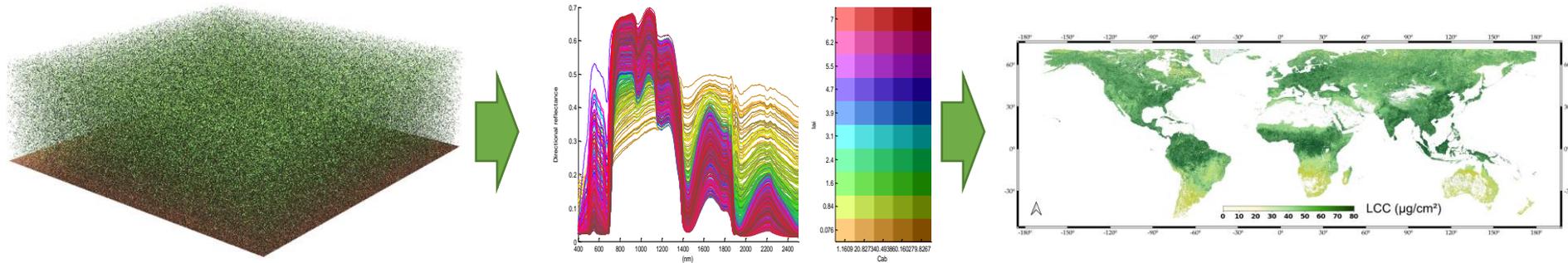


From model simulations towards vegetation properties mapping:

automating, optimizing & expanding



Jochem Verrelst

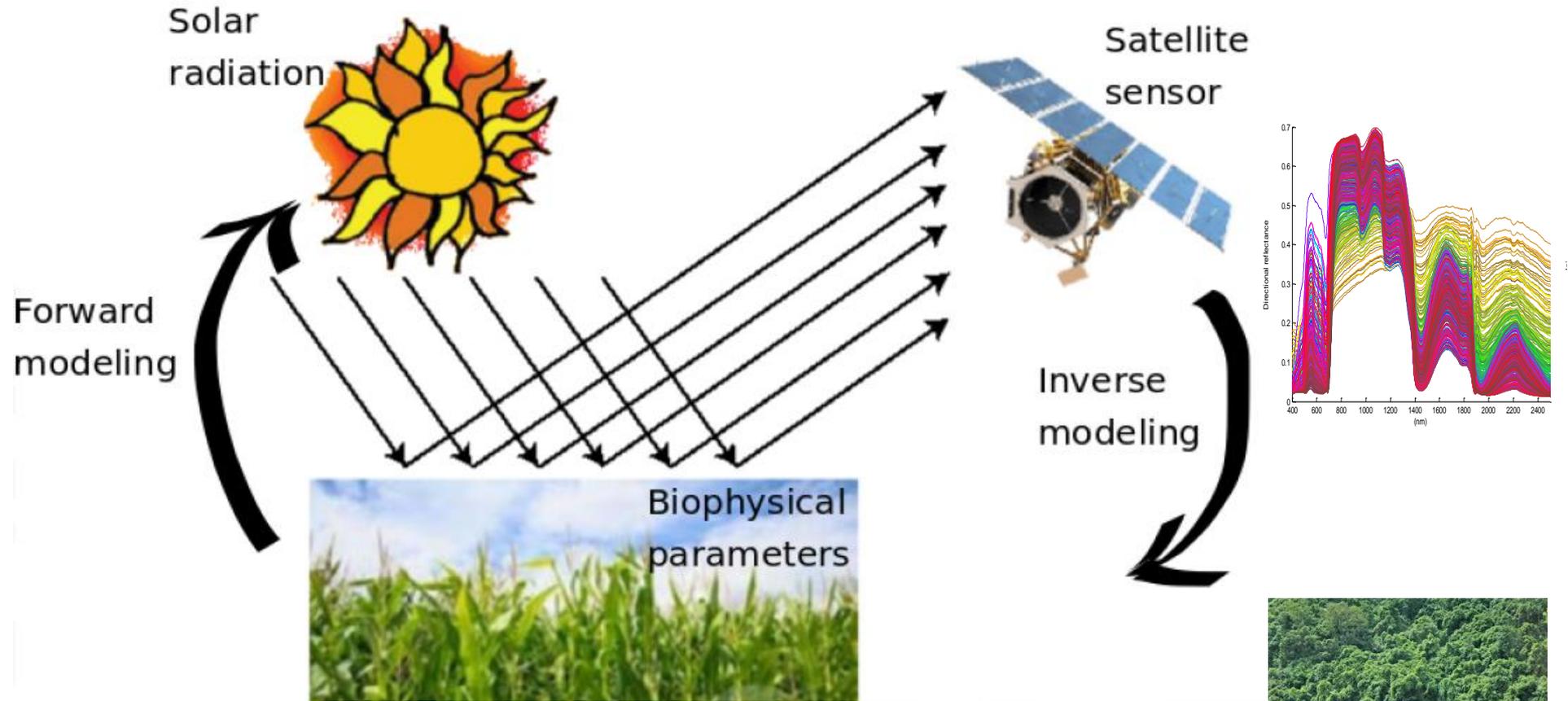
20/09/2023

How to quantify vegetation properties?



Today we will learn:

Semi-automated mapping of vegetation properties from optical RS data



- *What are biophysical parameters?*
- *Why is it important to quantify them?*

The problem:

Biophysical parameter retrieval is an essential step in modeling the processes occurring on Earth and the interactions with the atmosphere.

The analysis can be done at **local** or **global** scales by looking at bio-geo-chemical cycles, atmospheric situations, ocean/river/ice states, and vegetation dynamics.

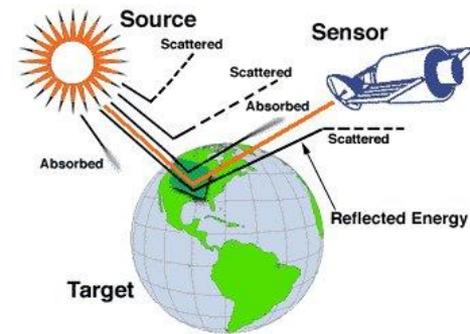
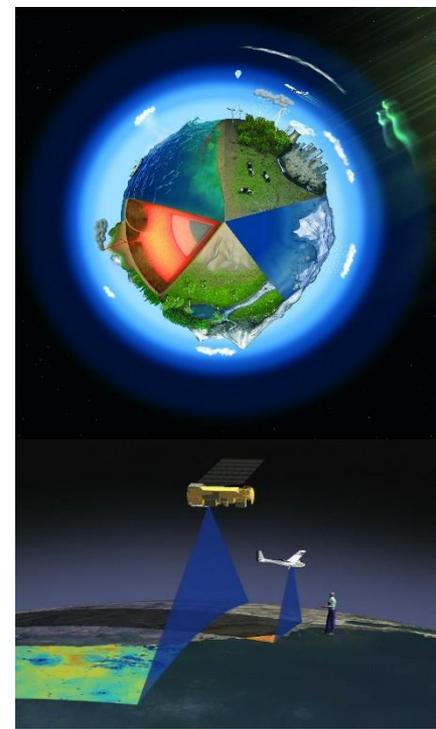
Main parameters: crop yield, biomass, leaf area coverage, chlorophyll content, fraction vegetation cover, GPP,....

Land/vegetation parameters cannot be estimated directly from optical RS data. **A model is required!**

The objective: Transform measurements into biophysical parameter estimates.

The data:

- **Input data:** satellite/airborne spectra, in situ (field) radiometers, or simulated spectra by RTMs
- **Output results:** estimation of a biophysical parameter



Leaf Area Index

Data source: SPOT-VEGETATION

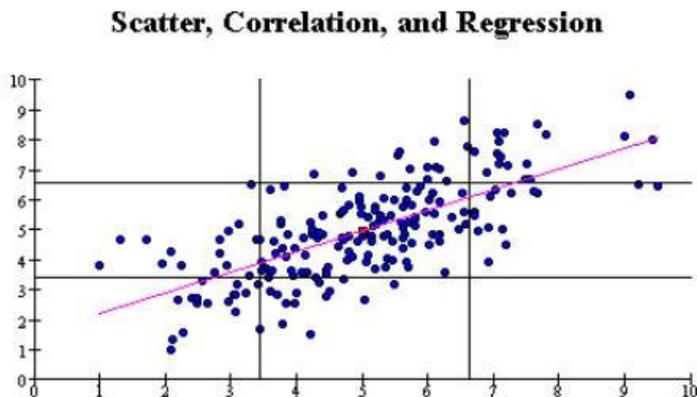
geoland

Introduction retrieval biophysical parameters

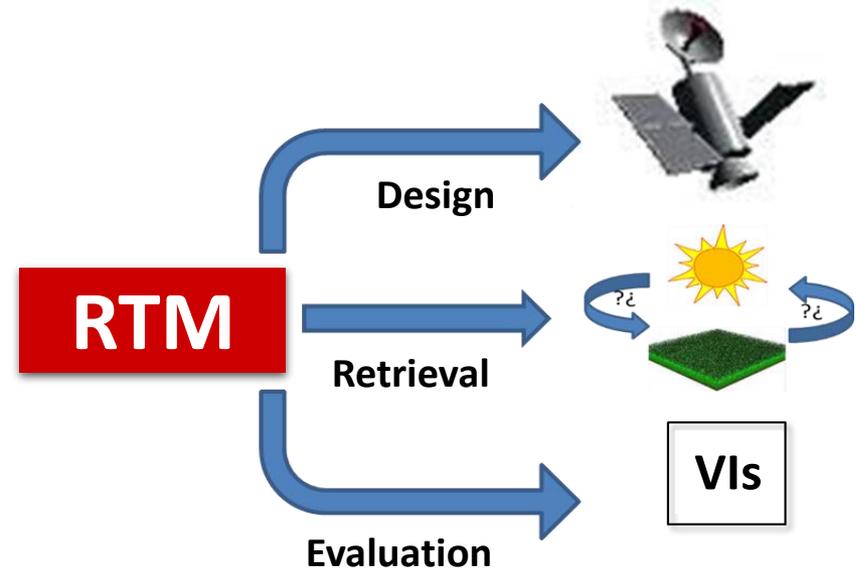


Retrieval of biophysical parameters from Remote Sensing (RS) data **always occurs through a model**, e.g. through statistical models or through inversion of physically-based radiative transfer models (RTM).

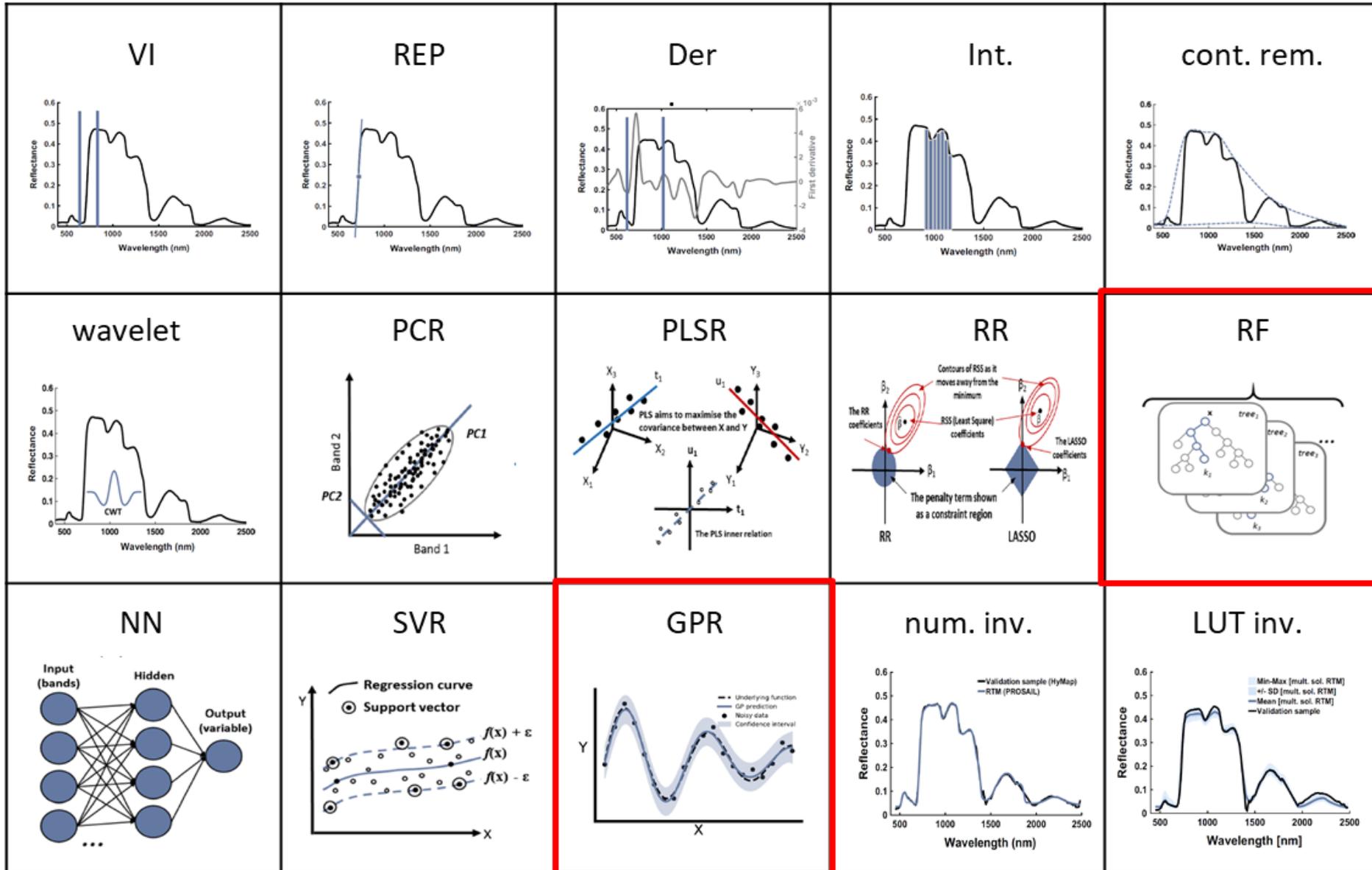
Statistical approaches



Physically based RTM approaches



Some retrieval methods....



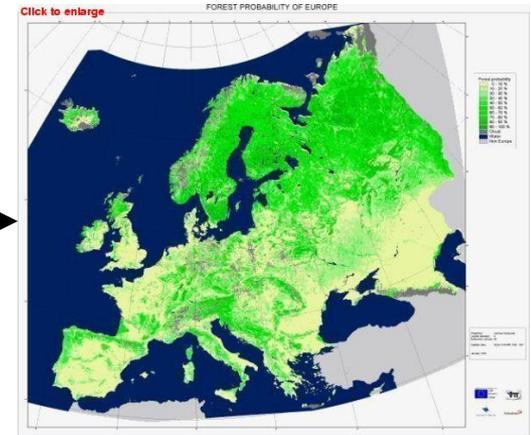
Retrieval of (continuous) vegetation properties

Remote sensing image



Model

Map of a vegetation property



1. Statistical models

1. Parametric regression models
2. Nonparametric regression models
 1. Linear
 2. Nonlinear

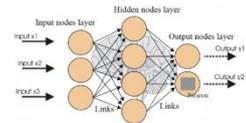
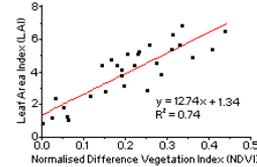
2. Inversion of physically based radiative transfer models

1. Numerical optimization
2. Lookup-table (LUT)-based inversion

Taxonomy of retrieval methods, three main families:

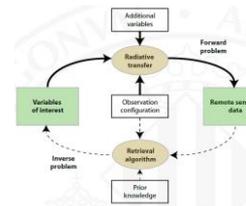
1. **Statistical:** parametric and non-parametric:

- **Parametric** models rely on *some physical knowledge* of the problem and build explicit parametrized expressions that relate a few spectral bands with the biophysical parameter(s) of interest.
- **Non-parametric** models are *data-driven models*. They are adjusted to predict a variable of interest using a training dataset of input-output data pairs.



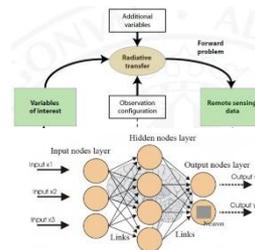
2. **Physical:** try to reverse RTMs.

- Physically based algorithms are applications of physical laws establishing photon interaction *cause-effect relationships*. Model variables are inferred based on specific knowledge, typically obtained with **radiative transfer functions**.



3. **Hybrid:**

- A hybrid-method **combines elements of nonparametric statistics and physically based methods**. Hybrid models rely on the generic properties of physically based methods combined with the flexibility and computational efficiency of nonparametric nonlinear regression methods.



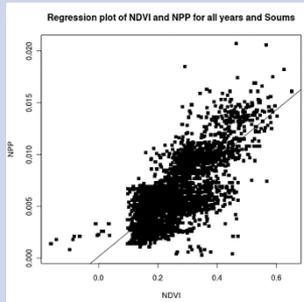
Retrieval families

Parametric regression

Spectral relationships that are sensitive to specific vegetation properties

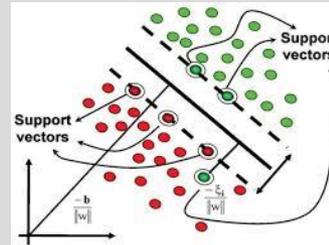
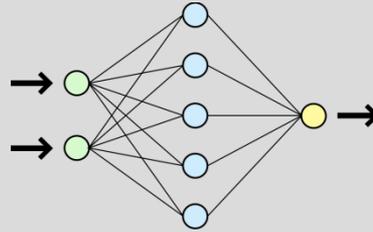
$$NDVI = \frac{(\rho_{NIR} - \rho_{RED})}{(\rho_{NIR} + \rho_{RED})}$$

Normalized Difference Vegetation Index



Non-parametric regression

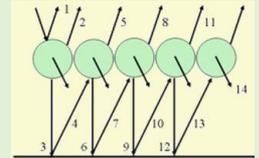
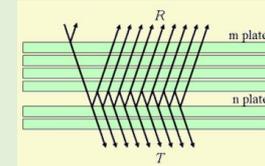
Advanced techniques that search for relationships between spectral data and biophysical variables



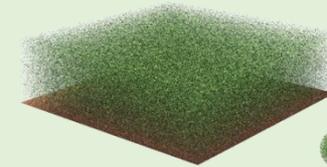
RTM inversion

Models that simulate interactions between vegetation and radiation

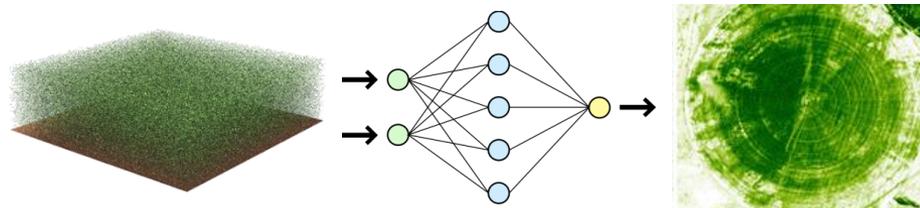
leaf



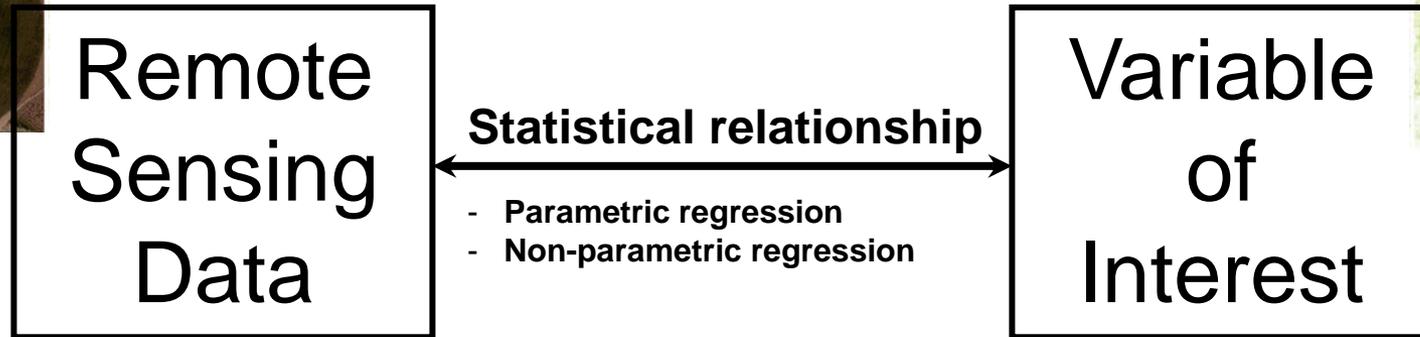
canopy



Methods of these different families can be combined: *hybrid methods*



Statistical interpretation of RS

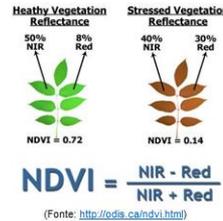


- Simple statistical relationships (VIs) constitute the **BULK of RS analysis**.
- These analyses allow to determine **IF** there is a relationship, **not WHY** there is a relationship.
- Linear methods such as VIs are **useful indicators** of biophysical (e.g. structure) or biochemical (e.g. chlorophyll) parameters, however in natural, complex environments indices are **confounded** by additional abiotic and biotic factors.
- **VIs lack generality** for estimating biophysical parameters.
- Apart from VIs a large number of powerful **alternative statistical retrieval** methods exists (e.g. non-parametric regression methods).

