

#### Optical and SAR remote sensing for marine applications (oil spill and ship detection) *Marko Perkovič*

#### 13th ESA Training Course on Earth Observation 2023

18 | 09 | 23 - 22 | 09 | 23 Osijek, Croatia



Josip Juraj Strossmayer University of Osijek Faculty of Electrical Engineering, Computer Science and Information Technology Osijek



European Space Agency -Space Solutions

## Old space

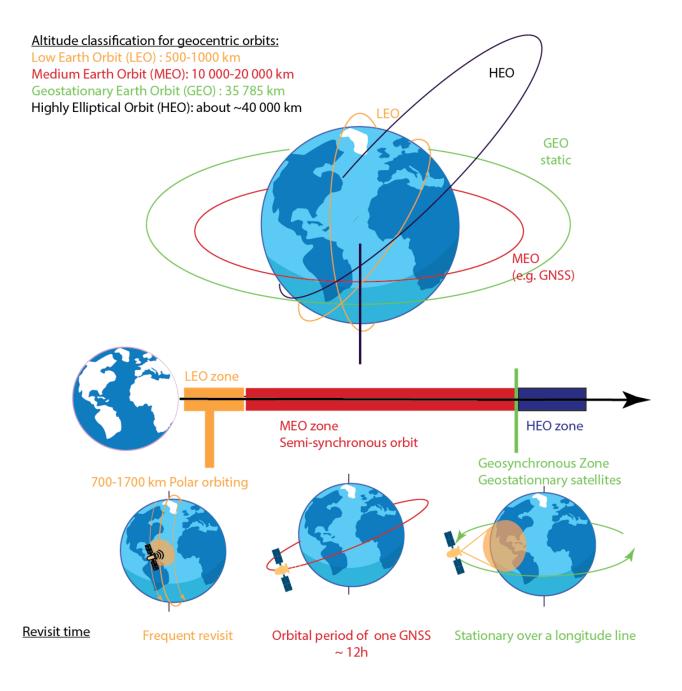
- Few, big, expensive satellites
- Government initiatives
- Few countries
- Hardware
- Traditional engineering
- Space is empty
- Satellites are nice to have
- Satellites are unassailable

## New space

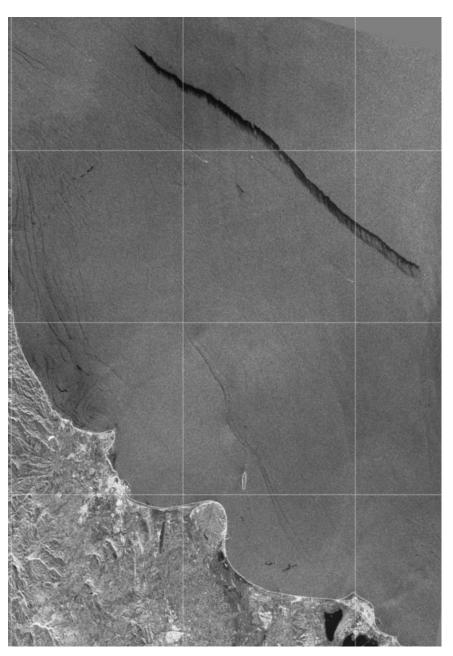
- Many, small, cheap satellites
  - Low cost of technology
- Commercial initiatives
  - Venture capital, low interest rates
- Many countries
- Software
- New manufacturing (digital, 3D print)
- Orbits and RF spectrum congested
- Crucial for economy, military
- Anti-satellite weapons

## **Functions of space**

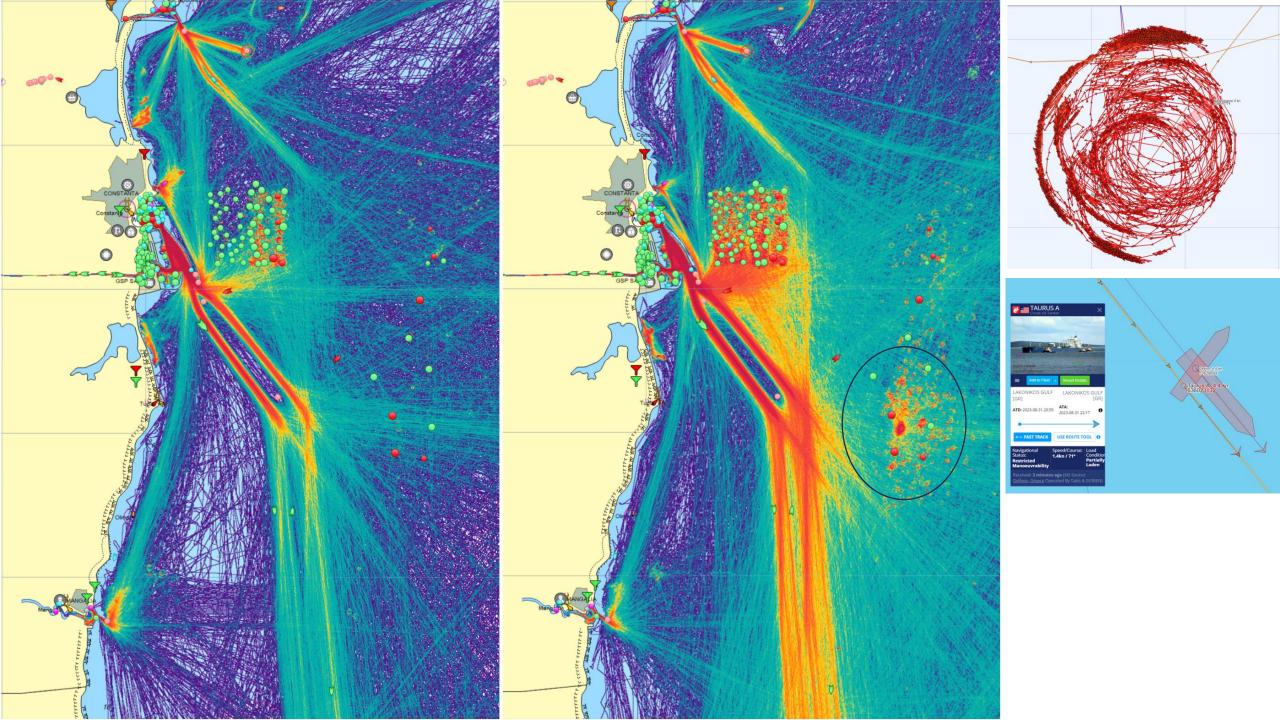
- Science & exploration
- Exploitation
  - Moon, Mars, Asteroid mining
- Observation
  - Earth monitoring, intelligence
- Positioning, Navigation & Timing
  - GPS, others
- Communication
  - Oceans, air, remote areas, 5G

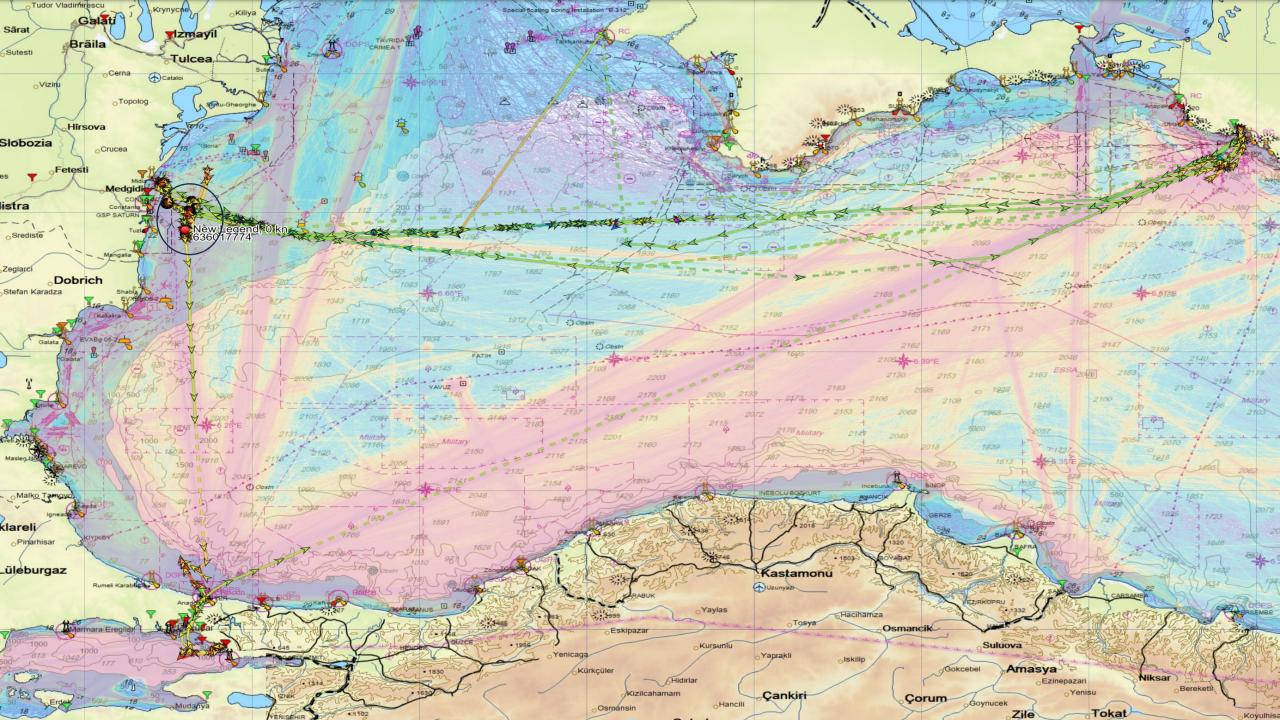


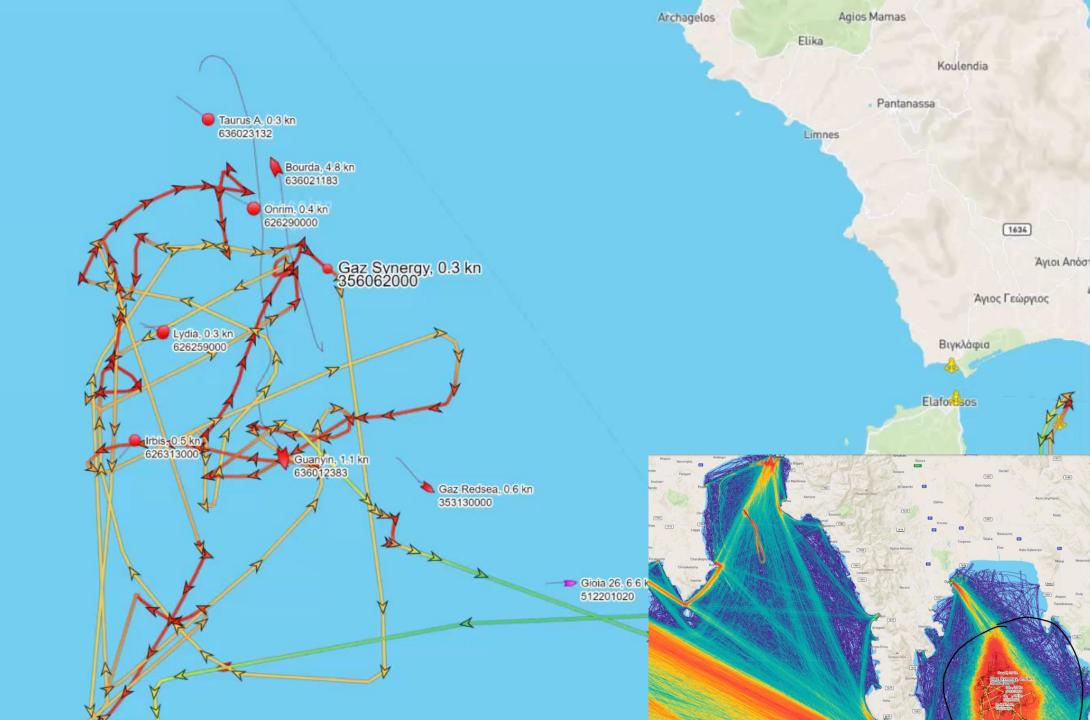
## **Maritime Situational Awareness**



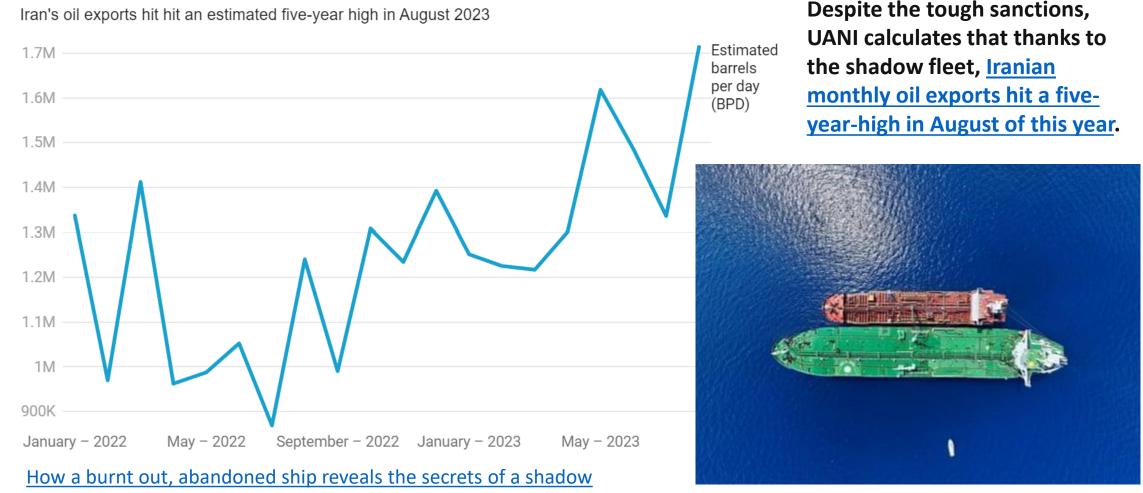








#### The number of vessels transporting sanctioned oil is booming and the consequences can be felt across the world – from Iran, to China, to Ukraine



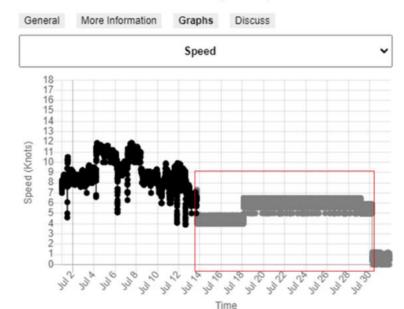
tanker network | Oil | The Guardian

#### **Tracking Dark Vessels**

- How a burnt out, abandoned ship reveals the secrets of a shadow tanker network: <u>How a burnt out, abandoned ship reveals the secrets of a shadow tanker network | Oil | The Guardian</u>
- FINDING DARK SHIPS VIA SATELLITE, Finding Dark Ships Via Satellite | Hackaday
- Satellite dark vessel detection for maritime domain awareness; <u>Satellite dark vessel detection for maritime domain awareness</u> | <u>Starboard</u> <u>Maritime Intelligence</u>
- <u>Satellites are hunting "dark vessels" that evade sanctions at sea (qz.com)</u>



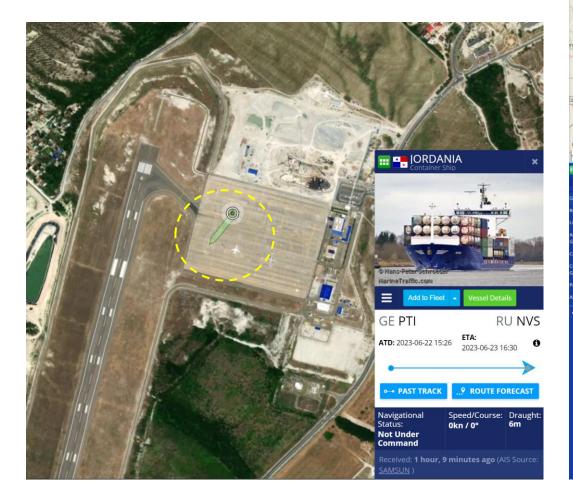
Figure 3: AIS Positions (Black Line) and Doppler Data (Red Dots) of the Symphony 3 from July 15th to August 4th, 2022

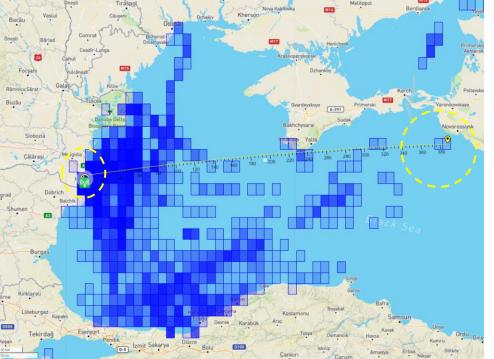


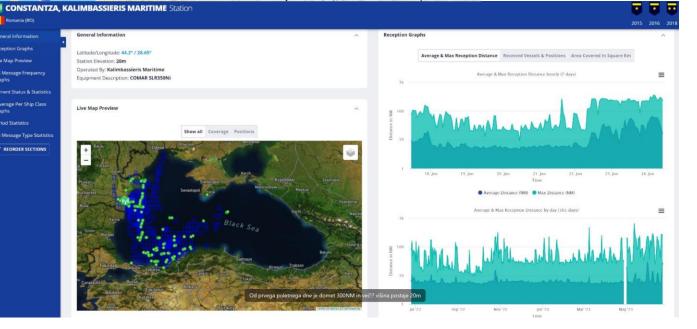
#### SYMPHONY 3 (Historic)

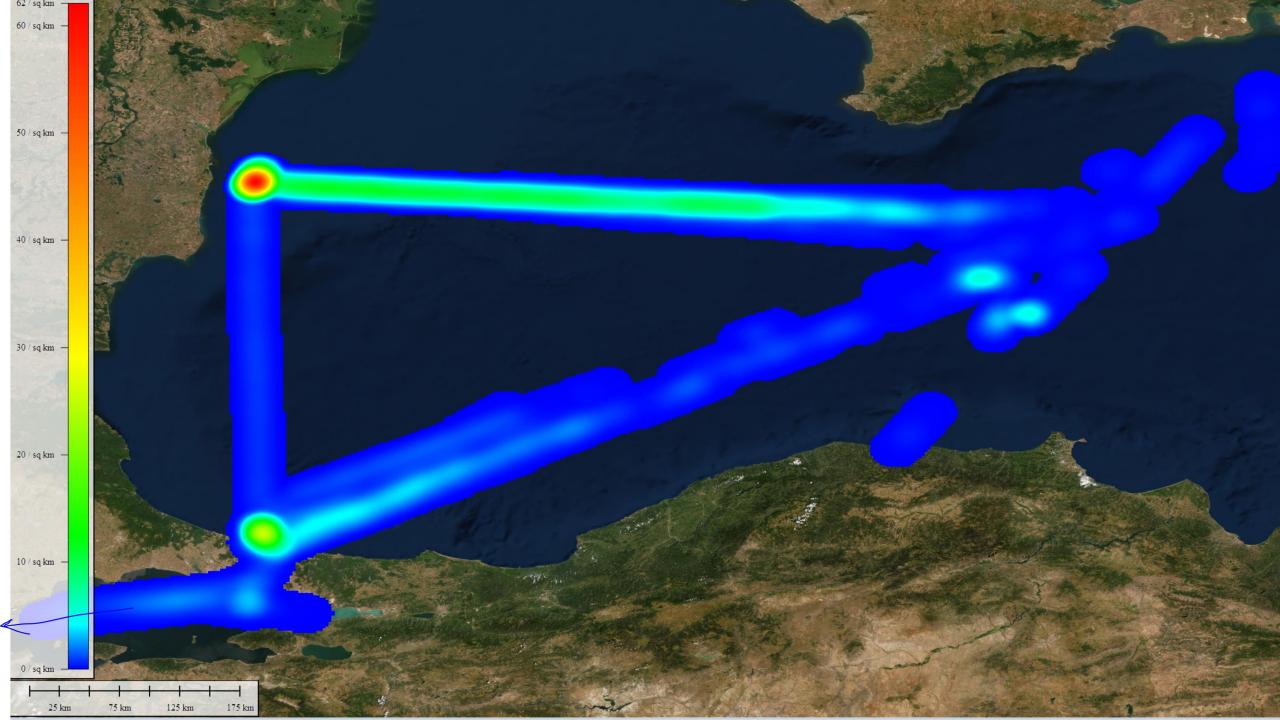
Figure 2: Speed Record of the Symphony 3 for July 20222

