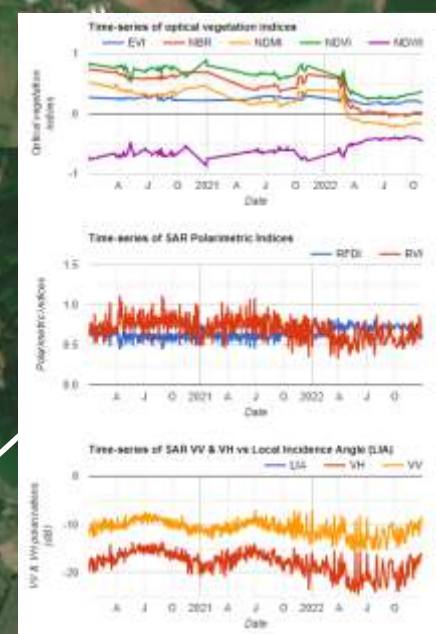


# Applications of SAR data (time series) for forest monitoring

**Daniel Paluba**

PhD Candidate at *Charles University, Prague, Czechia*

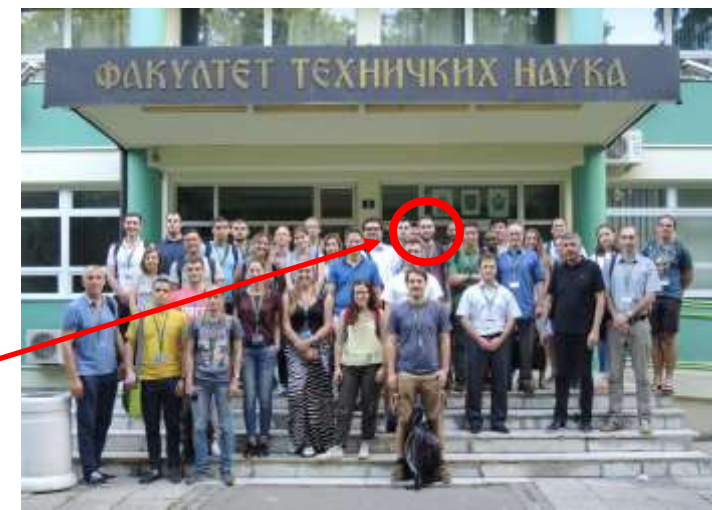


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# My way to TAT

- TAT 2017, Hungary – not accepted ❌
- TAT 2019, Serbia – accepted as participant
- TAT 2021, Greece, Online – participant & I had a short demo on terrain effects on SAR data and its correction in GEE
- TAT 2022, Prague – organizing committee & teacher assistant
- TAT 2023, Prague & Brno – speaker of a session & organizing committee



	DAY 2	DAY 3
	Wednesday, 2 June 2021	Thursday, 3 June 2021
	Free	Free
Land classification and change (incl. practical)	12:00-18:30 (UTC) 15:00-16:30 (EEST) 14:00-15:30 (EEST) 08:00-09:30 (EET)	Overview and status of Copernicus Programme Patrick Christou Ruch (European Commission)  Presentation of INS Copernicus Cloud-based Service Georgios Karantzoulas (ESA)  SAR Intro & GEE Demo Antonio Moura (ESA) & Daniel Palau (ESA)
Vegetation health monitoring (incl. practical)	12:30-18:00 (UTC) 16:30-18:30 (EEST) 15:45-17:30 (EEST) 08:30-11:00 (EET)	11:00-11:30 (UTC) 15:00-15:30 (EEST) 14:30-15:00 (EEST) 08:30-09:00 (EET) 13:00-14:00 (UTC) 18:00-17:00 (EEST) 15:00-16:00 (EEST) 08:00-09:00 (EET) 16:00-14:30 (UTC) 17:00-17:30 (EEST) 16:00-16:30 (EEST)



Day	Topic	Time
Tuesday 27 June 2023	Introduction	08:00-09:00
Wednesday 28 June 2023	Introduction to SAR	08:00-09:00
Thursday 29 June 2023	Introduction to SAR	08:00-09:00
Friday 30 June 2023	Introduction to SAR	08:00-09:00
Saturday 1 July 2023	Introduction to SAR	08:00-09:00