Parametric regression

Parametric regression assume an explicit model for retrieval

- **Discrete band methods(VIs):**
 - 2-band: SR, NDVI, PRI, OSAVI
 - 3-band: TVI, MCARI, SIPI
 - 4-band: TCARI/OSAVI

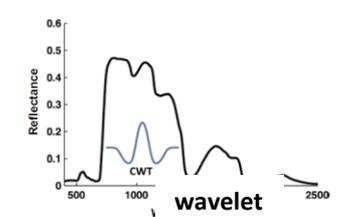
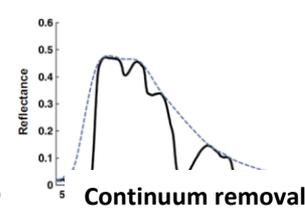
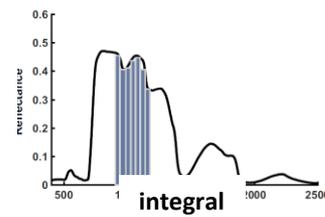
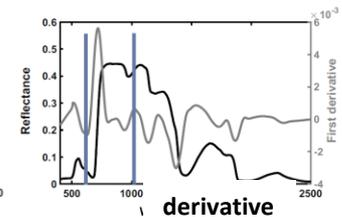
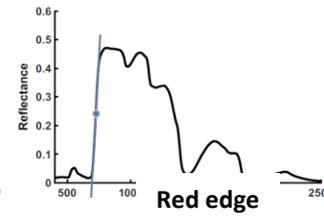
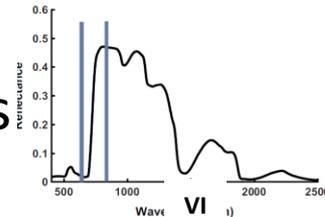


$$PRI = \frac{(\rho_{570} - \rho_{531})}{(\rho_{570} + \rho_{531})}$$

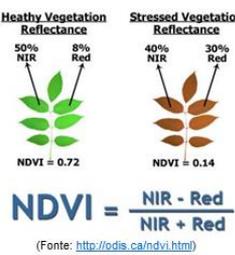
$$TCARI / OSAVI = 3 \cdot [(\rho_{\mu 700} - \rho_{\mu 670}) - 0.2 \cdot (\rho_{\mu 700} - \rho_{\mu 550})] \cdot (\rho_{\mu 700} - \rho_{\mu 670}) (1 + 0.16) \cdot (\rho_{\mu 800} - \rho_{\mu 670}) / (\rho_{\mu 800} - \rho_{\mu 670} + 0.16) \quad (2)$$

- **Shape-based methods (hyperspectral data):**

- Red-edge position (REP)
- Derivative/Integral indices
- Continuum removal
- wavelet



Parametric regression:



Strengths 😊

- Simple and comprehensive regression models; little knowledge of user required.
- Fast in processing
- Computationally inexpensive

Weaknesses 😞

- Makes only poorly use of the available information within the spectral observation; at most a spectral subset is used. Therefore, they tend to be more noise-sensitive as compared to full-spectrum methods
- Parametric regression puts boundary conditions at the level of chosen bands, formulations and regression function.
- Statistical function accounts for one variable at a time.
- A limited portability to different measurement conditions or sensor characteristics
- No uncertainty estimates are provided. Hence the quality of the output maps remains unknown.



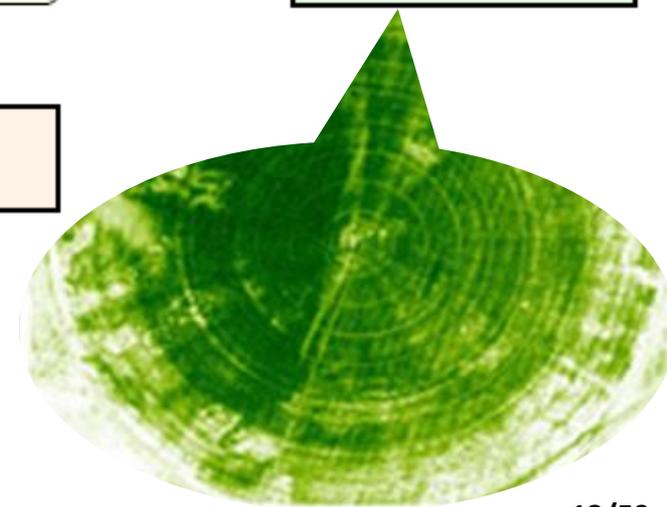
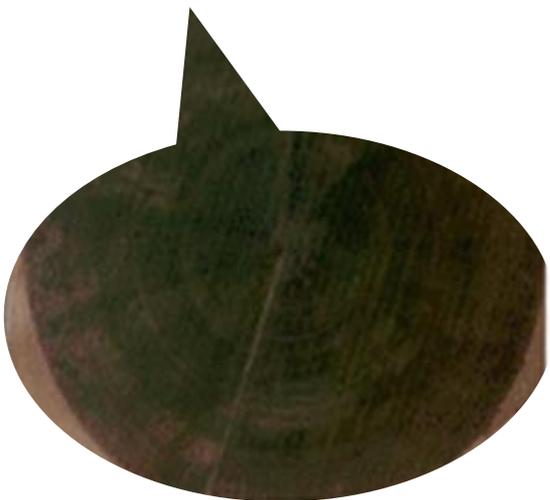
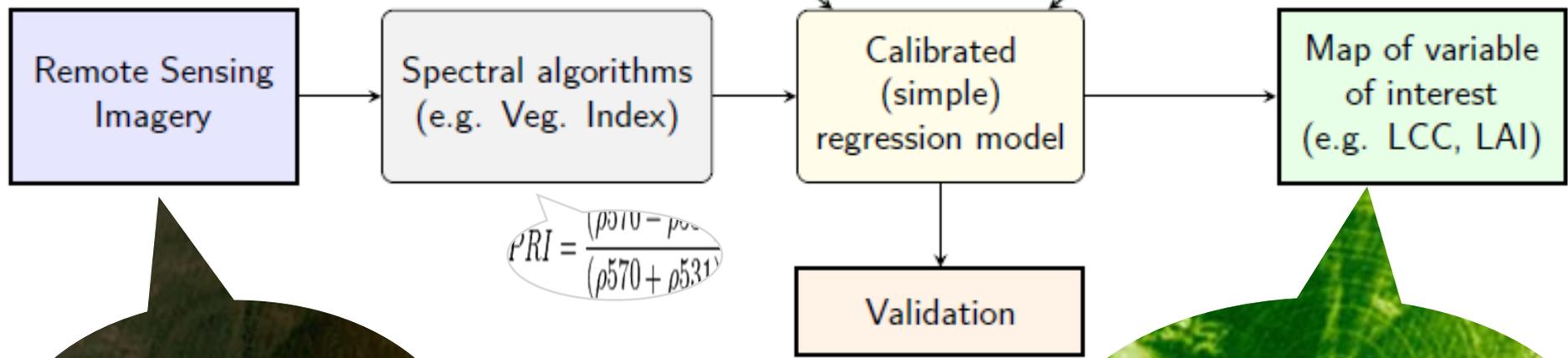
Parametric regression

Input parameters

Associa...

lengths

1	2	3	4	5	6	7	8	9	10	11	12	13
48.5	48.5	48.5	48.5	48.5	48.5	48.5	48.5	48.5	48.5	48.5	48.5	48.5
3	3	3	3	2.79	2.96	3.24	2.97	3.59	3.22	3.22	3.34	3.97
8.39	8.31	8.37	8.32	6.92	8.93	6.59	8.9	6.59	8.38	8.38	8.58	8.59
65.39	65.39	65.39	65.39	65.39	65.39	65.39	65.39	65.39	65.39	65.39	65.39	65.39

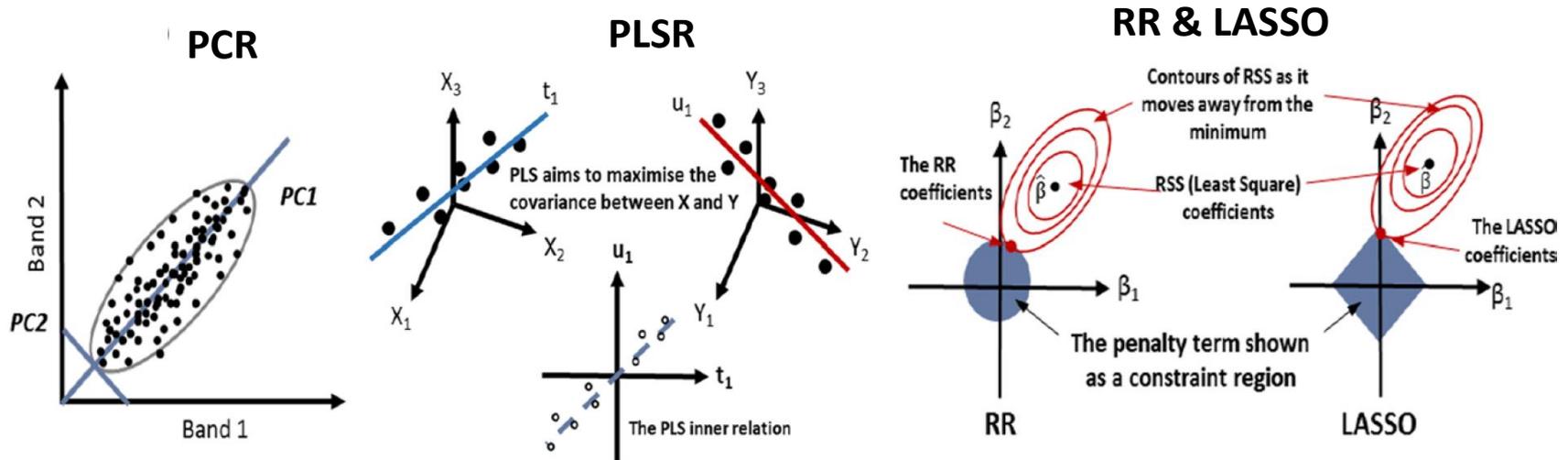


Non-parametric models (1/2):

Data-driven methods: Do not assume explicit feature relations

Linear nonparametric models:

- Stepwise multiple linear regression (SMLR)
- Principal component regression (PCR)
- Partial least squares regression (PLSR)
- Ridge regression (RR)
- Least Absolute Shrinkage and Selection Operator (LASSO)

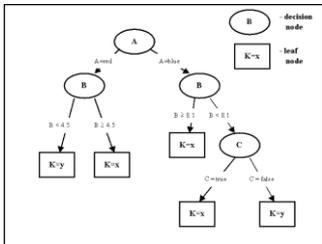


Non-parametric models (2/2):

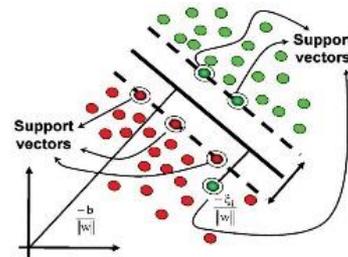
Data-driven methods: Do not assume explicit feature relations

Non-linear nonparametric models:

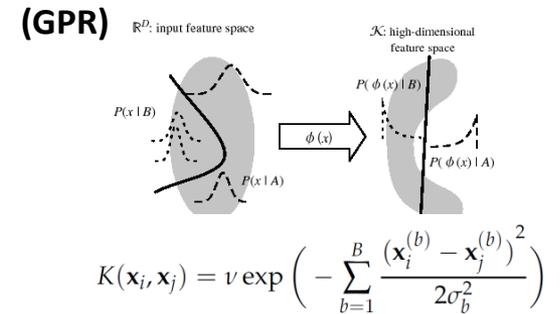
Decision Trees (DT)



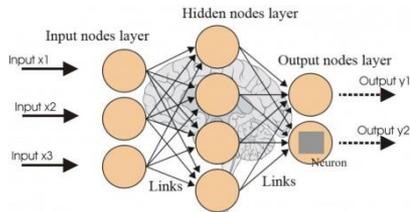
Support vector regression (SVR)



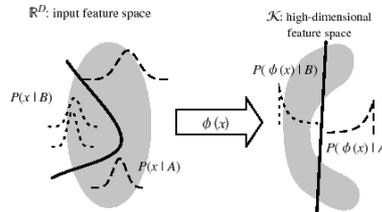
Gaussian processes regression (GPR)



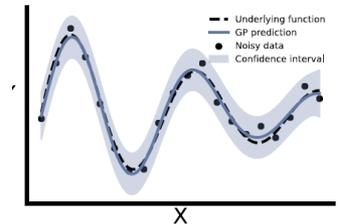
Neural networks (NN)



Kernel ridge regression (KRR)



$$K(x_i, x_j) = \exp(-\|x_i - x_j\|^2 / (2\sigma^2)).$$



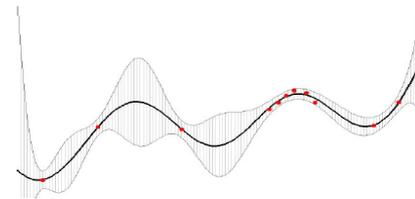
Also:

- Elastic Net (ELASTICNET)
- Bagging trees (BAGTREE)
- Boosting trees (BOOST)
- Neural networks (NN)

- Extreme Learning Machines (ELM)
- Relevance Vector Machine (RVM)
- Gaussian process Regression (GPR)
- Variational Heteroscedastic Gaussian Process Regression (VHGPR)

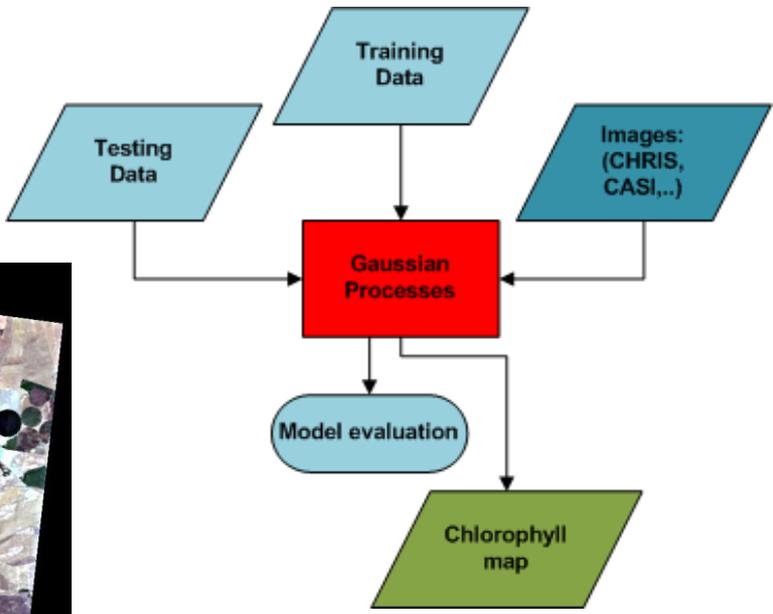
Gaussian Processes Regression (GPR)

- A **GPR** model is a **probabilistic (Bayesian)** model directly in function space, with no intermediate model or model parameters.
- **GPR** are **equivalent** to **kernel ridge regression**, least square **support vector machines (SVM)**, **Kriging**, large **neural networks (NN)** and very closely related to **SVM regularization networks**.
- **GPR alleviates** some **shortcomings** of the previous methods, while maintaining very good numerical performance and stability:
 - GPR is far simpler **than NN**, and needs **fewer sample points** 😊
 - **Not only a mean prediction** for each sample (**pixel**), but also a full distribution over the output values **including an uncertainty of the prediction (confidence interval)**. 😊
 - GPR **provide a ranking of features (bands) and samples (spectra)**, thus partly **overcoming the blackbox problem**. 😊
- <http://www.rainsoft.de/projects/gausspro.html>

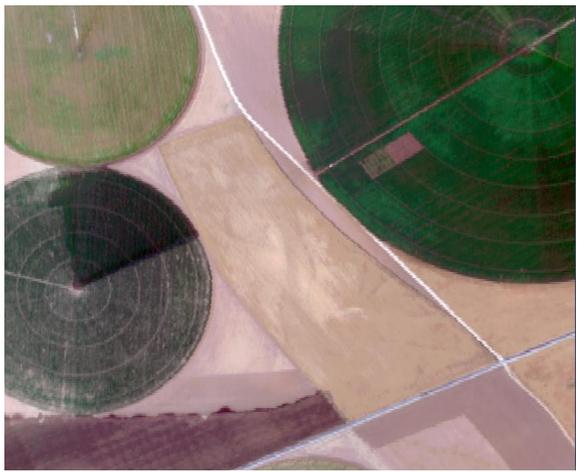


A regression curve plot by the "Gaussian Process Regression Applet" using 11 data points. One can observe that uncertainty goes down when multiple data points are aggregated together.

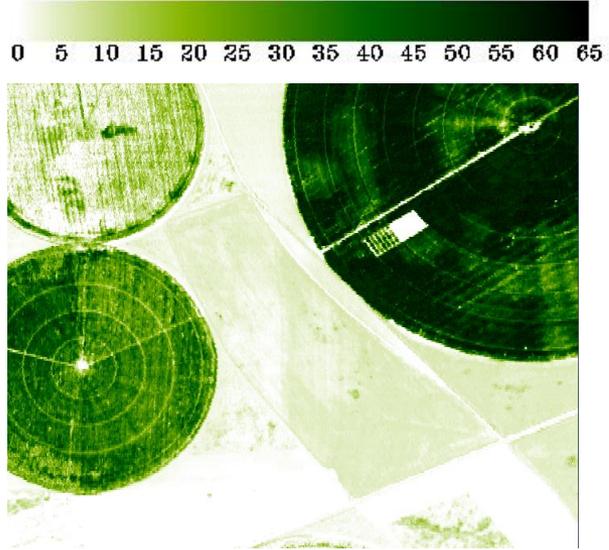
Example GPR



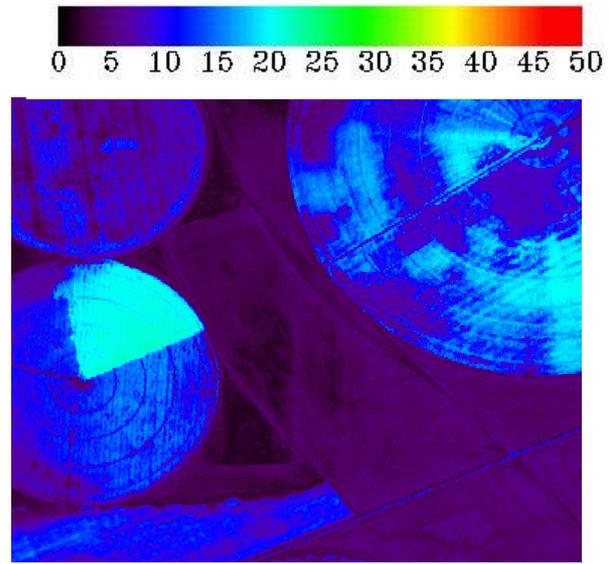
RGB CASI



Chl [$\mu\text{g}/\text{cm}^2$]



St Dev
Chl [$\mu\text{g}/\text{cm}^2$]



Non-parametric regression:

Strengths 😊

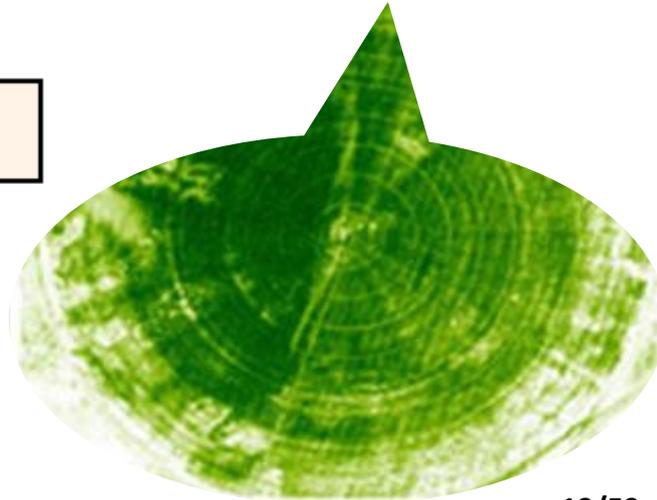
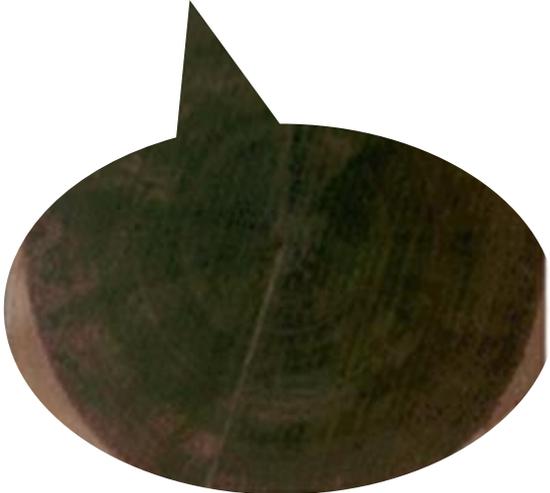
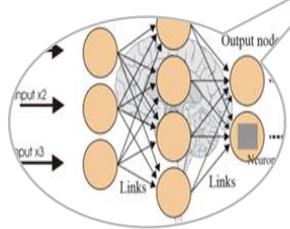
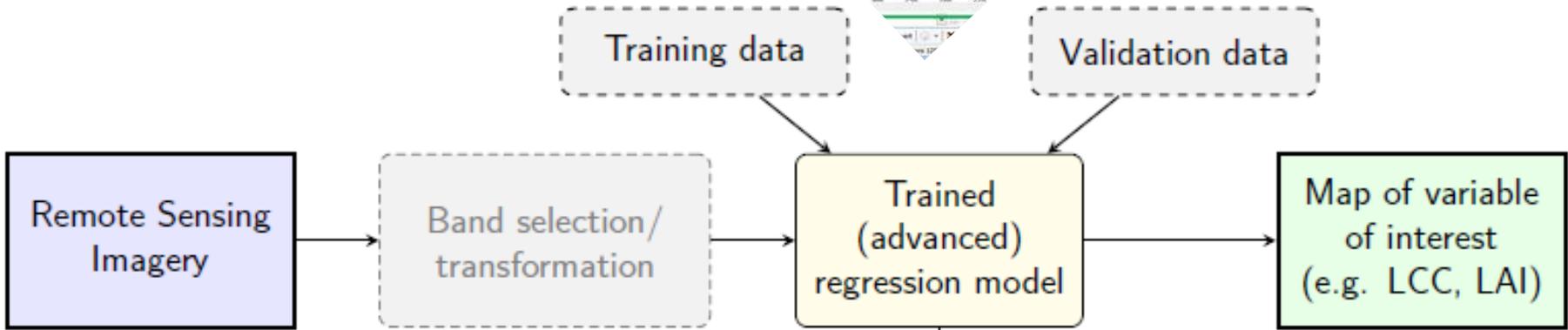
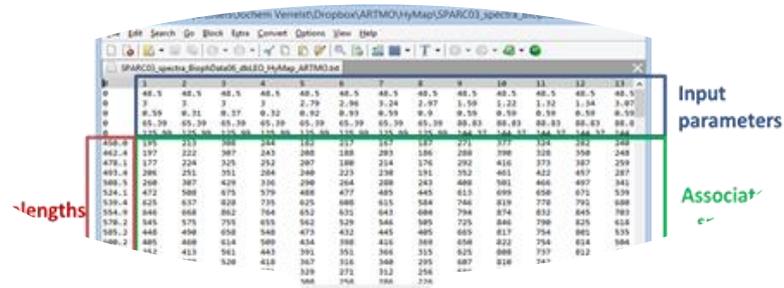
- Full-spectrum methods. They make use of the complete spectral information.
- Advanced, adaptive (non-linear) models are built.
- Methodologically, accurate and robust performance is enabled.
- Some MLRAs cope well with datasets showing redundancy and high noise levels.
- Once trained, imagery can be processed time efficient.
- Some of the non-parametric methods (e.g. ANNs, decision trees) can be trained with a high number of samples (typically >1,000,000).
- Some MLRAs provide insight in model development (e.g. GPR: relevant bands; decision trees: model structure).
- Some MLRAs can provide multiple-outputs (e.g. PLS, ANN, SVR, GPR and KRR)
- Some MLRAs provide uncertainty intervals (e.g. GPR).

