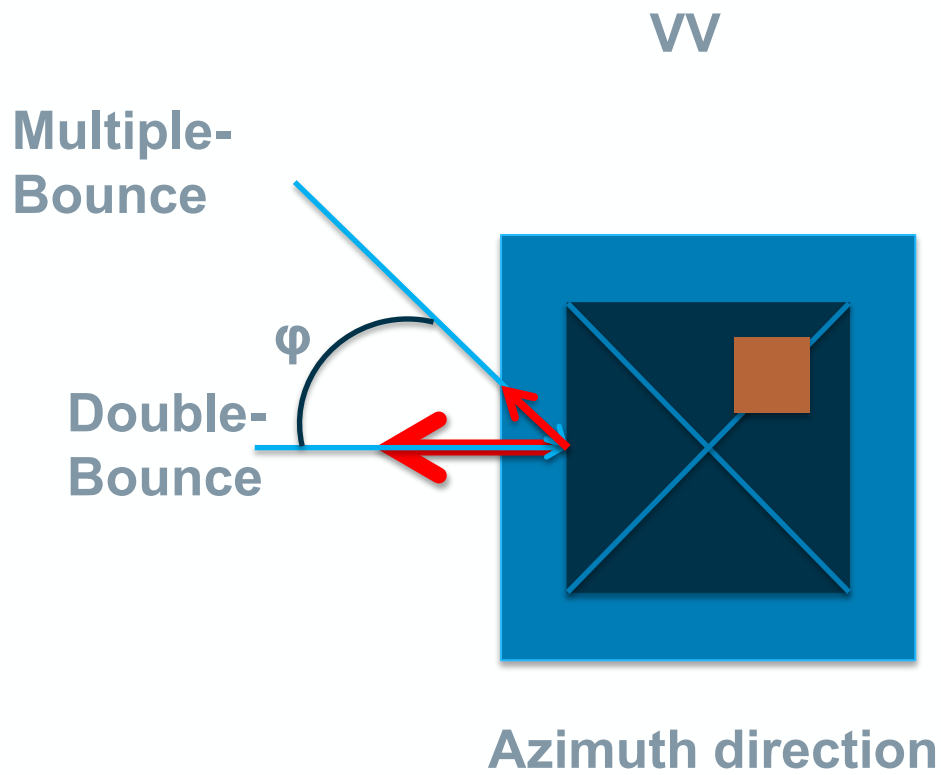
## Sentinel-1











# Multitemporal InSAR coherence

The degree of correlation, or coherence, between the two constituent images of an interferometric acquisitions is measured as the magnitude of the complex cross correlation between the images.

$$\gamma = \frac{|\langle e_1 e_2^* \rangle|}{\sqrt{\langle |e_1|^2 \rangle \langle |e_2^*|^2 \rangle}}$$

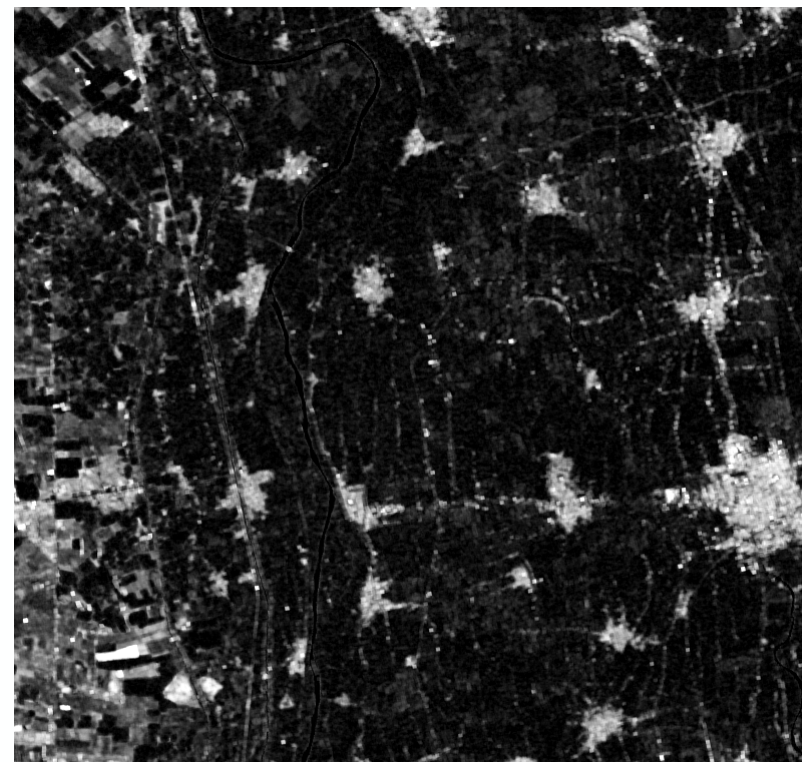


# Urban areas

- I. Building backscattering presents high values.
- II. InSAR coherence in urban areas presents high values.



SAR Intensity



InSAR coherence

# Multitemporal InSARcoherence



The high sensitivity of the Interferometric SAR (InSAR) coherence to small changes in the scene has been also exploited to map floods in urban areas using very high- resolution SAR sensors, such as COSMO-SkyMed.

The enhanced and systematic observational capabilities of Sentinel-1, i.e. high revisit time and small orbital tube, could be effectively used for a more accurate detection of floodwater in urban areas.





# Multitemporal InSAR coherence

Double-bounce is a key feature to identify the presence of water.

In the areas where double-bounce occurs:

- $\sigma_0$  stable in time and high (when no flood);

- $\sigma_0$  increases in the presence of water;

- The co-event InSAR coherence (CC) decreases with respect to the pre-event one.

InSAR coherence:

The InSAR coherence is the normalized cross correlation between images and it is related to the change in the spatial arrangement in time of the scatterers within a SAR image pixel.

A coherence image can be built using either two images taken before the event (pre-event coherence) or with one before and one during the flood event (co-event coherence).

# InSAR coherence limitations

The InSAR coherence is generally affected by temporal decorrelation, which means that it also decreases for reasons other than catastrophic events.

→ It is mandatory to focus the analysis only on the Double-Bounce objects.