#### Tracking Dark "Grey" ships



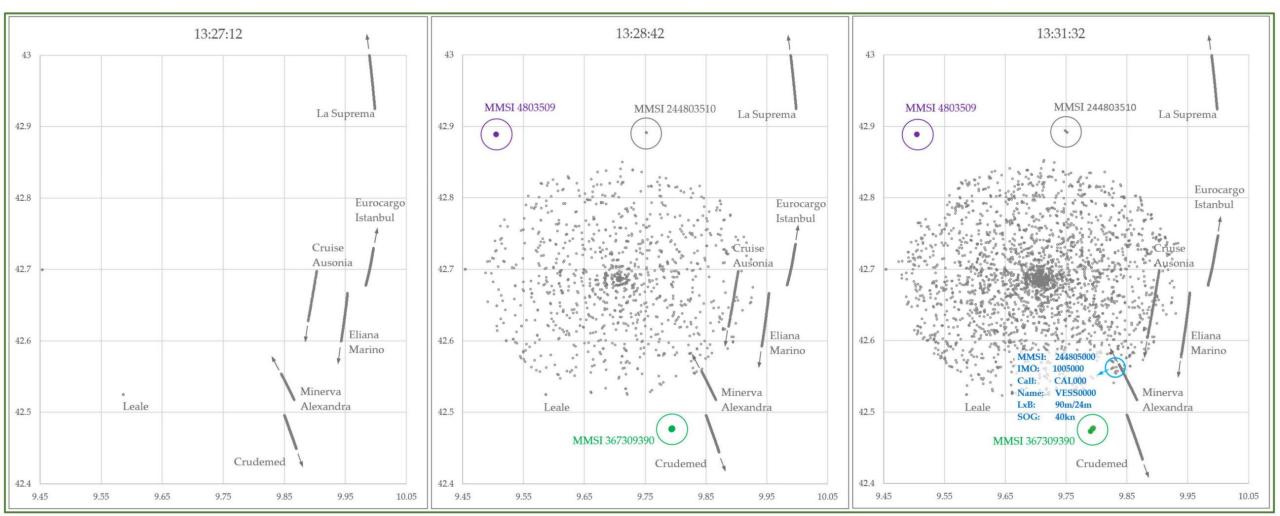


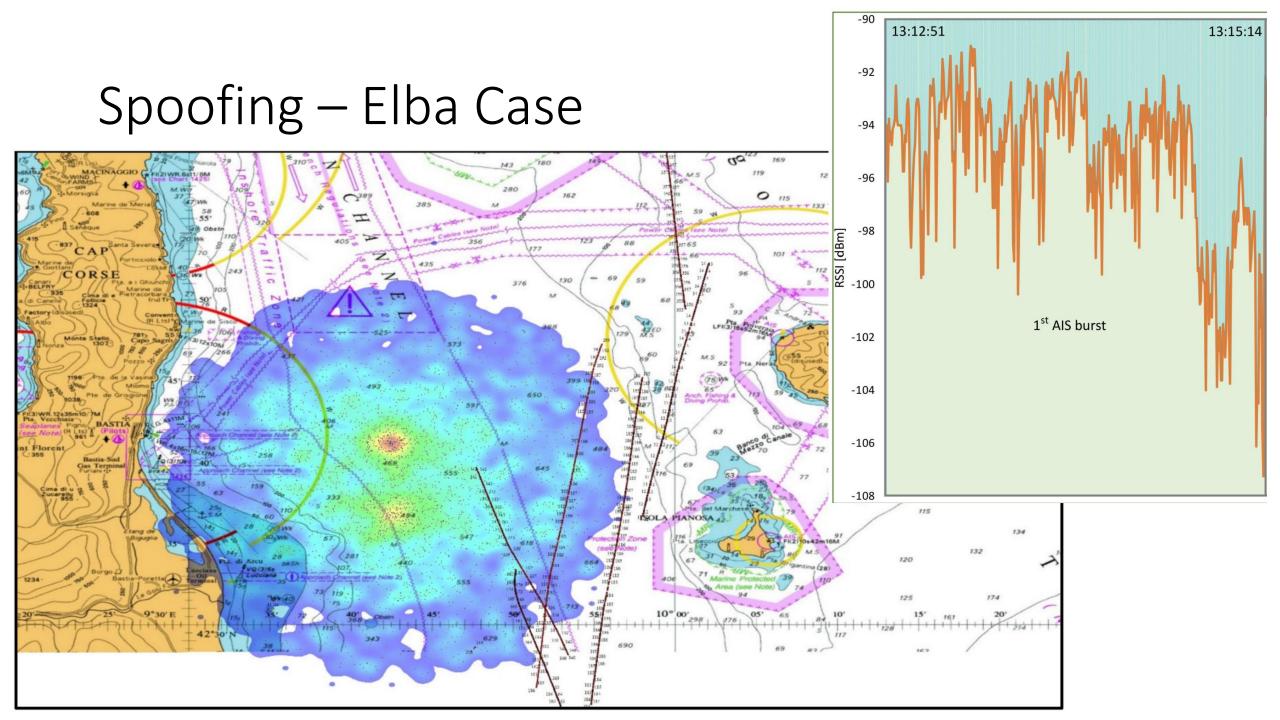




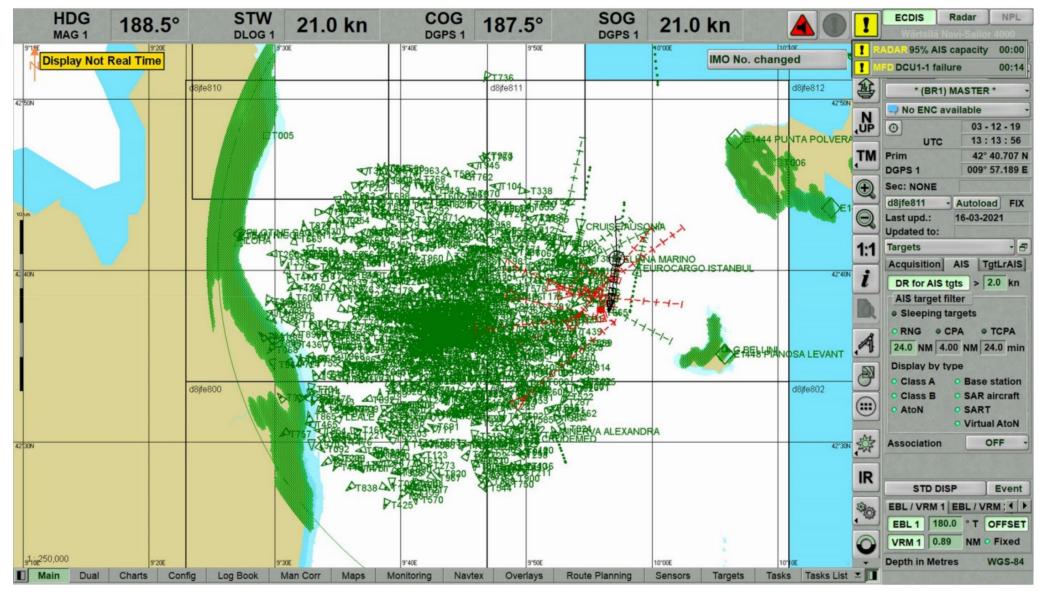
## Spoofing – Elba Case

AIS Data Vulnerability Indicated by a Spoofing Case-Study

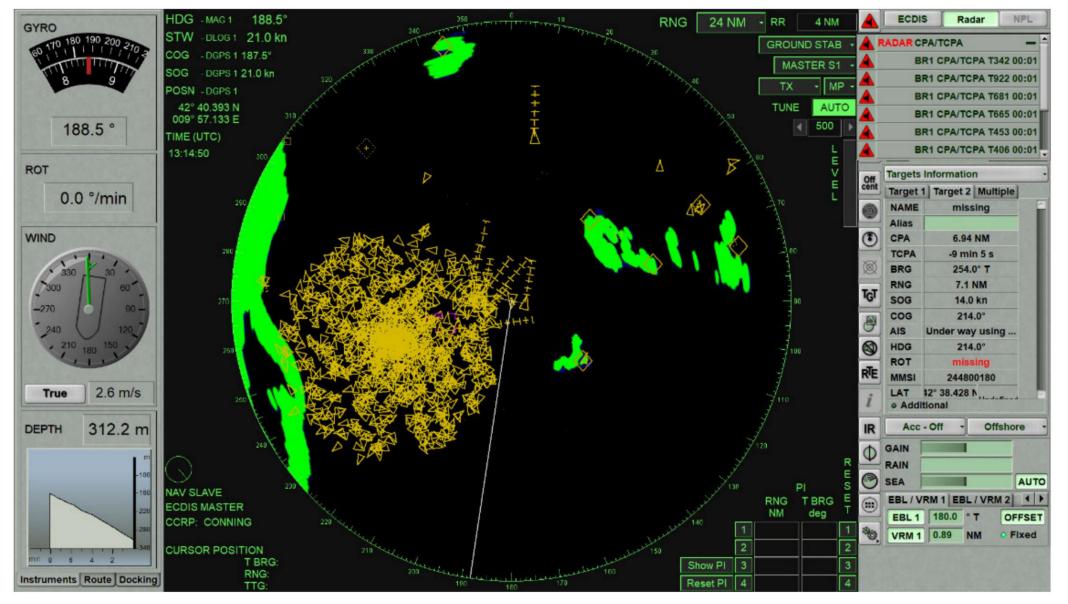




## Spoofing – Elba Case



## Spoofing – Elba Case

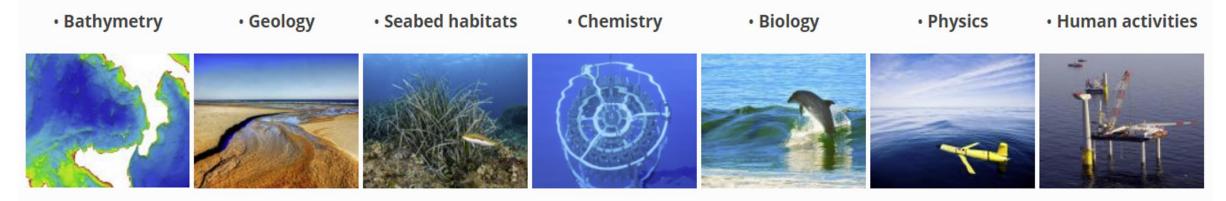


## EMODnet

- European Marine Observation and Data Network
- Network with secretariat, funded by European Commission
- Maritime data portal

# "Your gateway to marine data in Europe" <u>http://www.emodnet.eu/</u>

EMODnet provides access to European marine data across seven discipline-based themes:



**EMODnet** 

EMODnet Map Viewer

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Change basemap

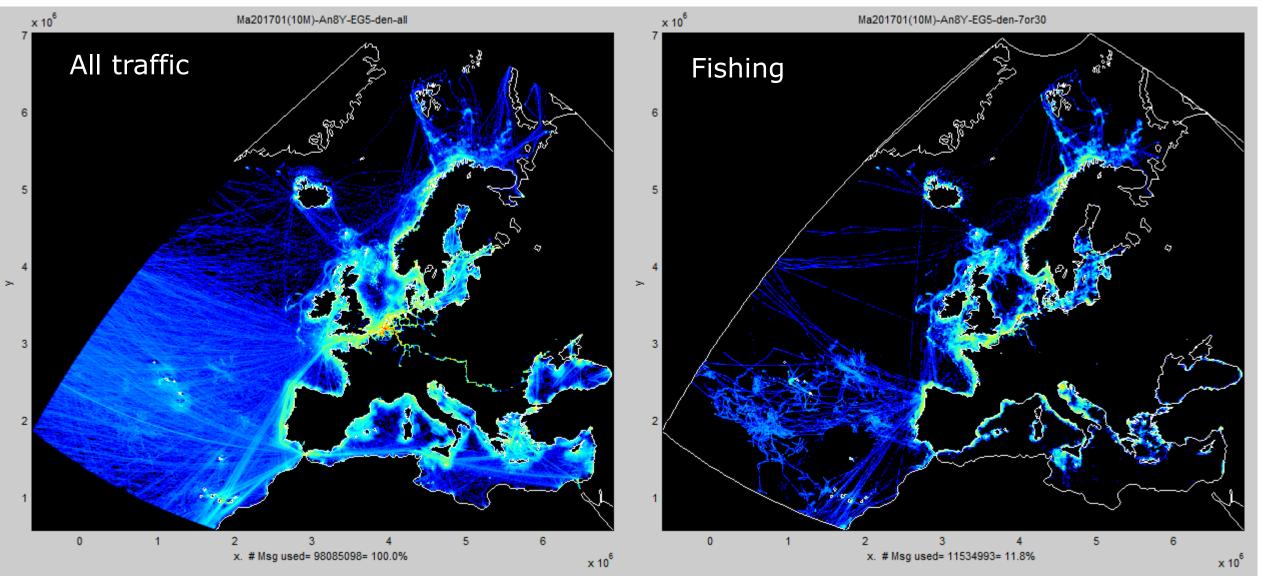
Layers Maritime Spatial Planning		Catalogu	ie >
vanume opauai Planning			1
Military Areas			>
Dil and Gas			>
Other Forms of Area Manageme	ent		>
Pipelines			>
Route Density			~
All vessels (Annual totals 20	)19-2022)		00
All vessels (Monthly totals 2	019-2023)		00
All vessels (Seasonal totals	2019-2023)		00
Cargo vessels (Annual total	s 2019-2022)		00
Cargo vessels (Monthly tota	als (2019-2023)		00
Cargo vessels (Seasonal to	tals 2019-2023)		00
Fishing vessels (Annual tota	als 2019-2022)		00
Fishing vessels (Monthly to	als (2019-2023)		00
Fishing vessels (Seasonal t	otals 2019-2023)		00
Other vessels (Annual totals	s 2019-2022)		00
Other vessels (Monthly tota	ls 2019-2023)		00
Other vessels (Seasonal tot	als 2019-2023)		00
Passenger vessels (Annual	totals 2019-2022)		00
Passenger vessels (Monthly	/ totals 2019-2023)		00
Passenger vessels (Seasor	al totals 2019-2023)		00
Tanker (Annual totals 2019-	2022)		00
Tanker (Monthly totals 2019	-2023)		00
Tanker (Seasonal totals 201	9-2023)		00
/essel Density			~
All Types (Annual averages 2017 - 2022)			00
All Types (Monthly totals 20	17-2022)		00
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ne regions	Search for a region	TA	uu externariay

Esri.Ocean

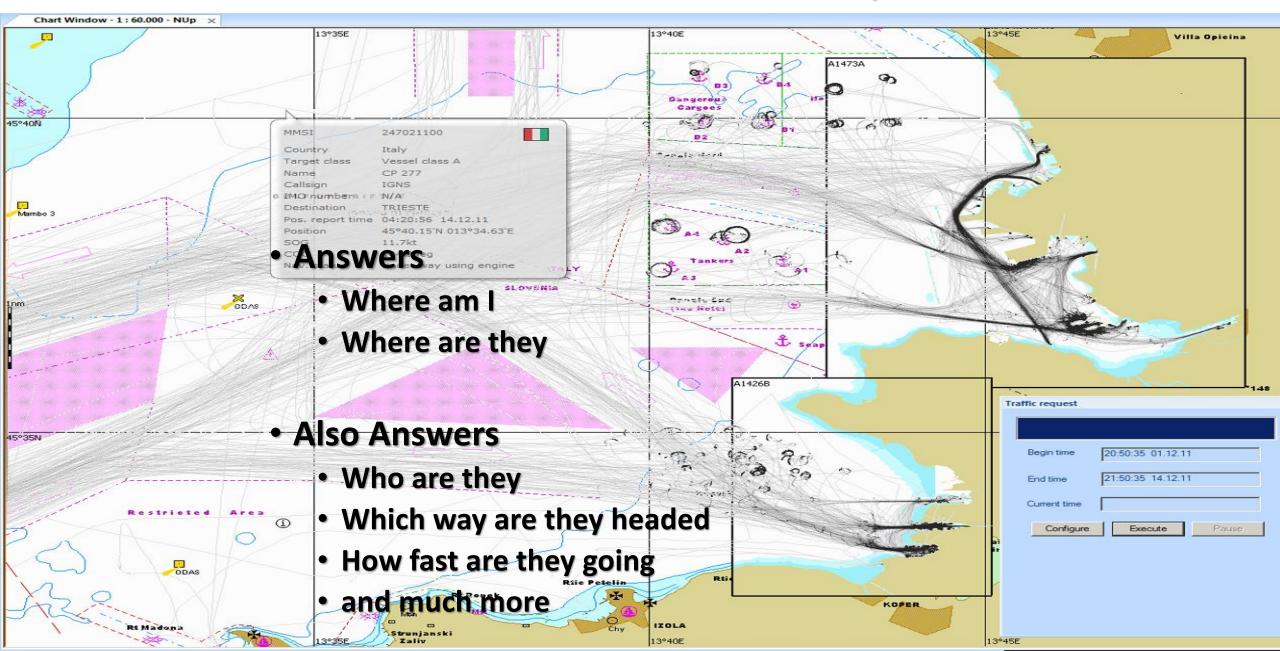
#### Ship density maps Europe

#### Coastal AIS Satellite AIS Produced by industry Support by JRC

#### Ship density Jan 2017



## **Automatic Identification System**



## AIS information's update rates

The data is autonomously sent at different update rates:

- 1. dynamic information dependent on speed and course alteration,
- 2. static and voyage-related data every 6 minutes or on request (AIS responds automatically without user action).

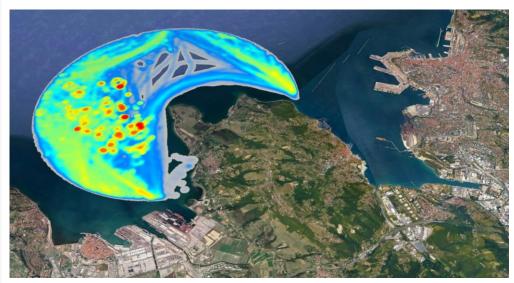
AIS Class A Transponder-Ships Dynamic Conditions	Dual-Channel	Single-Channel
Ship at anchor or moored	3 min	6 min
SOG 0-14 knots	10 s	20 s
SOG 0–14 knots and changing	3.3 s	6.6 s
SOG 14–23 knots	6 s	12 s
SOG 14–23 knots and changing course	2 s	4 s
SOG > 23 knots	2 s	4 s
Ship static information	6 min	12 min
AIS Class B Transponder-Ships Dynamic Conditions	Dual-Channel	Single-Channel
SOG < 2 knots	3 min	6 min
SOG > 2 knots	30 s	1 min
SOG		
Ship static information	6 min	12 min

$$D = 2.5 (\sqrt{h_1} + \sqrt{h_2})$$
$$= 2.5 (\sqrt{1028 \text{ m}} + \sqrt{30 \text{ m}}) = 93.85 \text{ NM}$$

<u>(\_\_\_)</u>

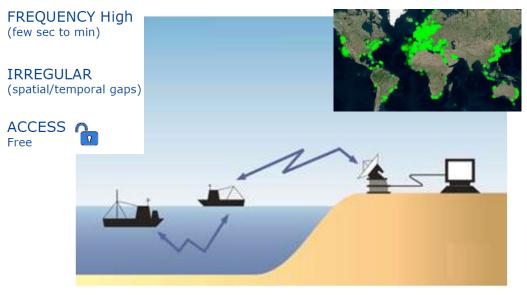
D

$$2250 \,\mathrm{min}^{-1} = \frac{9600 \,\mathrm{bit/s}}{256 \,\mathrm{bit}} \cdot 60 \,\mathrm{s}$$



#### Automatic Identification System (AIS)

# AIS ship reports (collection)

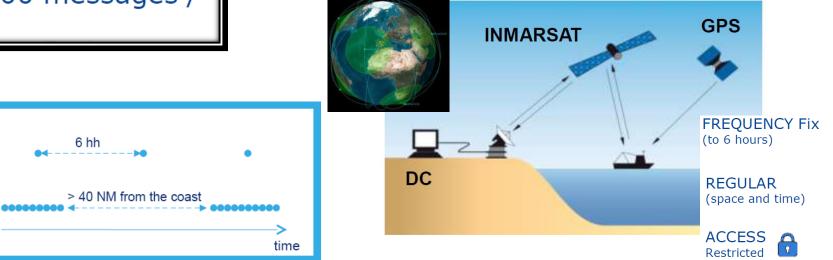


"**BIG DATA**" - ~150,000 ships carry transponders - each may send 10,000 messages / day