Weaknesses 😞

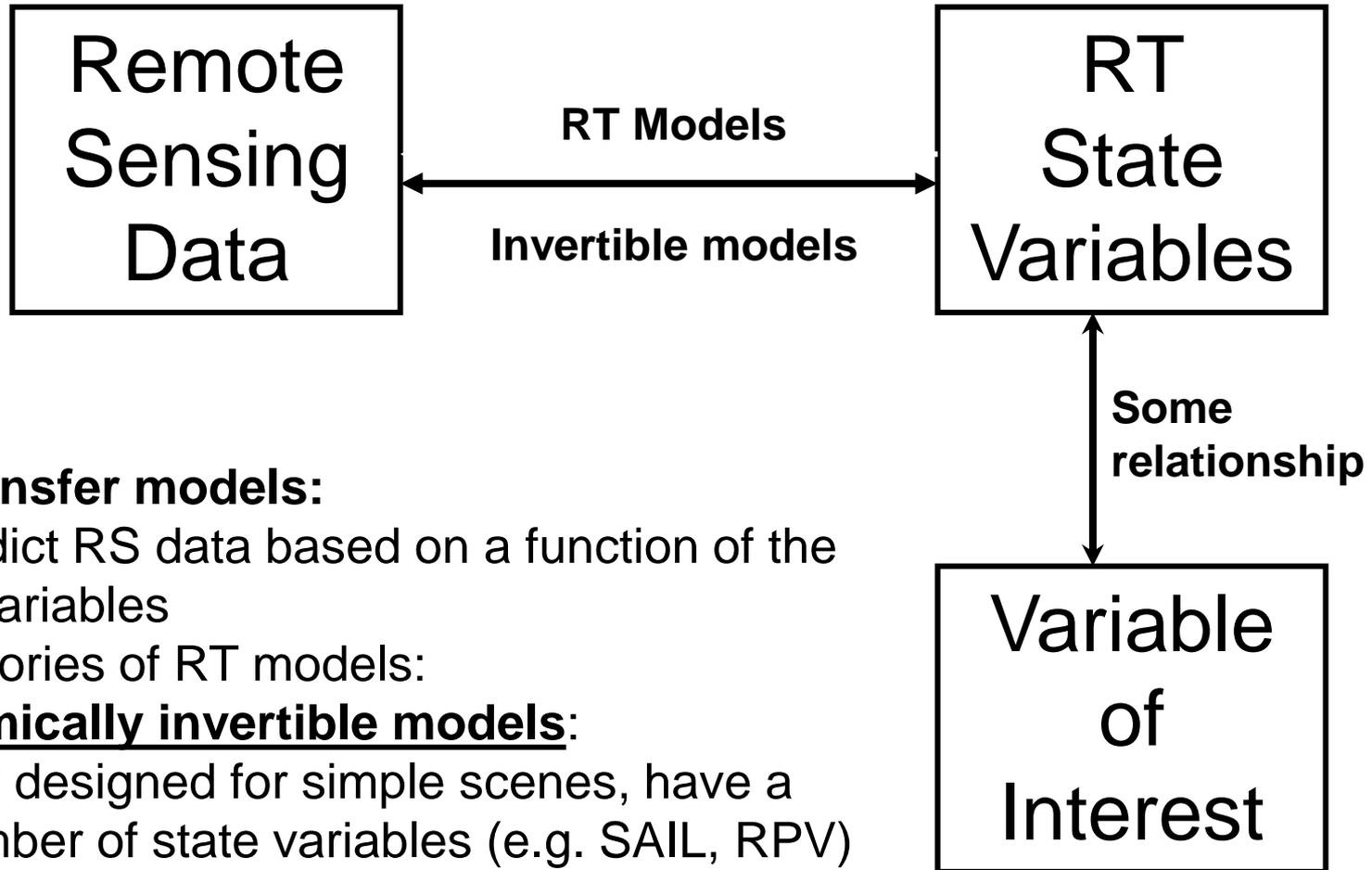
- Training can be computational expensive.
- Hypercomplex models can be generated. Their generic potential is limited and hence they do not generalize well, based on the training data (problem of over-fitting).
- Some regression algorithms are difficult (or even impossible) to train with a high number of samples.
- Expert knowledge is required, e.g. for tuning. However, toolboxes exist automating some of the steps in this sub-process.
- Some of the methods can be considered as black boxes.
- Some regression algorithms elicit instability when applied with datasets statistically deviating from the datasets used for training.



Non-parametric regression

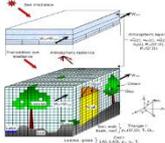


Physical interpretation of RS

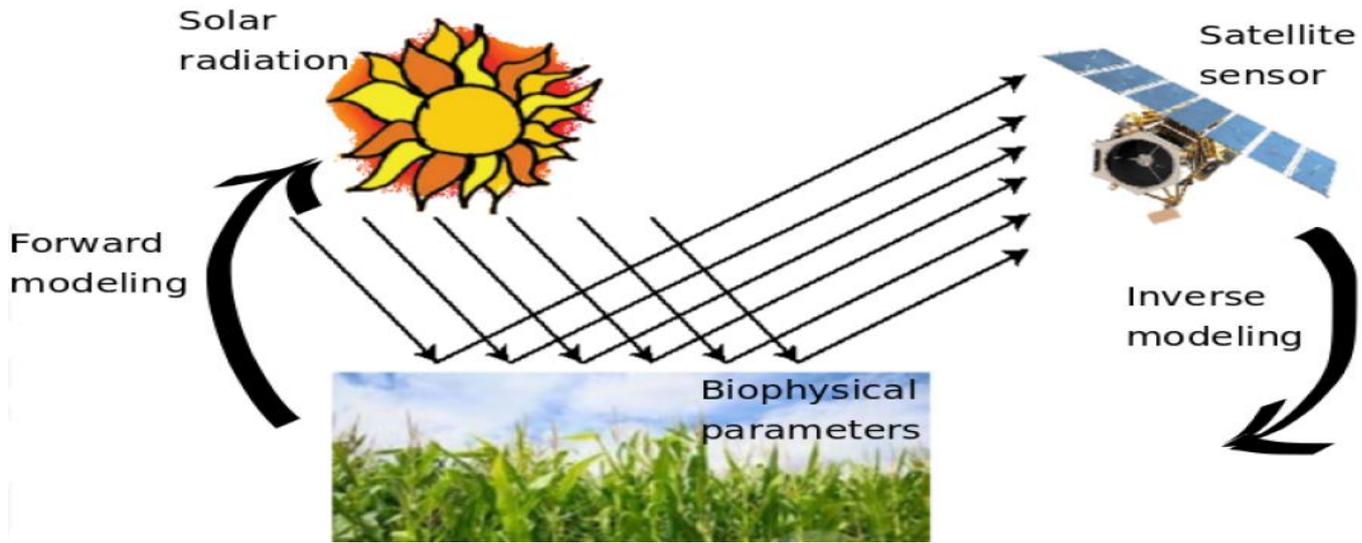


Radiative transfer models:

- Try to predict RS data based on a function of the RT state variables
- Two categories of RT models:
 - **Economically invertible models:** typically designed for simple scenes, have a few number of state variables (e.g. SAIL, RPV)
 - **Non-economically invertible models:** typically designed for complex scenes, have a large number of state variables (e.g. DART, Drat)



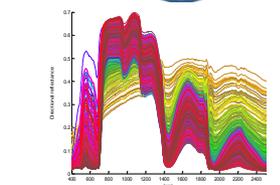
Background



RTMs

Physically based RTM approaches

Development/
Evaluation



Spectra/ VIs

Retrieval



Mapping
biophysical param.

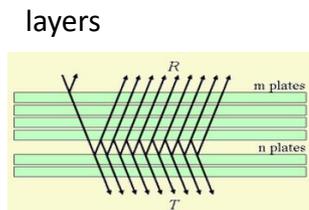
Design



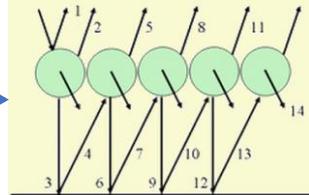
mission

Radiative transfer models

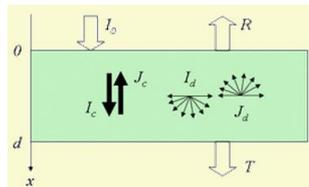
Leaf RT models



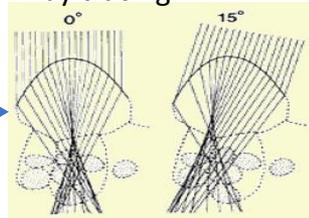
Compact spheres



N-fluxes



Ray tracing



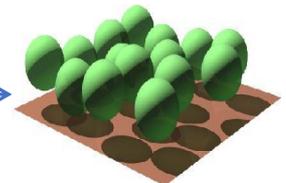
Canopy RT models



Turbid medium



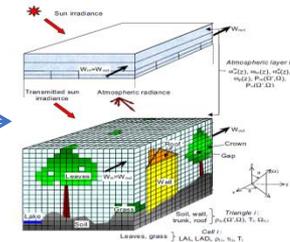
Geometric



Hybrid



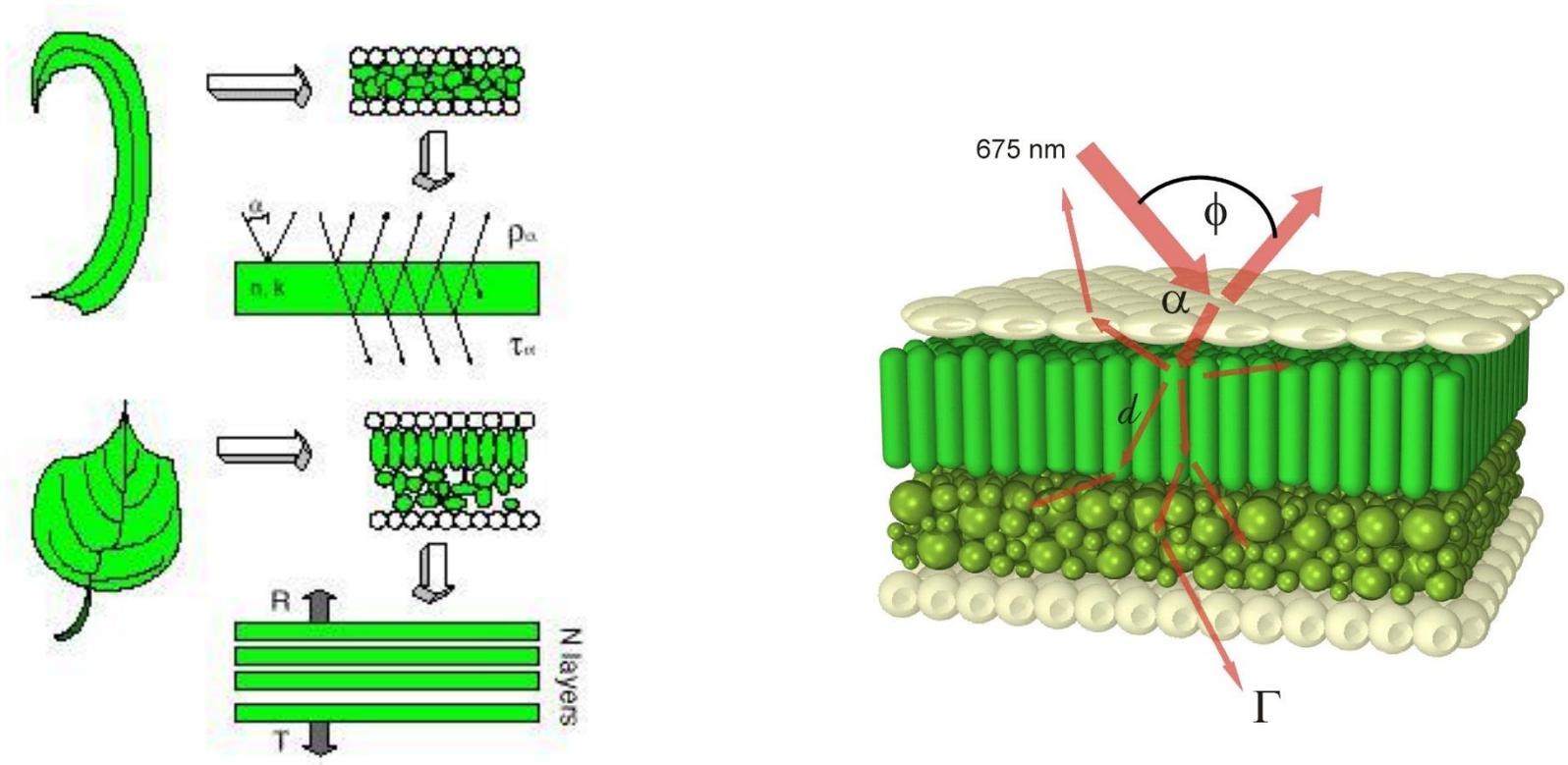
Volumetric



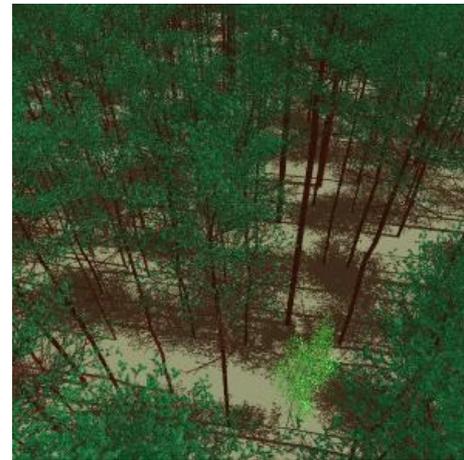
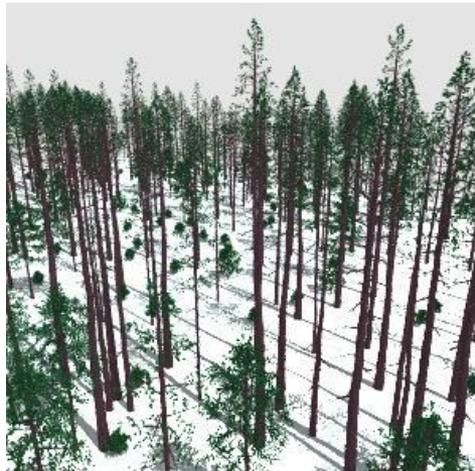
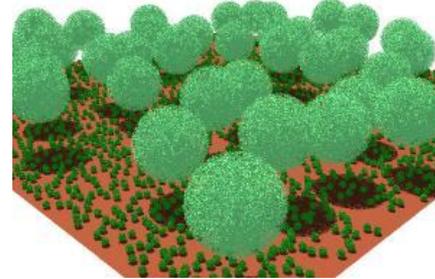
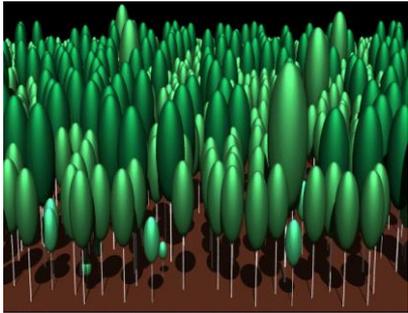
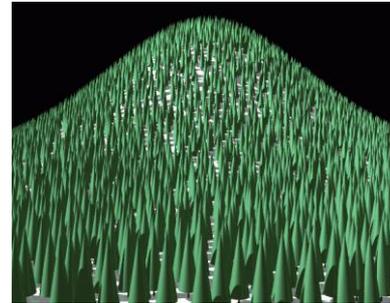
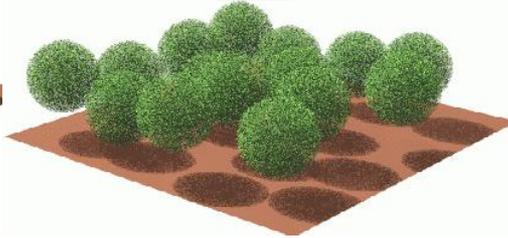
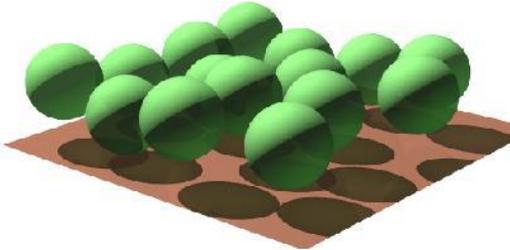
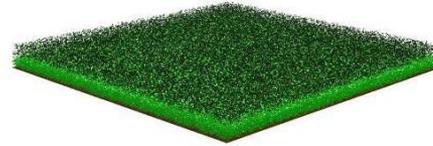
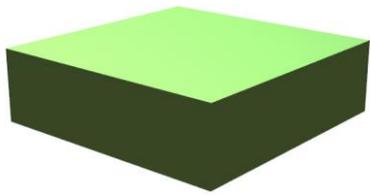
Multiple models exist with diverse complexity.