The InSAR coherence is generally affected by spatial decorrelation, so that it decreases with the increase of perpendicular baseline.

→ Sentinel-1 is a perfect candidate given that the relatively narrow orbit tube (i.e. small perpendicular baseline of interferometric acquisitions)



# Algorithm

Identification of double-bounce objects, i.e. buildings.

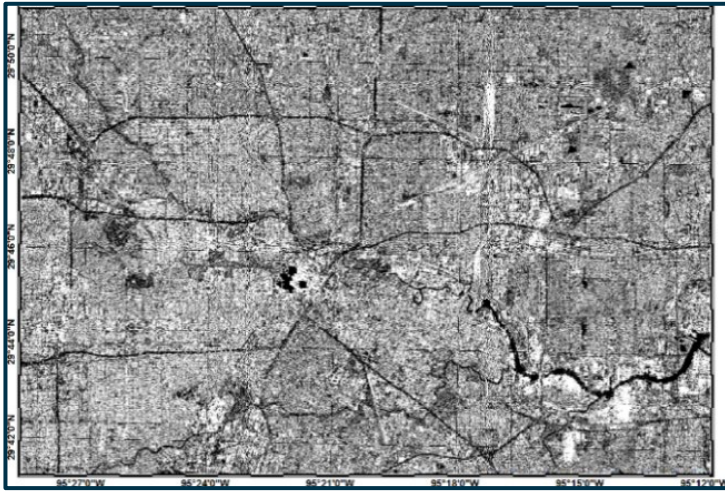
Extraction of pre-event and co-event coherence maps by a sliding window.

Calculate the coherence difference map only in the double-bounce objects.

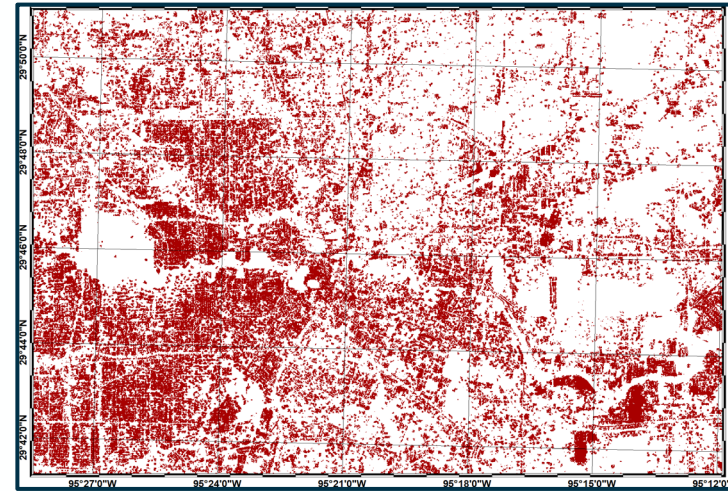
Threshold the image difference.