LRIT

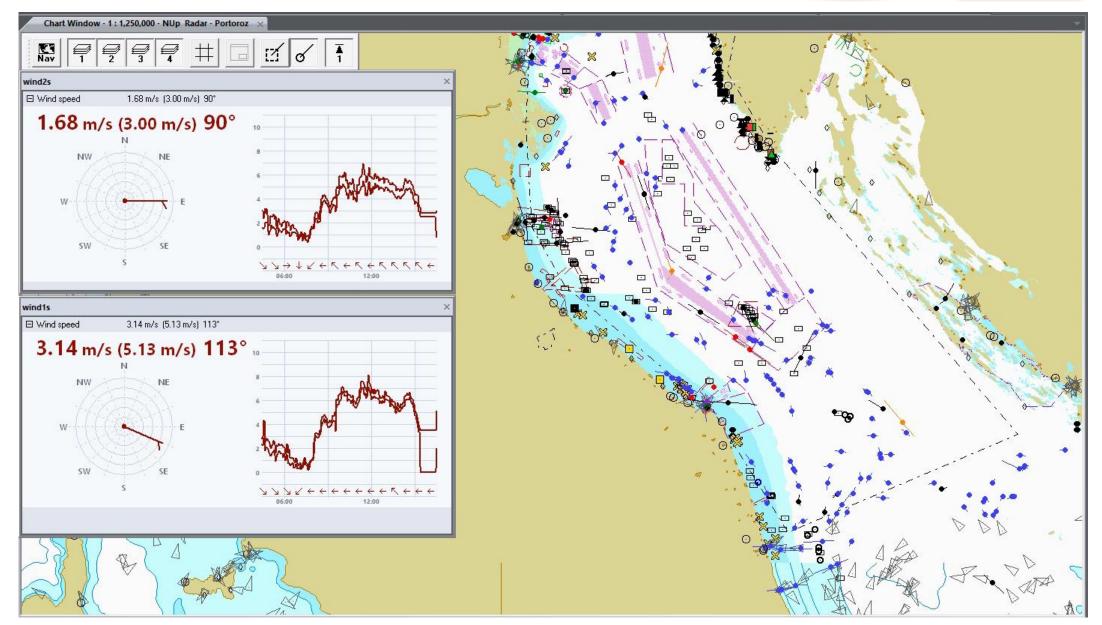
AIS

#### Long Range Identification and Tracking (LRIT)



#### Data Fusion & Real Time Surveillance

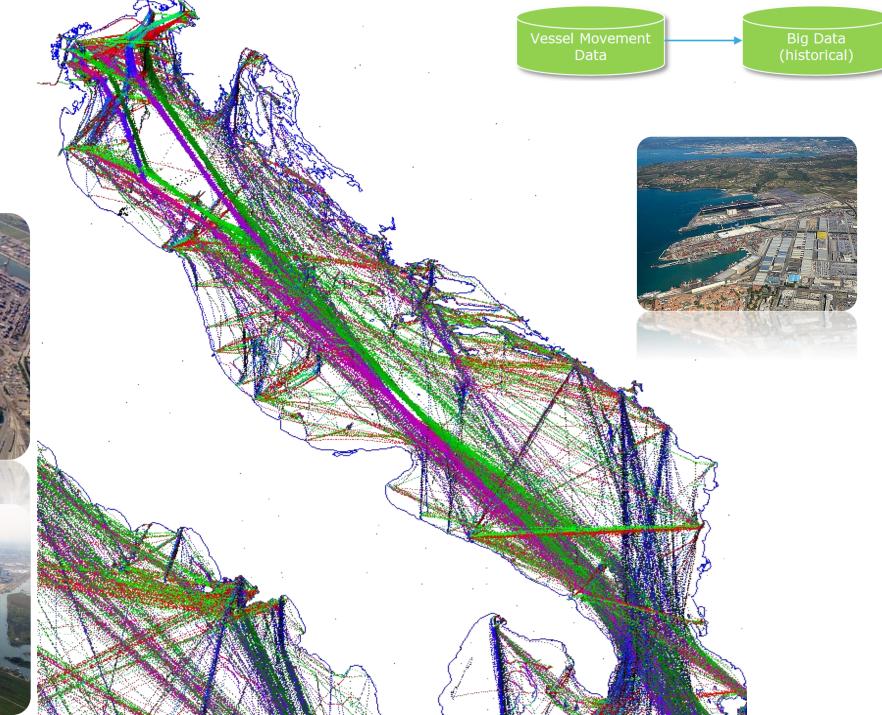


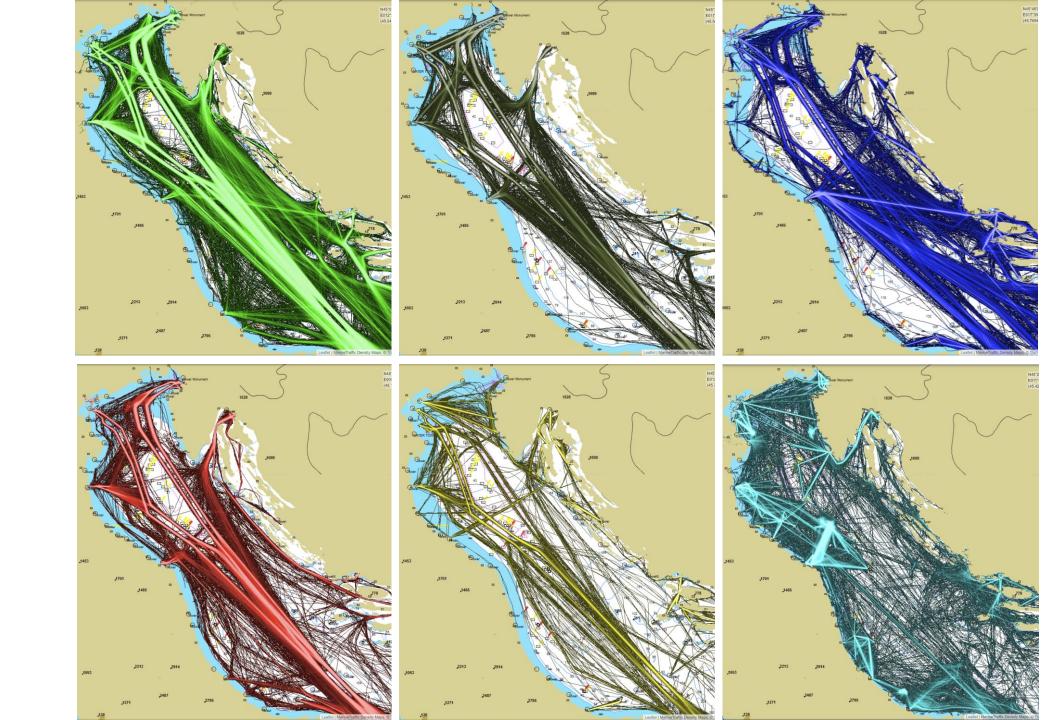


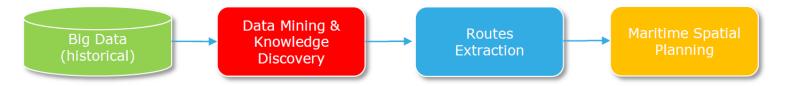
#### Tracking and Traffic Routes

Adriatic Sea; Traffic AIS density



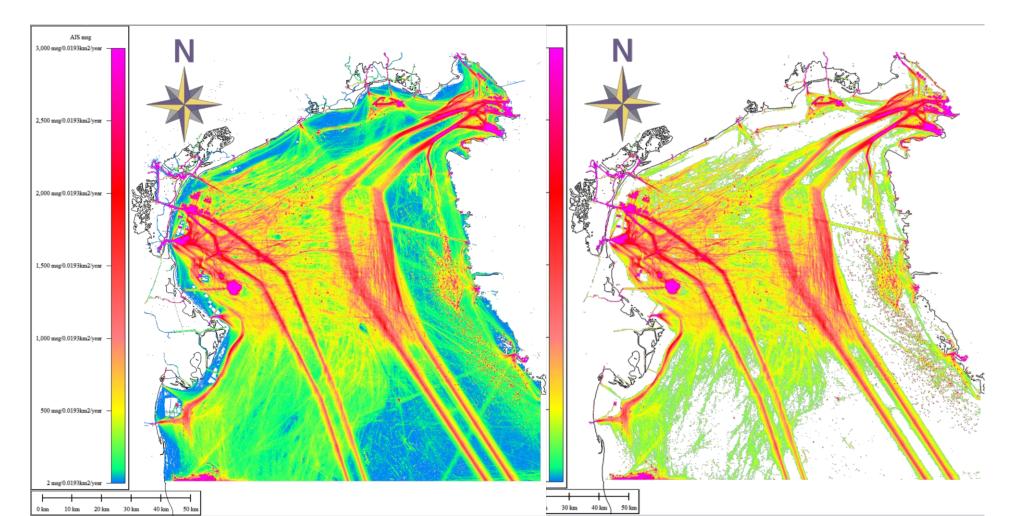


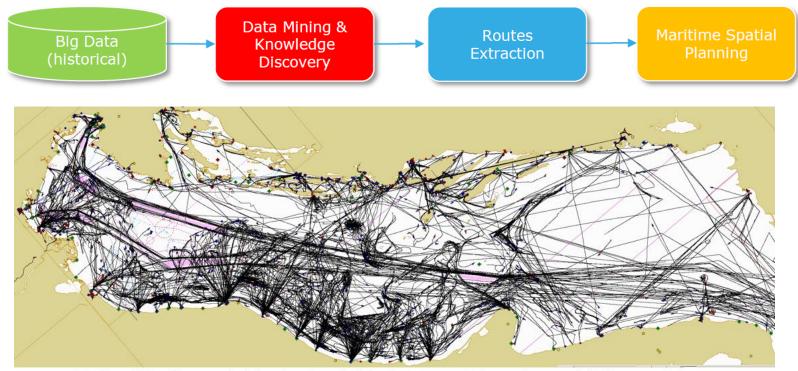




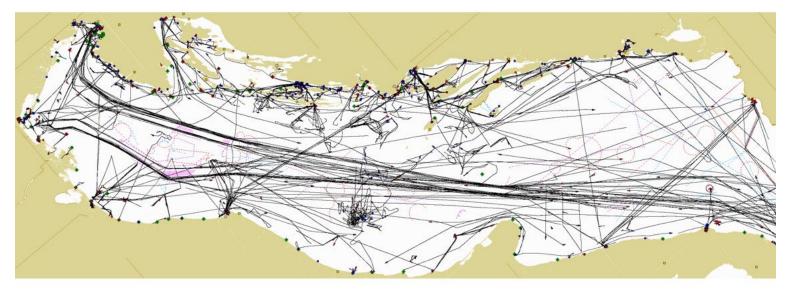
### AIS 2017

#### ("all" reports vs. dense area reports)

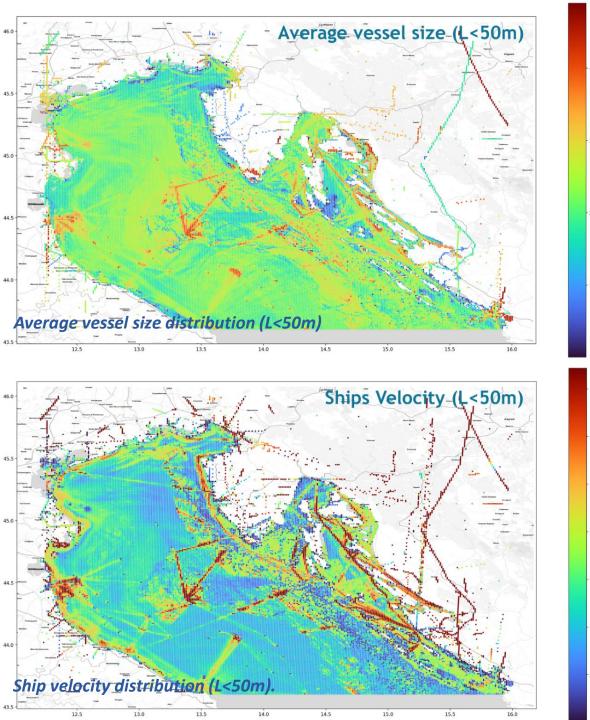


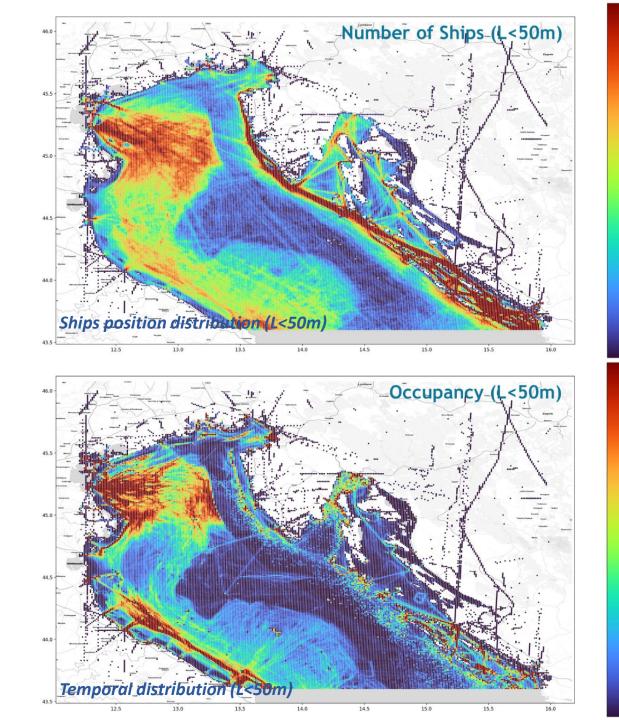


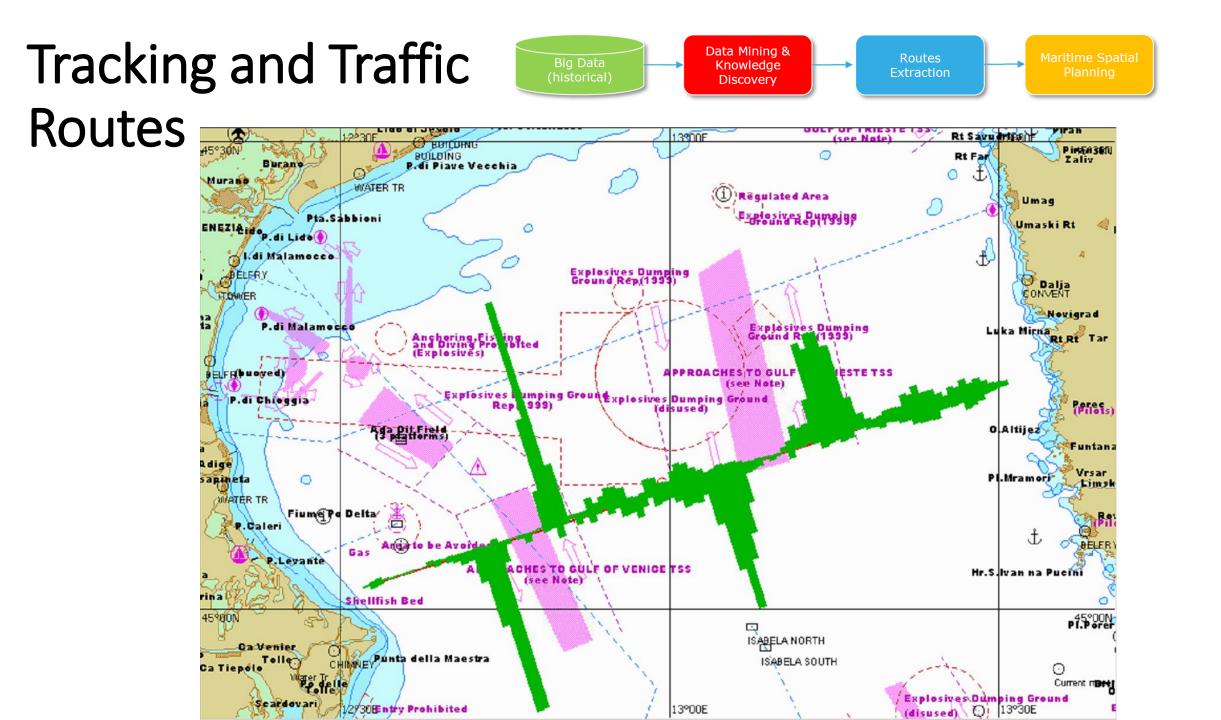
Daily shipping activities in the Adriatic on weekdays (up to 1400 vessels)



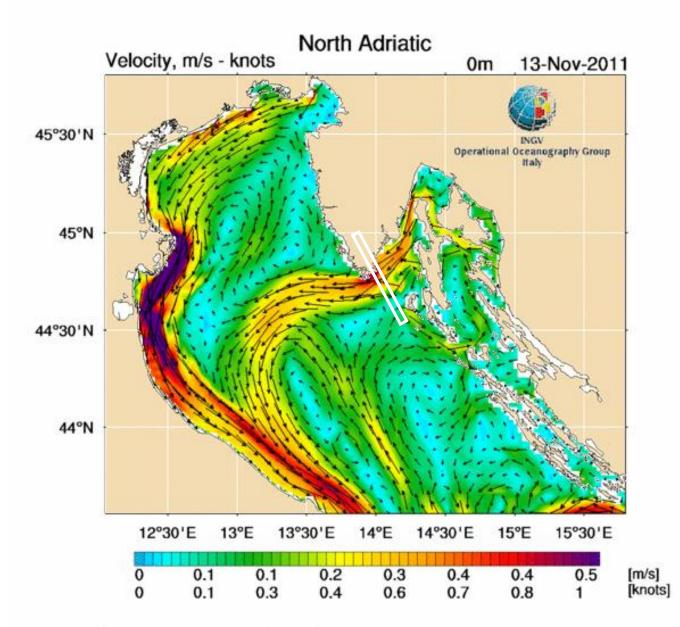
Daily shipping activities in the Adriatic on (up to 950 vessels).



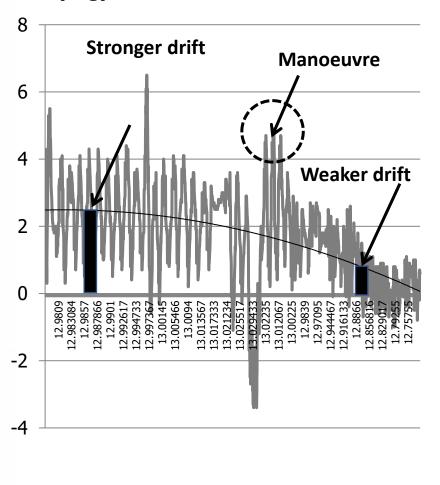


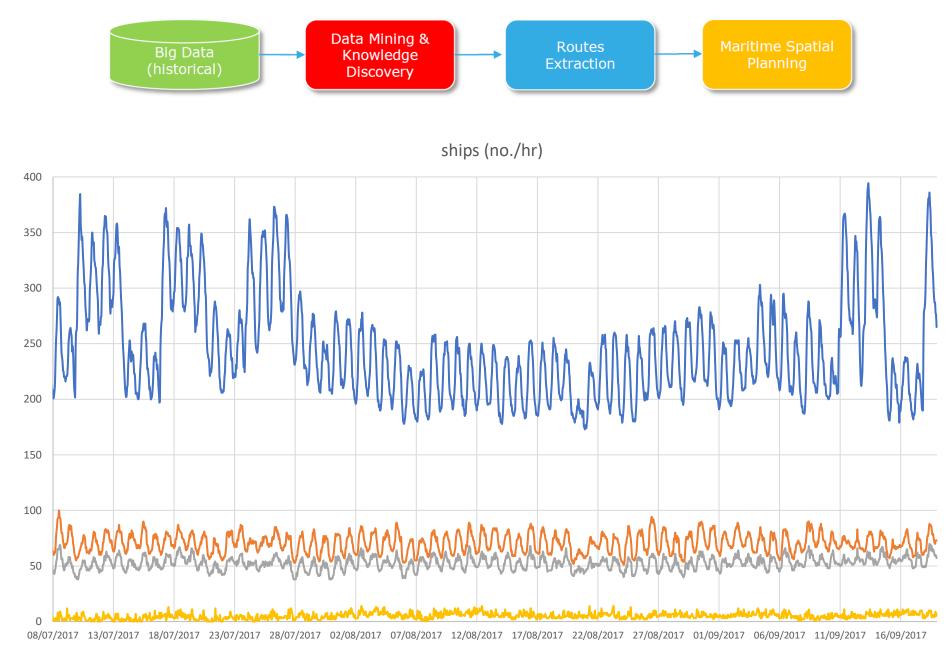


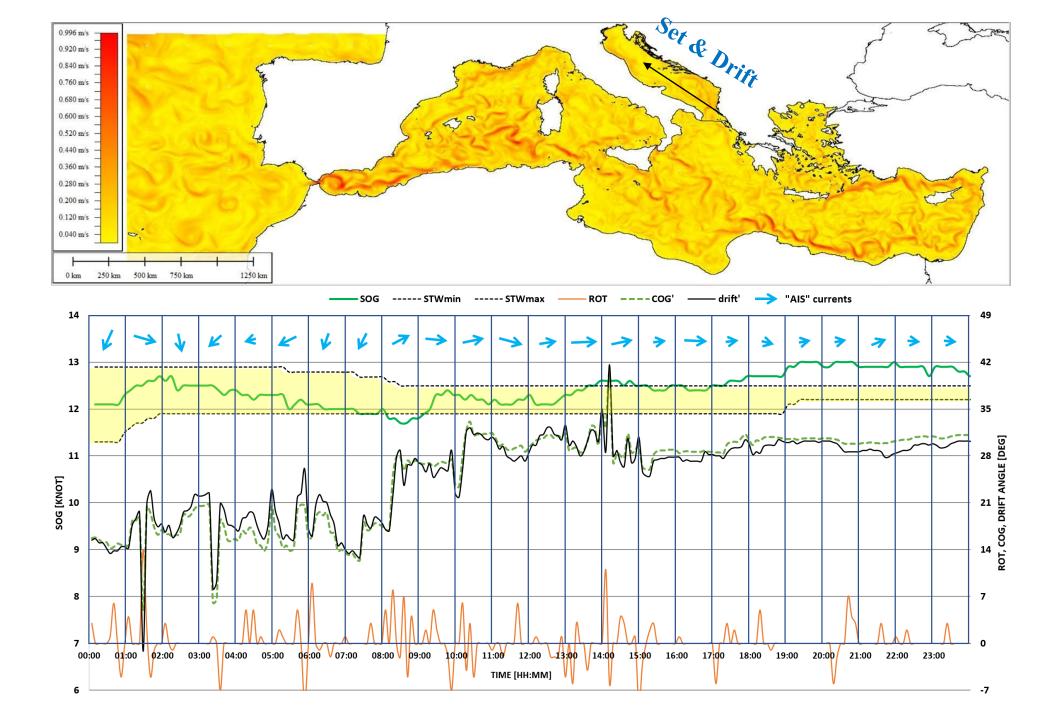
#### Sailing in current



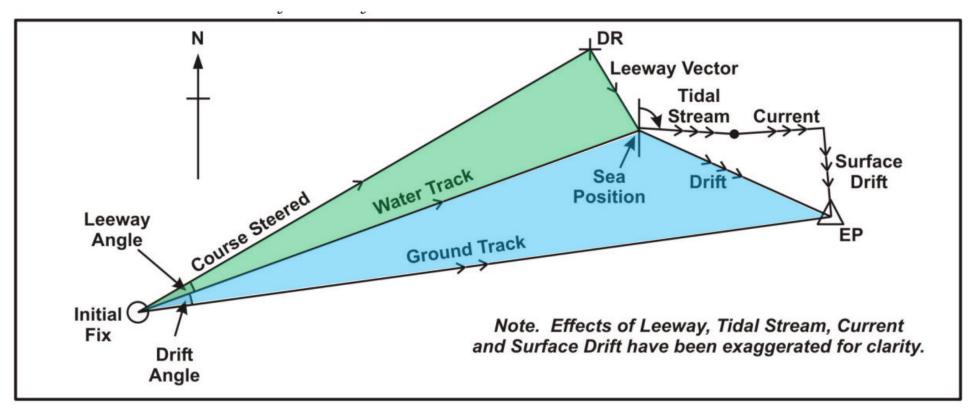
Drift (deg)



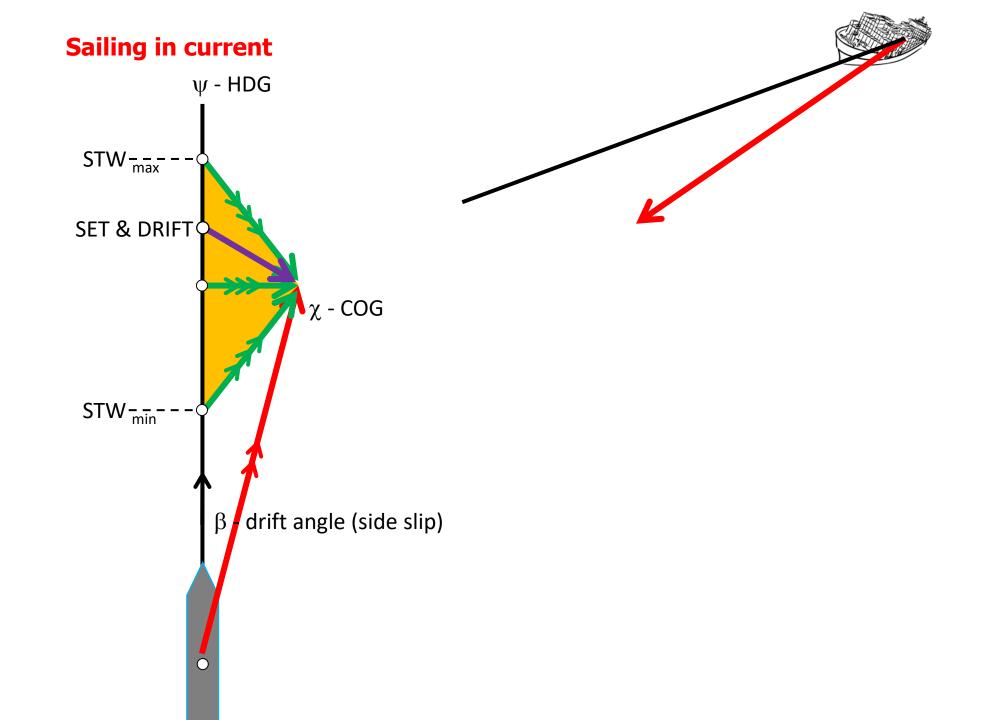


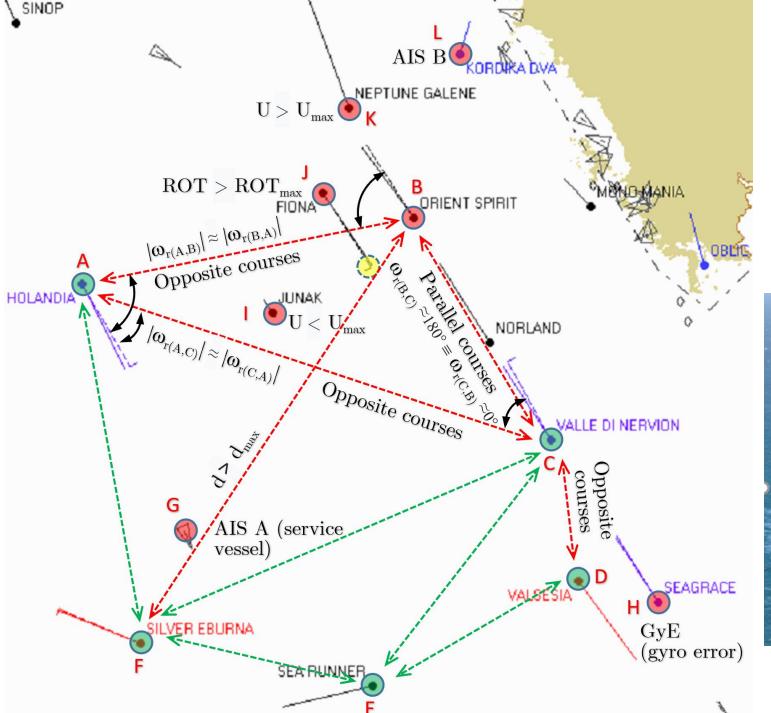


#### Leeway and Set & Drift



**American Practical Navigator (Bowditch)** 





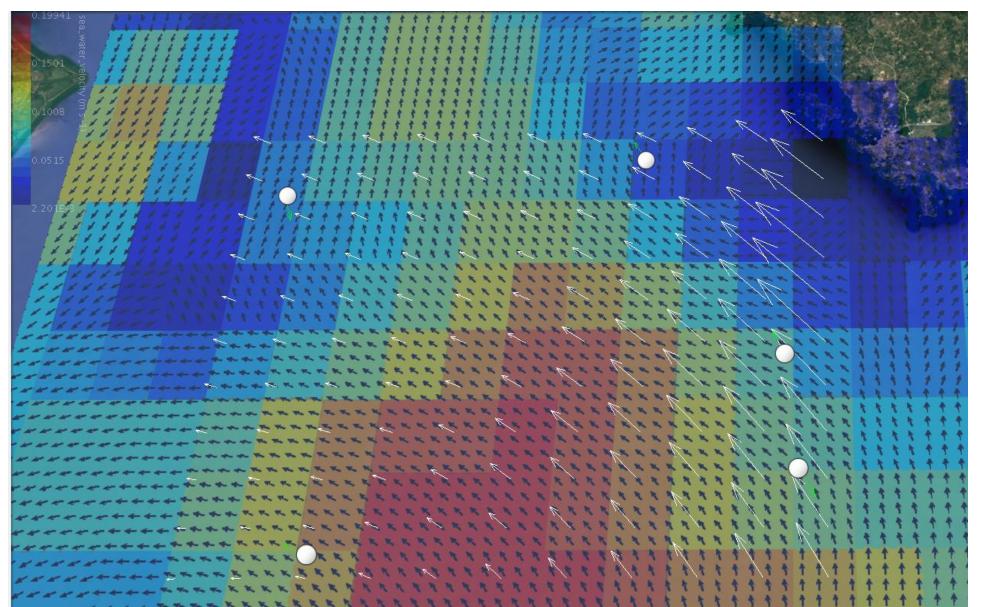
Set & Drift

Multiple vessel High resolution model



## Multiple vessel drifting approach towards metocean validation

Surface currents model analysis data vs "AIS currents"



#### Oil Pollution; Categories (vectors) of oil pollution

#### **U.S. National Academy of Sciences**

average total worldwide annual release of petroleum (oils) from all known sources to the sea has been estimated at 1.3 million tonnes

- 1. natural seeps: 46%
- discharges from consumption of oils (operational discharges from ships and discharges from land-based sources): 37%
- 3. accidental spills from ships; 12%
- 4. Operational spills; 8%
- 5. extraction of oil: 3%

## Australian Petroleum Production and Exploration Association

- 1. Land-based sources (urban runoff and discharges from industry): 37%
- 2. Natural seeps: 7%
- 3. The oil industry tanker accidents and offshore oil extraction: 14%
- 4. Operational discharges from ships not within the oil industry: 33%
- 5. Airborne hydrocarbons: 9%

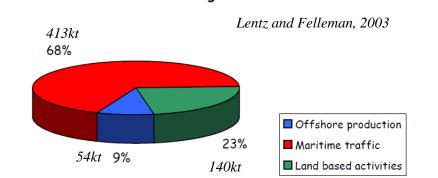
#### Group of Experts on the Scientific Aspects of Marine Environmental Protection-GESAMP

estimated a total input of oils at 2.3 million tonnes per year and ranked the sources:

- 1. Land-based sources (urban runoff, coastal refineries): 50%
- 2. Oil transporting and shipping (**operational discharges**, tanker accidents): **24%**
- 3. Offshore production discharges: 2%
- 4. Atmospheric fallout: 13%
- 5. Natural seeps: 11%

http://oils.gpa.unep.org

#### Adriatic Sea !??!



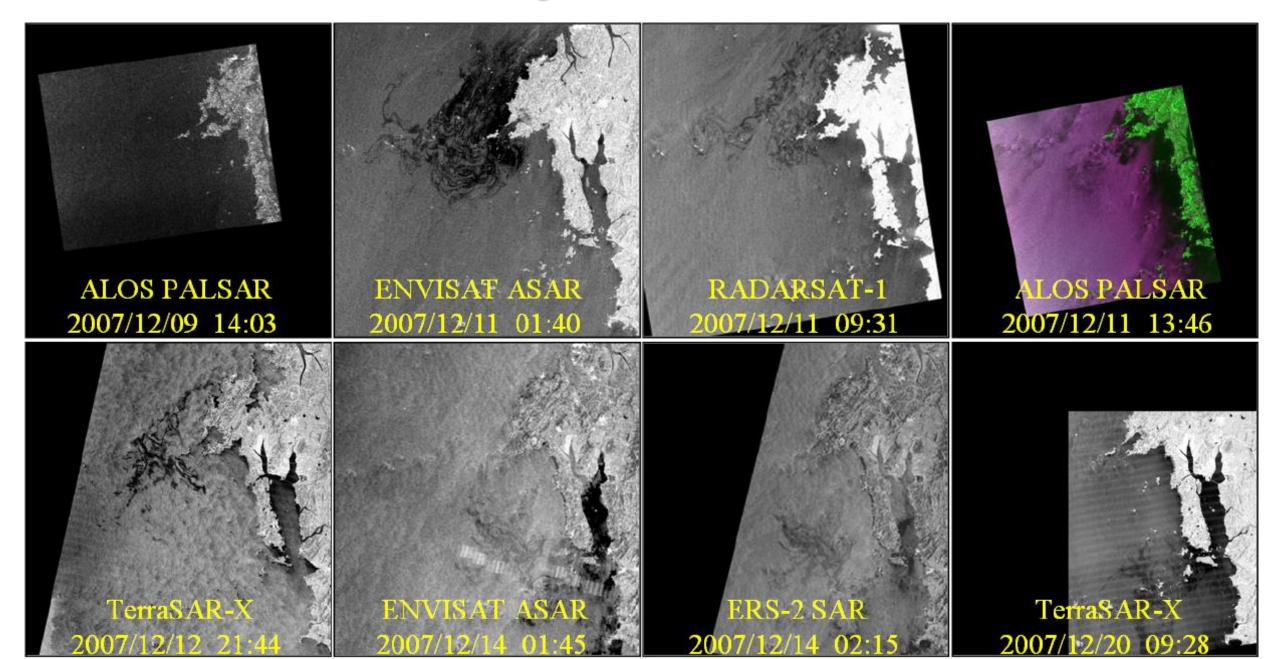
Relative importance of oil polluting sources -

Worldwide average 1990-1999

Thousands of tons of oil spilled into the Yellow Sea off the western coast of South Korea Friday after an oil tanker collided with a barge carrying a crane, the Maritime Ministry of Korea reported. About 10,000 tons of oil gushed out of the Hebei Spirit after the crane punctured holes in the side of the tanker around 7:15 a.m. local time (5:15 p.m. Thursday). The flow had stopped by Friday evening as efforts continued to contain the spill. "Because of the current wind and wave movements the maritime ministry is not expecting to see much environmental damage on the west coast of Korea," the ministry said. The spill is the largest in South Korean history, a police spokesman said, according to South Korea's Yonhap news agency. The previous record was set in 1995 when the Sea Prince struck a reef, releasing more than 5,000 tons of oil into waters off the southern coast.