Leaf optical models

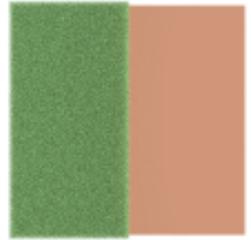
- A leaf is not opaque but transparent.
- Leaf as composed out of layers and empty spaces



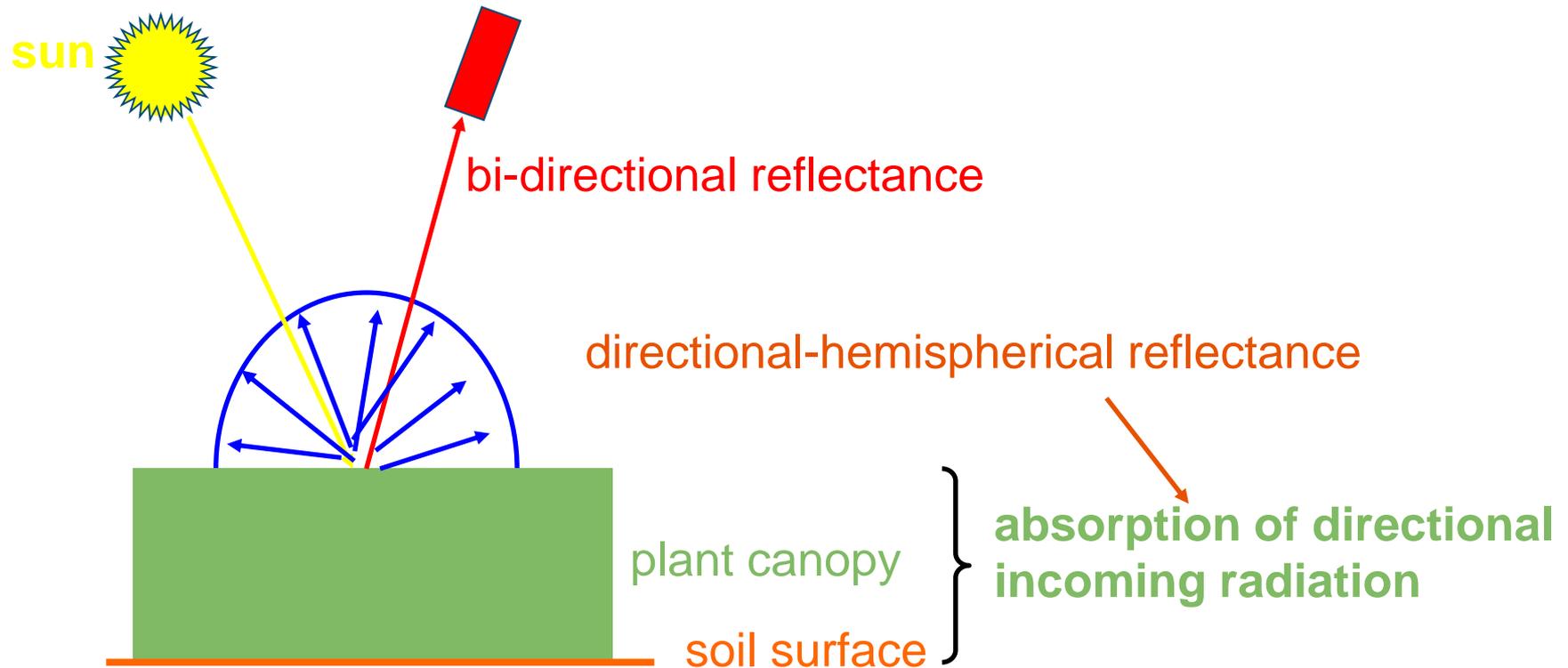
Canopy RTMs



Examples of canopy RTMs(1/4)



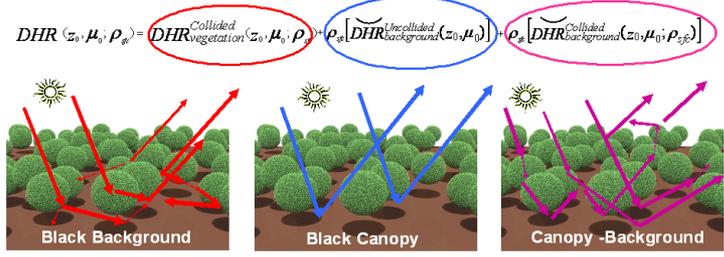
SAIL model (Verhoef 1984): a 1-D model



Examples of canopy RTMs (2/4)

Canopy models can be coupled with leaf, soil and atmospheric models

Solutions to the simpler problems



Black Background problem solved with a revisited version of a **standard 2-stream model**, e.g., Meador and Weaver (1980) using sets of scattering coefficients relevant to the case of vegetation canopies

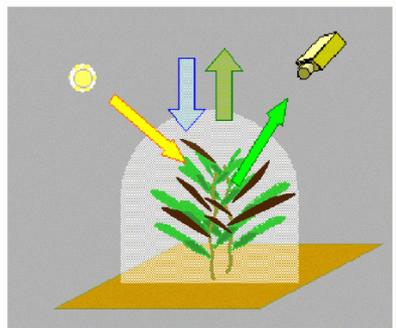
Black Canopy problem solved with an analytical solution to:

$$DHR_{BlackCanopy}(\mu_0) = \rho_{sfc}^{exp} \left(\frac{\sim LAI}{2\mu_0} \right) \bar{\tau}_{blackCanopy}$$

Ref: Pinty et al. (2006) Journal of geophysical Research, doi:10.1029/2005.JD005952

Coupled Canopy-Background problem solved using **2-stream solutions** in the cases of an isotropic source at the top (diffuse sky) and the bottom (Lambertian background)

Comparison with modelled spectral / directional reflectances using 4SAIL2 (Verhoef & Bach 2003)



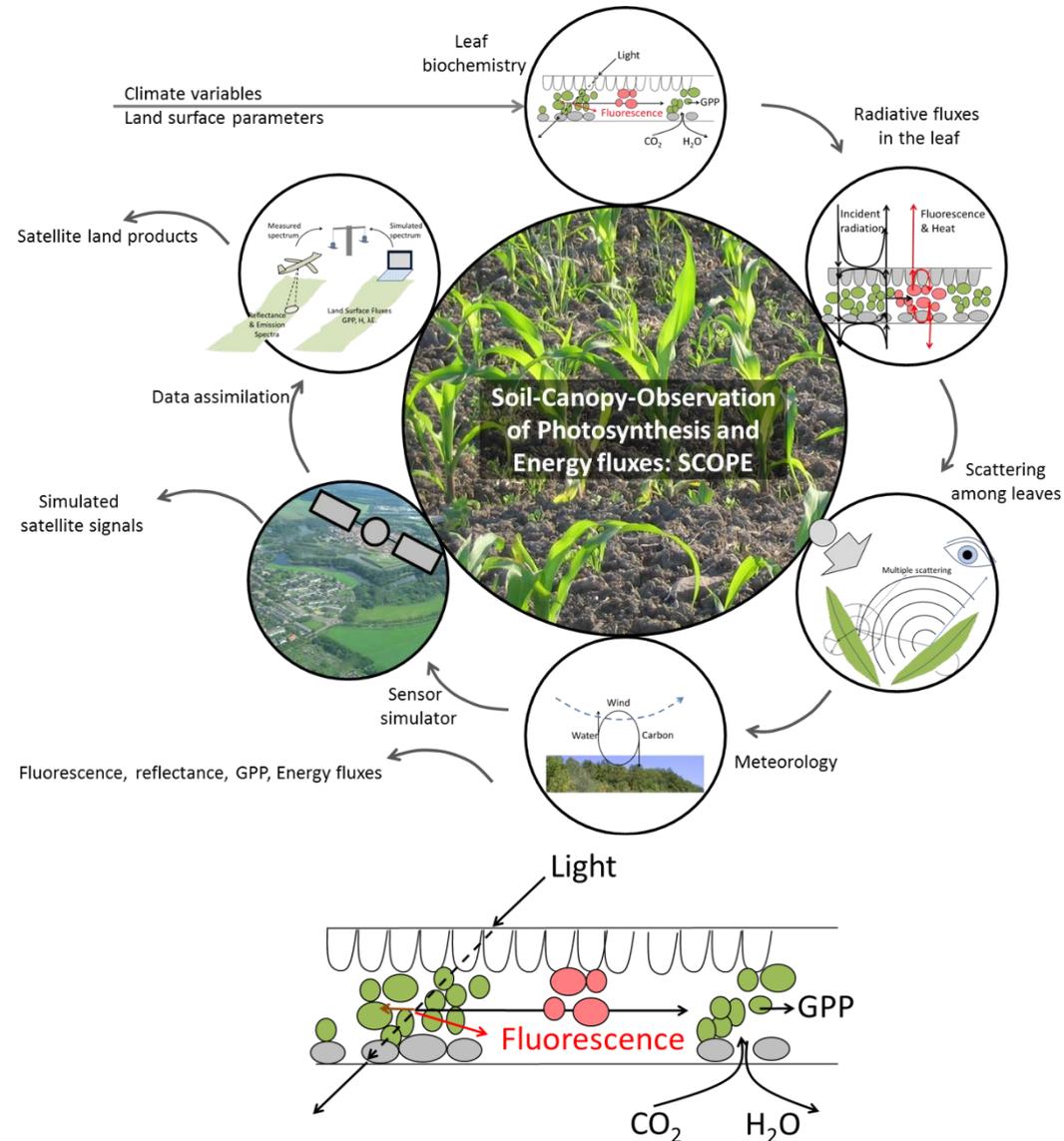
- Four-stream canopy reflectance model:
1. Direct solar flux
 2. Diffuse downward flux
 3. Diffuse upward flux
 4. Direct observed flux (radiance)

Input parameters to 4SAIL2:

- LAI - leaf area index
 - Average leaf slope parameter a
 - LIDF bimodality parameter b
 - Hot spot parameter q
 - Fraction brown leaf area fB
 - Layer dissociation factor D
 - Soil BRDF Parameters (b, c, B0, h)
 - Soil moisture
 - Crown coverage
- structural*
- Outputs from PROSPECT
 - Fraction diffuse sky irradiance
 - Dry soil reflectance
- spectral*
- Solar zenith angle
 - Viewing zenith angle
 - Relative azimuth angle
- observational*

Input parameters to PROSPECT:

- Leaf chlorophyll
 - Leaf water
 - Leaf dry matter
 - Leaf mesophyll structure N
- leaf*



Examples of canopy RTMs (3/4)



Ray tracing models

Drat -the aDvanced Radiometric Ray Tracer.

P. Lewis, 1999; Saich et al., 2001. University College, Dept. Geography, London

Vegetation is built using The Botanical Plant Modelling System (BPMS)

BPMS is a form of L-systems - the branches of a tree as geometric primitives

ARARAT - the advanced radiometric ray tracer,
reverse ray tracing,
a variety of camera models implemented

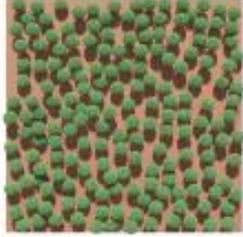


(Dürer, 1525)



(<http://www.geog.ucl.ac.uk/~plewis/>)

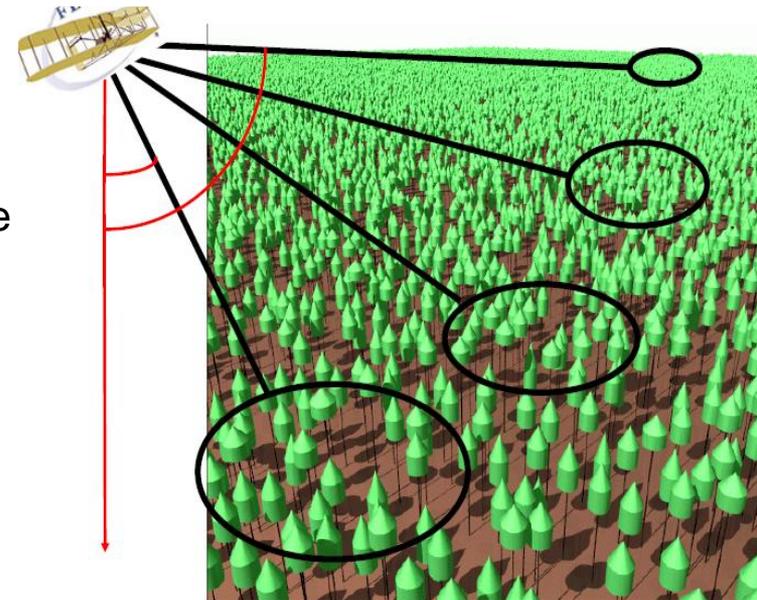
Examples of canopy RTMs (4/4)



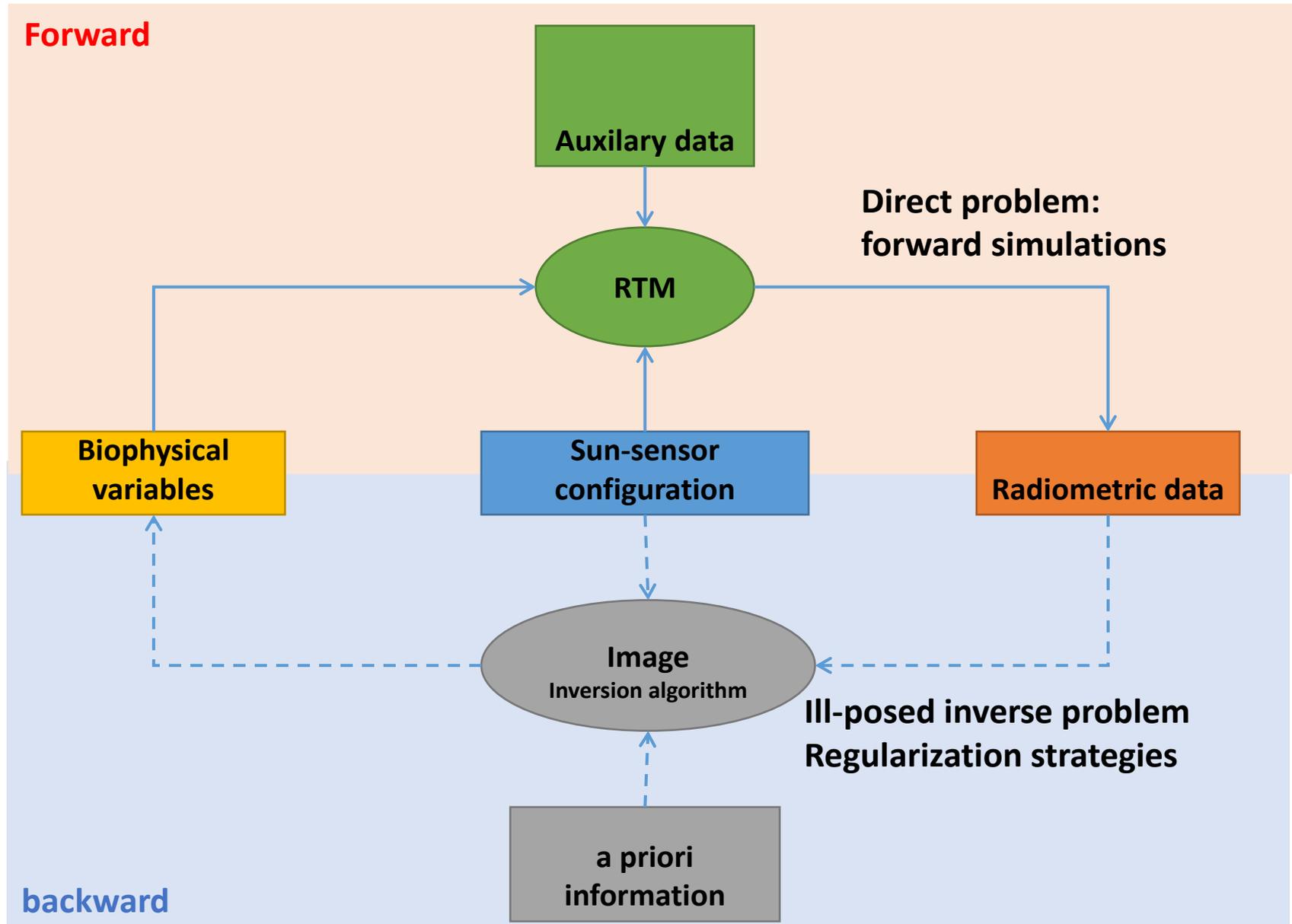
FLIGHT (North, 1996): A 3-D model

FLIGHT MC ray tracing approach

- Large scale structure by geometric primitives (e.g. cone)
- Foliage within crowns described by volume-averaged parameters
- 3D photon trajectories are simulated, accounting for the probabilities of free path, absorption and scattering
- Individual photon trajectories are traced from a solar source, through successive interactions, to a predetermined sensor view angle.

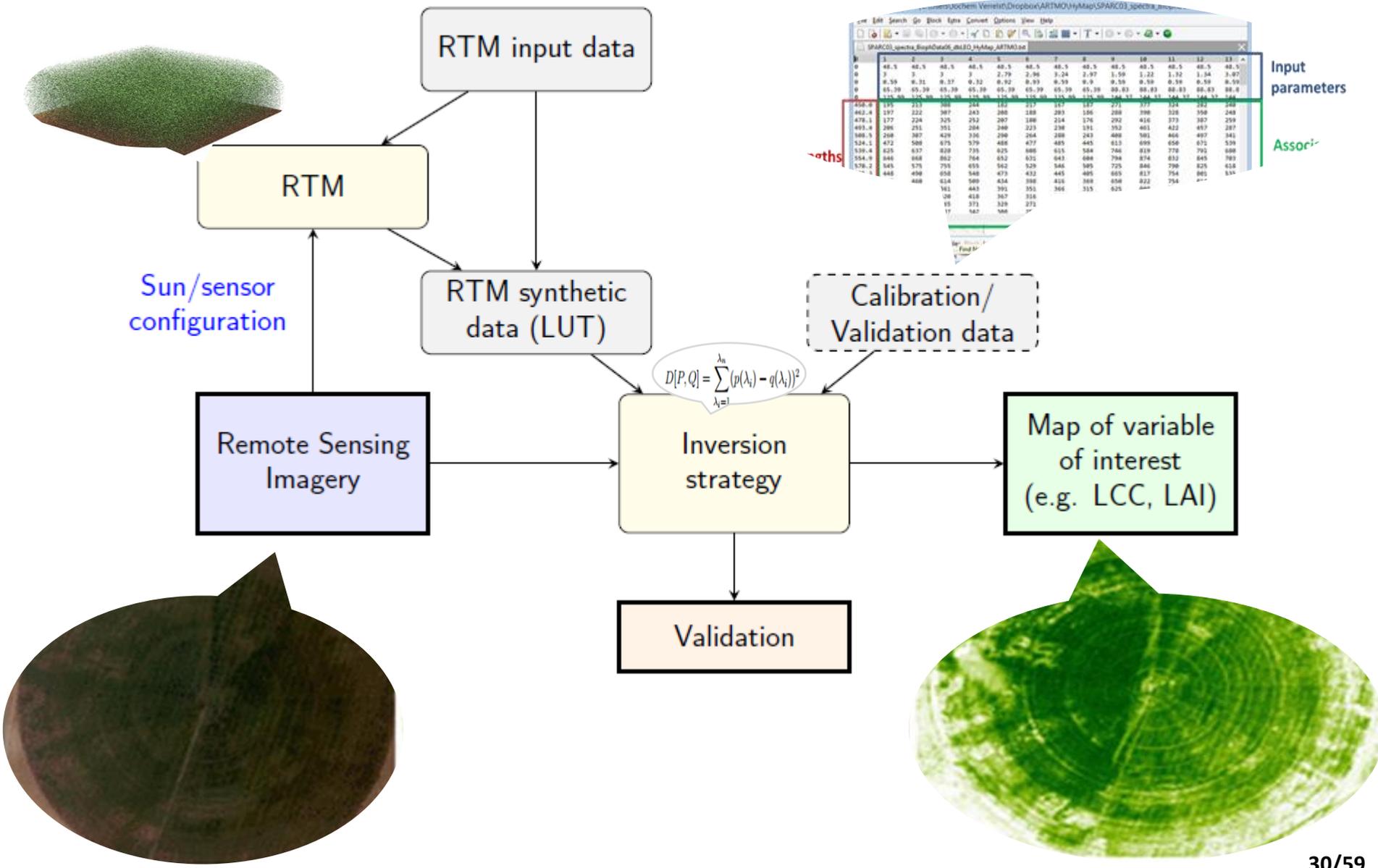


Biophysical parameters retrieval through RTM inversion:





LUT-based RTM inversion



LUT-based inversion:

Strengths 😊

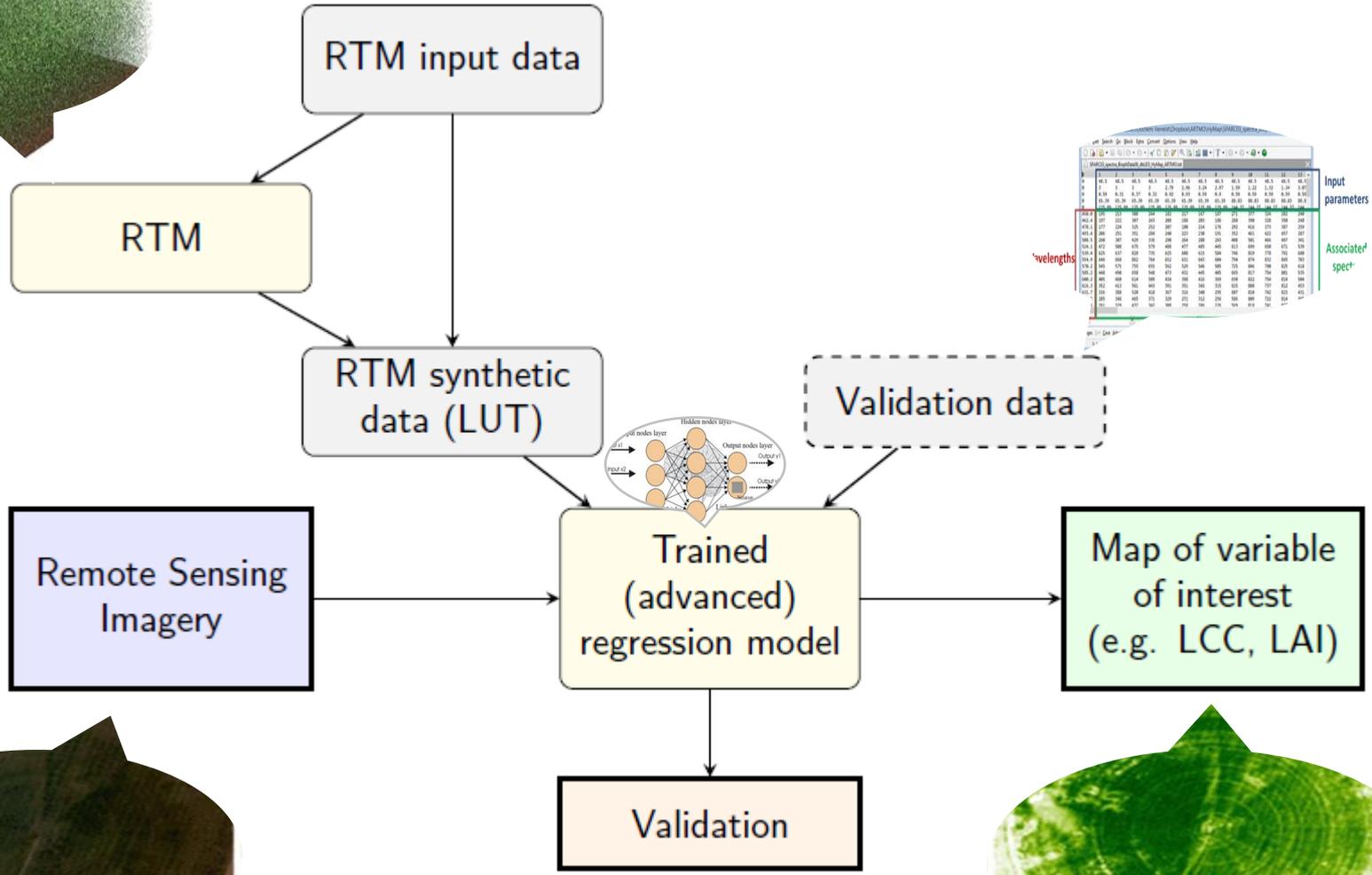
- **Full-spectrum methods**
- **Reputation of physically-based** (however, note the impact of regularization factors)
- Generally and **globally applicable** (e.g. MODIS products).
- Capability to provide **multiple outputs**
- Yields additional information about **uncertainty** of the retrievals (e.g. residuals).