# Example of Buildings map from Sentinel-1

Sentinel-1



Building map



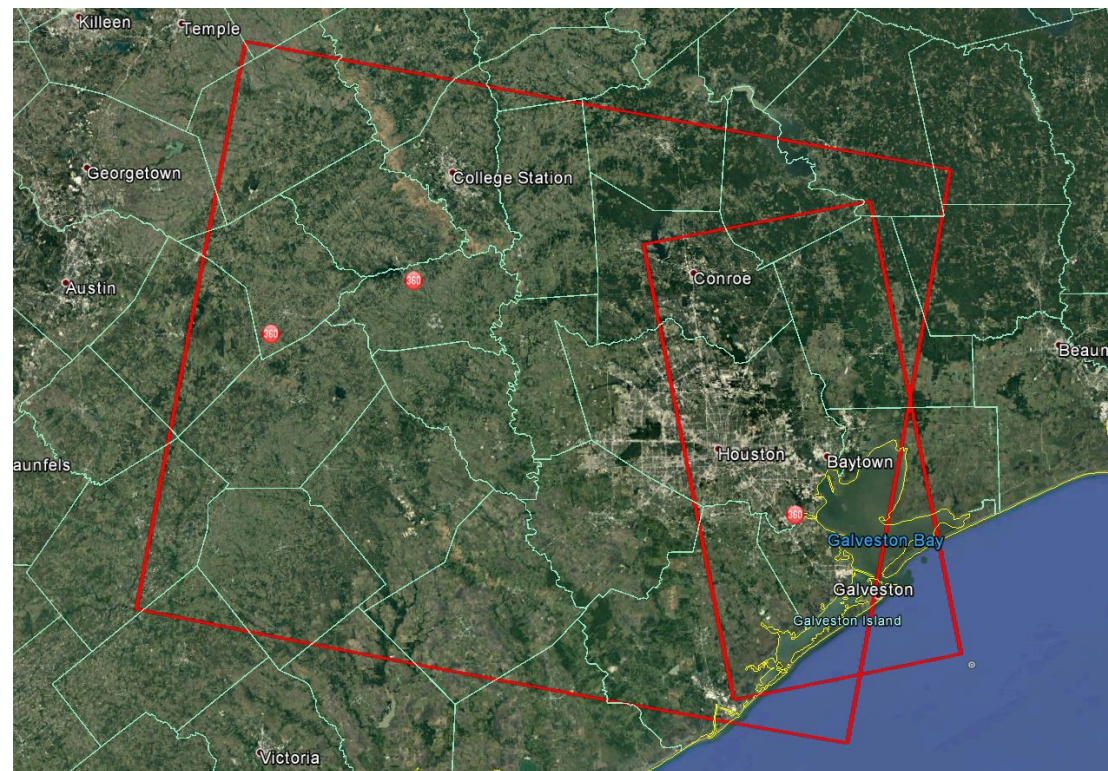
Optical image



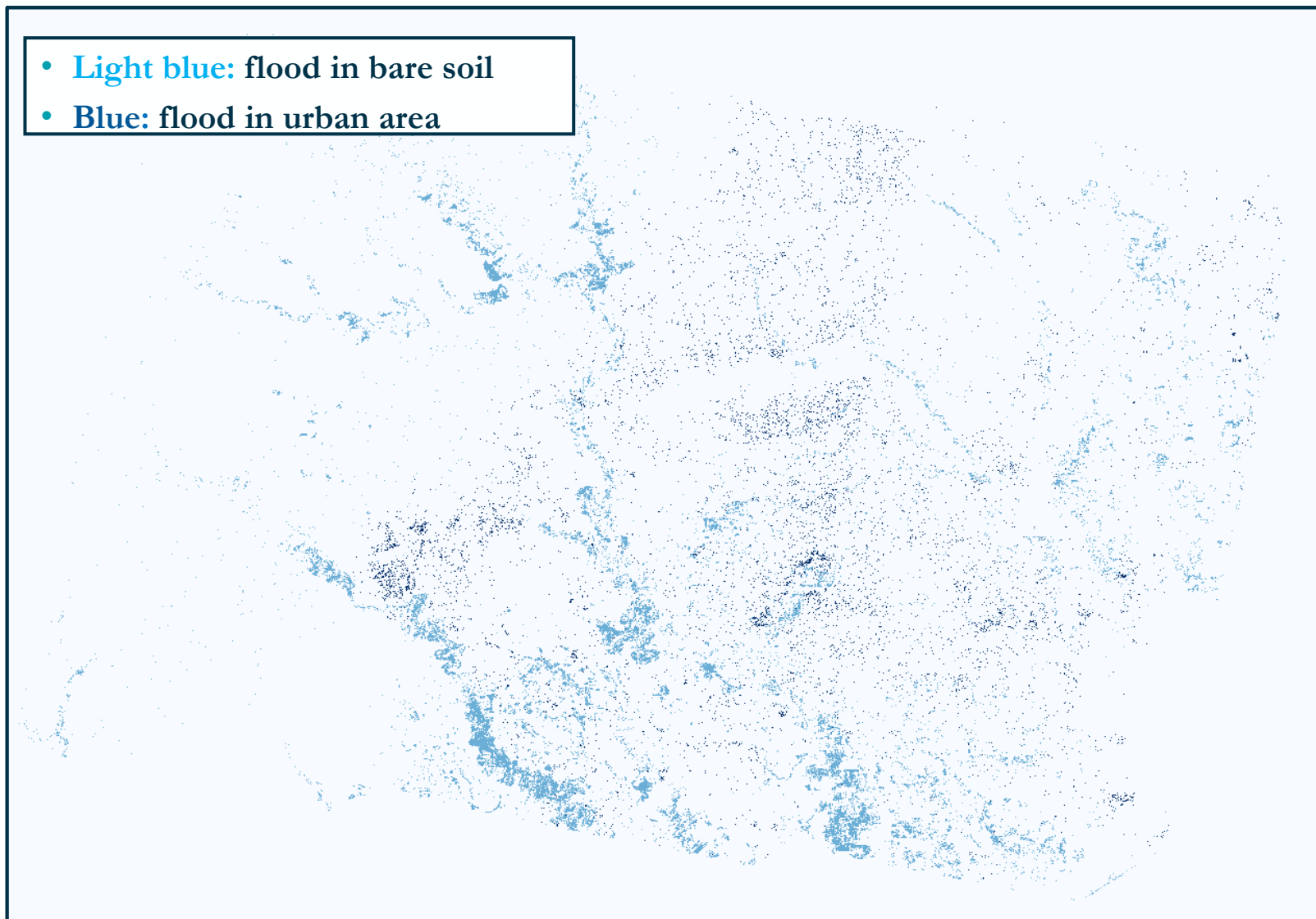


# Test case 1: Houston 2017

Satellite	Date	Pol
S1-A IW	18/08/2017 12:22	VV
S1-B IW	24/08/2017 12:22	VV
S1-A IW	30/08/2017 12:22	VV