### Disaster Management "South Korea Case"



## 12 December - Norway: An oil spill has been

observed on Wednesday in the Norwegian sector of North Sea near the Statfjord oilfield operated by StatoilHydro. "This could be the second largest spill in Norwegian oil history," the Petroleum Safety Authority's (PSA) spokeswoman Inger Anda said. She said the spill was estimated at 3,840 cubic meters of oil, which corresponds to 24,154 barrels of oil. The biggest oil spill ever off Norway occurred in the Bravo blowout in 1977 when around 12,000 cubic meters of oil were spilled, Anda said. StatoilHydro information director Ola Morten Aanestad confirmed that the company had had a spill at its Statfjord Alpha platform, about 200 kilometers west of Bergen, near the border of the British continental shelf. StatoilHydro said the spill was about 4,000 cubic meters, and occurred in connection with the tank ship "Navion Britannica" loading aboard oil from a loading buoy. StatoilHydro spokesman Vegar Stokset said the cause of the

spill was not immediately known but the loading had immediately been stopped. "It is a significant amount and we

are taking it seriously," said Stokset, adding that production from the field was not affected because tanker loading

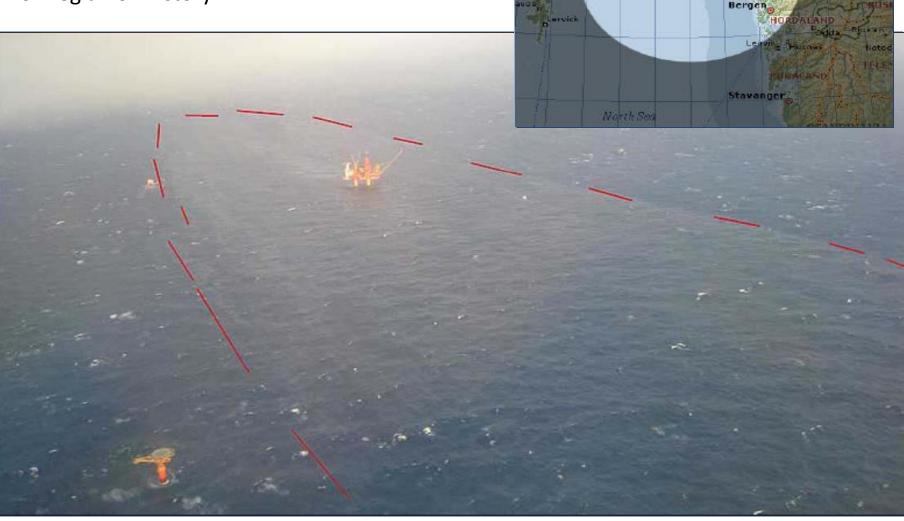
is a separate operation. The Norwegian Rescue Coordination Center South had been notified and planes and

helicopters from the Norwegian Pollution Control Authority (SFT) were deployed to get an overview of the extent

of the spill. Weather in the area is poor, with **45 knot** (51.8 pmh) winds and choppy seas. Meteorologist Hilde Holdhus at the Storm Weather Center said the conditions were good for seabirds, as they would prevent the oil being blown inland. The winds will make it more difficult **3** for aircraft to get an overview of the situation.

### Statfjord Alpha

### Second largest spill in Norwegian oil history



Alesund

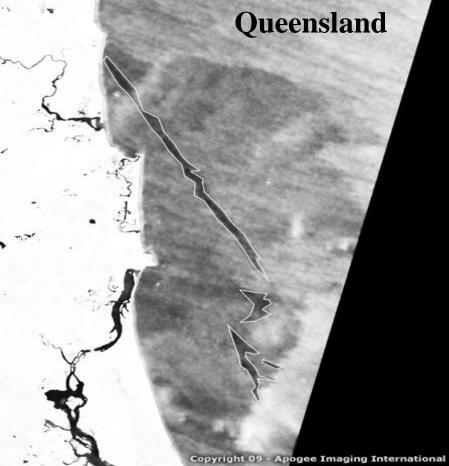
NOR!

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ARCTIC OCEAN

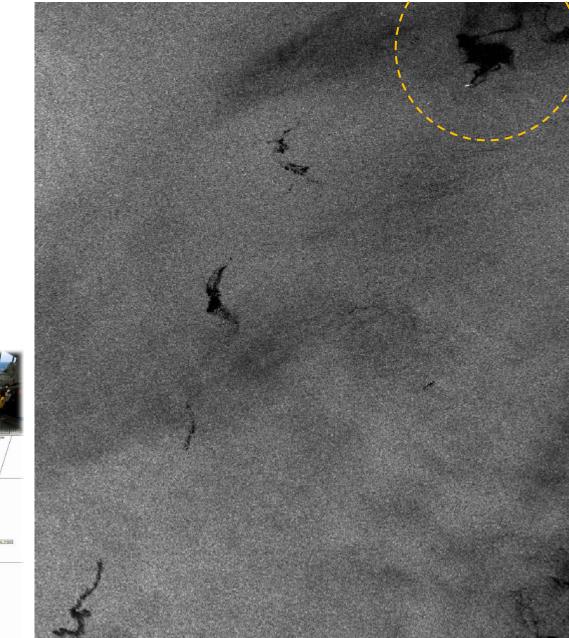




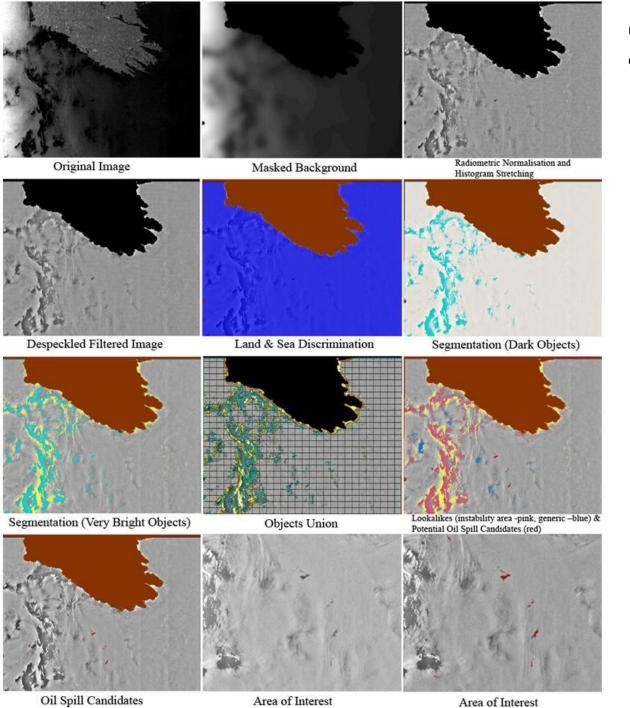


### Russian Navy; Underway fuel replenishment (Bunker)

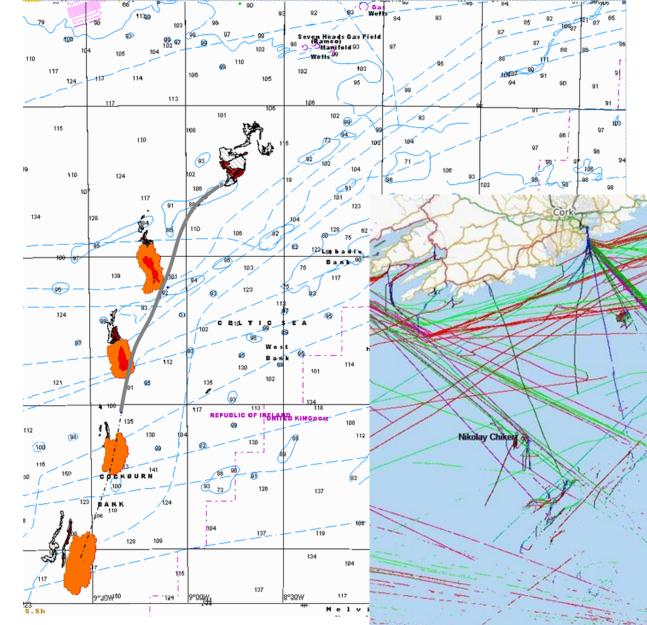
accident off the southern Irish coast.







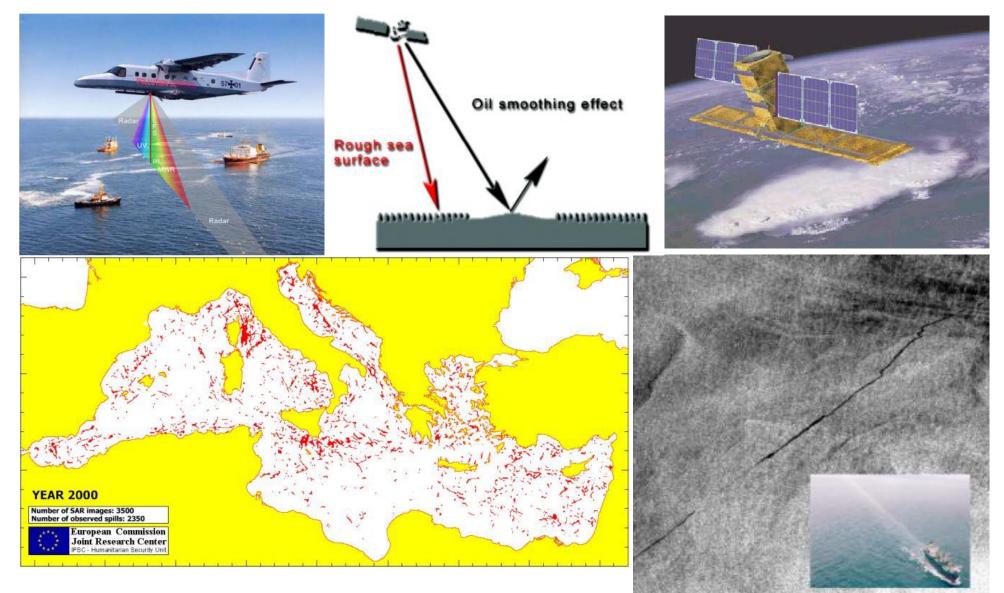
(i) image *pre-processing*, (ii) image *segmentation*, (iii) *feature extraction*, and (iv) *classification*.

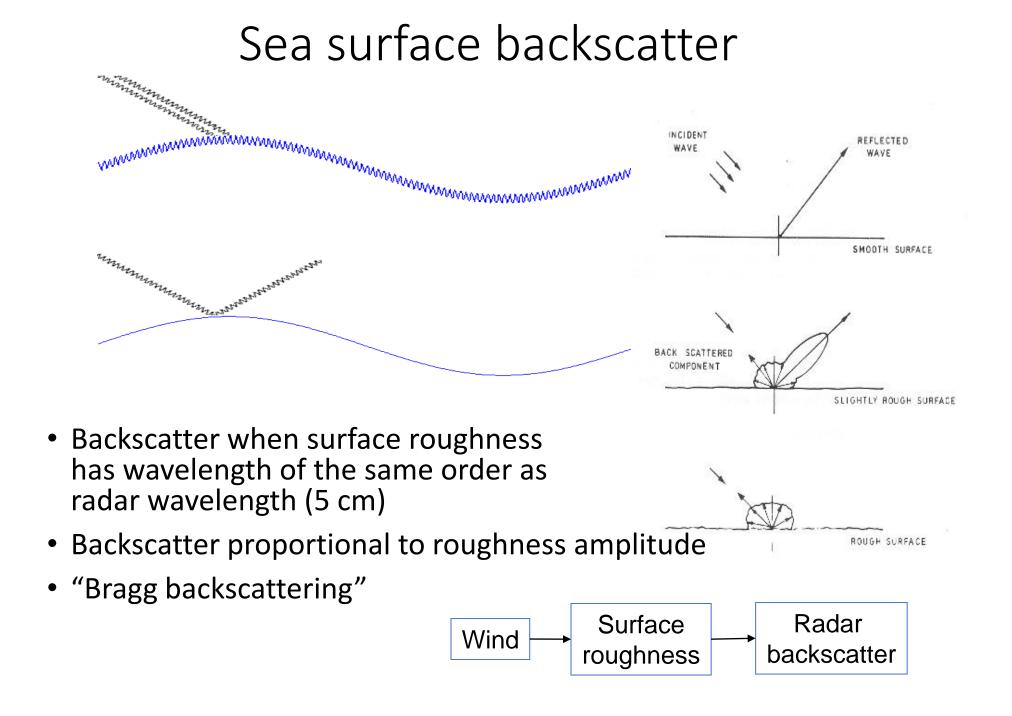


# **Operational pollution**

reduction/elimination; can navigational/informational and surveillance technology contribute?

Monitoring (EMSA – CleanSeaNet Service)

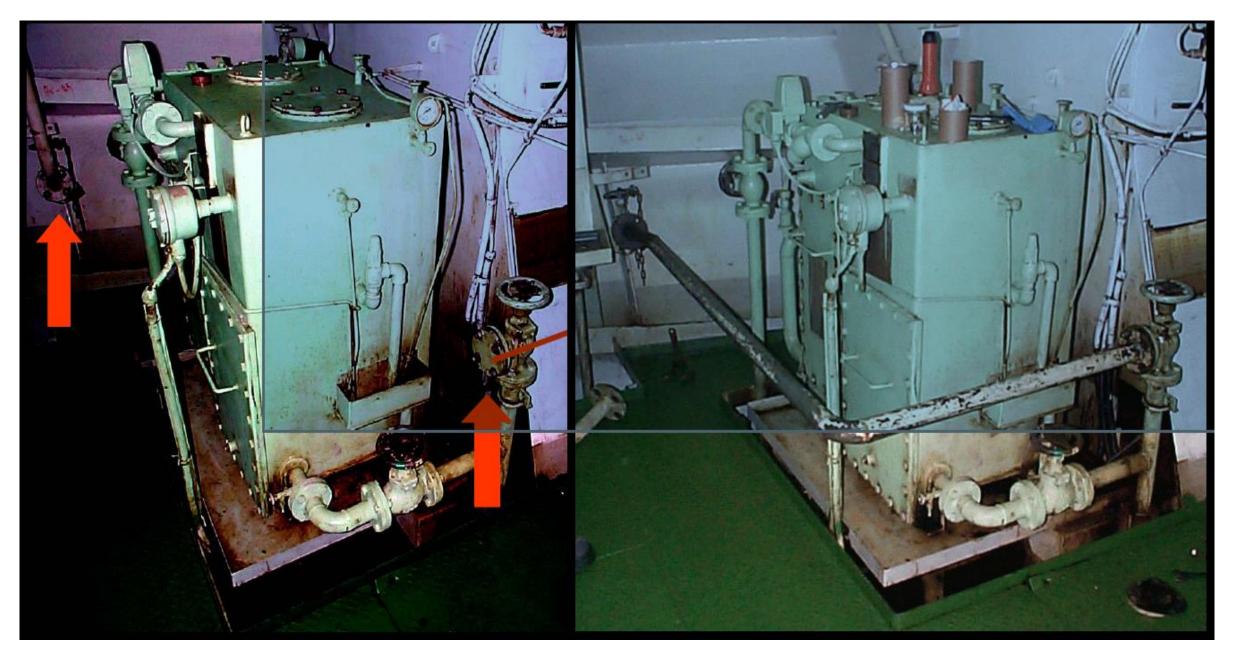






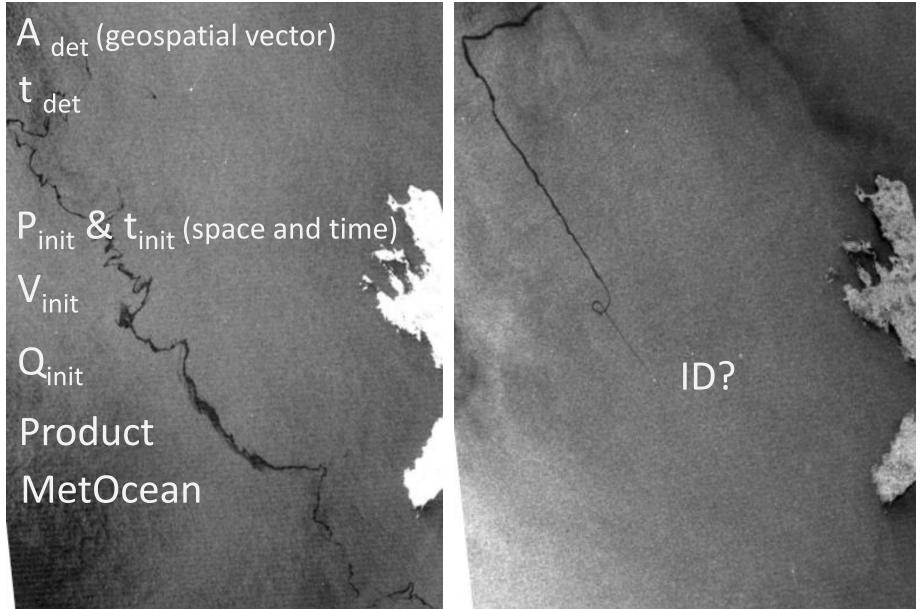


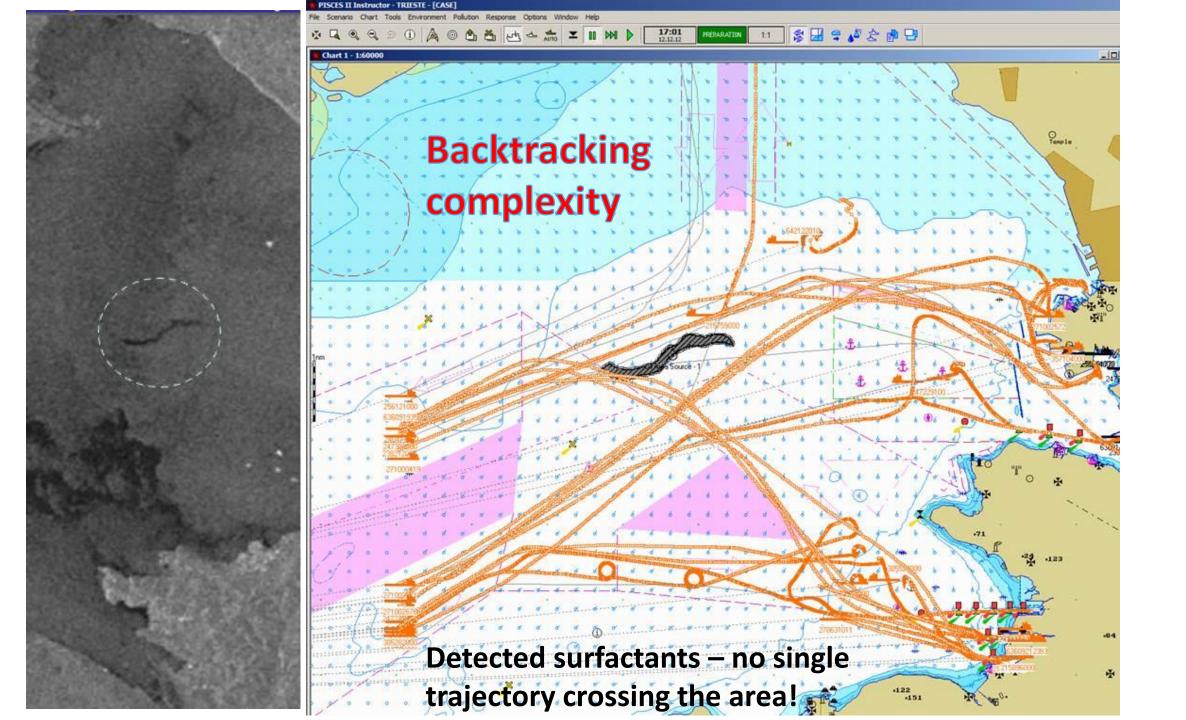
# **Most Common Violation**



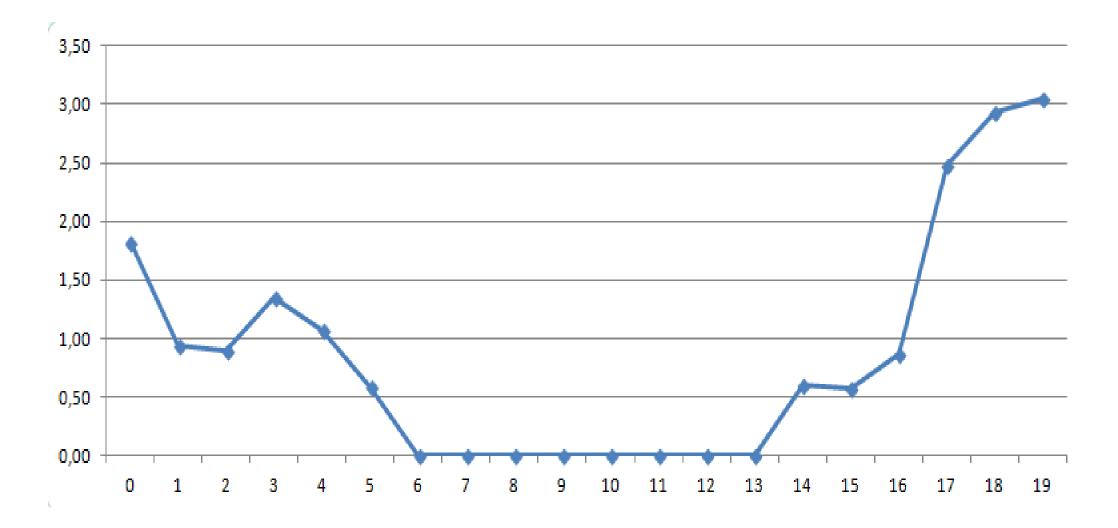
#### **Motivation:**

### **Operational pollution and polluter identification challenges'**

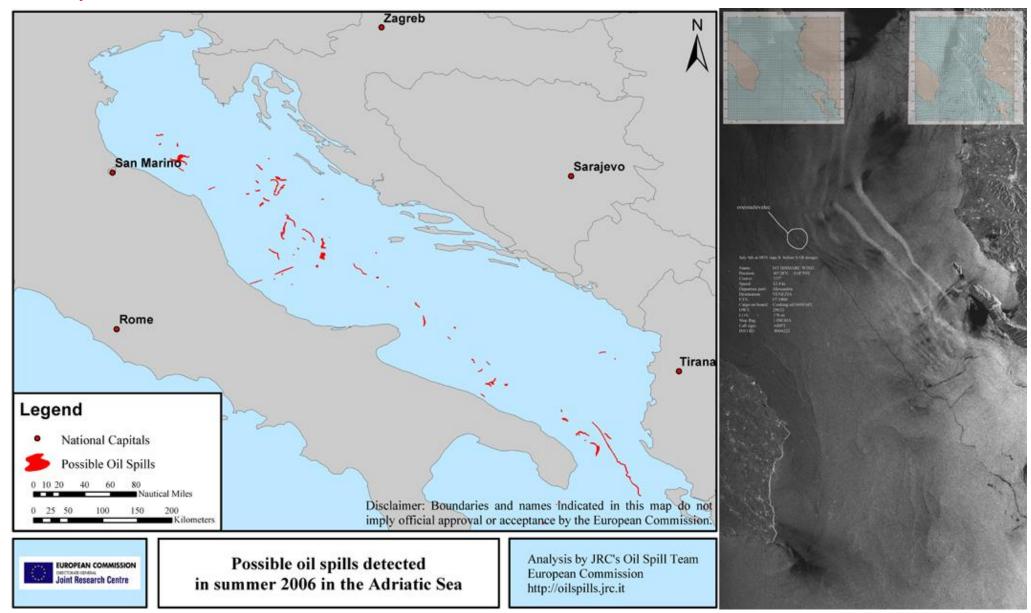




## Redhanded/100 fh vs TAKE-OFF time (UTC)



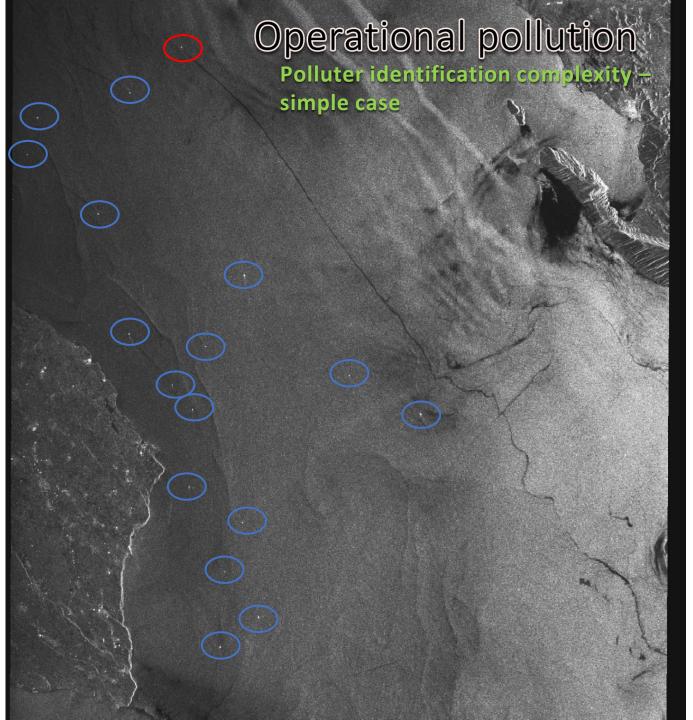
Néstor Perales septiembre de 2023

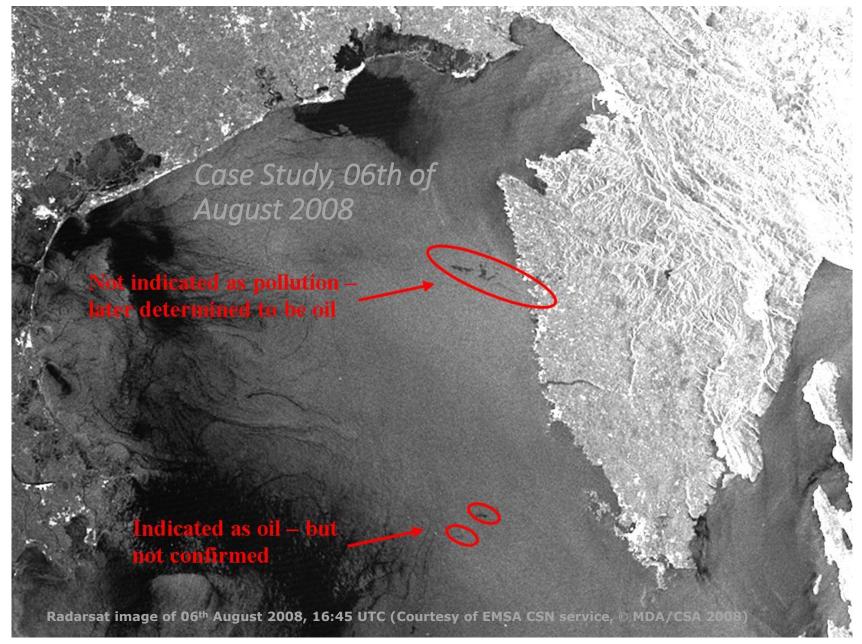


### Simple identification "red handed case"; without AIS

July 6th 2006 0928 GMT

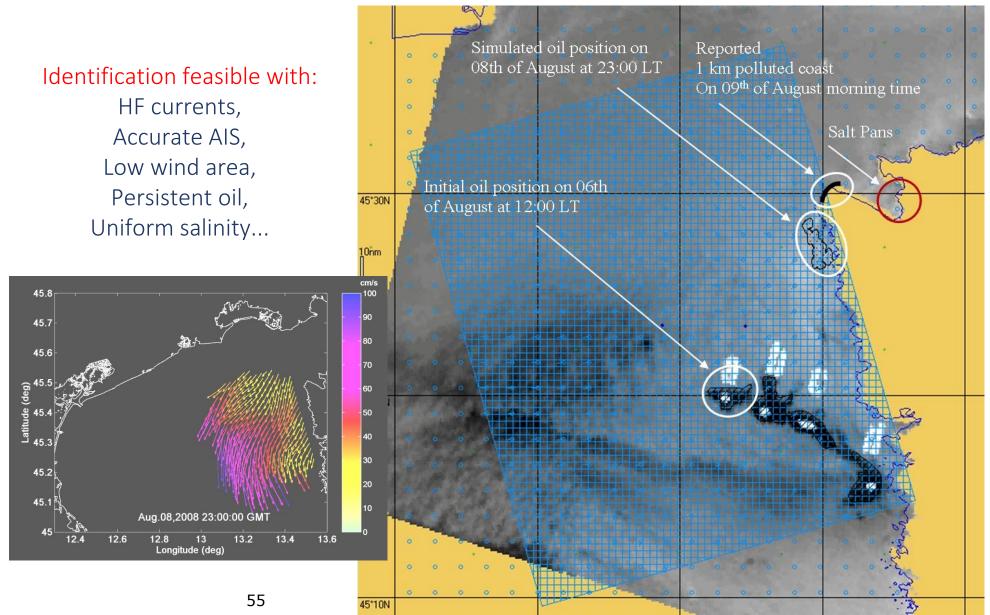
Identification of "potential" polluter integration of ADRIREP information system with SAR image