Weaknesses 😞

- **Computationally demanding** due to the per-pixel based approach (however, solutions based on a priori information have been developed).
- Retrieval quality depends on the **quality of the RT models**, prior knowledge and regularization.
- **Quite complex approach**: requires parameterization and optimization procedures.
- The imposed upper/lower boundaries in the LUT have a logical consequence in that estimated variables cannot go beyond the boundaries imposed. This contradicts somewhat the physical approach as the prior information has an overwhelming influence.
- LUT-based inversion methods are often strongly affected by noise and measurement uncertainty.



Hybrid retrieval



Wavelength (nm)	Input parameters	Associated spectra
410	0.15	0.15
430	0.15	0.15
450	0.15	0.15
470	0.15	0.15
490	0.15	0.15
510	0.15	0.15
530	0.15	0.15
550	0.15	0.15
570	0.15	0.15
590	0.15	0.15
610	0.15	0.15
630	0.15	0.15
650	0.15	0.15
670	0.15	0.15
690	0.15	0.15
710	0.15	0.15
730	0.15	0.15
750	0.15	0.15
770	0.15	0.15
790	0.15	0.15
810	0.15	0.15
830	0.15	0.15
850	0.15	0.15
870	0.15	0.15
890	0.15	0.15
910	0.15	0.15
930	0.15	0.15
950	0.15	0.15
970	0.15	0.15
990	0.15	0.15
1010	0.15	0.15
1030	0.15	0.15
1050	0.15	0.15
1070	0.15	0.15
1090	0.15	0.15
1110	0.15	0.15
1130	0.15	0.15
1150	0.15	0.15
1170	0.15	0.15
1190	0.15	0.15
1210	0.15	0.15
1230	0.15	0.15
1250	0.15	0.15
1270	0.15	0.15
1290	0.15	0.15
1310	0.15	0.15
1330	0.15	0.15
1350	0.15	0.15
1370	0.15	0.15
1390	0.15	0.15
1410	0.15	0.15
1430	0.15	0.15
1450	0.15	0.15
1470	0.15	0.15
1490	0.15	0.15
1510	0.15	0.15
1530	0.15	0.15
1550	0.15	0.15
1570	0.15	0.15
1590	0.15	0.15
1610	0.15	0.15
1630	0.15	0.15
1650	0.15	0.15
1670	0.15	0.15
1690	0.15	0.15
1710	0.15	0.15
1730	0.15	0.15
1750	0.15	0.15
1770	0.15	0.15
1790	0.15	0.15
1810	0.15	0.15
1830	0.15	0.15
1850	0.15	0.15
1870	0.15	0.15
1890	0.15	0.15
1910	0.15	0.15
1930	0.15	0.15
1950	0.15	0.15
1970	0.15	0.15
1990	0.15	0.15
2010	0.15	0.15
2030	0.15	0.15
2050	0.15	0.15
2070	0.15	0.15
2090	0.15	0.15
2110	0.15	0.15
2130	0.15	0.15
2150	0.15	0.15
2170	0.15	0.15
2190	0.15	0.15
2210	0.15	0.15
2230	0.15	0.15
2250	0.15	0.15
2270	0.15	0.15
2290	0.15	0.15
2310	0.15	0.15
2330	0.15	0.15
2350	0.15	0.15
2370	0.15	0.15
2390	0.15	0.15
2410	0.15	0.15
2430	0.15	0.15
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2470	0.15	0.15
2490	0.15	0.15
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2550	0.15	0.15
2570	0.15	0.15
2590	0.15	0.15
2610	0.15	0.15
2630	0.15	0.15
2650	0.15	0.15
2670	0.15	0.15
2690	0.15	0.15
2710	0.15	0.15
2730	0.15	0.15
2750	0.15	0.15
2770	0.15	0.15
2790	0.15	0.15
2810	0.15	0.15
2830	0.15	0.15
2850	0.15	0.15
2870	0.15	0.15
2890	0.15	0.15
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2930	0.15	0.15
2950	0.15	0.15
2970	0.15	0.15
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3190	0.15	0.15
3210	0.15	0.15
3230	0.15	0.15
3250	0.15	0.15
3270	0.15	0.15
3290	0.15	0.15
3310	0.15	0.15
3330	0.15	0.15
3350	0.15	0.15
3370	0.15	0.15
3390	0.15	0.15
3410	0.15	0.15
3430	0.15	0.15
3450	0.15	0.15
3470	0.15	0.15
3490	0.15	0.15
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3670	0.15	0.15
3690	0.15	0.15
3710	0.15	0.15
3730	0.15	0.15
3750	0.15	0.15
3770	0.15	0.15
3790	0.15	0.15
3810	0.15	0.15
3830	0.15	0.15
3850	0.15	0.15
3870	0.15	0.15
3890	0.15	0.15
3910	0.15	0.15
3930	0.15	0.15
3950	0.15	0.15
3970	0.15	0.15
3990	0.15	0.15
4010	0.15	0.15
4030	0.15	0.15
4050	0.15	0.15
4070	0.15	0.15
4090	0.15	0.15
4110	0.15	0.15
4130	0.15	0.15
4150	0.15	0.15
4170	0.15	0.15
4190	0.15	0.15
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4330	0.15	0.15
4350	0.15	0.15
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4530	0.15	0.15
4550	0.15	0.15
4570	0.15	0.15
4590	0.15	0.15
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4630	0.15	0.15
4650	0.15	0.15
4670	0.15	0.15
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4750	0.15	0.15
4770	0.15	0.15
4790	0.15	0.15
4810	0.15	0.15
4830	0.15	0.15
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4870	0.15	0.15
4890	0.15	0.15
4910	0.15	0.15
4930	0.15	0.15
4950	0.15	0.15
4970	0.15	0.15
4990	0.15	0.15
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5090	0.15	0.15
5110	0.15	0.15
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5170	0.15	0.15
5190	0.15	0.15
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5290	0.15	0.15
5310	0.15	0.15
5330	0.15	0.15
5350	0.15	0.15
5370	0.15	0.15
5390	0.15	0.15
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5510	0.15	0.15
5530	0.15	0.15
5550	0.15	0.15
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5690	0.15	0.15
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5790	0.15	0.15
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5830	0.15	0.15
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5890	0.15	0.15
5910	0.15	0.15
5930	0.15	0.15
5950	0.15	0.15
5970	0.15	0.15
5990	0.15	0.15
6010	0.15	0.15
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6050	0.15	0.15
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6090	0.15	0.15
6110	0.15	0.15
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6650	0.15	0.15
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6870	0.15	0.15
6890	0.15	0.15
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6970	0.15	0.15
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7090	0.15	0.15
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7230	0.15	0.15
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7270	0.15	0.15
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7330	0.15	0.15
7350	0.15	0.15
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7390	0.15	0.15
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7490	0.15	0.15
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7550	0.15	0.15
7570	0.15	0.15
7590	0.15	0.15
7610	0.15	0.15
7630	0.15	0.15
7650	0.15	0.15
7670	0.15	0.15
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7750	0.15	0.15
7770	0.15	0.15
7790	0.15	0.15
7810	0.15	0.15
7830	0.15	0.15
7850	0.15	0.15
7870	0.15	0.15
7890	0.15	0.15
7910	0.15	0.15
7930	0.15	0.15
7950	0.15	0.15
7970	0.15	0.15
7990	0.15	0.15
8010	0.15	0.15
8030	0.15	0.15
8050	0.15	0.15
8070	0.15	0.15
8090	0.15	0.15
8110	0.15	0.15
813		

Non-parametric regression: hybrid

Strengths 😊

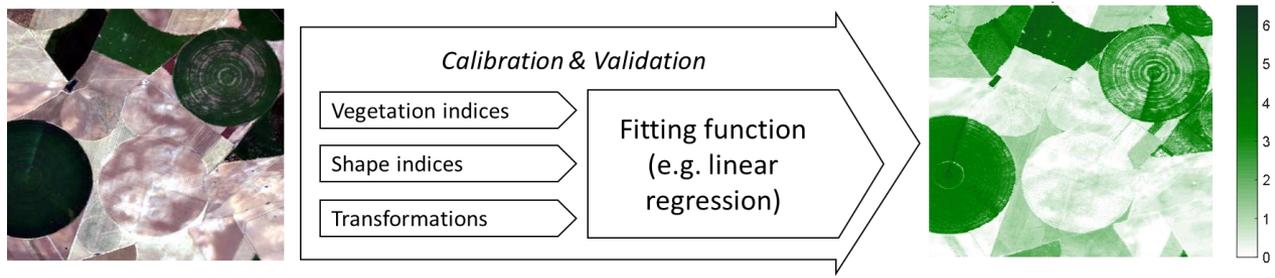
- Full-spectrum methods. They make use of the complete spectral information.
- Advanced, adaptive (non-linear) models are built.
- Methodologically, accurate and robust performance is enabled.
- Some MLRAs cope well with datasets showing redundancy and high noise levels.
- Once trained, imagery can be processed time efficient.
- Some of the non-parametric methods (e.g. ANNs, decision trees) can be trained with a high number of samples (typically >1,000,000).
- Some MLRAs provide insight in model development (e.g. GPR: relevant bands; decision trees: model structure).
- Some MLRAs can provide multiple-outputs (e.g. PLS, ANN, SVR, GPR and KRR)
- Some MLRAs provide uncertainty intervals (e.g. GPR).

Weaknesses 😞

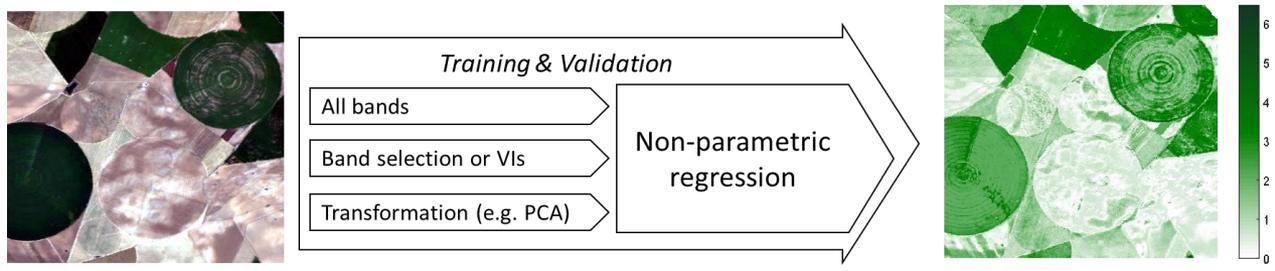
- Training can be computational expensive.
- Hypercomplex models can be generated. Their generic potential is limited and hence they do not generalize well, based on the training data (problem of over-fitting).
- Some regression algorithms are difficult (or even impossible) to train with a high number of samples.
- Expert knowledge is required, e.g. for tuning. However, toolboxes exist automating some of the steps in this sub-process.
- Some of the methods can be considered as black boxes.
- Some regression algorithms elicit instability when applied with datasets statistically deviating from the datasets used for training.

Summary mapping methods

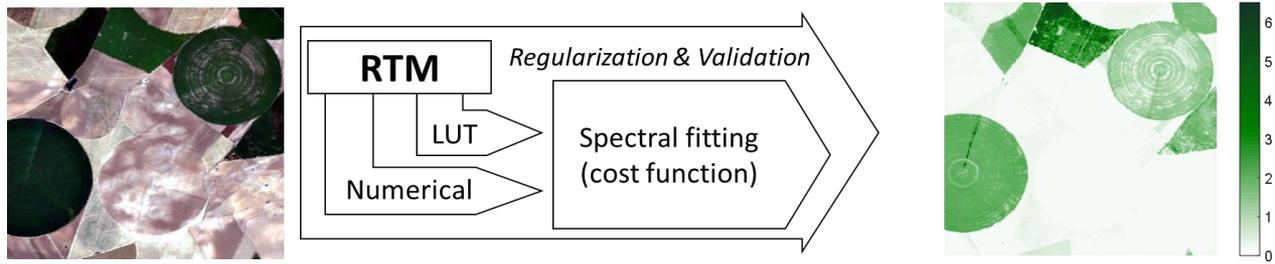
Parametric regression



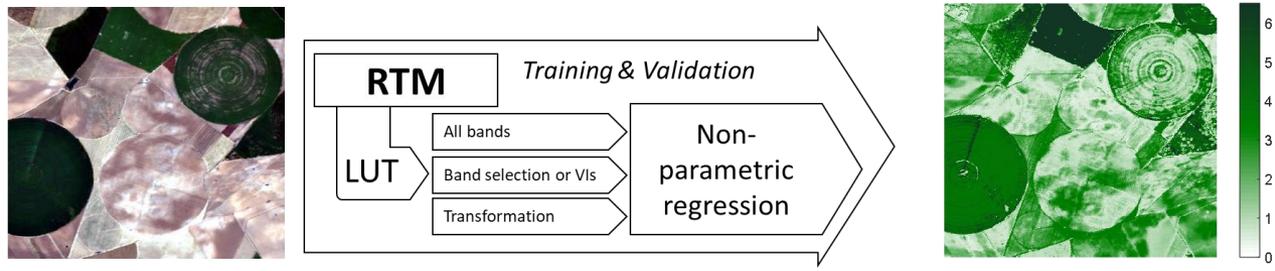
Non-parametric regression



RTM inversion

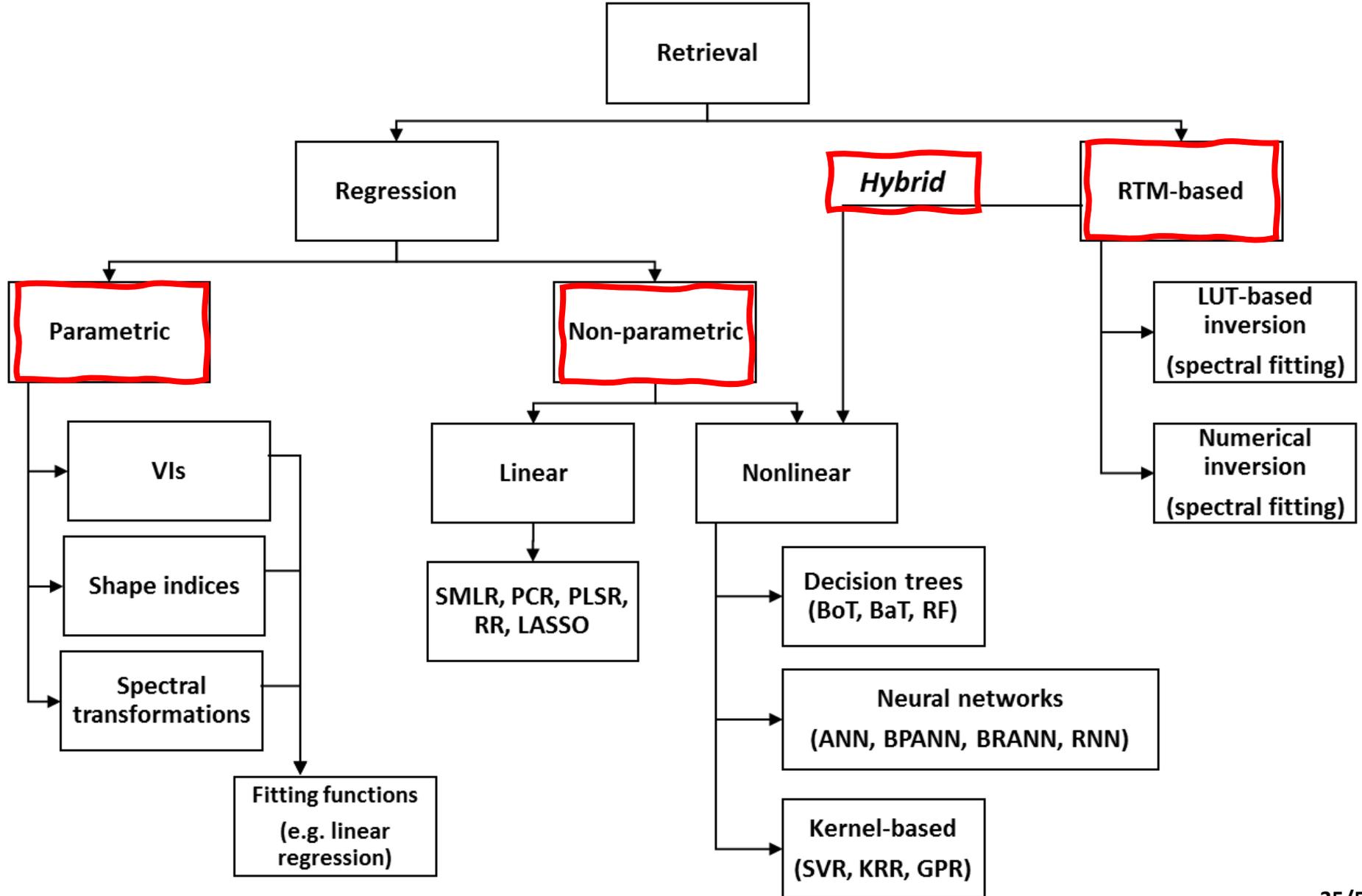


Hybrid regression



Verrelst, J., Malenovsky, Z., Van der Tol, C., Camps-Valls, G., Gastellu-Etchegory, J.P., Lewis, P., Moreno, J. (2018). Quantifying Vegetation Biophysical Variables from Imaging Spectroscopy Data: A Review on Retrieval Methods. Surveys in Geophysics,

Taxonomy retrieval methods



Optimizing retrieval

Spectral indices

- Band combination?
- Formulation?
- Fitting function?

Statistical methods

- Parametric vs non-parametric?
- Training vs validation data?

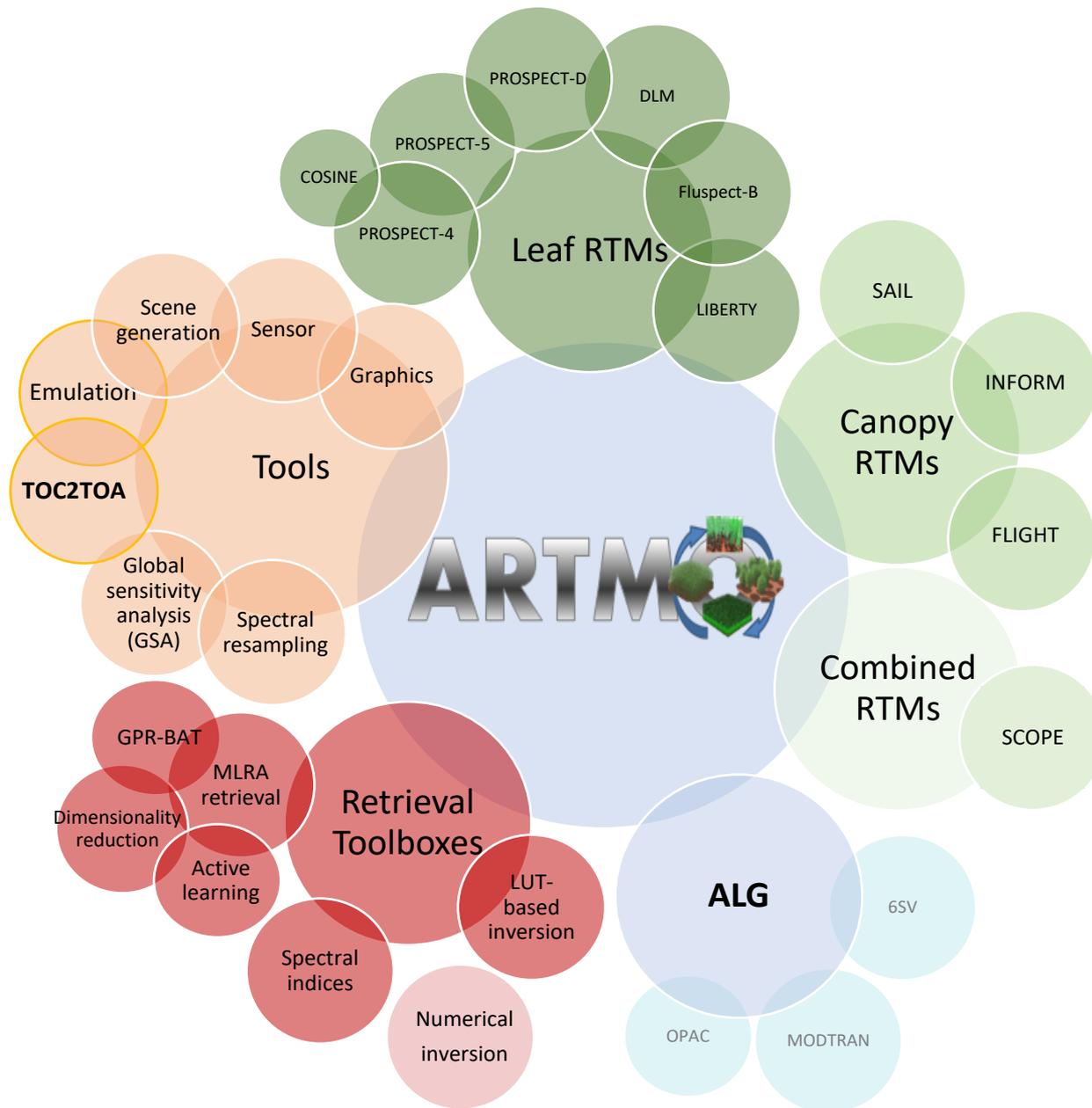
LUT-based approach

- Parametrization
- Cost function?
- A priori info?
- Regularization options?