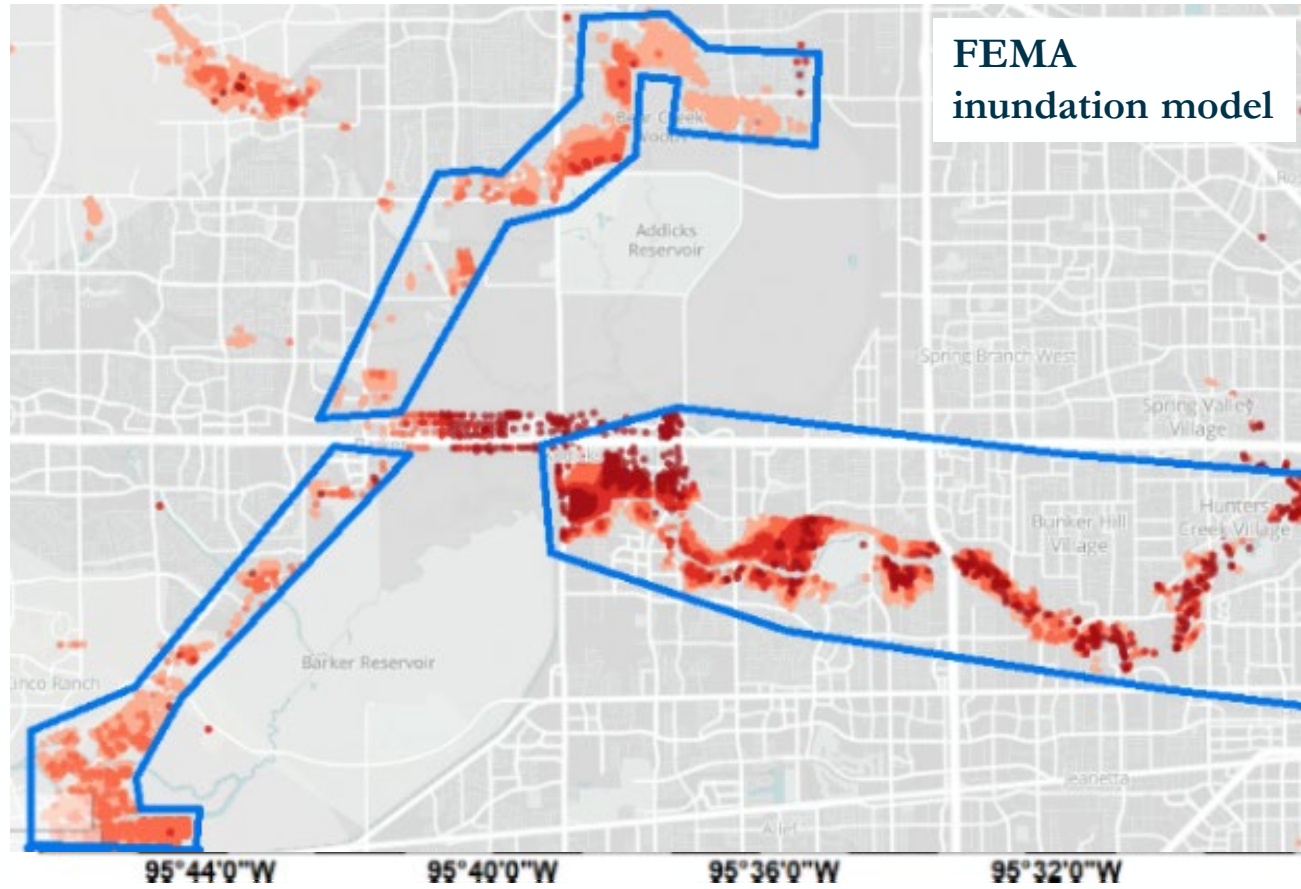


# Test case 1: Houston 2017

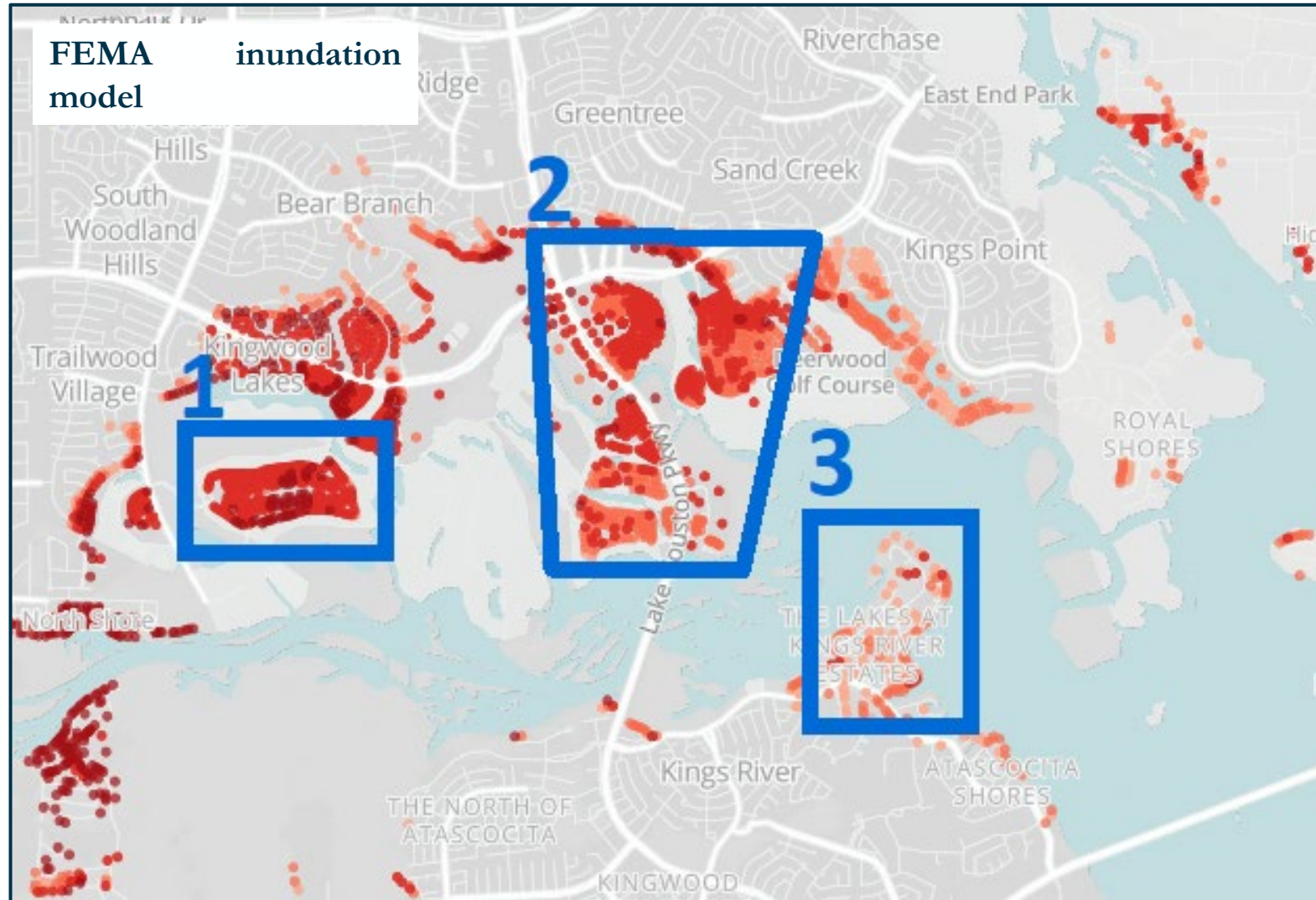




# Test case 1: Houston 2017



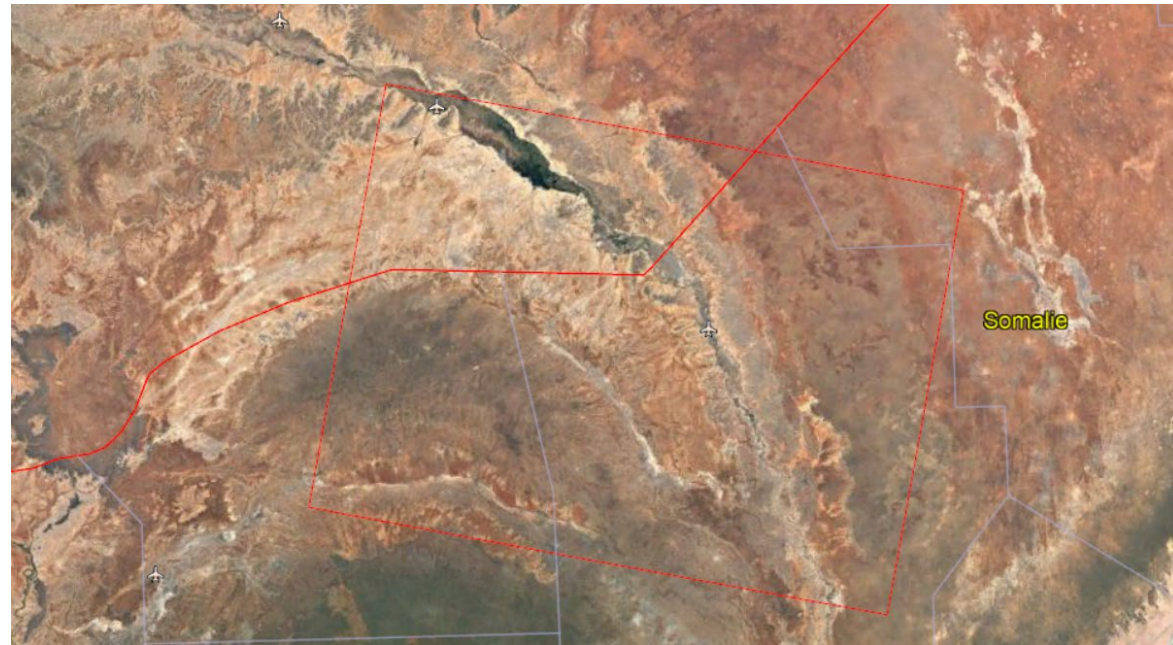
# Test case 1: Houston 2017





# Test case 2: Somalia 2018

Satellite	Date	Pol
S1-A IW	02/04/2018	VV
S1-A IW	14/04/2018	VV
S1-A IW	26/04/2018	VV
S1-A IW	08/05/2018	VV
S1-B IW	14/05/2018	VV