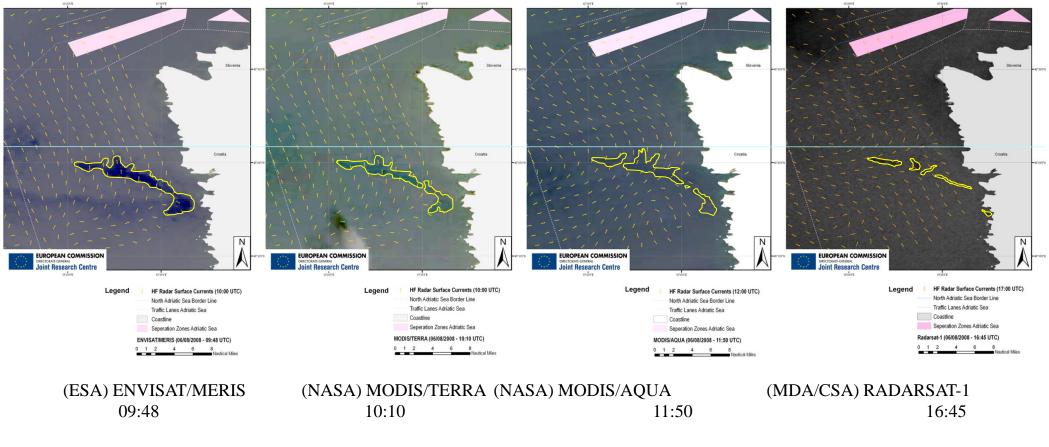


#### Highly weathered oil slick Respond and backtracking issue "**dt 60 h**"



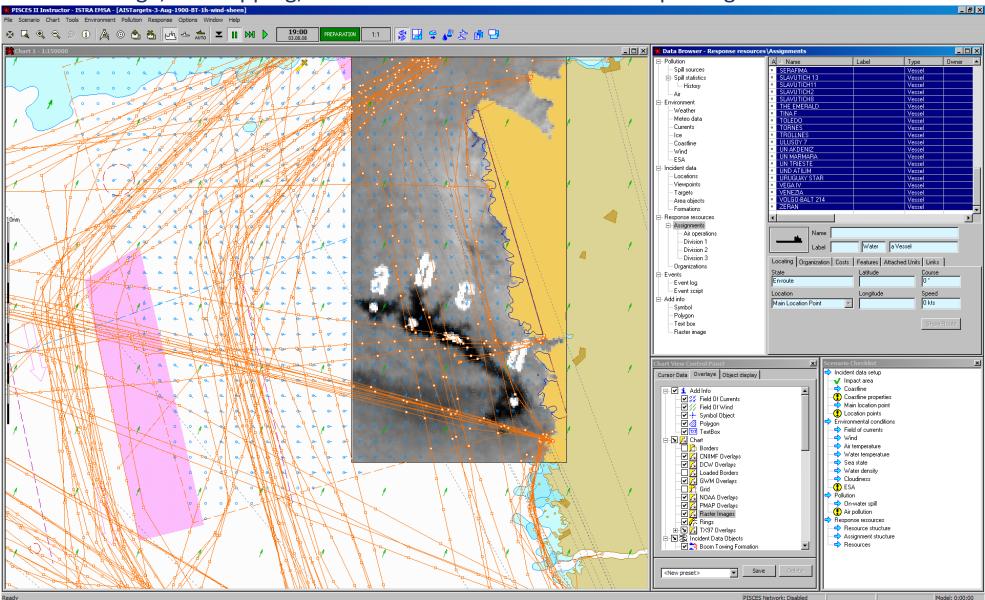
## **HF currents validation**

"finger print vs. sensor, time, respond and HF currents"



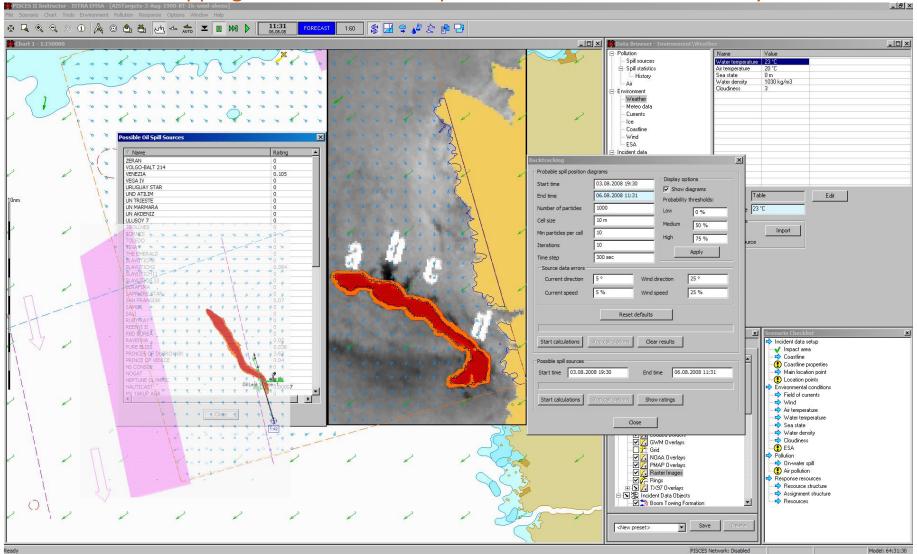
### **INTEGRATION**

Sat image, AIS shipping, HF currents and Wind Stress on top Navigational chart

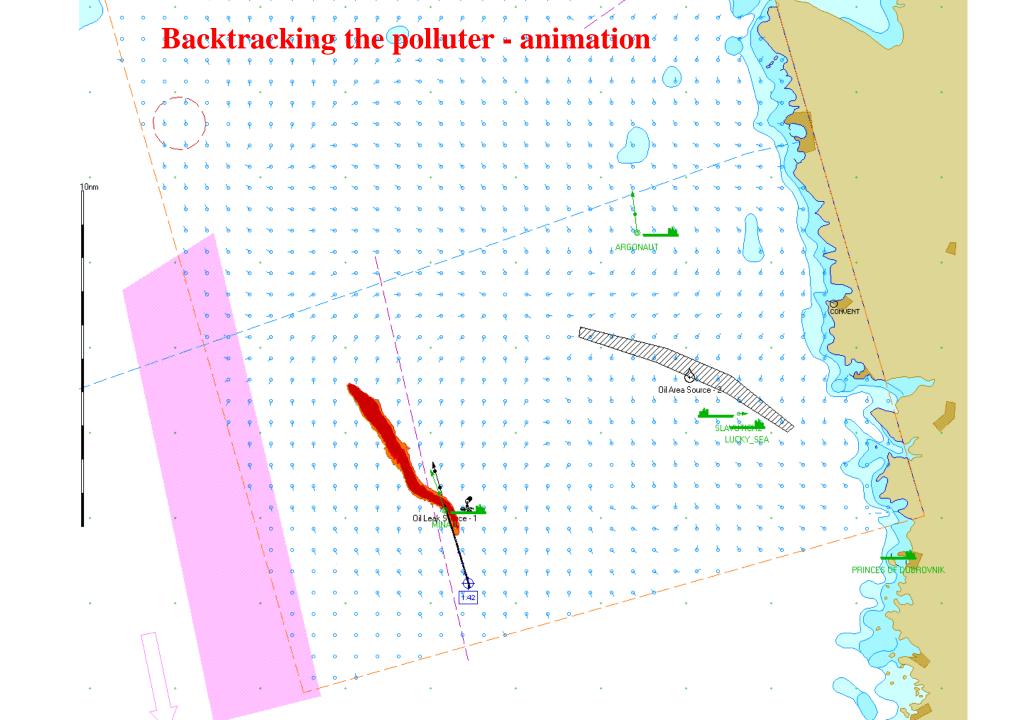


## **Backward and Foreward Simulation**

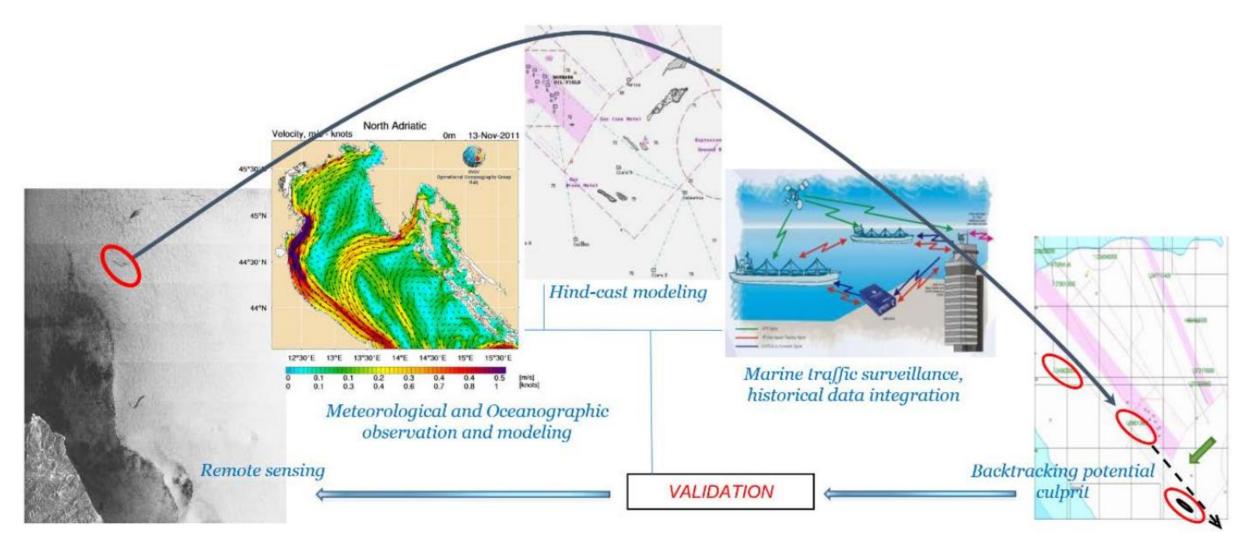
Backward (Hindcast) modeling and Foreward Simulation based on HF Radars and VTS shipping database increase polluter identification feasibility!



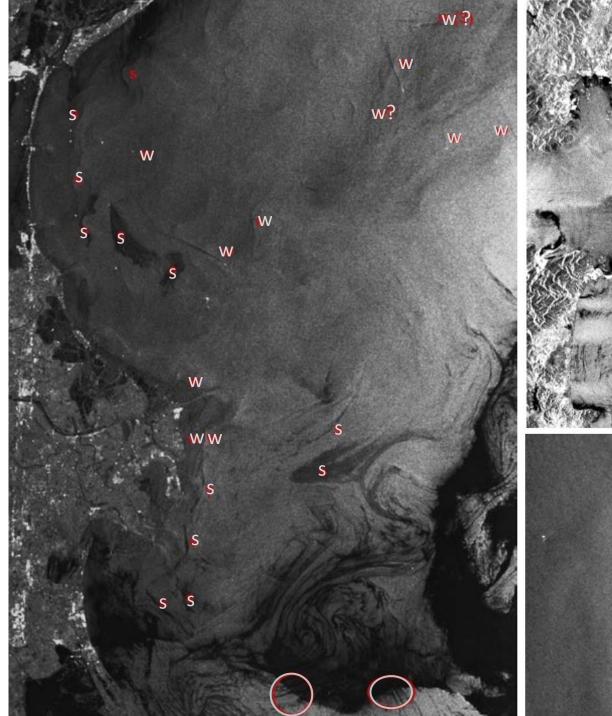
58



# Backtracking concept

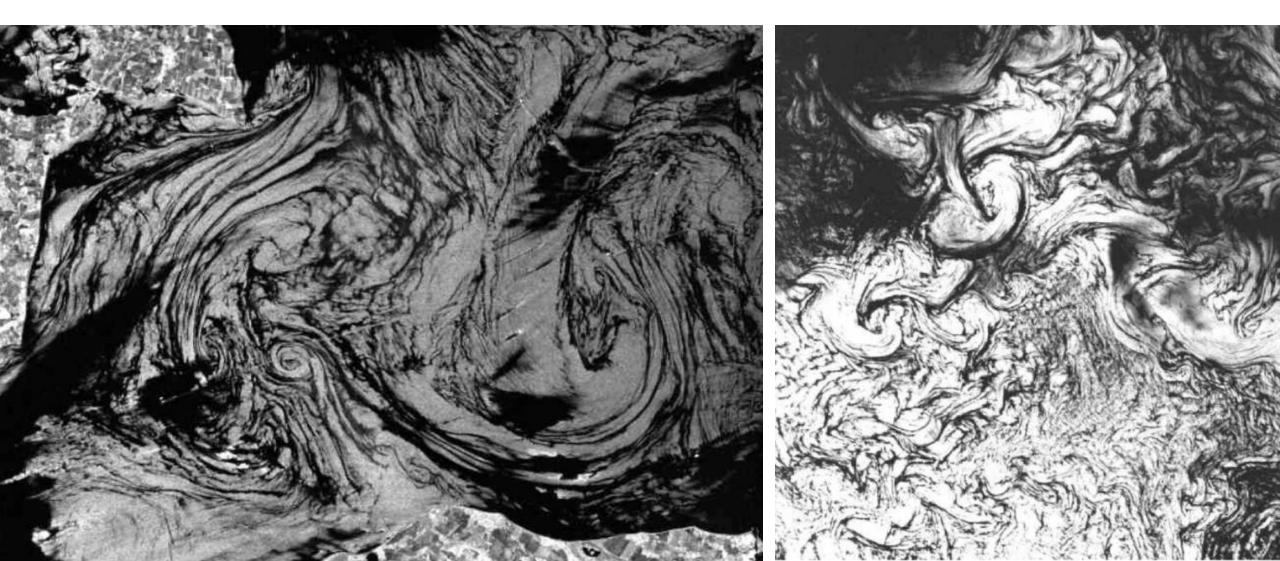


wind, waves and currents are needed





# **Biogenic slicks**



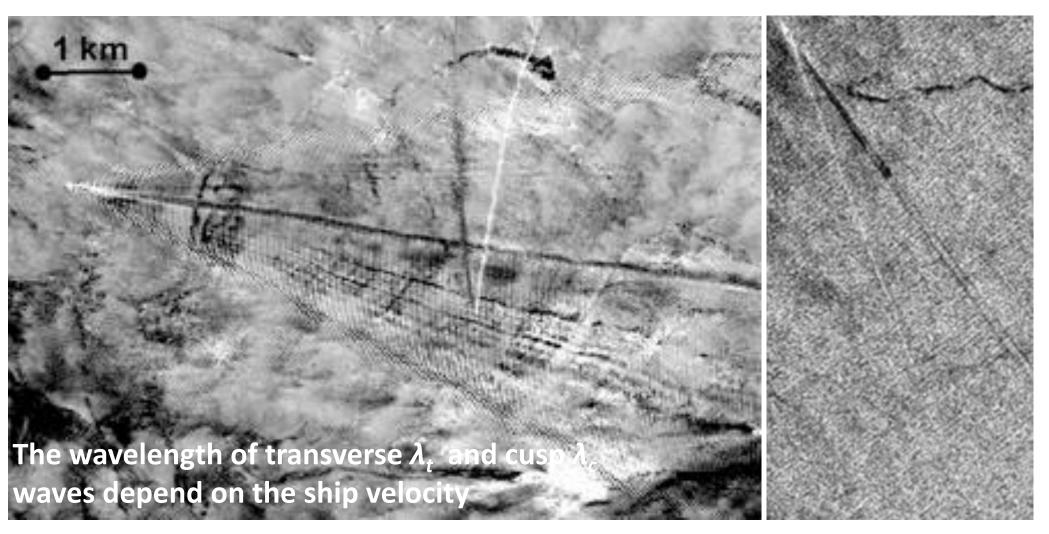
width of wake [m]

Spill signatures Amorphous Small operational releases  $\rightarrow$ Old spills Broad distorted typical spreading rates Old spills Angular equal to time raised to the 0.6 power (t<sup>0.6</sup>) Linear spills Straight 00:00 Linear spills Narrow-straight 100 200 300 400 500 600  $W = 17.986 \ X \left(\frac{\rho \ U^3 \ X^2}{P}\right)^{-0.44}$ 01:00 Ship wake width 136 m 02:00 106 m Oil spread exceeds the wake boundary

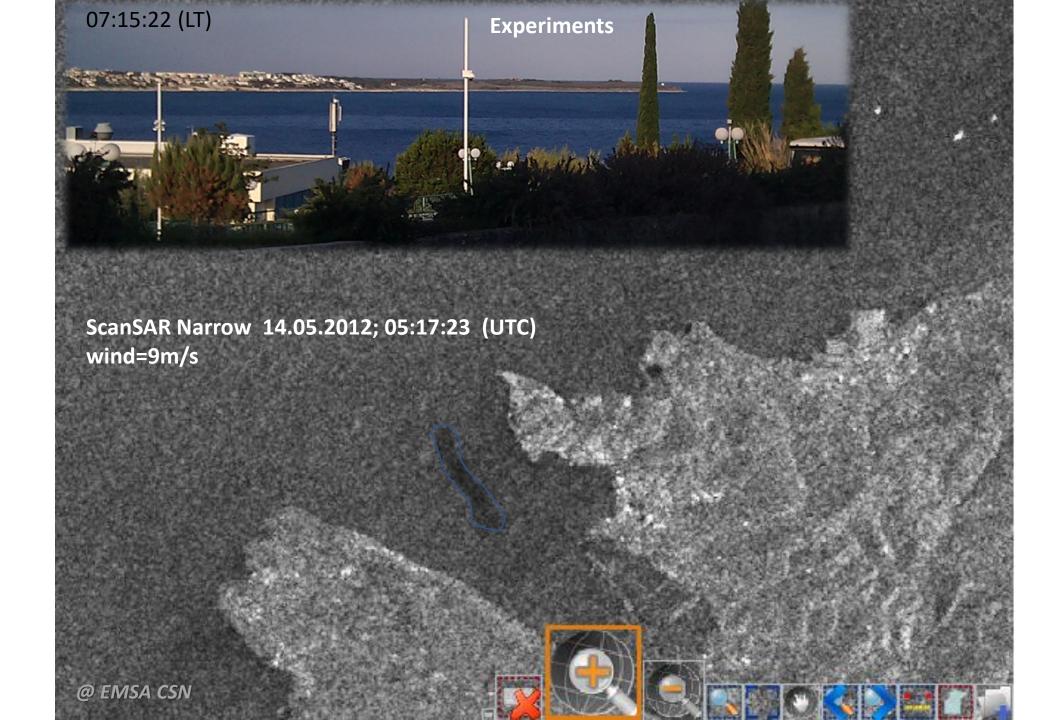


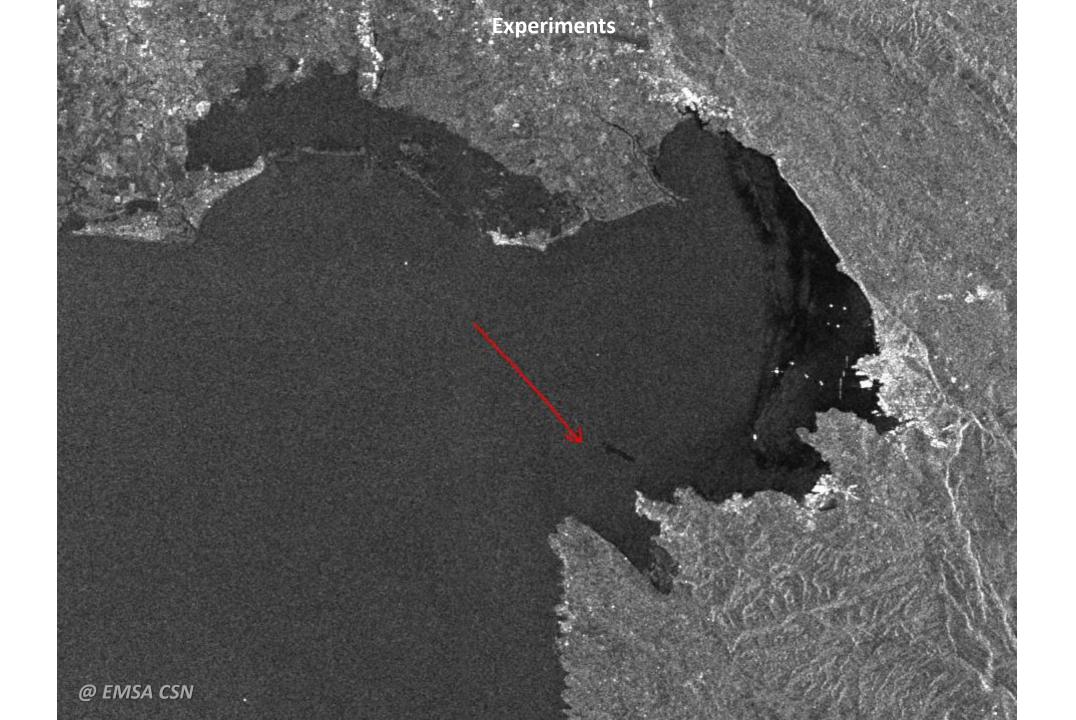
# "Identification" of noncooperative vessels

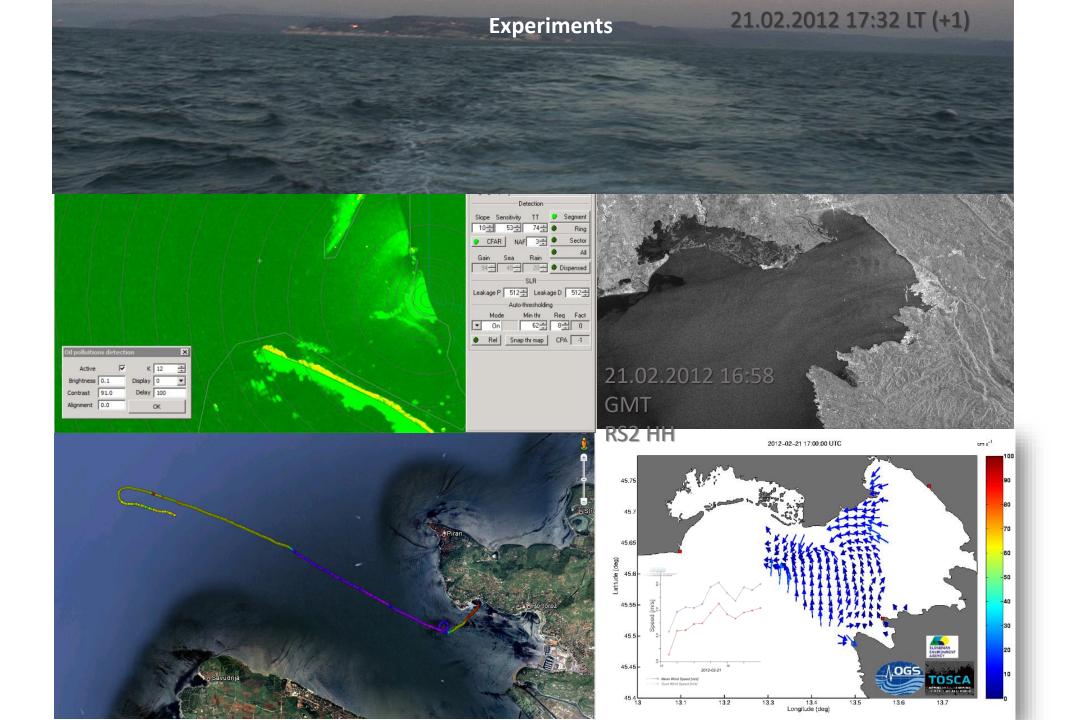
Ship speed from SAR observation – wake signatures