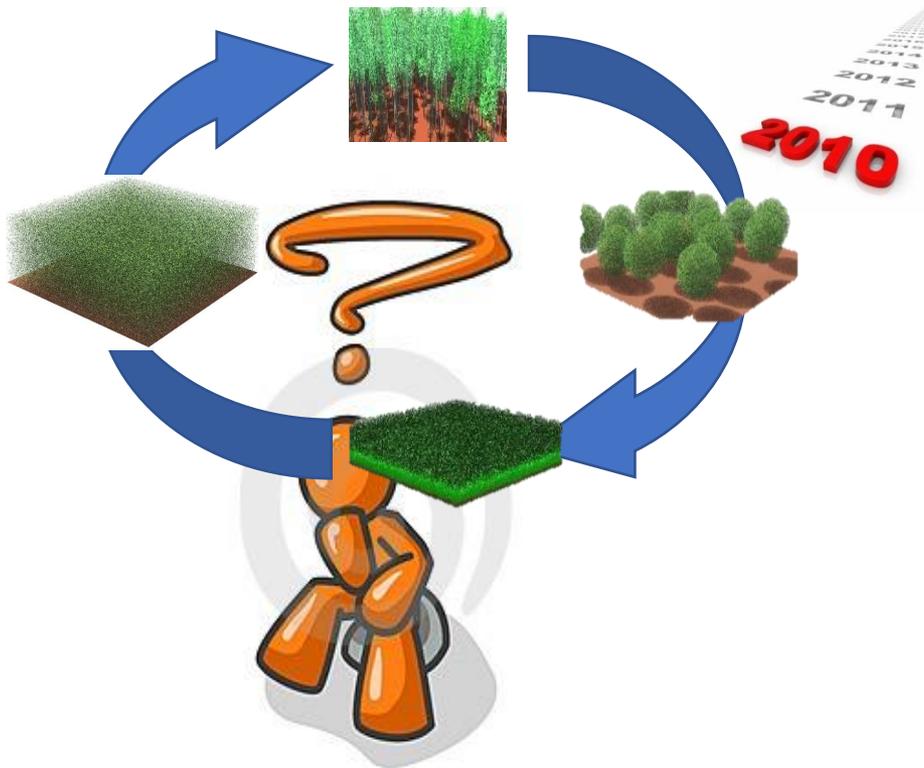
The many decisions to be taken require a systematic evaluation

ARTMO automates retrieval optimization

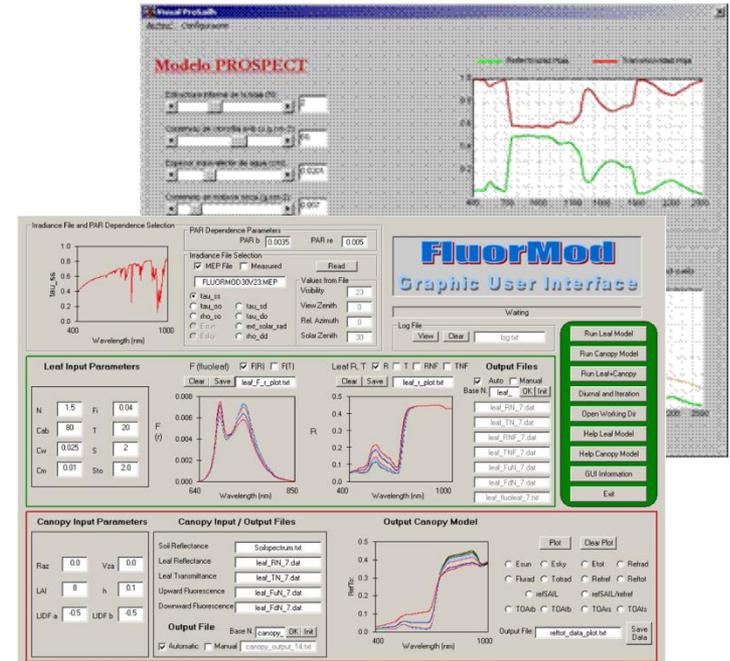


RTMs are important tools in EO research but for the broader community these models are perceived as complicated. Only very few of them offer user-friendly interfaces (GUIs).

Which RTM to choose?



Only very few offer a GUI.



- No interface exists that brings multiple RTMs together in one GUI.
- None of existing (publicly available) GUIs provide post-processing tools.



To fill up this gap:

➤ To develop a GUI toolbox that:

- operates **various RTMs in an intuitive interface**
- provides a comprehensive **visualization** of model outputs
- works both for **multispectral and hyperspectral** data
- enables **to retrieve biophysical parameters** through various retrieval methods
- takes different **land cover classes** into account.

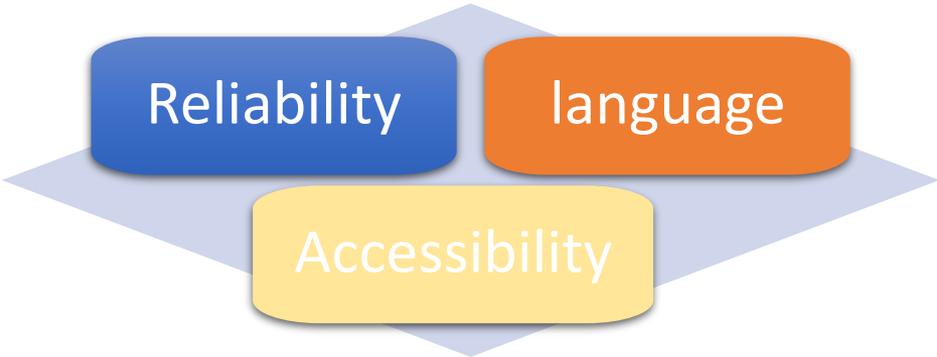
Toolbox for EO applications:



Automated
Radiative
Transfer
Models
Operator



Selection RTMs & programming language



Model	Reference	Source code
PROSPECT-4	Feret et al., 2008	Matlab
PROSPECT-5	Feret et al., 2008	Matlab
PROSPECT-D	Feret et al., 2017	Matlab
DLM	Stuckens et al., 2009	Matlab
LIBERTY	Dawson et al., 1998	Matlab
FLUSPECT	Vilfan et al., 2016	Matlab
4SAIL	Verhoef et al., 2007	Matlab
FLIGHT	North, 1996	Executable file
INFORM	Atzberger, 2000	Matlab
SCOPE	Van der Tol et al., 2009	Matlab

Software packages:

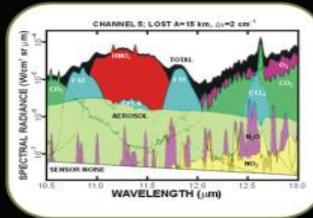
Programming language: Matlab[®]
 Database: MySQL[®]
 Image processing software: ENVI[®]

- Leaf RTM
- Canopy RTM
- Combined RTM

ARTMO



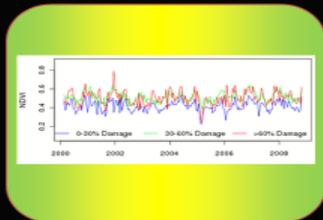
Atmospheric models



MODTRAN



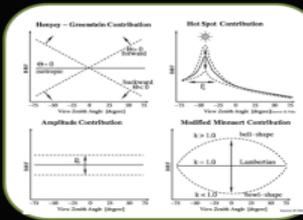
Vegetation indices



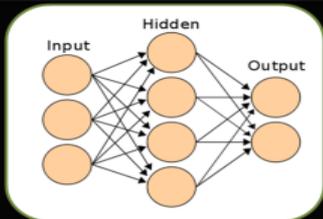
Time series analysis



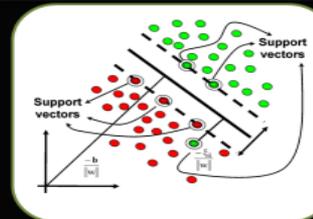
Ray tracing model



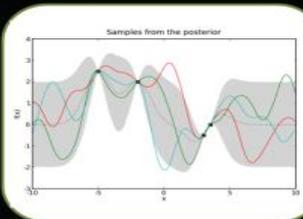
RPV model



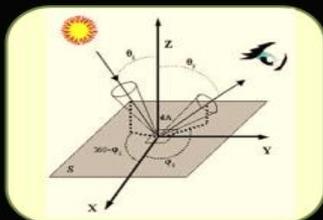
Neural nets



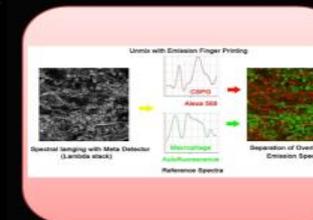
Support vectors



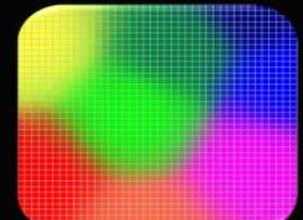
Gaussian Processes



BRDF apps

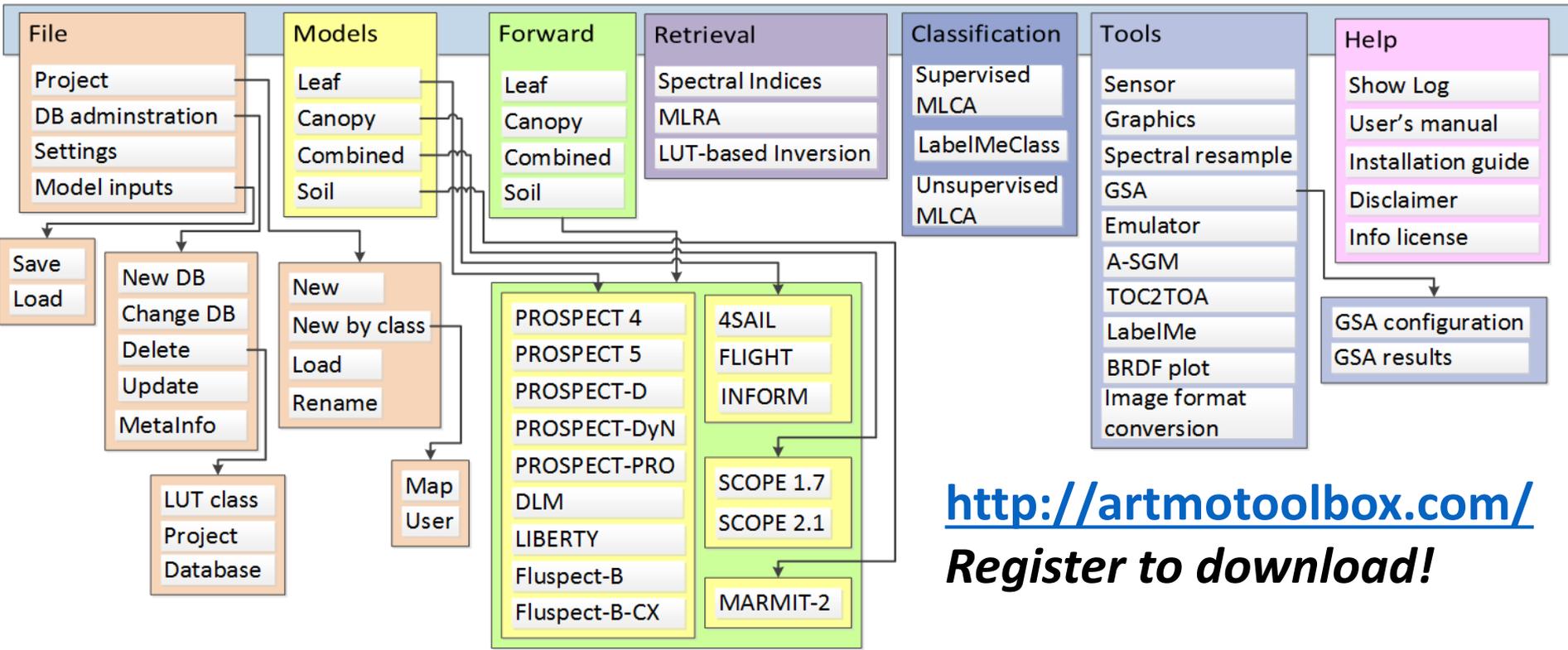
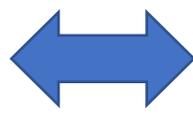
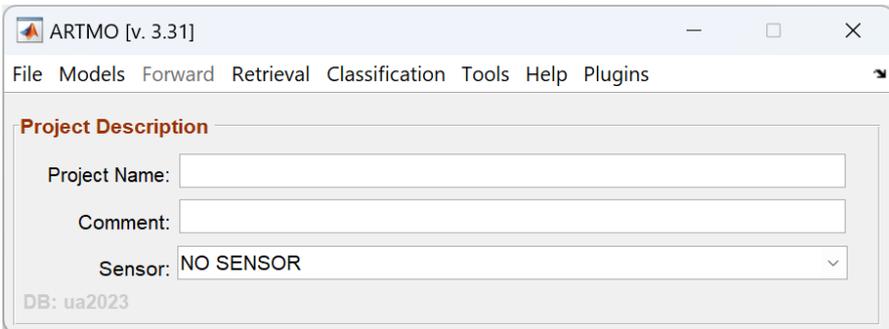
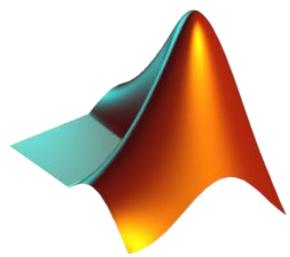


Spectral unmixing



Classifiers

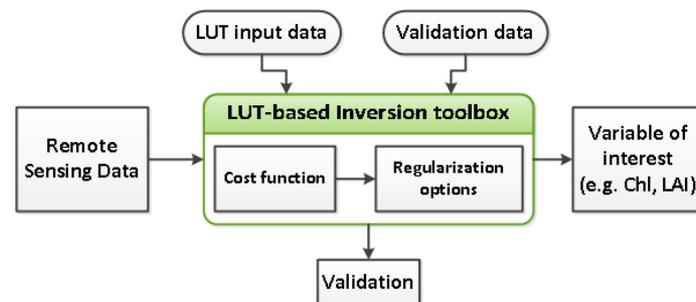
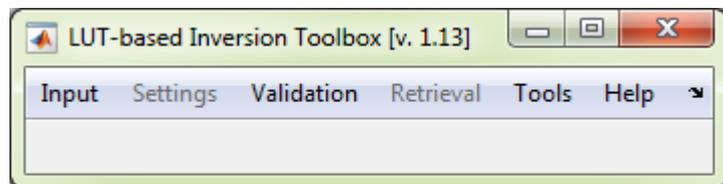
ARTMO v. 3.31



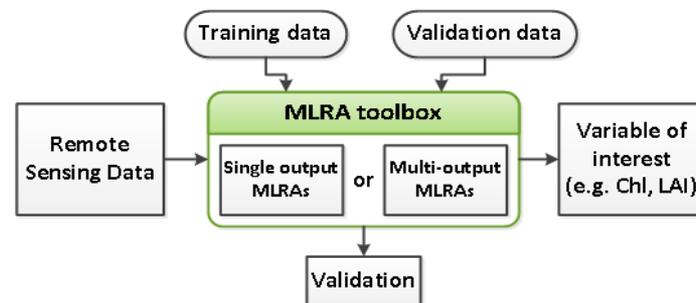
<http://artmoolbox.com/>
Register to download!

ARTMO's retrieval toolboxes:

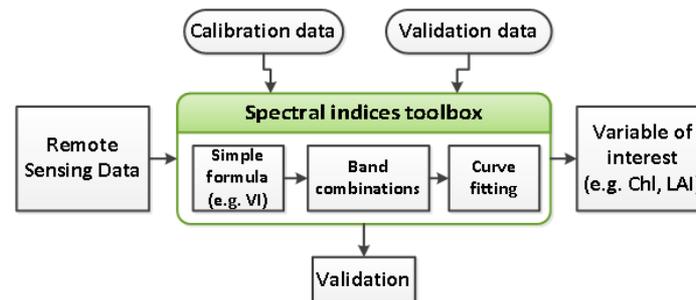
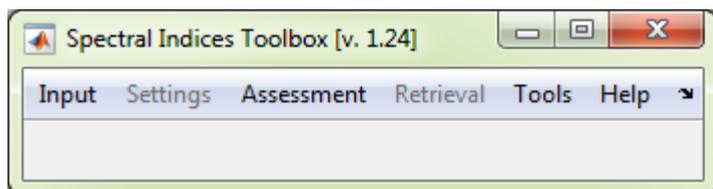
LUT-based inversion toolbox



Machine learning regression algorithm toolbox (MLRA)



Spectral indices toolbox



Experimental Sentinel-2 LAI estimation using parametric, non-parametric and physical retrieval methods – A comparison

Jochem Verrelst^{a,*}, Juan Pablo Rivera^a, Frank Veroustraete^b, Jordi Muñoz-Marí^a, Jan G.P.W. Clevers^c, Gustau Camps-Valls^a, José Moreno^a

^aImage Processing Laboratory (IPL), Universitat de València, València, Spain

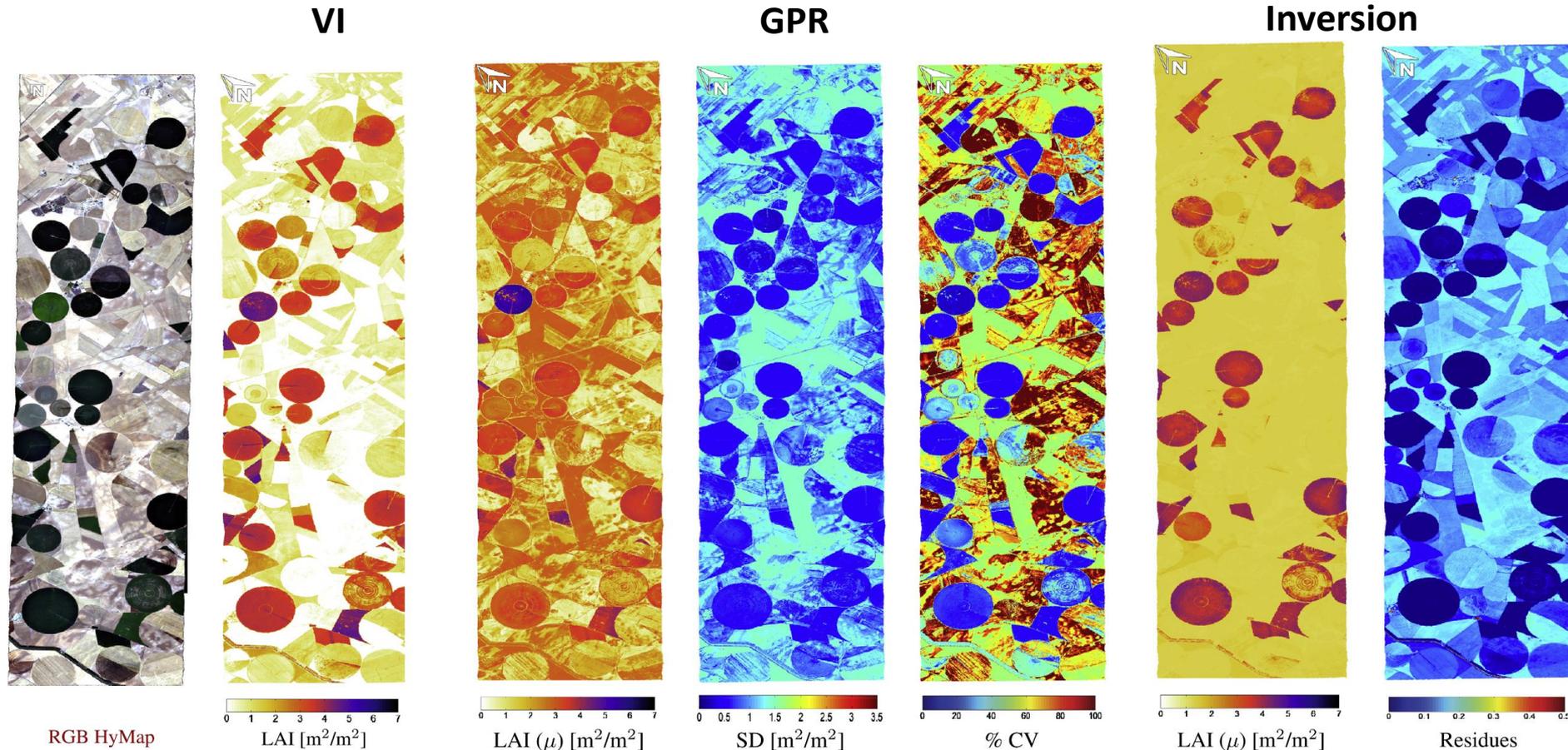
^bDepartment of Bioscience Engineering, Faculty of Sciences, University of Antwerp, Antwerp, Belgium

^cLaboratory of Geo-information Science and Remote Sensing, Wageningen University, Wageningen, The Netherlands

Table 9

Best performing parametric, non-parametric and LUT-based inversion method and associated mapping speed.

Retrieval algorithm	RMSE	R ²	Mapping speed (s)
Tian 3-band formulation	0.615	0.823	3.847
VH-GPR	0.436	0.902	73.884
Pearson chi-square inversion	0.802	0.745	3706.965





Operational processing?



Characteristic	Parametric	Non-parametric	RTM-based	Hybrid
Generalization capacity	--	-	++	++
Mapping Speed	++	+	--	+
Uncertainties	--	++*	+	++*
Accuracy	+	++	+	++
Variables	++	++	+	+

* Some machine learning methods (e.g. probabilistic or ensemble methods)

CHIME: Copernicus Hyperspectral Imaging Mission

CHIME will carry a visible to shortwave infrared spectrometer to provide global routine hyperspectral observations. The mission will support new and enhanced services for sustainable agricultural and biodiversity management, as well as soil property characterisation.