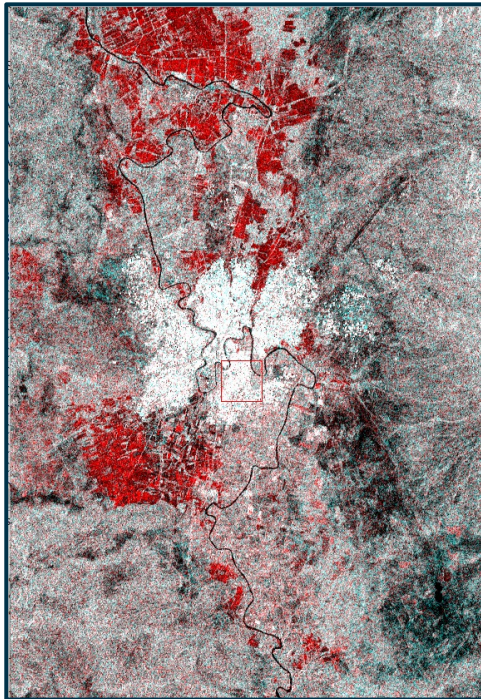




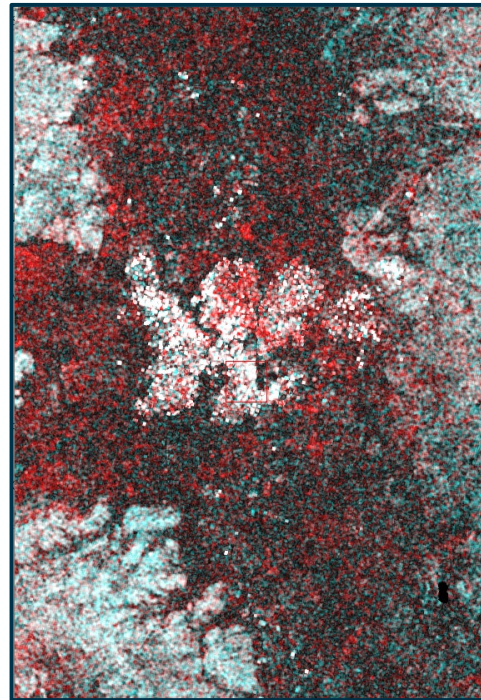
# Test case 2: Somalia 2018

26/04/2018

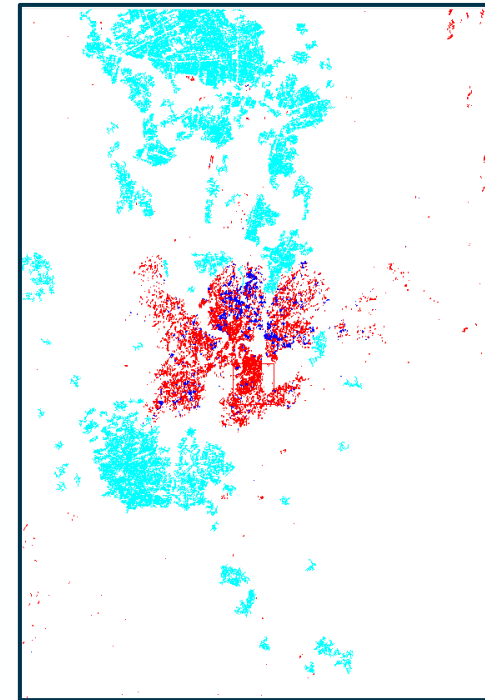
RGB Intensity



RGB coherence



Flood map



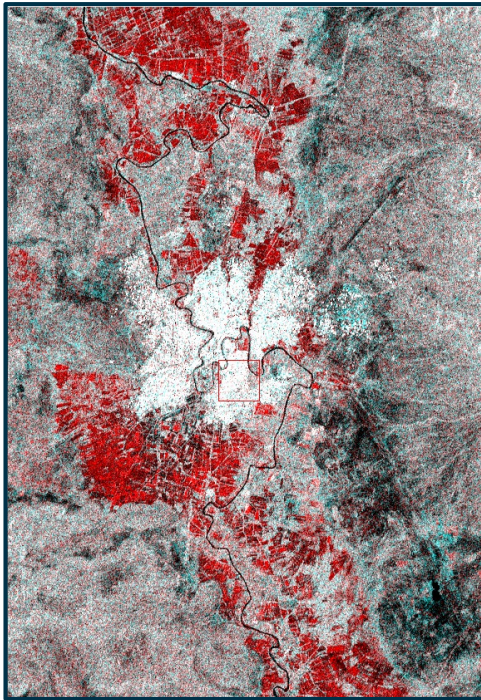
- **Light blue:** flood in bare soil
- **Blue:** flood in urban area
- **Red:** unflooded buildings