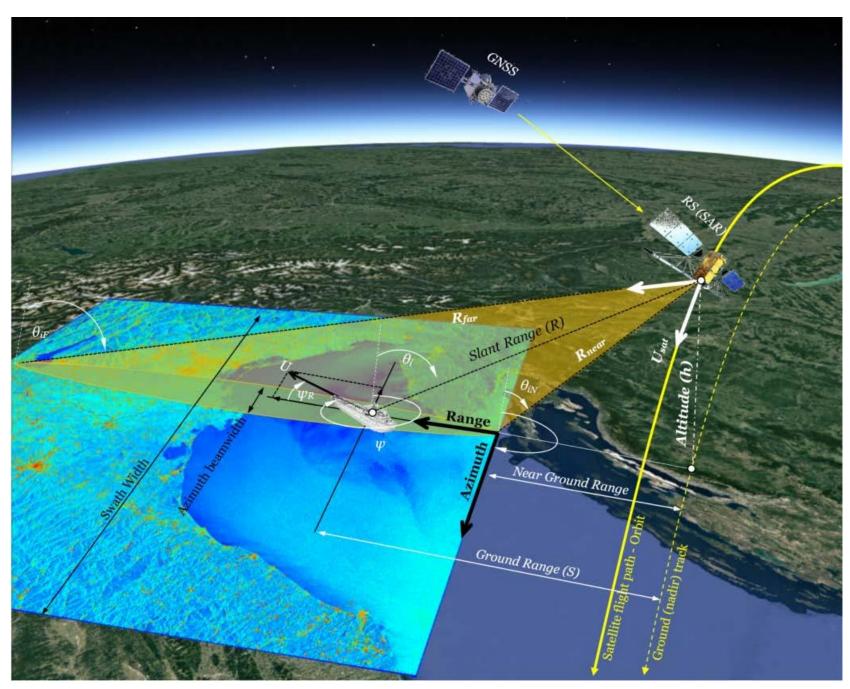


$$\lambda_{_t} = rac{2\pi U^2}{g} ~~and~~\lambda_{_c} = rac{4\pi U^2}{\sqrt{3g}}$$





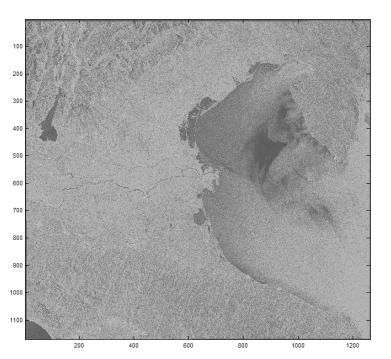


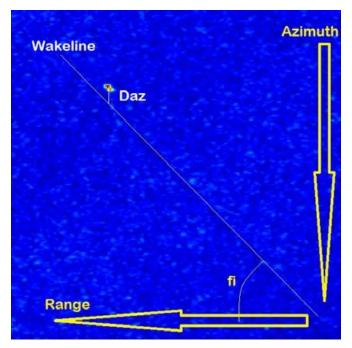


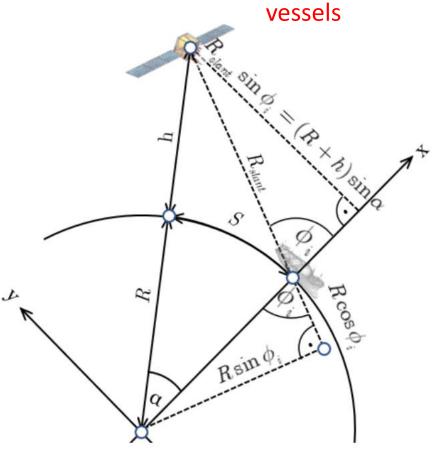
# "Identification" of noncooperative vessels

### "Identification" of noncooperative

### Ship speed from SAR observation – Doppler shift







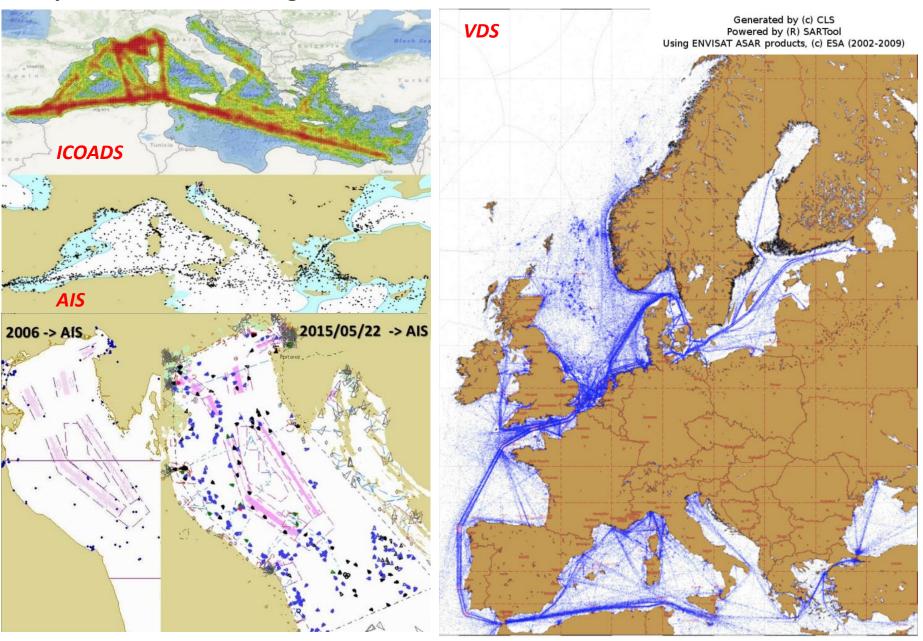
The radius of Earth R, height h to satellite and near range slant angle

Wakeline Daz

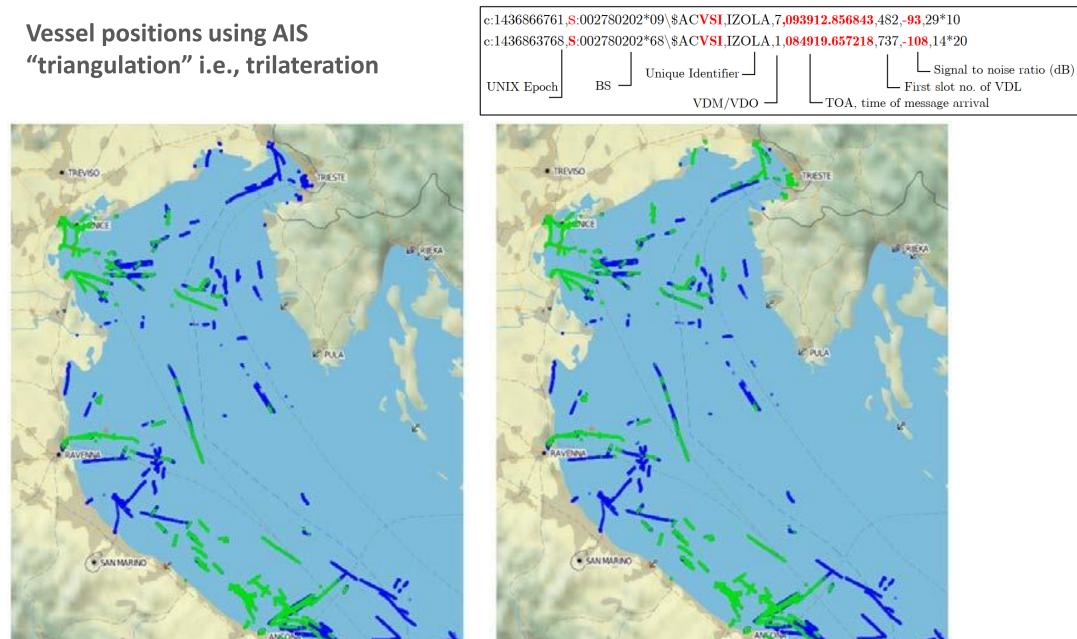
$$\begin{aligned} x^{2} + y^{2} &= \left(R + h\right)^{2} \\ y &= \left(x - R\right) \tan \phi_{i} \qquad x = R \sin^{2} \phi_{i} + \cos \phi_{i} \sqrt{\left(R + h\right)^{2} - R^{2} \sin^{2} \phi_{i}} \qquad \cos \alpha = \frac{x}{R + h} \\ R_{slant} \sin \varphi &= \left(R + h\right) \sin \alpha \qquad R_{slant} = \sqrt{R^{2} + \left(R + h\right)^{2} - 2R\left(R + h\right) \cos \alpha} \qquad S = R \cdot \alpha \\ \alpha &= \sin^{-1} \left(\frac{R_{slant}}{R + h} \sin \phi_{i}\right) \qquad U = \frac{D_{az}}{R_{slant} \cdot U_{sat}^{-1} \cdot \sin \phi_{I} \cdot \cos \psi_{R}} \end{aligned}$$

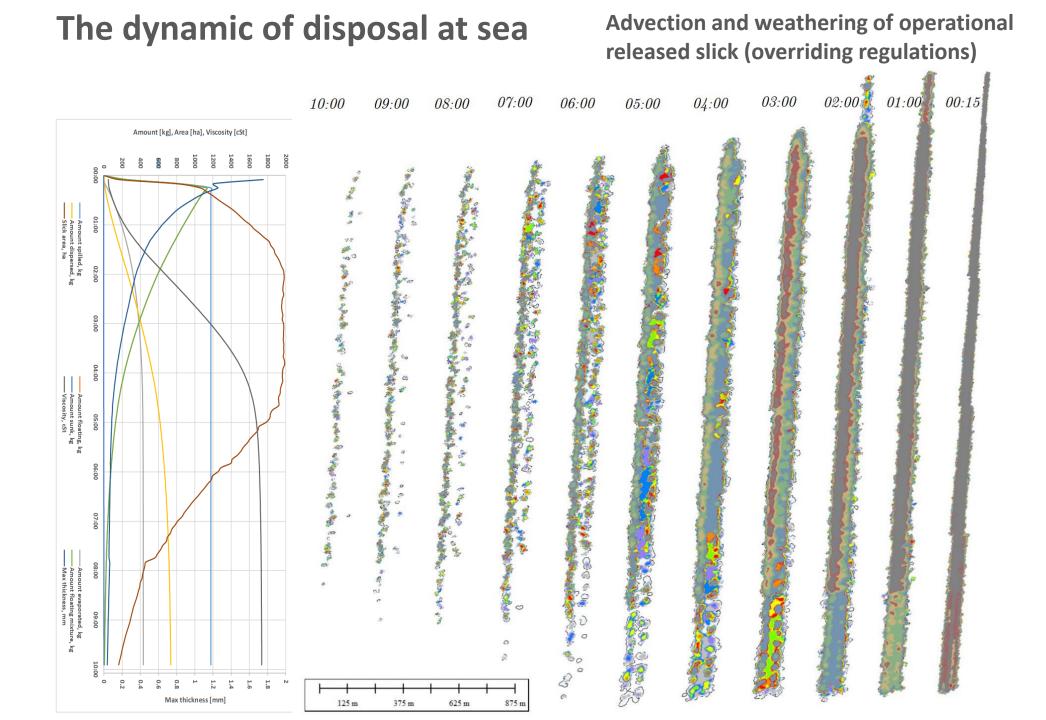
# "Identification" of noncooperative vessels

Vessel positions shown using ICOADS, VDS and AIS



# "Identification" of noncooperative vessels





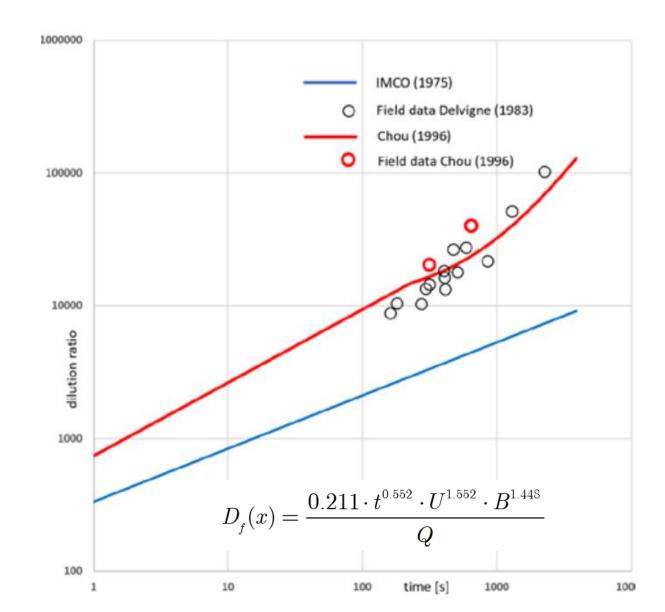
#### The dynamic of disposal at sea

#### Wake effect

#### Dilution and concentration at a point in the wake

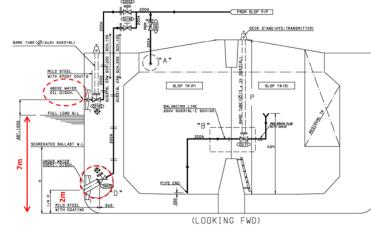
IMCO - dilution effected in three different stages:

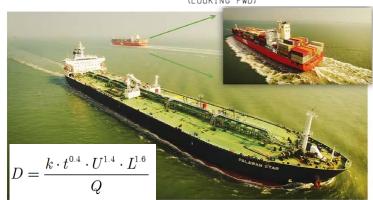
- turbulent mixing in the ship's boundary measurable in seconds,
- (ii) in the second stage after about 20 minutes - due to the turbulent mixing, the concentration is declining rapidly, and
- (iii) dilution due to subsequent diffusion into the surrounding sea will occur.



#### some detected pollutions are not necessarily illegal

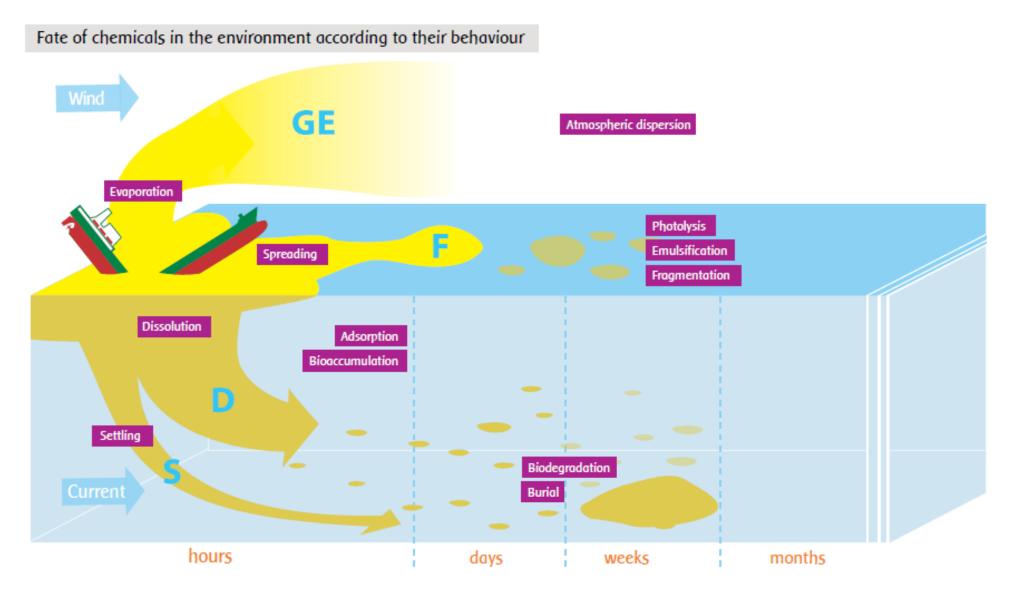






Requirement	МА	RPOL Ann	MARPOL Annex II (1/1/2007)											
Pollution	A	В	с	D	х	Y	Z							
Category <sup>[2]</sup>	Major Hazard	Hazard	Minor Hazard	Recognizable Hazard	Major Hazard	Hazard	Minor Hazard							
Maximum	N	IARPO	L Annex	Π	Ship Details									
Residue After Stripping						Other								
X <sup>[1]</sup> < 1/7/86 (BCH Ships)	Not	300 +50* liters#	900 +50* liters#	No minimum	300 +50* liters <sup>#</sup>	300 +50* liters#	900 +50* liters <sup>#</sup>	If "Z" and ir IBC Ch.18, empty to maximum extent						
1/7/86 ≤ X <sup>[1]</sup> < 1/1/2007 (IBC Ships)	Applicable	100 +50* liters#	300 +50* liters <sup>#</sup>	No minimum	100 +50* liters#	100 +50* liters#	300 +50* liters#	lf "OS" and in IBC Ch.18, not applicable						
$X^{[1]} \ge 1/1/2007$ (IBC Ships)		Not A <sub>f</sub>	oplicable		75 liters#	75 liters <sup>#</sup>	75 liters#							
	*	performar	nce test tol	erance <sup>#</sup> perfo	ormance test r	equired								
Discharge														
Concentration	Any residue to reception facility, except 0.1% by weight or below.	≤ 1 ppm in the wake astern of the ship	≤ 10 ppm in the wake astern of the ship	≤ 1 part NLS to 10 parts water	Any residue to reception facility, except 0.1% by weight or below.	Any residue to sea	Any residue to sea							
En route		≥71	nots		≥ 7 Knots									
Piping Outlet Location	Underw	ater	Not A	Applicable	Underwater (not mandatory for ships with X [1] <1/1/2007 carrying Cat. Z)									
Nearest land	$\geq$ 12 nautic	al miles ar	nd water d	epth ≥ 25m	$\geq$ 12 nautical miles and water depth $\geq$ 25 m									
Pollution Catego				ncement date of not listed, the										

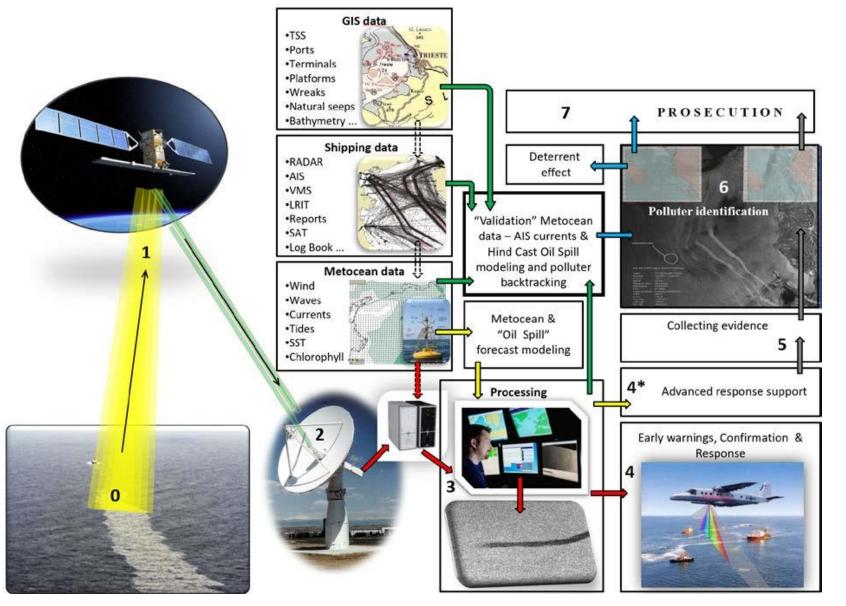
# HNS Weathering

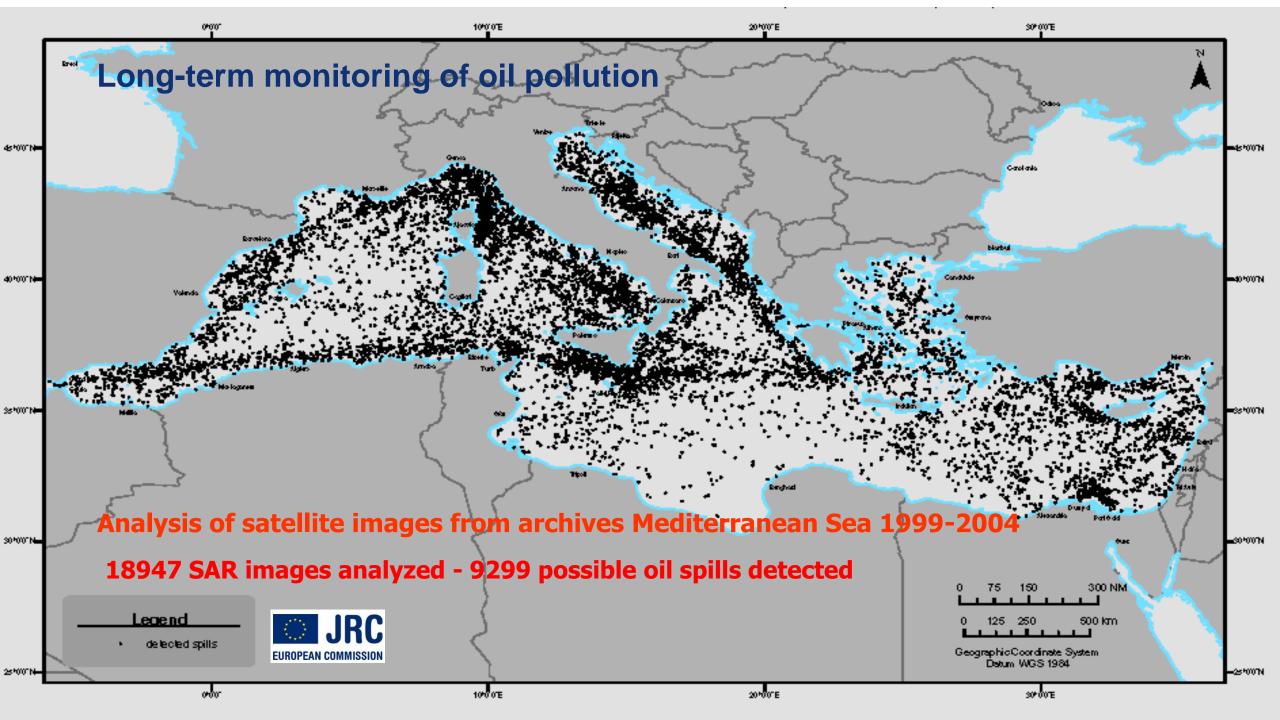


#### **Polluter identification**

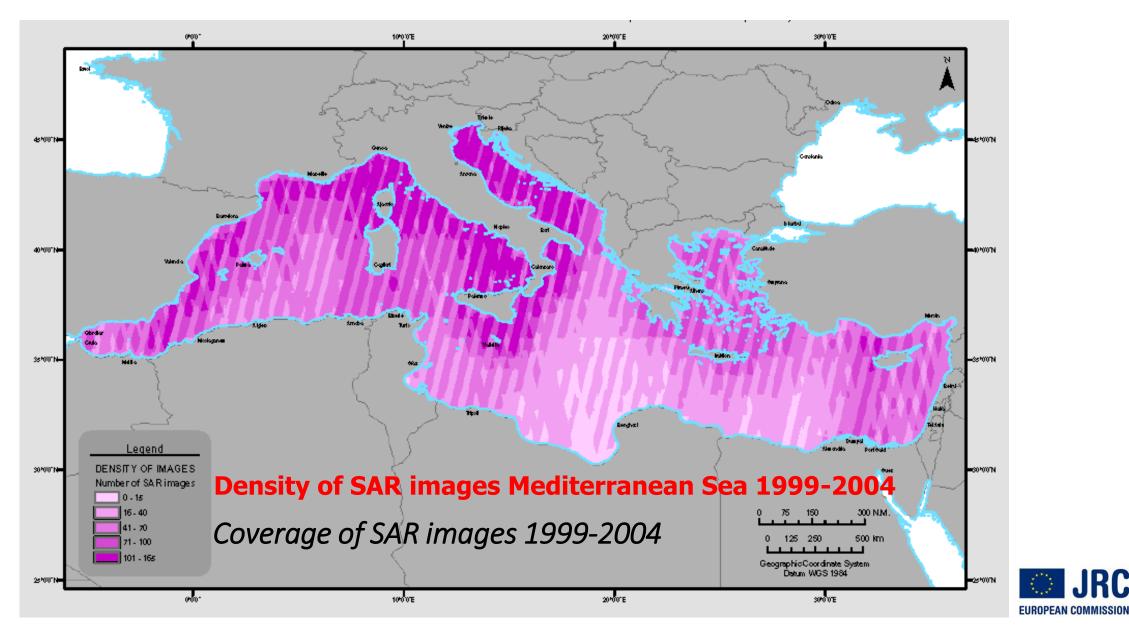
Concept

Advanced backtracking model, based on GIS contextual data, the shipping data, and the metocean data