Day	Topic	Time
Tuesday 6 Sept	Theory & Practical: SAR & Optical Remote Sensing for Agriculture	08:00-17:00
Wednesday 7 Sept	Sightseeing Tour of Prague	09:00-12:00
Thursday 8 Sept	Theory & Practical: Impacts of forest fire on land use. Cloud-based remote sensing - Google Earth Engine 4	08:00-17:00

# Outline of this lecture

## Theoretical part I.

- General applications on forest monitoring using SAR (focused on C-band)
- Current research efforts by EO4Landscape group on using SAR and machine learning in forest monitoring

## Practical part I.

- Optical and SAR time series in GEE
- Showcase of the “*SAR & Optical Time Series Explorer*” GEE app

## Theoretical part II.

- Estimation of optical vegetation indices using SAR data using machine learning
  - With a focus on data pre-processing and Automatic Machine Learning (AutoML)

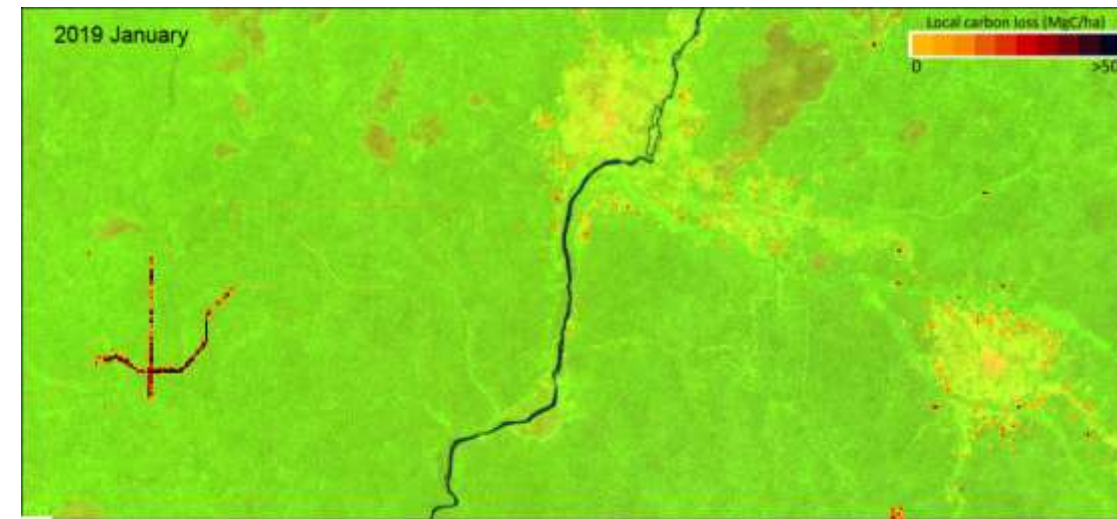
## Practical part II.