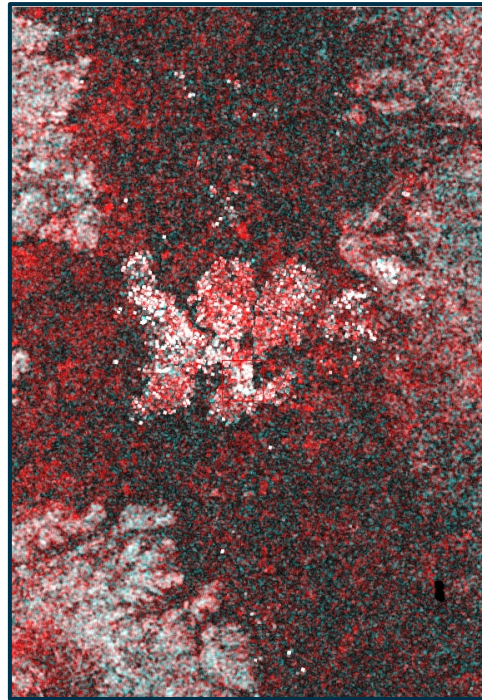
# Test case 2: Somalia 2018

8/5/2018

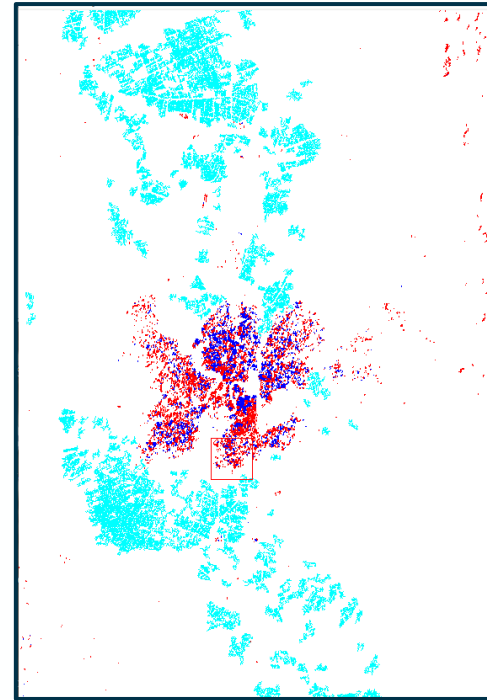
RGB Intensity



RGB coherence



Flood map



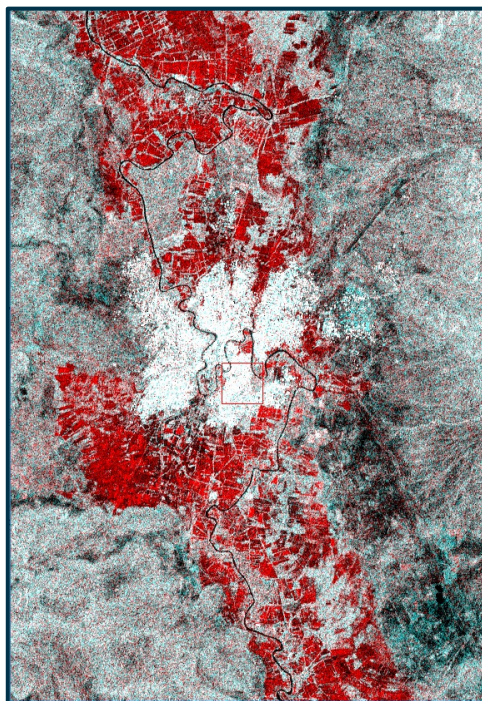
- **Light blue:** flood in bare soil
- **Blue:** flood in urban area
- **Red:** unflooded buildings