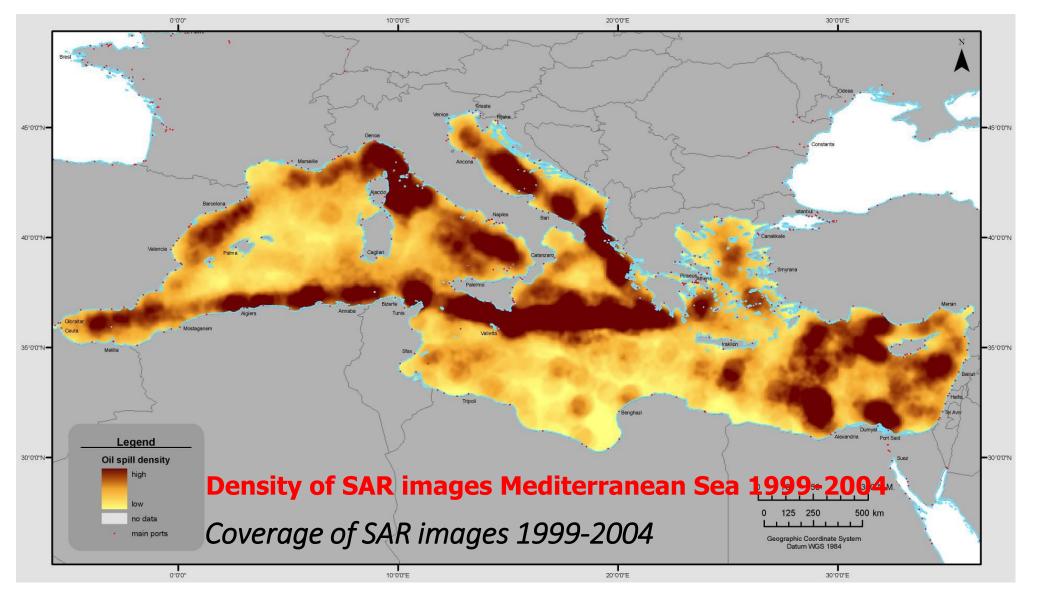


#### Long-term monitoring of oil pollution

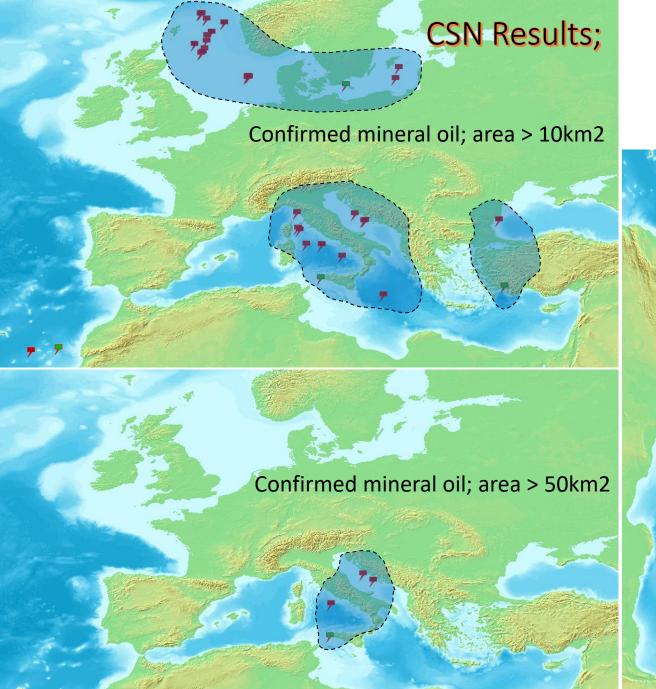


JRC

#### Long-term monitoring of oil pollution

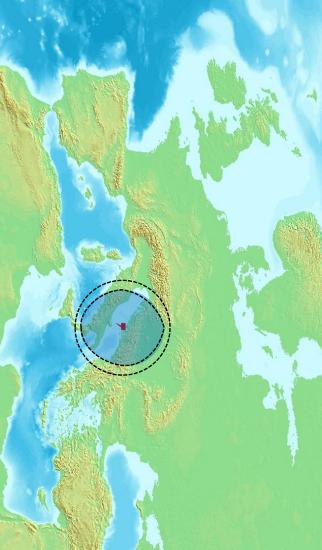


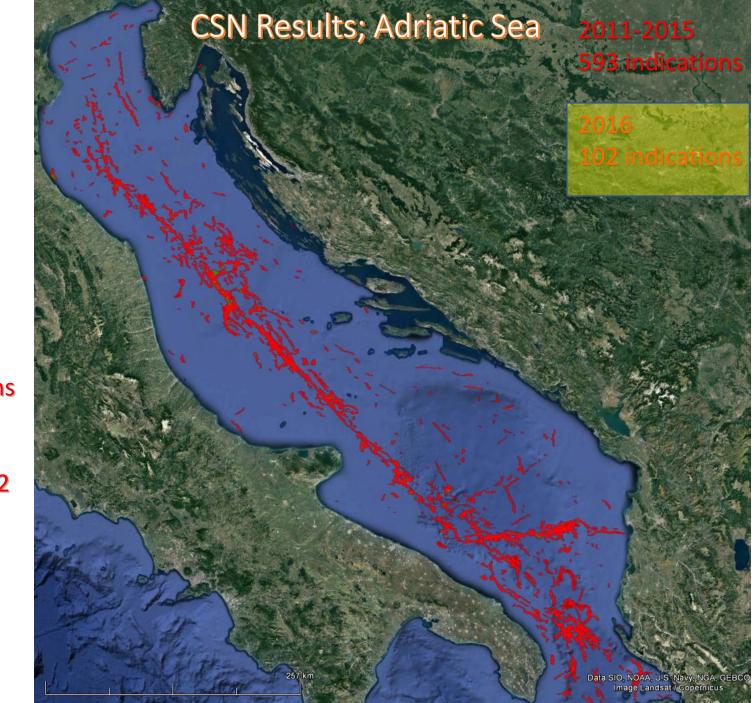




### large slicks

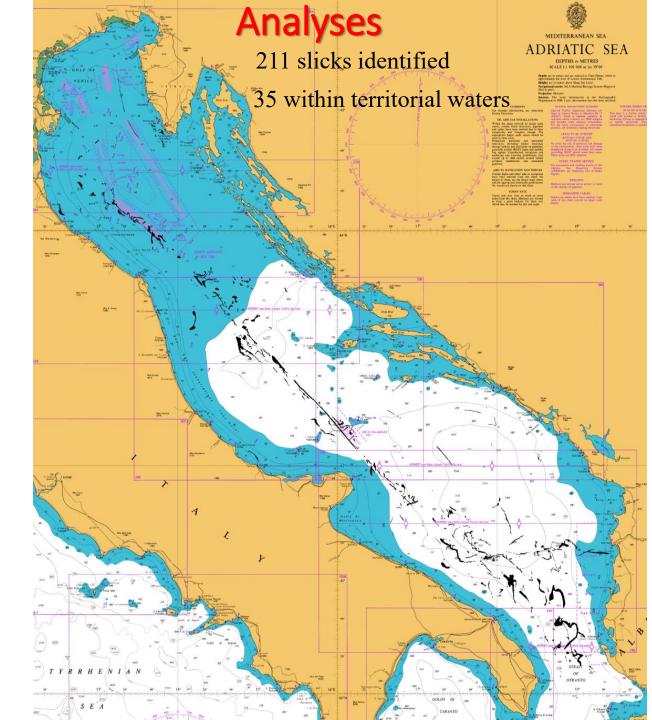
#### Confirmed mineral oil; area > 100km2



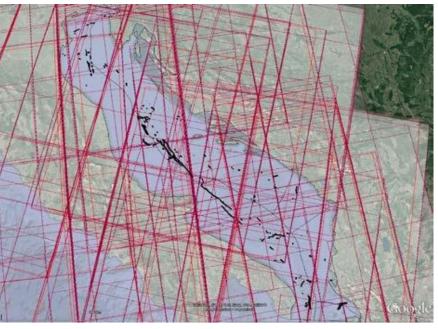


2016 102 indications

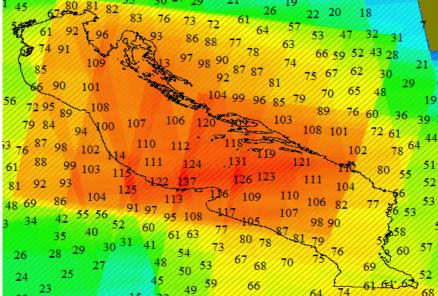
34; < 1 km2 56; 1 – 10 km2 9; > 10 km2 3; > 50 km2



328 images acquired



#### Coverage calculated



### 2014-2015 analyses

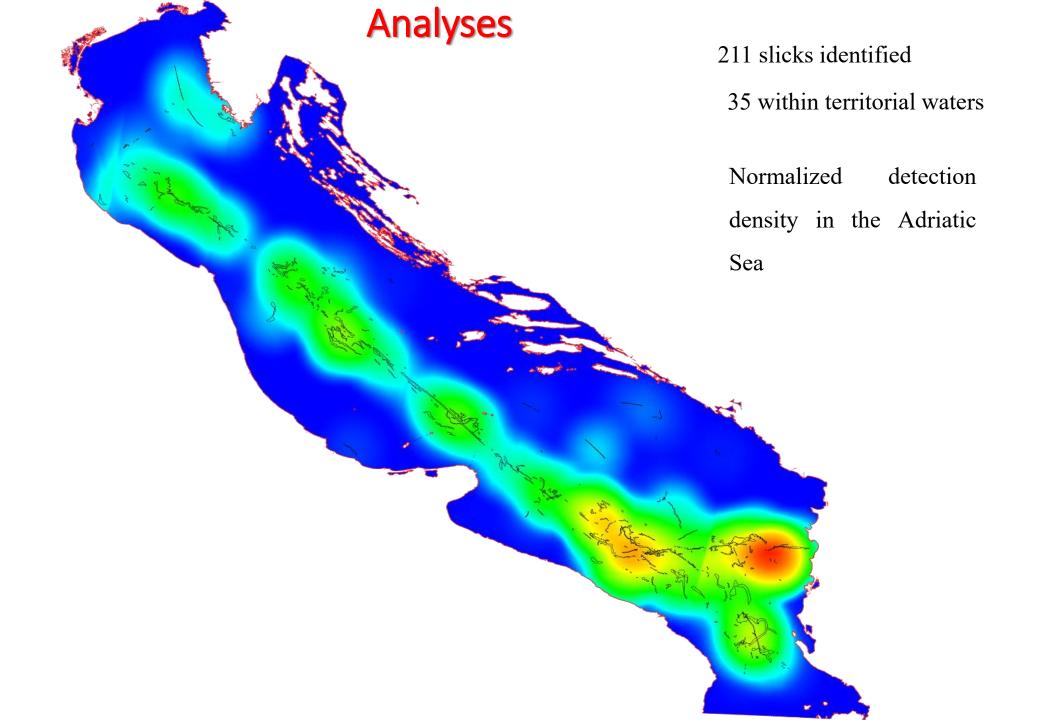
211 slicks identified35 within territorial watersProbable spills anddetection density in theAdriatic Sea

distribution qualified by perimeter

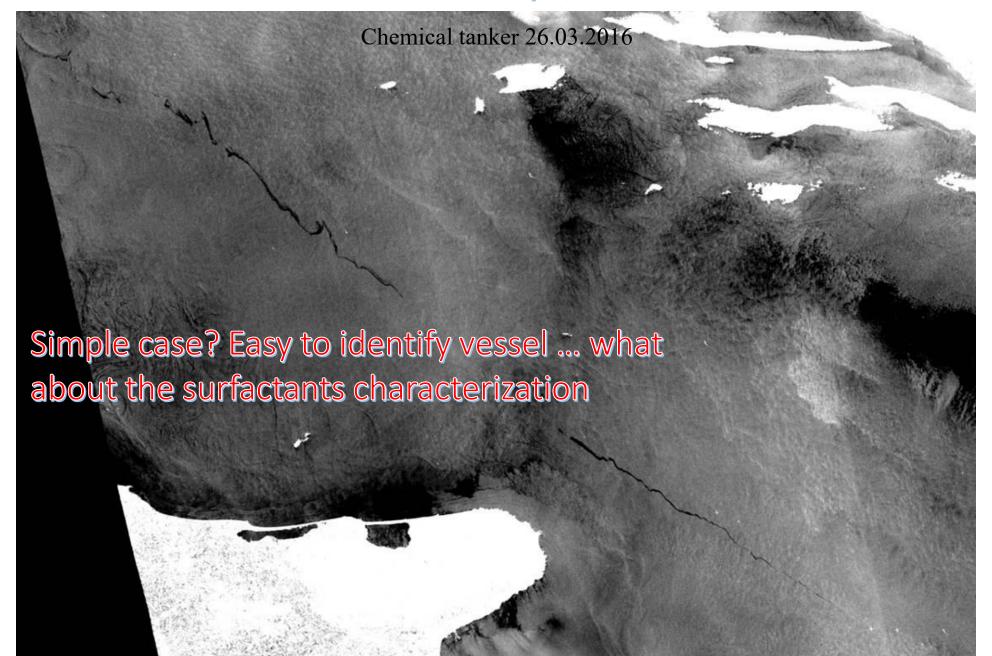
distribution qualified by area

> The circular and convex-shaped slicks are probably more related to offshore discharges or identify older, broken spills.

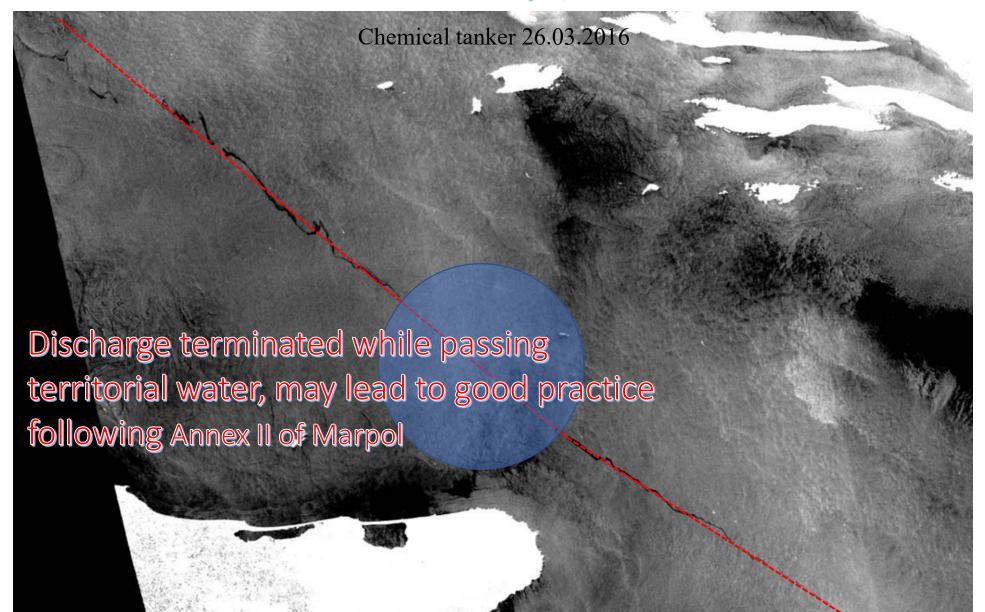
More frilly and irregular outline, suggesting they were caused by vessel discharges.



### Case Study I; Annex II



### Case Study I; Annex II



### **CONSEQUENCE'S** AVERAGE CLEANING COSTS AND EXPECTED OIL RELEASE



### Operational or accidental

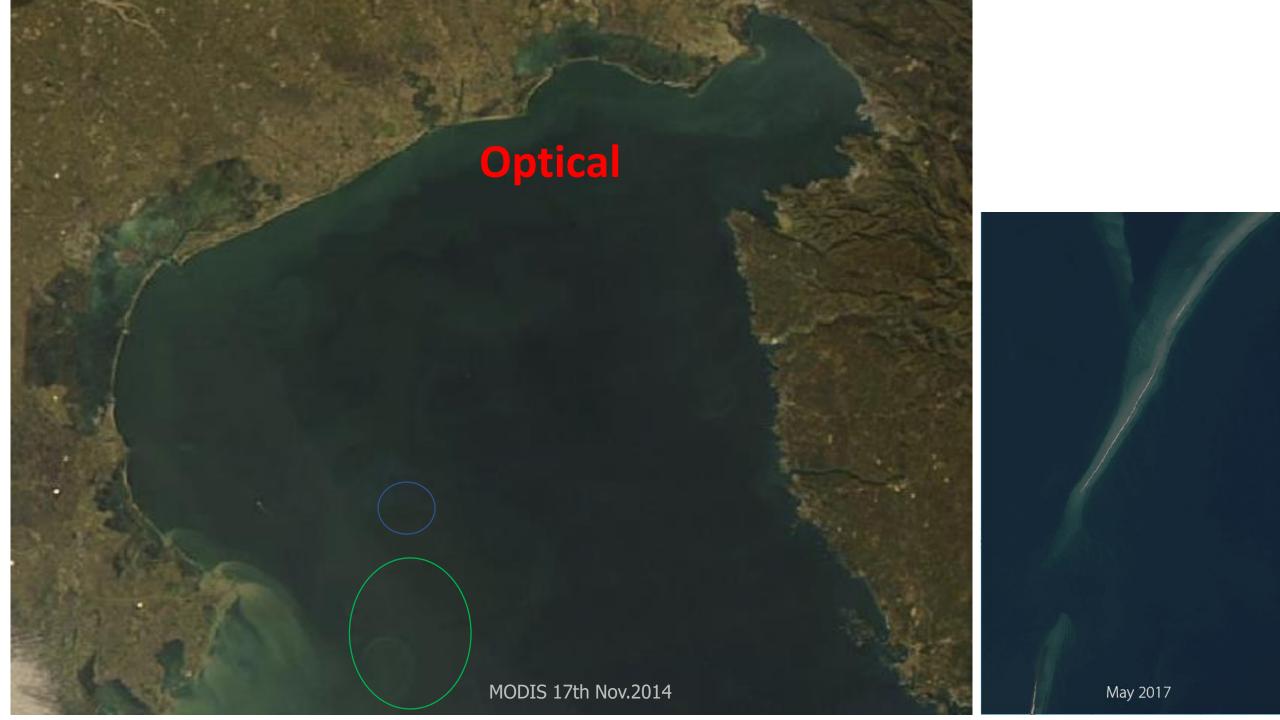
### pollution?

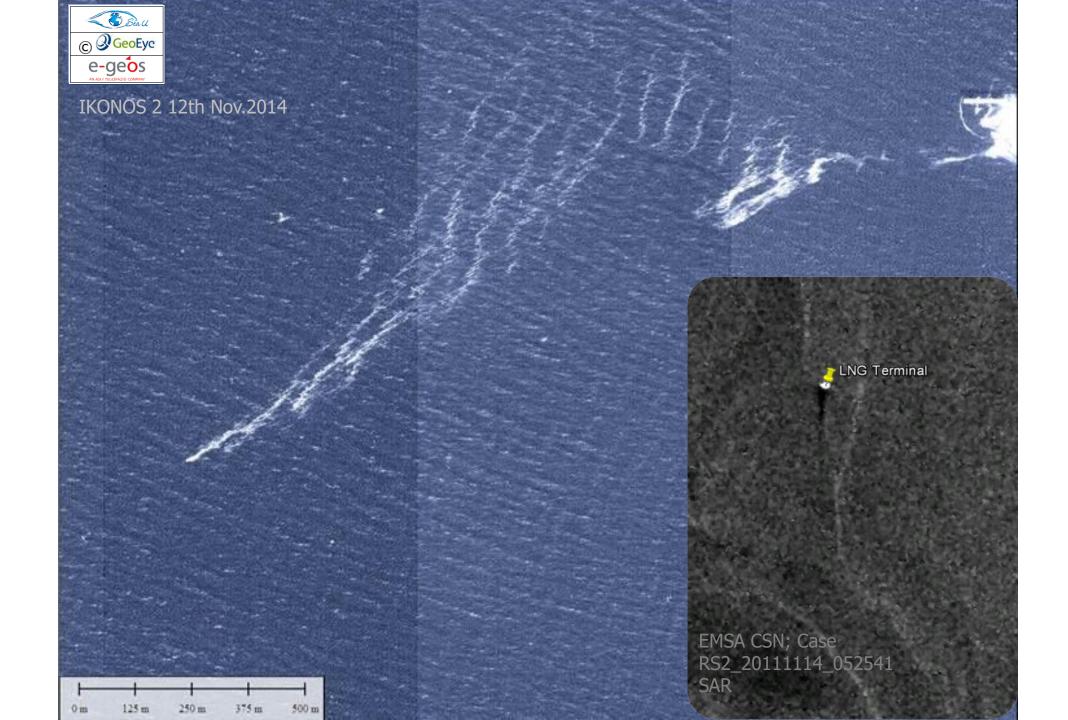
Polluter identification complexity

A

門幕

X



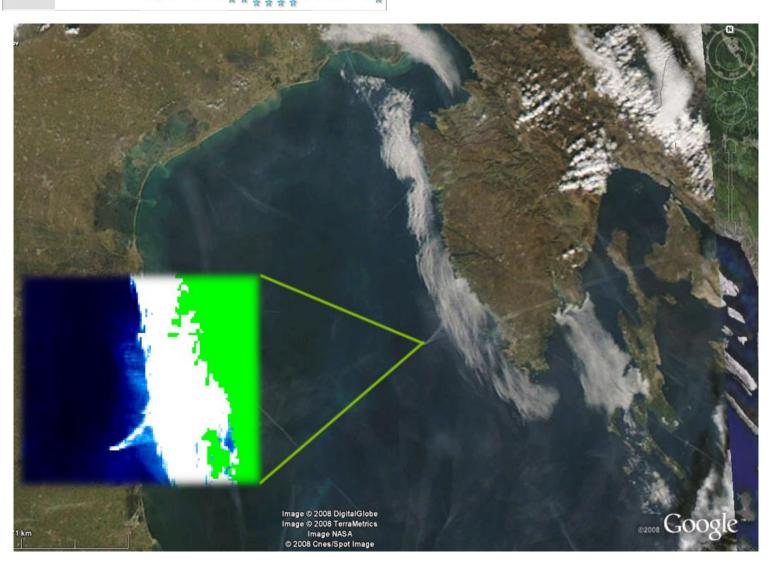


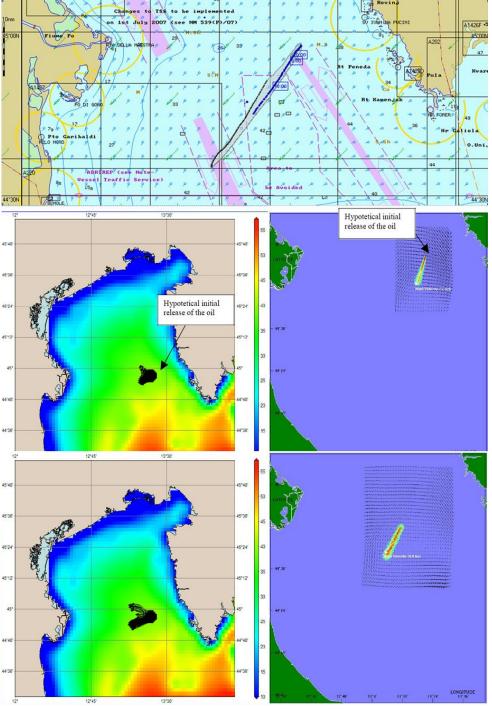
### Crisis Management "Und Adriyatik Case"



Simulation based decision making Contingency planning

Croatia - Istria -	Premantura / Medulin																[Options]										
GF S 06.02.2008		We 06.		We 06.	We 06.			Th 07.	Th 07.	Th 07.	Th 07.	Th 07.	Th 07.	Fr 08.	1000	Fr 08.	Fr 08.	Fr 08.	Fr 08.	Fr 08.	Sa 09.				Sa 09.	Sa 09.	1.00
06 UTC	07h	10h	13h	16h	19h	22h	04h	07h	10h	13h	16h	19h	22h	04h	07h	10h	13h	16h	19h	22h	04h	07h	10h	13h	16h	19h	22
Wind speed (knots)	10	5	4	2	3	6	11	12	12	11	9	11	14	14	16	18	20	21	22	20	15	14	14	11	11	15	16
Wind direction	$\rightarrow$	-	7	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	*	4	4	1	1	1	1	4
Temperature (°C)	10	11	11	11	10	10	10	10	11	11	11	10	9	7	7	7	8	8	7	8	7	7	8	9	10	9	8
Cloud cover (%)	-	46	56	50	56	79	68	67	43	43	49	35	8	7	9	12	16	12	13	11	9	12	12	11	5	5	4
Rain (mm/3h)	-																										
Windguru rating								*	☆	*		*	*	*			変	来文	文文	文文	*	*	*	*		*	富



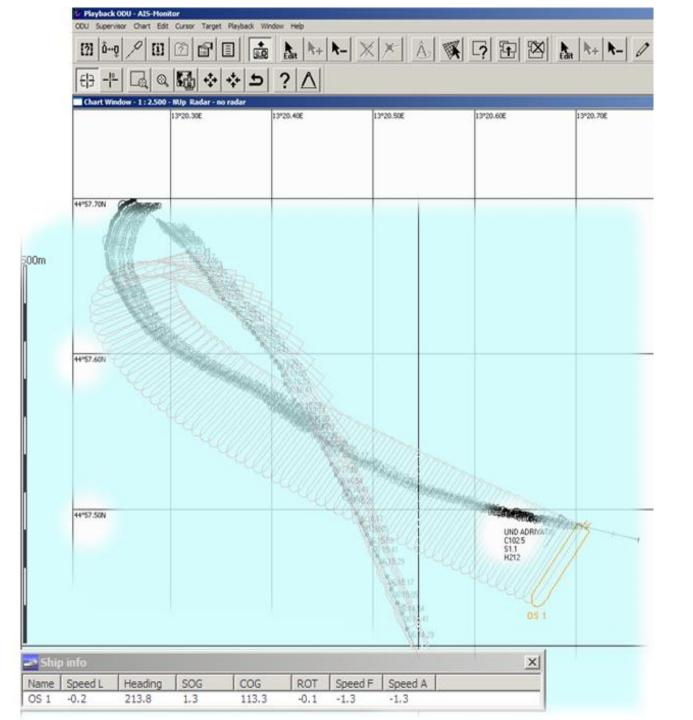


Simulation based decision making Determining wind and currents Contingency planning

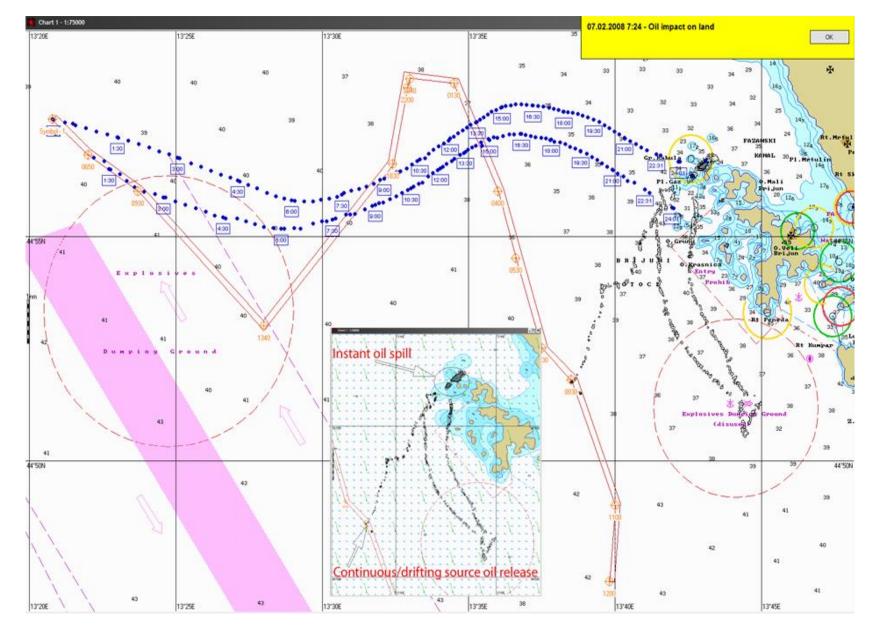
Comparison of the ship's drift based on the real AIS trajectory with the simulated one.