- Classical ML vs AutoML demonstration in Google Colab using Python

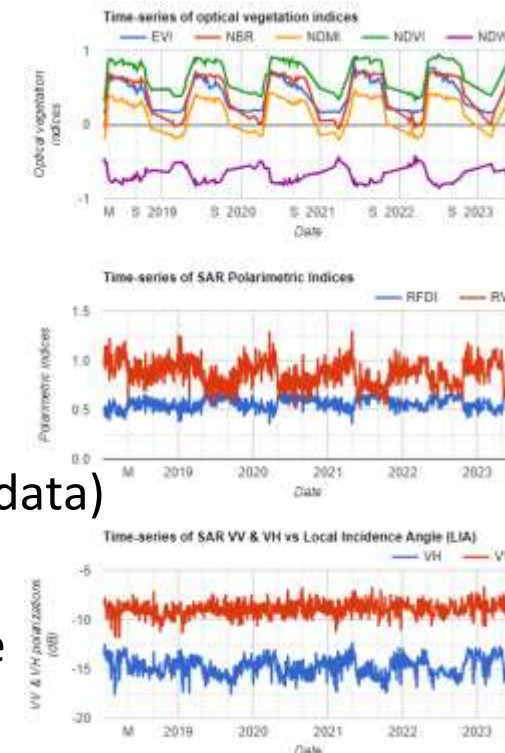


# SAR applications on forests (focused on C-band)

- Forest Change Detection and near-real time monitoring
  - Deforestation and Forest Degradation Monitoring (Reiche et al. 2021)
  - Forest change drivers monitoring (Slagter et al. 2023, Welsink et al. 2023)
  - Forest fires (e.g. Ban et al. 2020, Lasaponara et al. 2019)
  - Forest recovery monitoring after fires (De Petris et al. 2022)
  - Windthrows (e.g. Rüetschi et al. 2019)
  - Drought monitoring (Schellenberg et al. 2023, Kaiser et al. 2022)
- Forest seasonality and phenology monitoring (Soudani et al. 2021, Frison et al. 2018)
- Vegetation and forest type classification (Lechner et al. 2022, Dostálová et al. 2021)
- Forest Aboveground Biomass Estimation (Li et al. 2020 – combination with Landsat 8 data)
- Forest Height Mapping (Ge et al. 2022, Kumar et al. 2019)
- Fusion with other data, typically with other SAR bands or with optical data to improve forest monitoring ... and much more 😊



Local carbon loss in the Central African Republic. Source: Reiche et al. 2021. [URL](#).

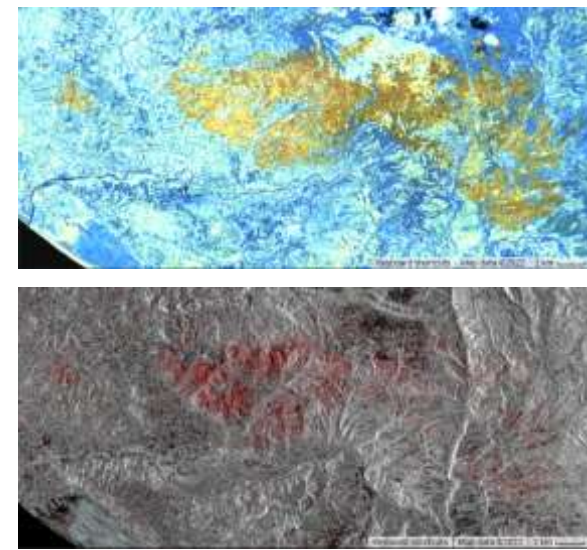


Current research efforts by  
EO4Landscape: enhancing forest  
monitoring through SAR data analysis  
and machine learning

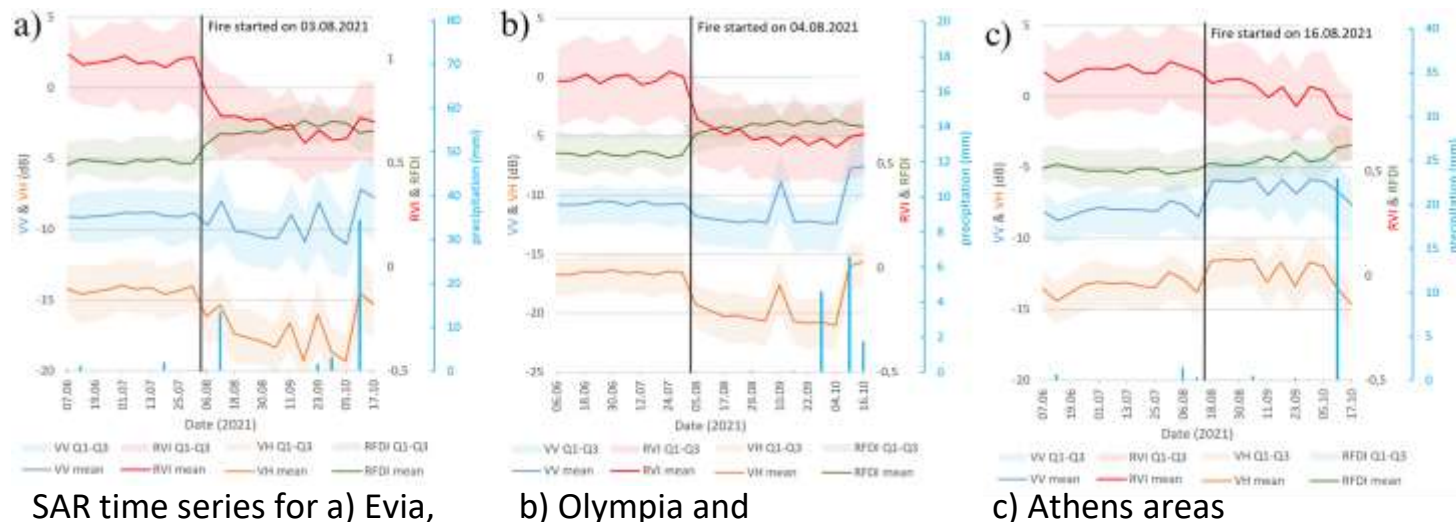
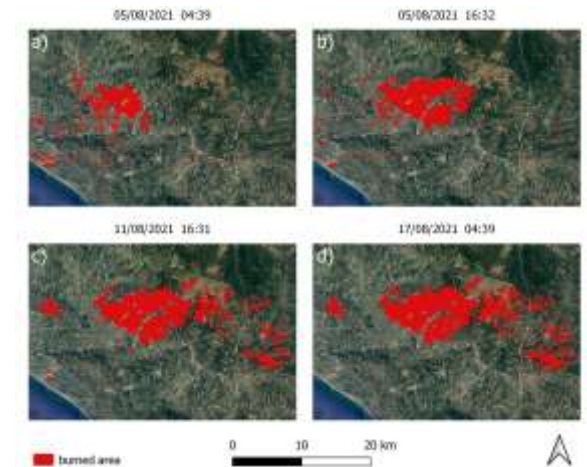