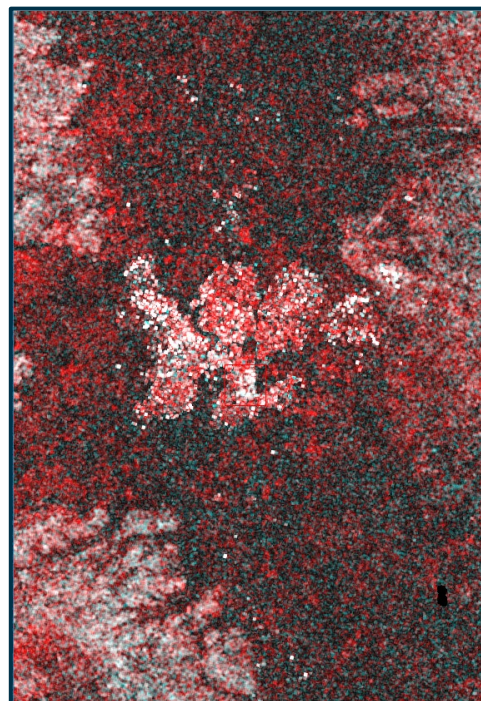
# Test case 2: Somalia 2018

14/05/2018

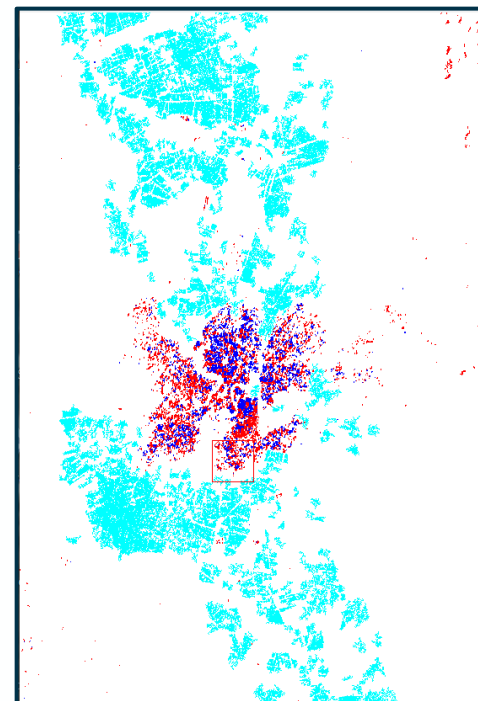
RGB Intensity



RGB coherence



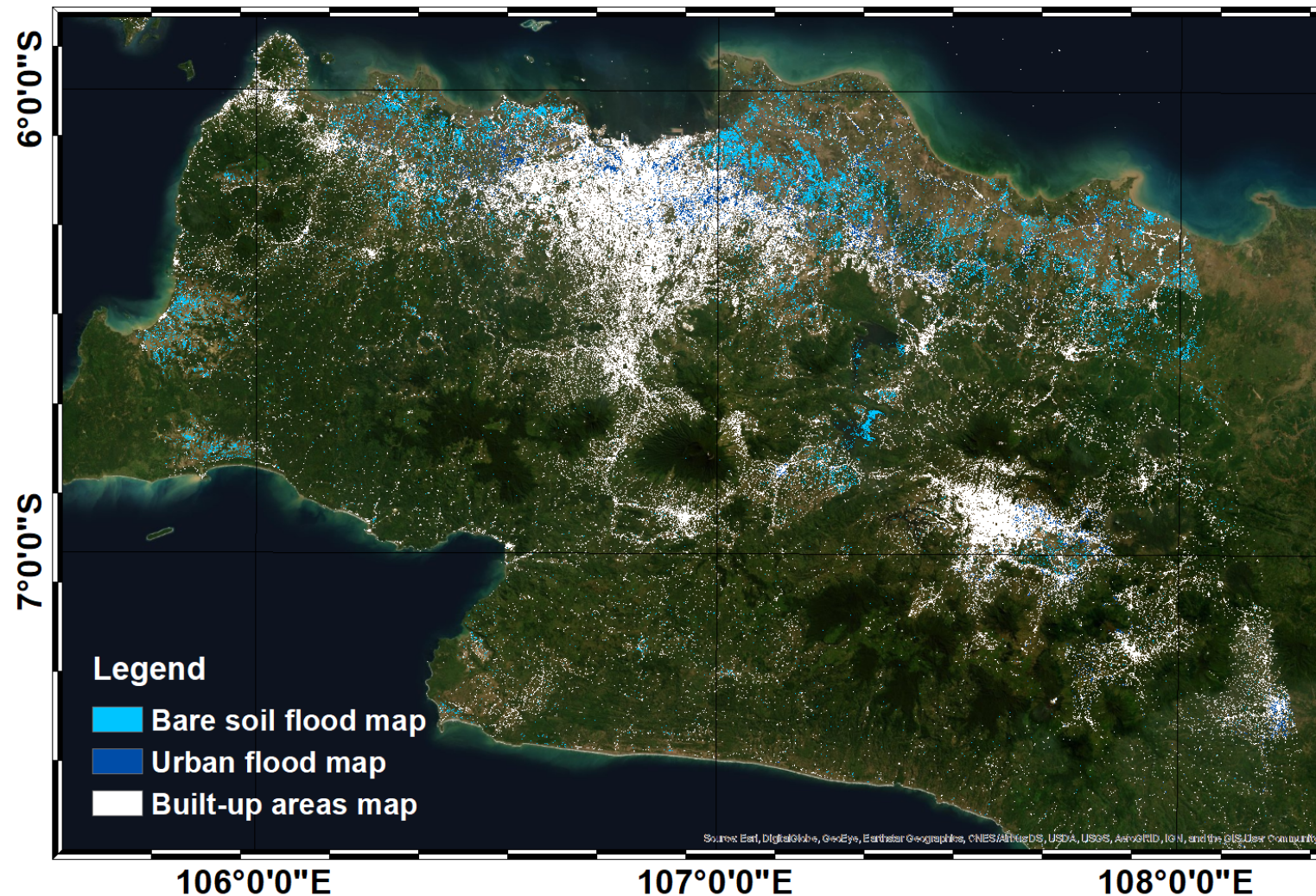
Flood map



- **Light blue:** flood in bare soil
- **Blue:** flood in urban area
- **Red:** unflooded buildings



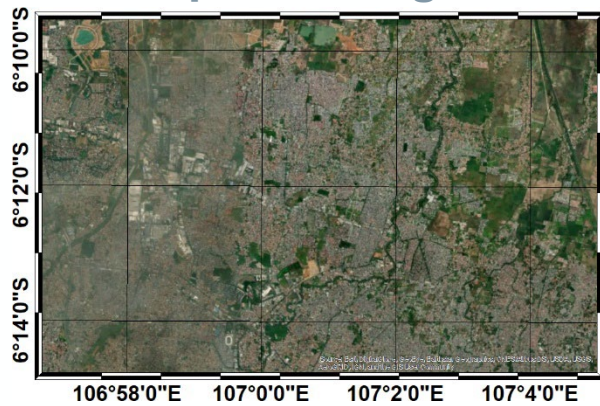
# Test case 3: Jakarta 2020



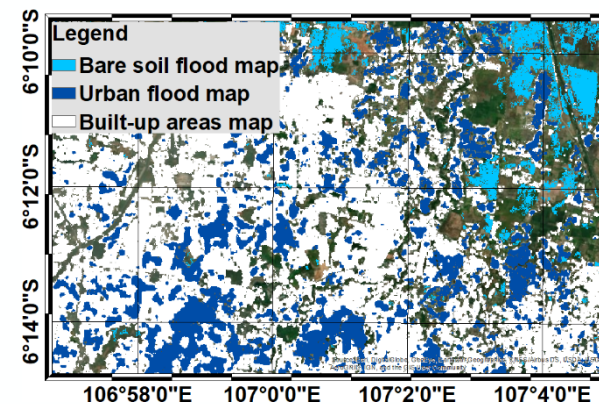
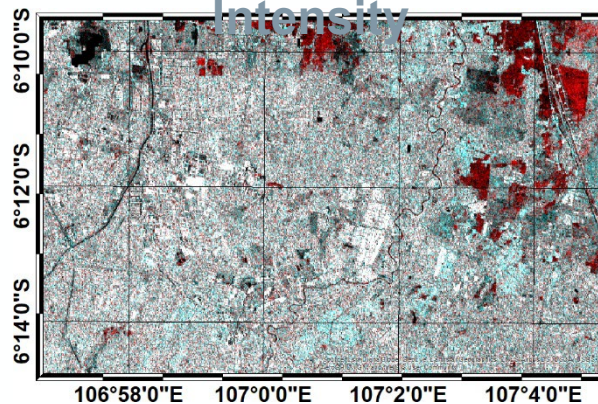