Analyzing the life raft drift!

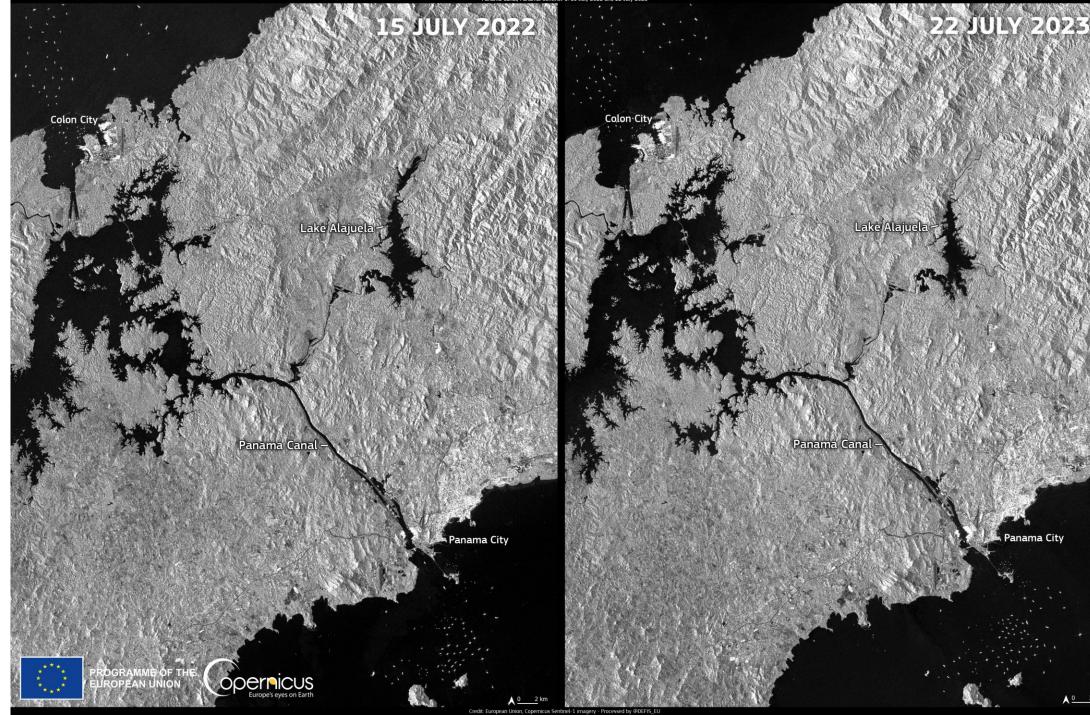
A ship handling simulator was used as a tool for modelling the ship's drift



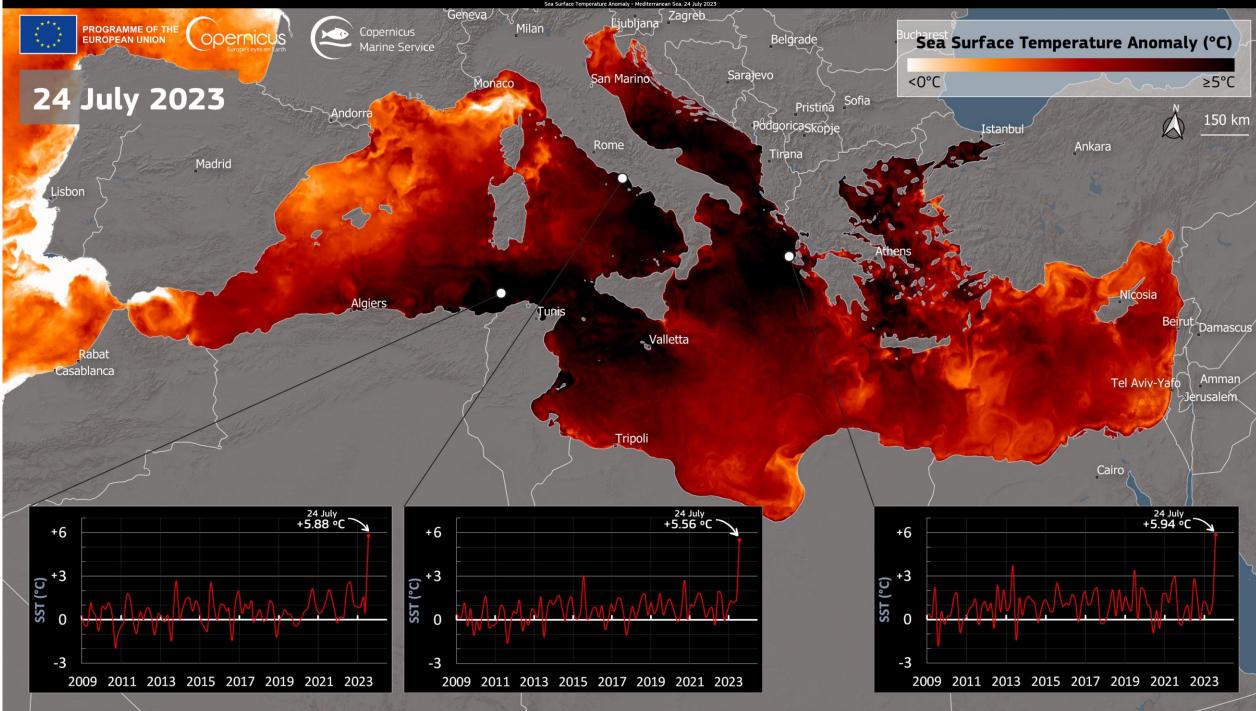
#### Oil spill simulation – with the winds and the field of surface currents ( $\Delta t=24h$ )



# Water level



▲ <u>0 2</u> km

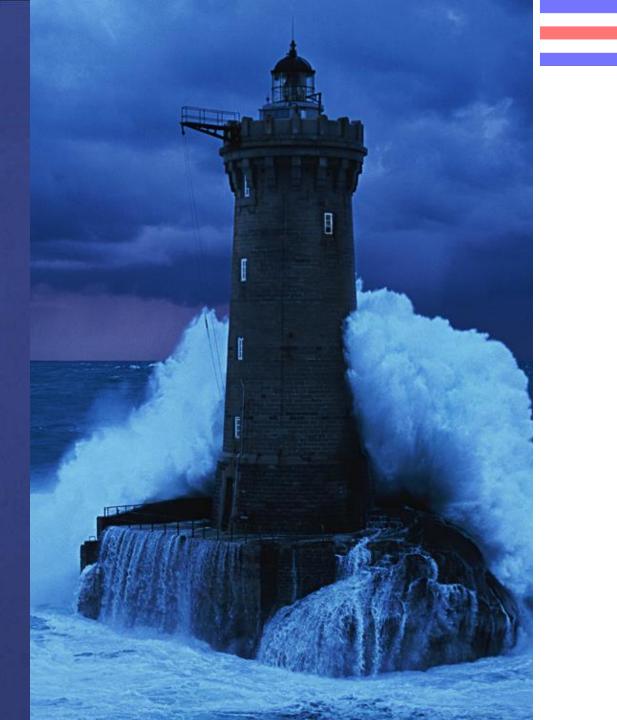


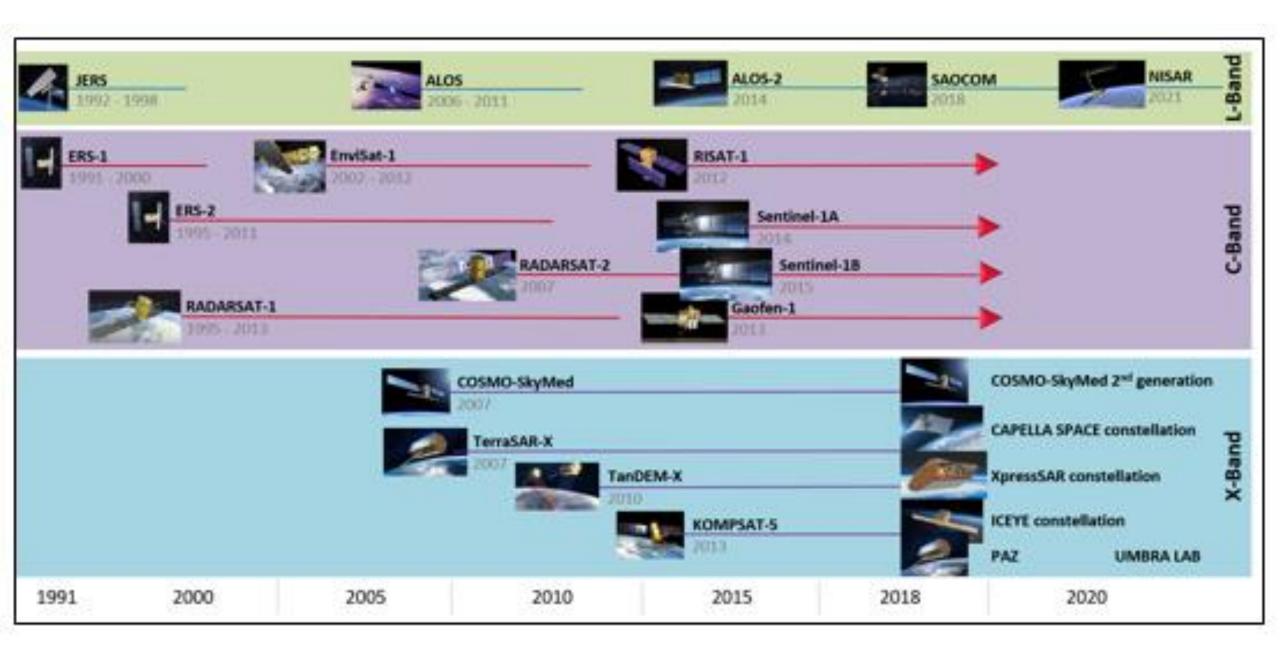




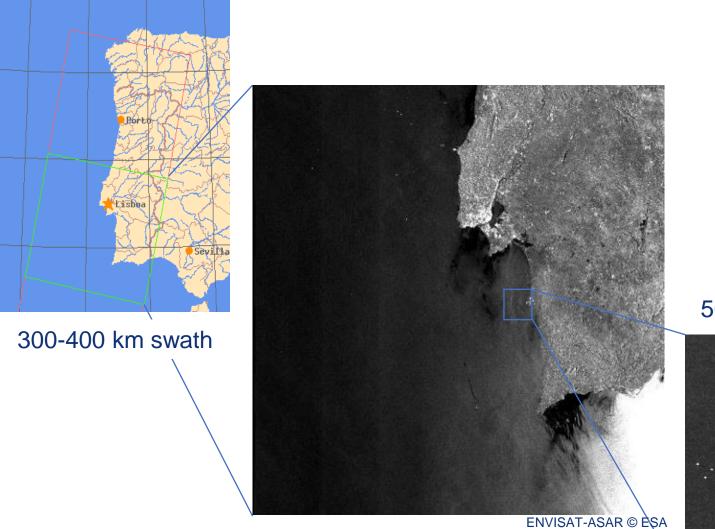
## Thank You





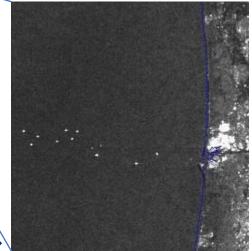


### Satellite radar image – Wide

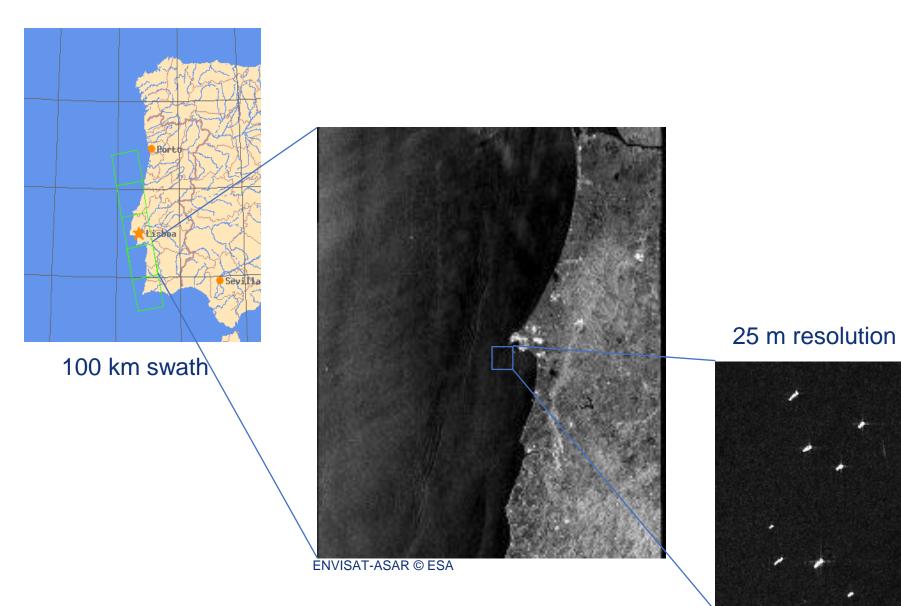


only to show relative size, not actual zoom  $\rightarrow$ 

50-150 m resolution

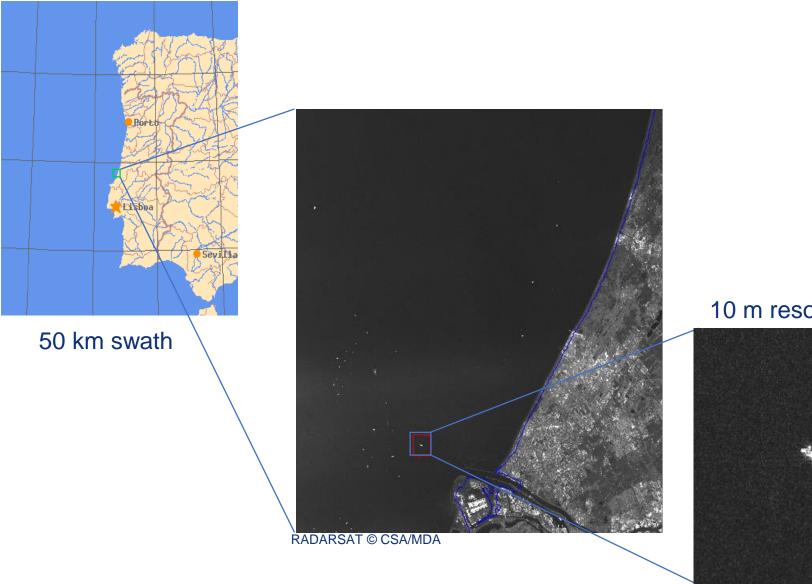


## Satellite radar image – Standard

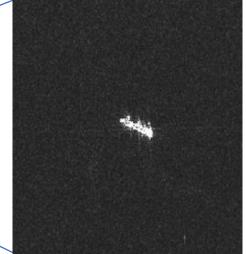


RADARSAT © CSA/MDA

## Satellite radar image – High resolution



10 m resolution



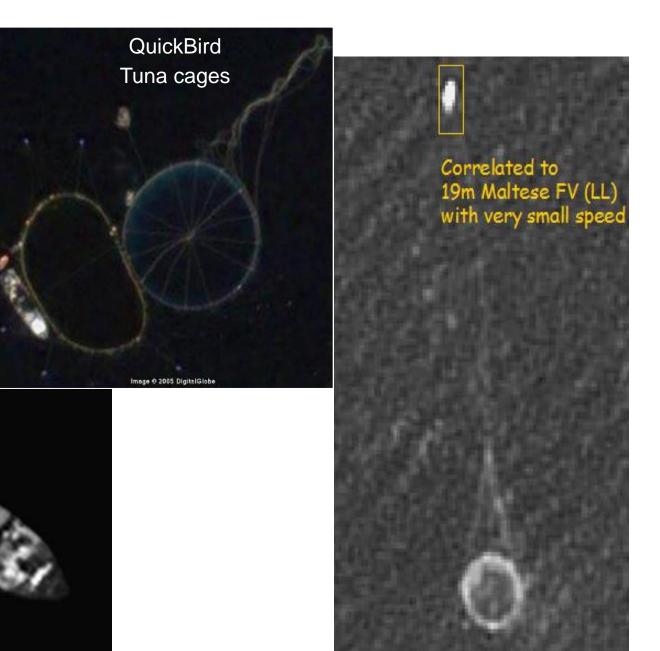
RADARSAT © CSA/MDA

## Optical satellite images

Sub-meter resolution, ~15 km swath

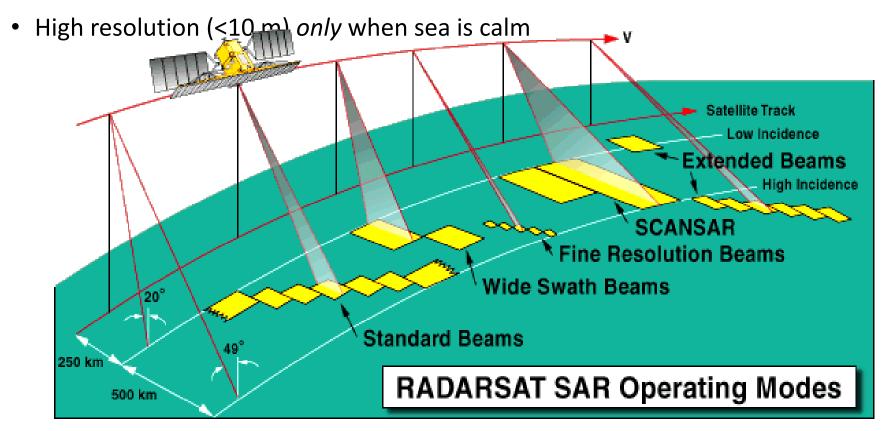
EROS

1.8 m b/w



## Synthetic Aperture Radar (SAR)

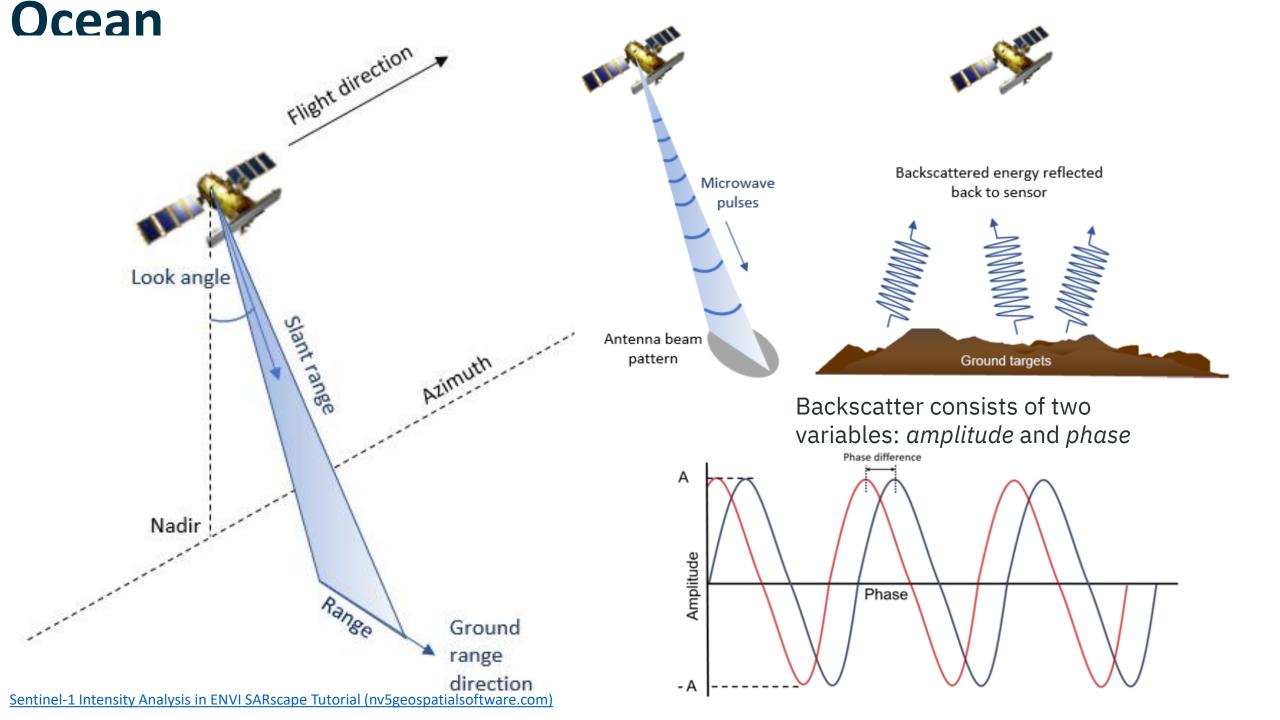
- Radar, suitable for use on satellite
- Wide area, low resolution
- Narrow area, high resolution



# Sentinel Online – Technical Guide

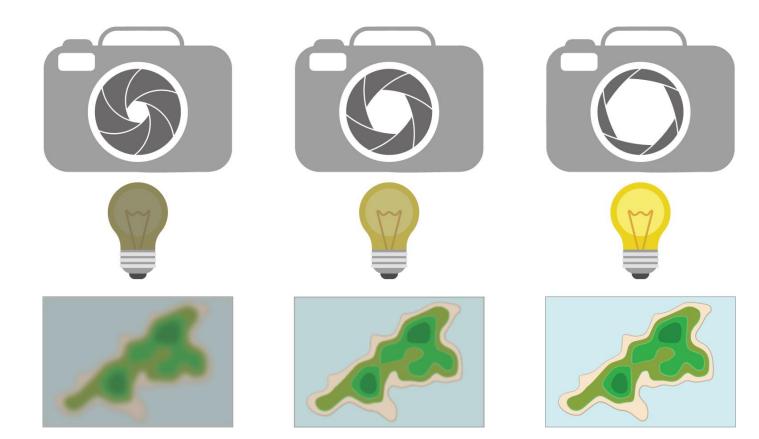
- <u>Sentinel-1 SAR Technical Guide Sentinel Online Sentinel Online</u> (esa.int)
- <u>Ground Range Detected Sentinel-1 SAR Technical Guide Sentinel</u> <u>Online - Sentinel Online (esa.int)</u>
- <u>ASAR-Product-Handbook.pdf (esa.int)</u> OIL





## ESRI

- Introduction to Synthetic Aperture Radar (esri.com)
- <u>GUIDE: FUNDAMENTALS OF SYNTHETIC APERTURE RADAR (SAR) (arcgis.com)</u>



## NASA-ARSET

- <u>ARSET Introduction to Synthetic Aperture Radar | NASA Applied</u> <u>Sciences</u>
- Session 1: Basics of Synthetic Aperture Radar (SAR)
- Session 2: SAR Processing and Data Analysis
- Session 3: Introduction to Polarimetric SAR
- Session 4: Introduction to SAR Interferometry