Technical concept:

Routine spectroscopic observation in contiguous spectral bands:

- Instrument: Pushbroom Imaging Spectrometer **400 – 2500 nm**, $\Delta\lambda \leq 10\text{nm}$
- Revisit **10 – 15 days**
- GSD (spatial resolution): **30 m**
- **Sun synchronous orbit** (LTDN 10:30 – 11:30)
- **Nadir view** covering land and coastal areas
- High radiometric accuracy, low spectral/spatial misregistration

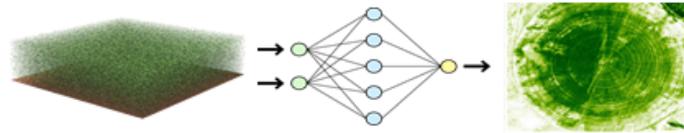


CORE Data Products:

The mission shall provide access to Level-1B, Level-1C and Level-2A products accessible via DIAS and with API support:

- Bottom-of-Atmosphere (BOA) reflectance (atmospherically corrected)
- Ortho rectified geometry
- Basic pixel classification (opaque clouds, thin clouds, cloud shadows, vegetation, water, snow etc.)
- **Additionally → Vegetation products (Level-2B)**

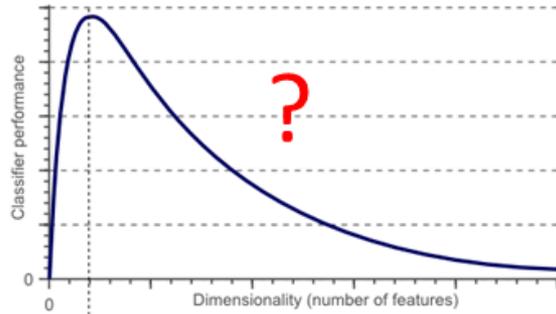
Hybrid methods: data-driven methods



Many factors play a role. **Spectral variability: quantity & quality & applied ML algorithm**

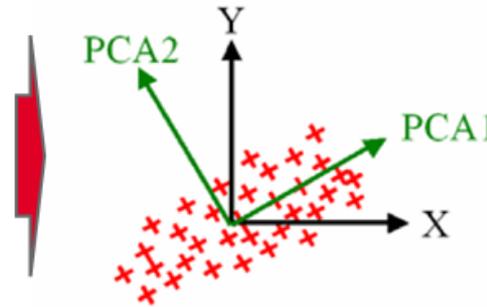
Spectral domain

Curse of dimensionality

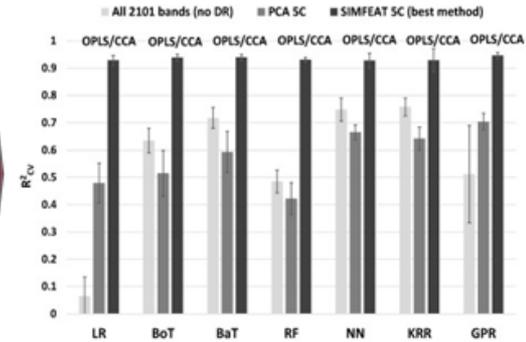


Optimal number of features

Dimensionality reduction



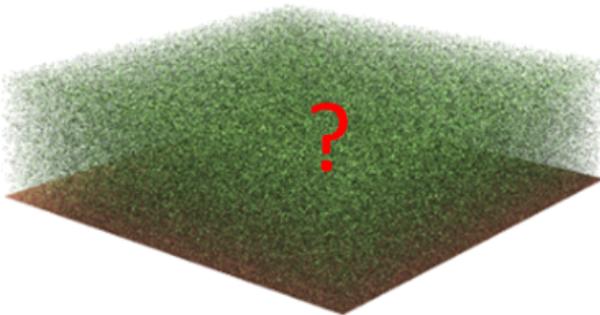
PCA > all bands



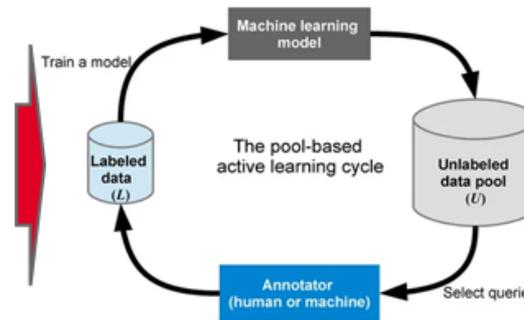
Rivera-Caicedo, J. P., Verrelst, J., Muñoz-Mari, J., Camps-Valls, G., & Moreno, J. (2017). Hyperspectral dimensionality reduction for biophysical variable statistical retrieval. *ISPRS journal of photogrammetry and remote sensing*, 132, 88-101.

Sampling domain

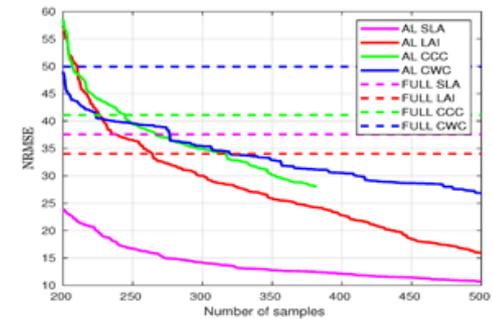
RTM sampling



Active learning



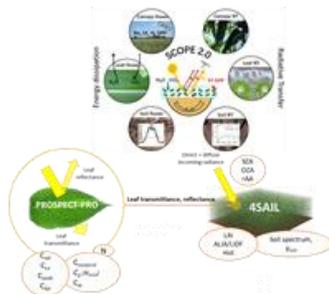
quality > quantity



Workflow CHIME vegetation traits models:

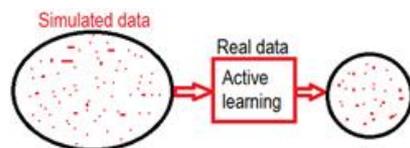


RTMs



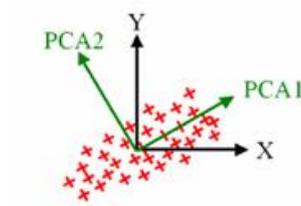
Use RTMs (e.g. **PROSPECT-PRO – SAIL**) to generate a LUT composed by pairs (e.g. 1000) of **vegetation parameters and spectra**.

Active learning



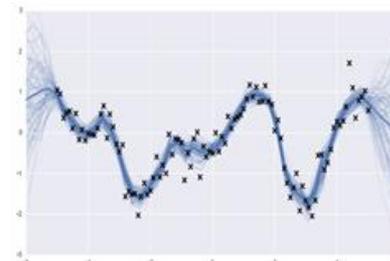
Select the **most representative samples** from the training dataset via a **diversity** or entropy criteria. Later, add non-vegetated spectra.

PCA



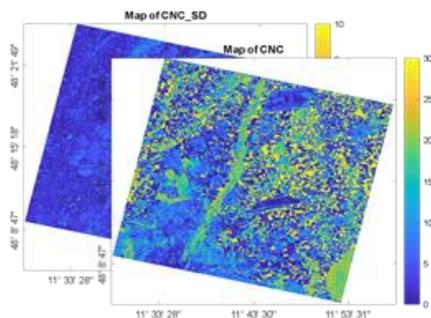
Dimensionality reduction with **PCA** (20 components).

Train GPR algorithms



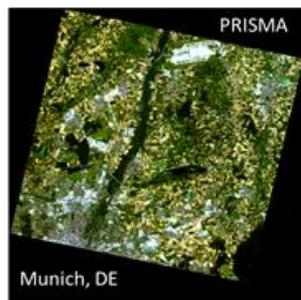
With the **LUT optimized** for vegetation and non-vegetated surfaces, train probabilistic ML algorithms.

Maps + uncertainties



Final outputs of the workflow.

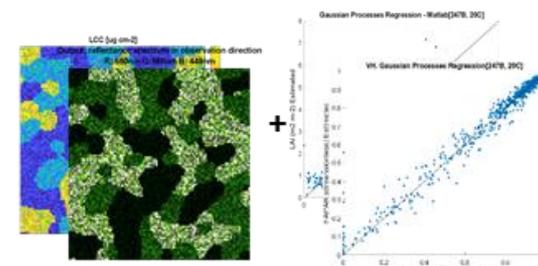
Apply to new observations



PRISMA images resampled to **CHIME** band settings



Validate the models

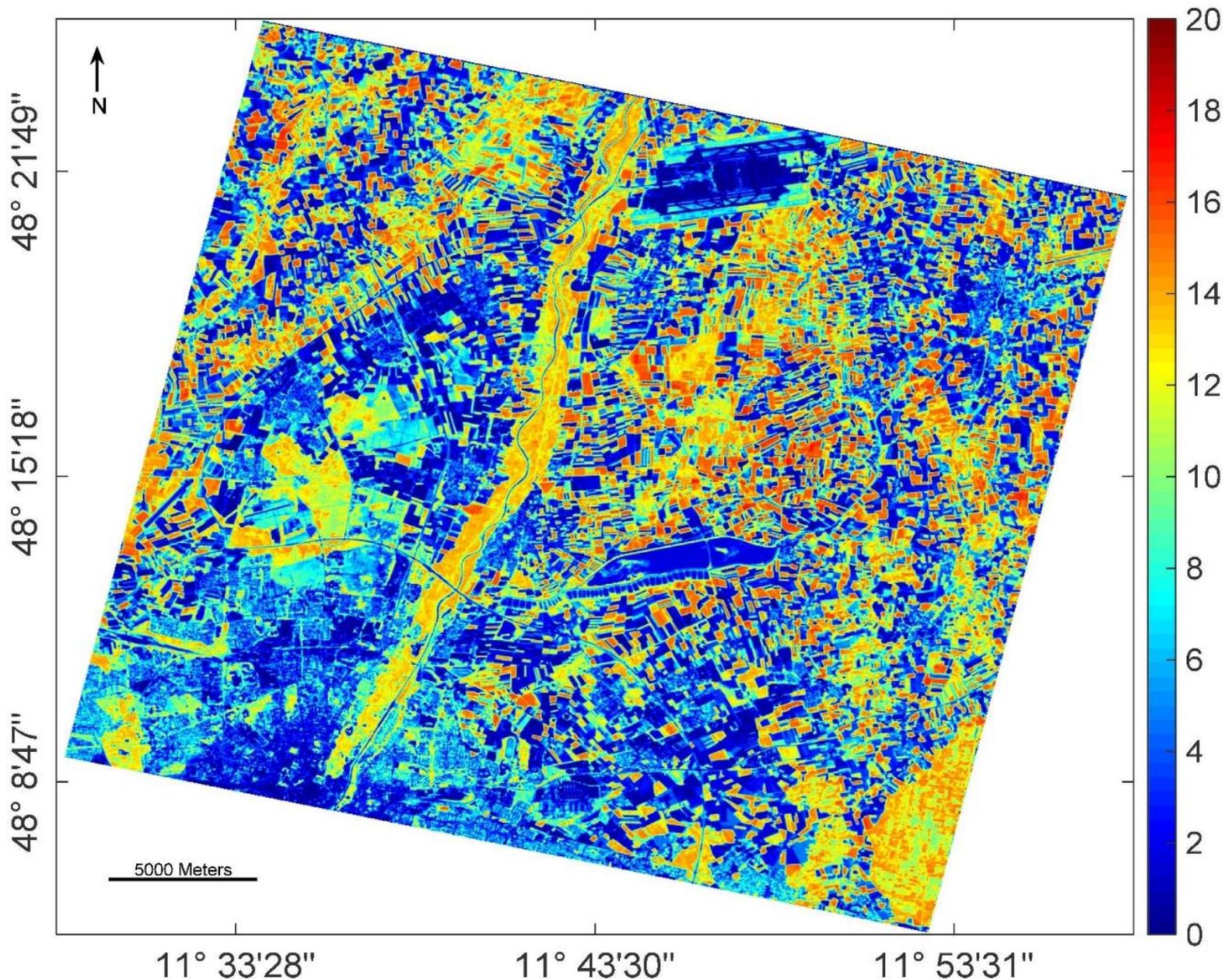


Assess models' performance against **field data** and vegetation **reference scenes**.

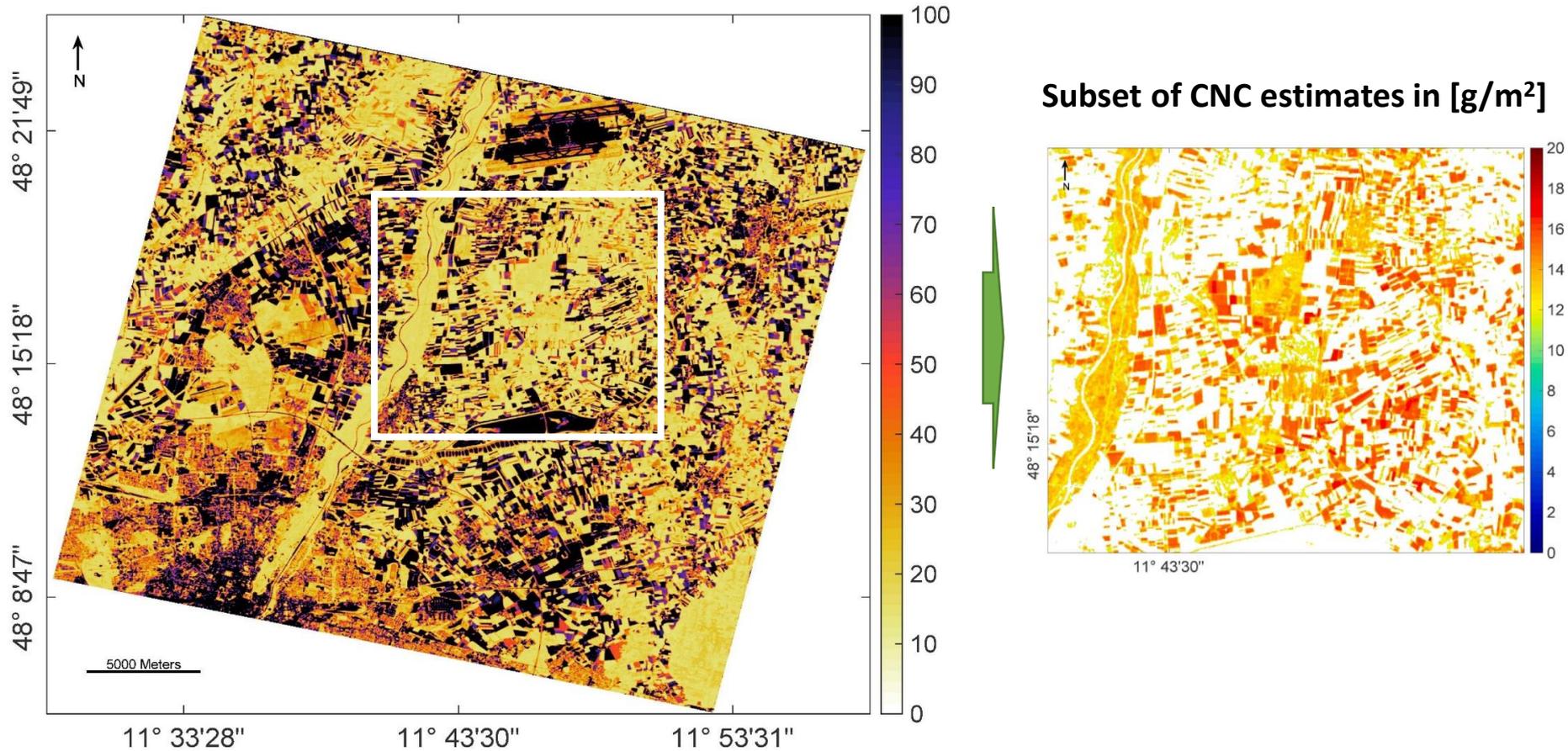


Verification and Validation vegetation models through E2E using CHIME-like data

CNC estimates in [g/m²]



Relative uncertainties in [%]: used as mask (e.g. only $\leq 20\%$)

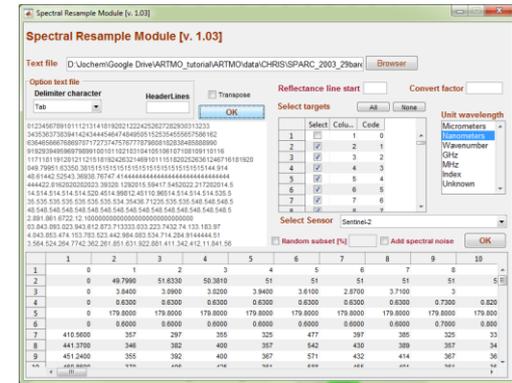
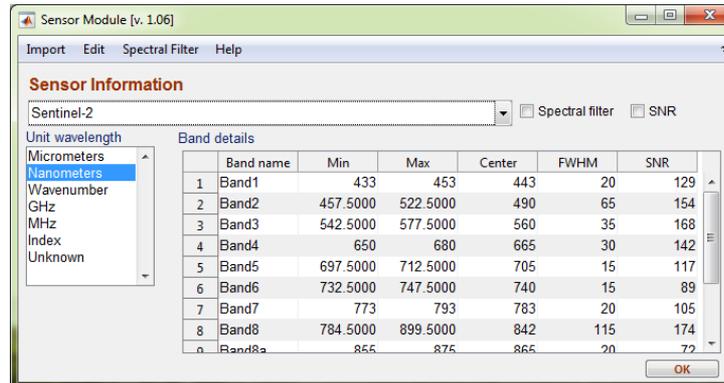


Conclusions CNC study:

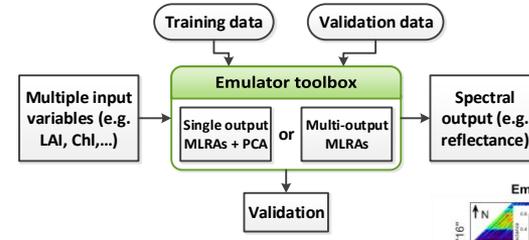
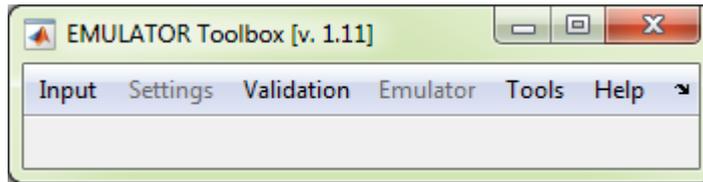
- A workflow for operational mapping of CNC designed for spaceborne imaging spectroscopy missions
- Hybrid method based on PROSAIL-PRO and GPR
- GPR provides associated uncertainty estimates
- Model applied to PRISMA and successfully validated

ARTMO's tools:

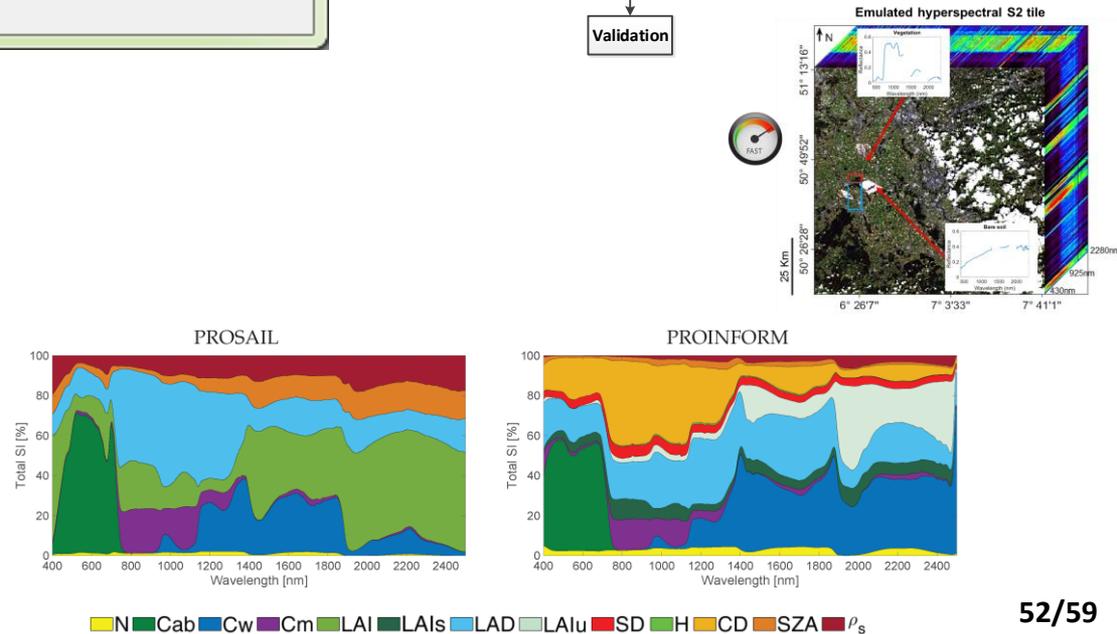
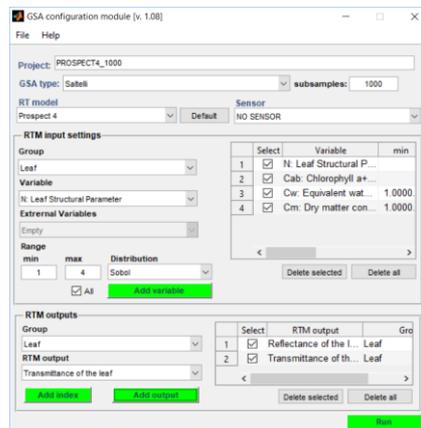
Sensor & spectral resample:



Emulation:



Global sensitivity analysis:

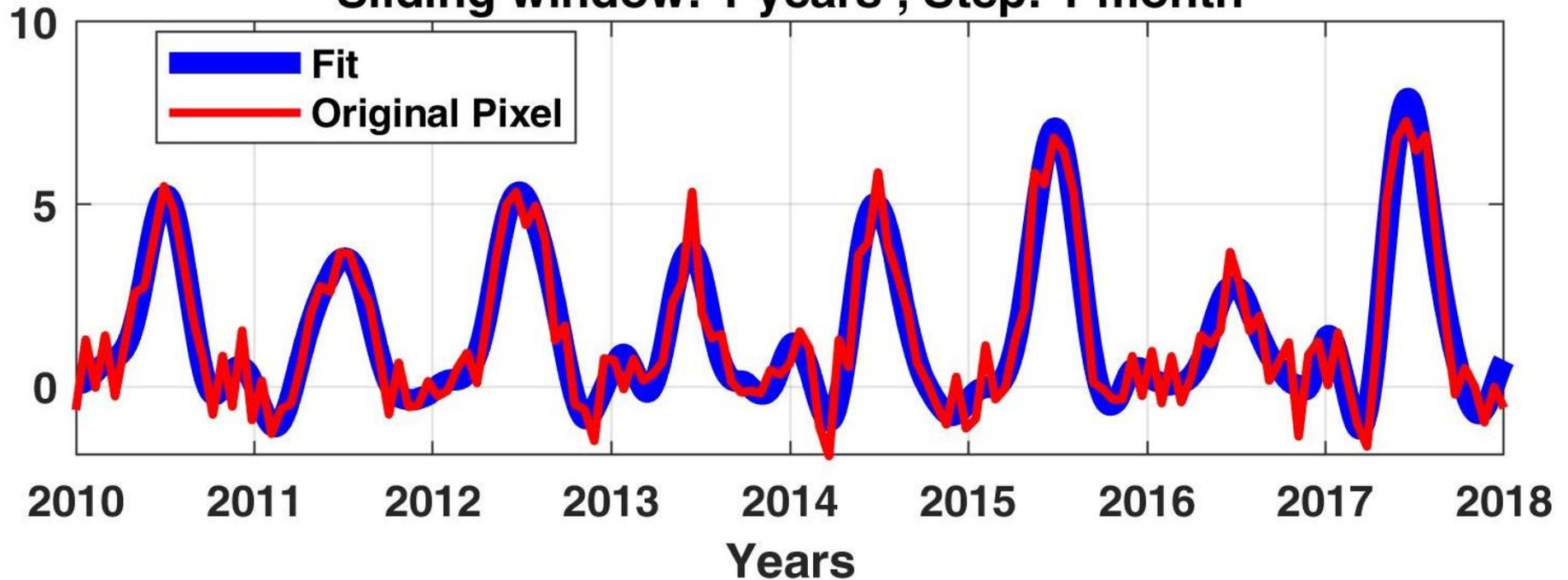




Dr. Santiago Belda Palazón
Dr. Jochem Verrelst
Dr. Juan Pablo Rivera
Dr. Luca Pipia
Pablo Morcillo



Sliding window: 1 years , Step: 1 month



MLCA Classification toolbox - v.1.1



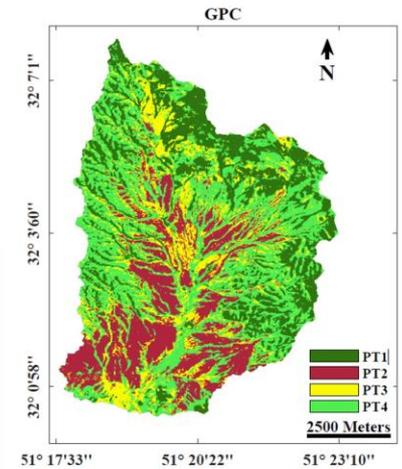
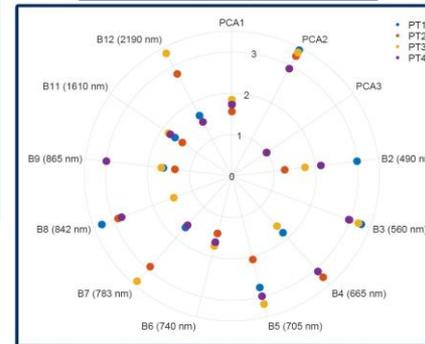
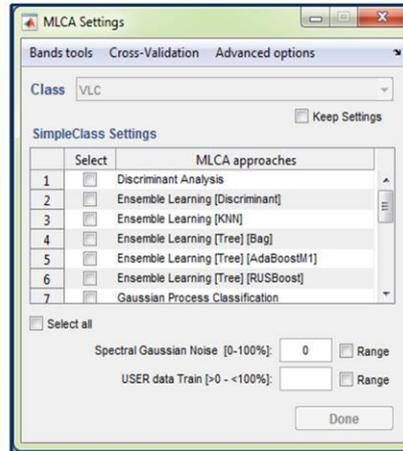
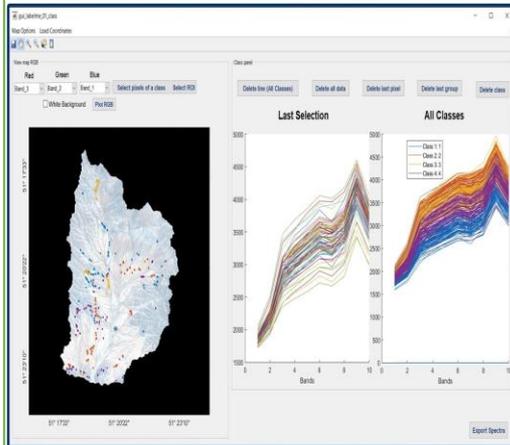
Supervised ML Classification toolbox

Input

setting

Validation

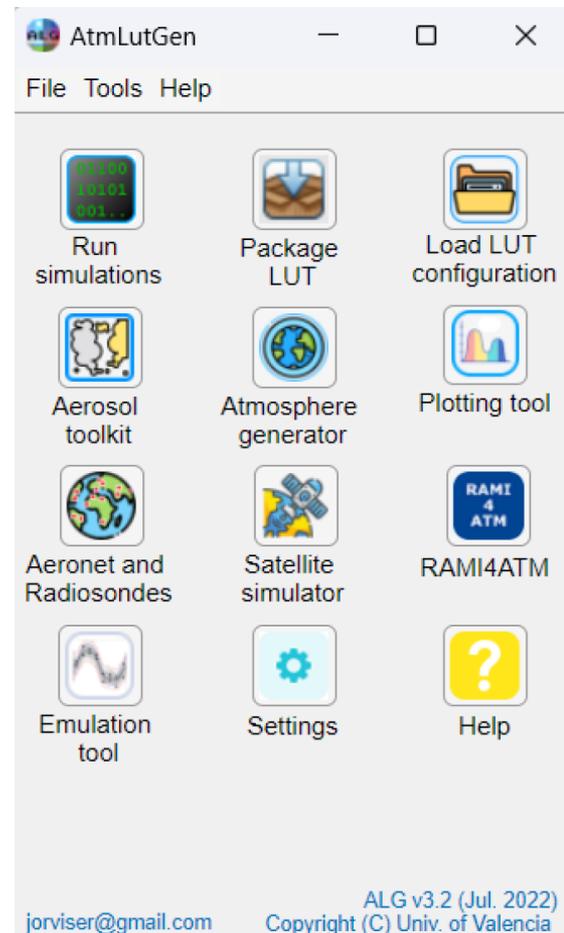
classification



WHAT IS ALG?

ATMOSPHERIC LOOK-UP TABLE GENERATOR (ALG)

ALG is a software tool that facilitates generating large databases for a variety of atmospheric Radiative Transfer Models (RTM). ALG allows consistent and intuitive user interaction to enable configuration and execution of model simulations, storing RTM data for any spectral configuration in the optical domain.



MODTRAN

www.modtran.spectral.com

Based on the DISORT solver and a modelization of gas absorptions by the k-correlation method.

Spectral range and resolution: UV to TIR at 0.1 cm⁻¹

6SV

salsa.umd.edu/6spage.html

Based on the SOS solver with polarization and decoupled scattering and absorption.

Spectral range and resolution: VIS to SWIR at 2.5 nm

libRadtran

<http://libradtran.org>

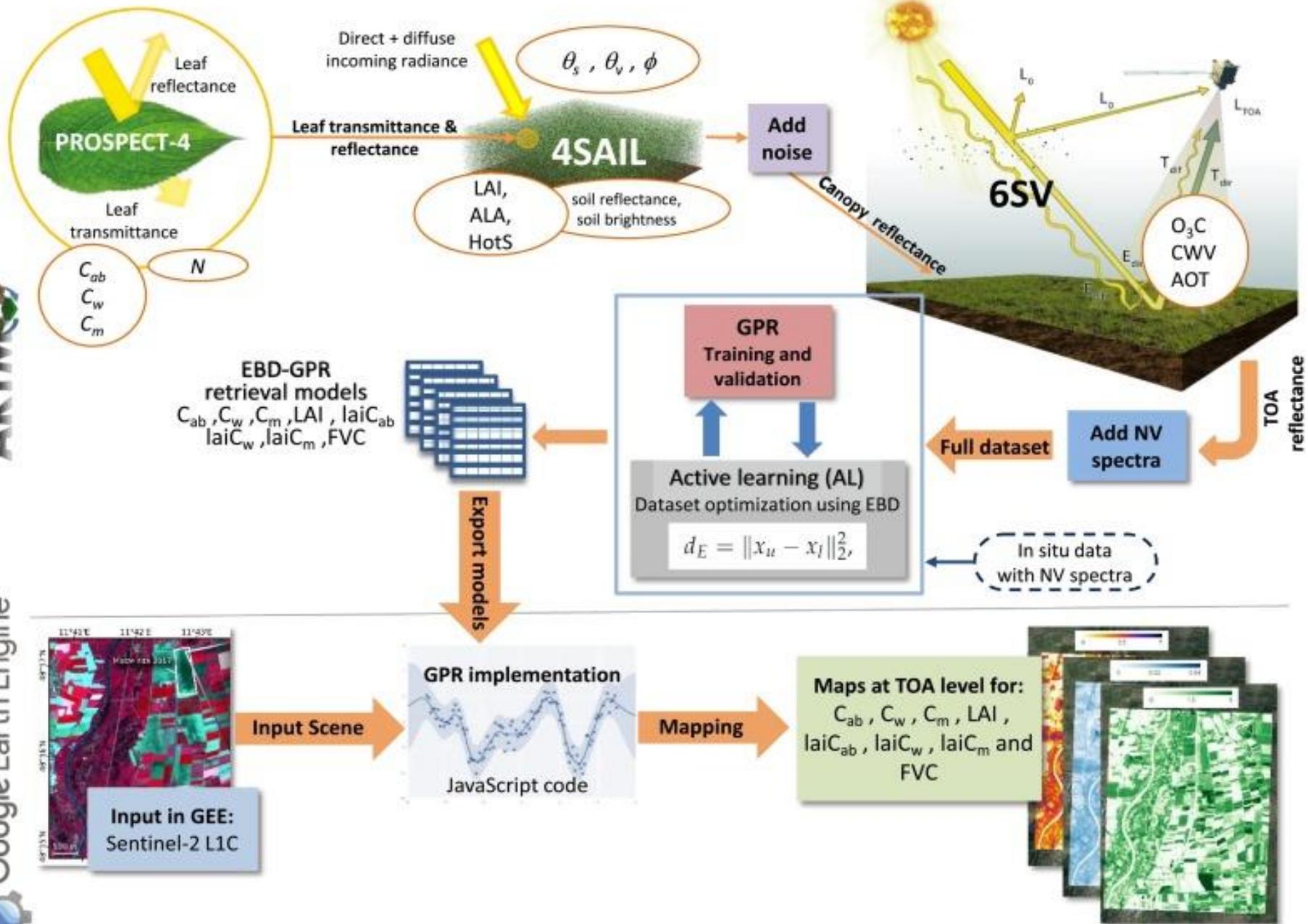
Collection of functions and programs for calculation of solar and thermal radiation in the Earth's atmosphere.

libRadtran is freely available under the GNU General Public License.

ARTDECO

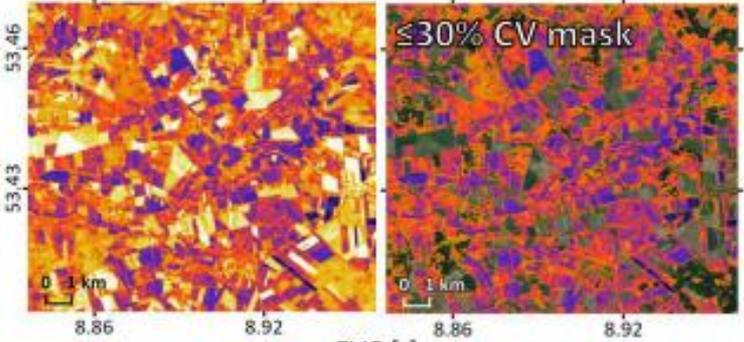
www.icare.univ-lille.fr/artdeco/

Tool that gathers several models and data for the simulation of radiances and fluxes observed by passive sensors (no hyperspectral) in the UV to TIR range

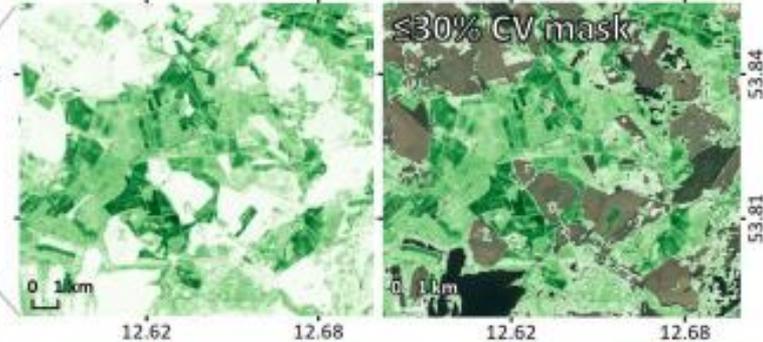


GEE

Niedersachsen LAI [m^2/m^2]
0 1 2 3 4 5 6 7



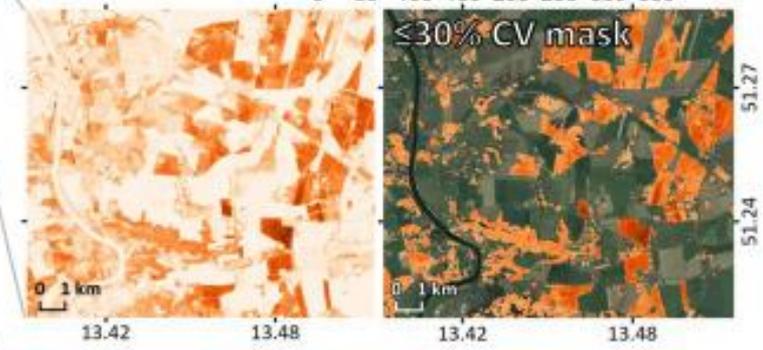
Demmin laiC_{ab} [g/m^2]
0 1 2 3 4



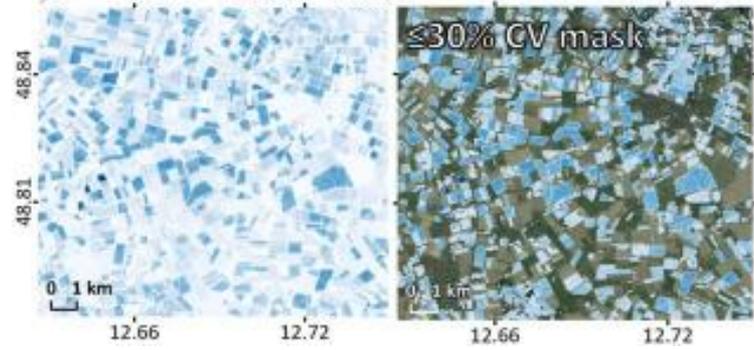
Rheinhausen FVC [-]
0 0.2 0.4 0.6 0.8 1



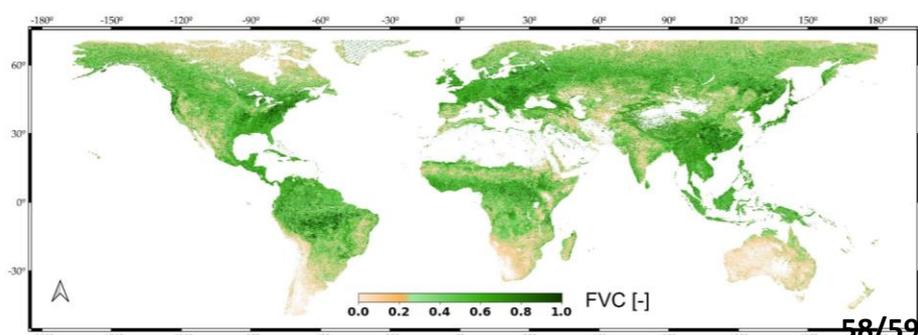
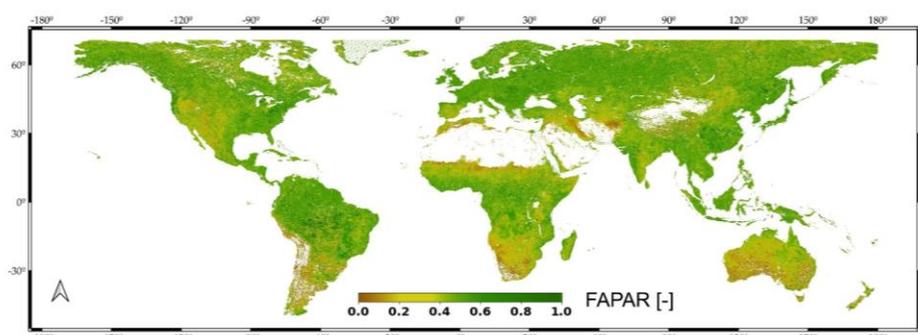
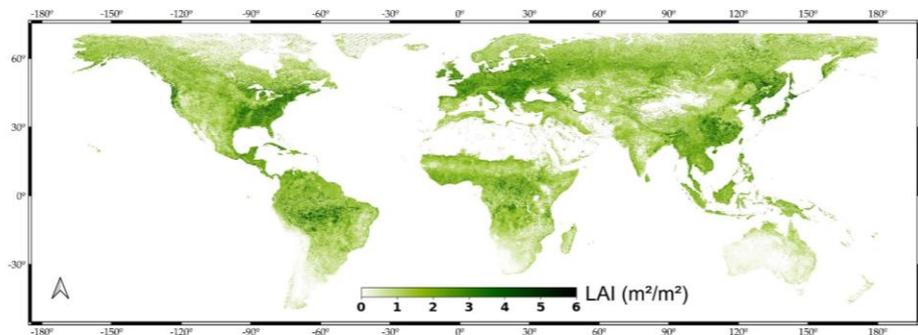
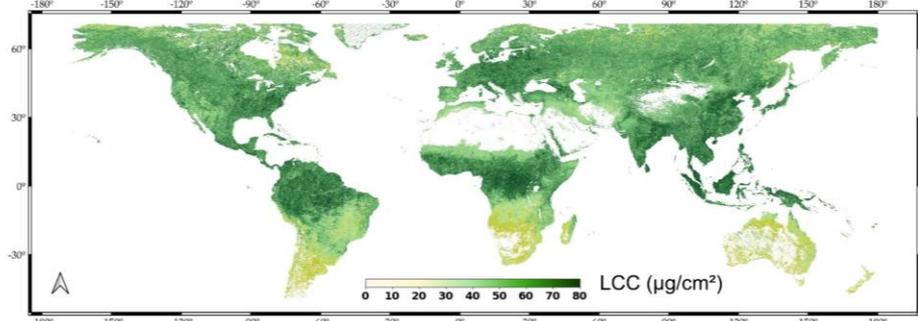
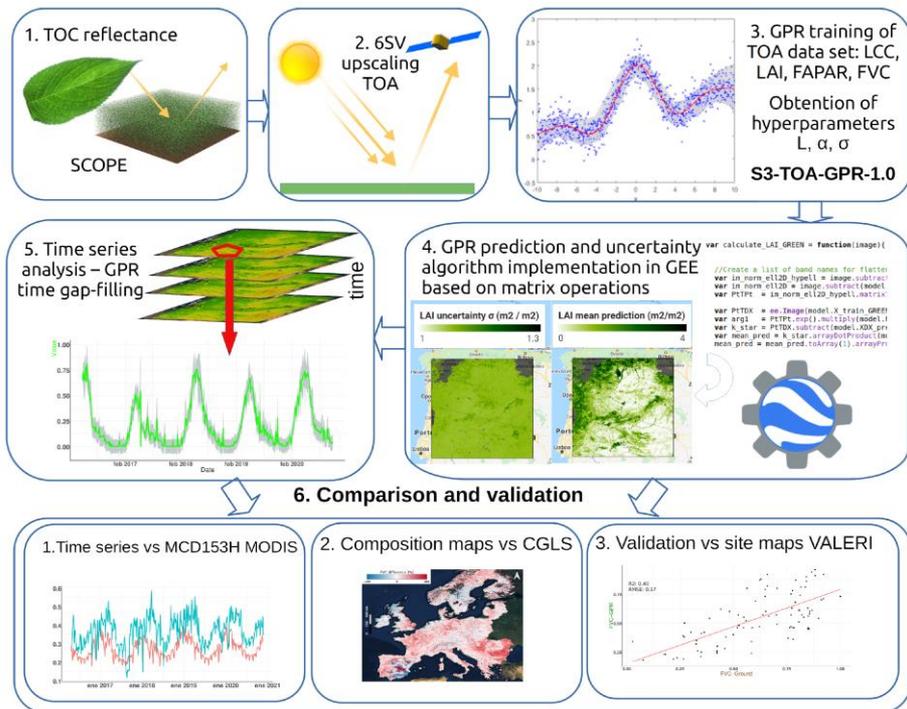
Saxony laiC_m [g/m^2]
0 50 100 150 200 250 300 350



Bavaria laiC_w [g/m^2]
0 1000 2000 3000



GEE



<https://github.com/SentiFLEXinel>

Conclusions