# Test case 3: Jakarta 2020

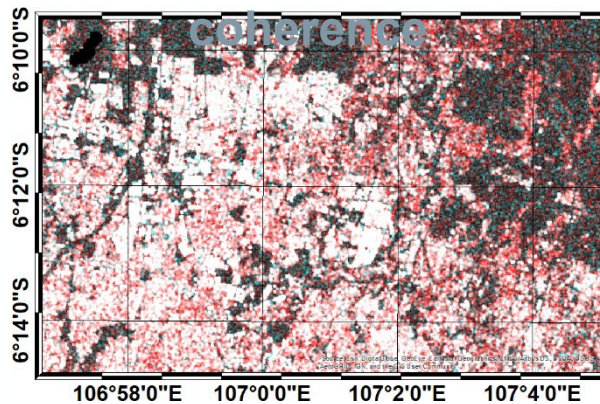
### Optical image



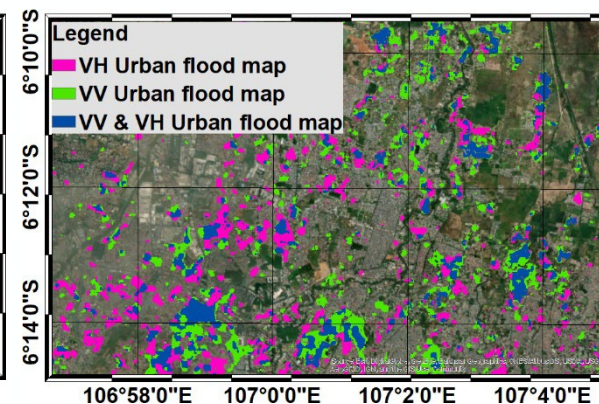
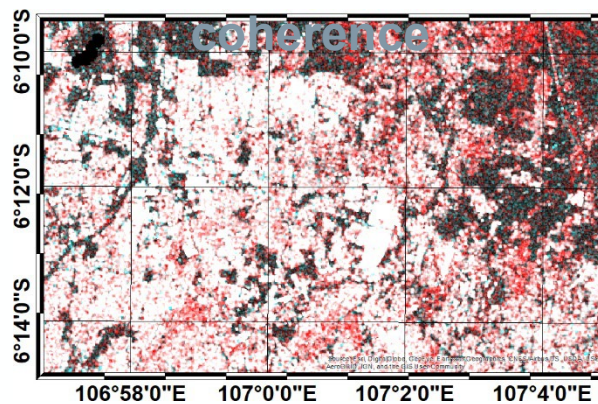
### RGB SAR VV Intensity



### RGB SAR VH coherence



### RGB SAR VV coherence





# Test case 4: WASDI platform – Japan 2019



Open flood



Urban areas



Urban flood



The screenshot displays the WASDI web interface for a test case in Japan. The main map shows a satellite view of a city area with overlays for 'Open flood' (blue), 'Urban areas' (white), and 'Urban flood' (cyan). The interface includes a top navigation bar with 'App Store', 'Workspaces', 'Plan', 'Search', 'Edit', and 'Docs'. Below this is a 'Products Filter' search bar and a 'Products' list on the left. The 'Products' list contains several items, including 'JPN\_46\_2019-10-10\_flood.tif', 'JPN\_46\_buildings', and 'Iwaki\_S1B\_IW\_GRDH\_ISDV\_20191012\_fm.tif'. A 'Navigation' panel at the bottom left shows a map of Japan with various cities labeled. The main map has a '2D' view selector and a search bar. The bottom status bar shows 'Waiting 0' and 'Running 0'.





# Test case 4: WASDI platform – Japan 2019



Open flood



Urban areas



Urban flood



The screenshot displays the WASDI web interface. At the top, there is a navigation bar with the WASDI logo, a search bar, and various utility icons. Below this, a 'Products' panel on the left lists several data layers, including 'JPN\_46\_2019-10-10\_flood.tif', 'JPN\_46\_buildings', and 'Iwak\_S1B\_IW\_GRDH\_1SDV\_20191012\_fm.tif'. A 'Navigation' panel below the products shows a map of Japan with a search bar. The main map area displays a satellite view of a region in Japan, overlaid with flood data (blue and cyan) and urban areas (white). The interface includes a '2D' view toggle and a scale bar at the bottom right.

<https://www.wasdi.net/#>





# Test case 4: WASDI platform – Japan 2019



Open flood



Urban areas



Urban flood



The screenshot displays the WASDI web application interface. At the top, the title bar shows 'KoriyamaJP\_46\_2019' and navigation icons for App Store, Workspaces, Plan, Search, Edit, and Docs. Below the title bar is a 'Products Filter...' search box. On the left, a 'Products' panel lists four datasets: 'JPN\_46\_2019-10-10\_flood.tif', 'JPN\_46\_buildings', 'Iwaki\_S1B\_IW\_GRDH\_1SDV\_20191012\_fm.tif', and 'baresoil\_flood\_map\_japan\_iwaki'. Each dataset has a 'band\_1' sub-item. Below the products panel is a 'Navigation' map of Japan. The main map area shows a satellite view of a region in Japan, overlaid with blue areas representing 'Open flood' and cyan areas representing 'Urban flood'. The map includes a scale bar (1 km) and coordinates (37.11721, 140.76388). At the bottom, a status bar shows 'Waiting 0' and 'Running 0' indicators.