# Wildfire monitoring using Sentinel-1 and unsupervised learning in GEE

- Unsupervised k-means clustering with 2 clusters
- Reference data: Difference Normalized Burn Ratio Index (dNBR) from Sentinel-2  
F1-score, Overall Accuracy - OA, Omission Error (OE), Commission Error (CE)
- Various speckle filters and post-processing filters were tested
- Accuracies: F1 0.77-0.92, 85-95% OA, OE 8-28%, CE 5-17%



Spread of the fire in Olympia area



Input features:

$$K_{map} = \left( \frac{\log-ratio}{\sigma} \right)$$

$$\sigma(i, j) = \sqrt{\frac{\sum_{i=1}^N (\delta^{pre}(i, j) - \bar{\delta}(i, j))^2}{N-1}}$$

$$\log-ratio_{pg} = 10 \log_{10} \left( \frac{\delta_{post}^{pre}}{\delta_{pre}^{pre}} \right)$$

$$RVI = 4 VH \left( \frac{AVII}{VV+VH} \right)$$

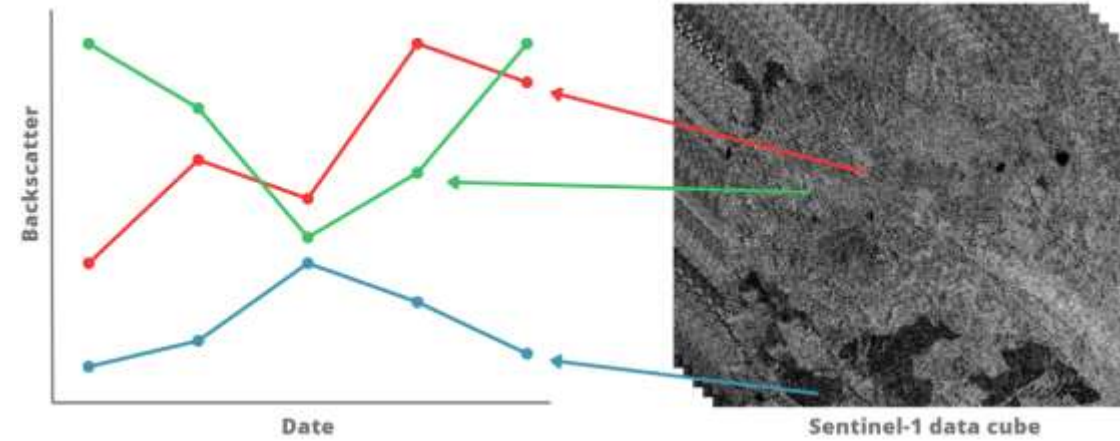
$$\Delta RVI = RVI_{post} - RVI_{pre}$$

$$RFDI = \left( \frac{HH - HV}{HH + HV} \right) \sim \left( \frac{VV - VH}{VV + VH} \right)$$

# Differentiation of land cover types using backscatter coefficient time series for Sentinel-1 time series clustering

## Why Time Series Clustering?

- Unsupervised machine learning [?] does not require labeled data
  - Often unavailable or not in desired resolution / details
- Analyzing temporal patterns of data [?] clustering based on similarities and differences in time series
- Accounting for seasonal and interannual variations and dynamics [?] monitoring trends
- Can identify complex temporal patterns and changes in time [?] use in change or anomaly detection
- Data pre-processing in GEE [?] *sktime* time series ML library was tested





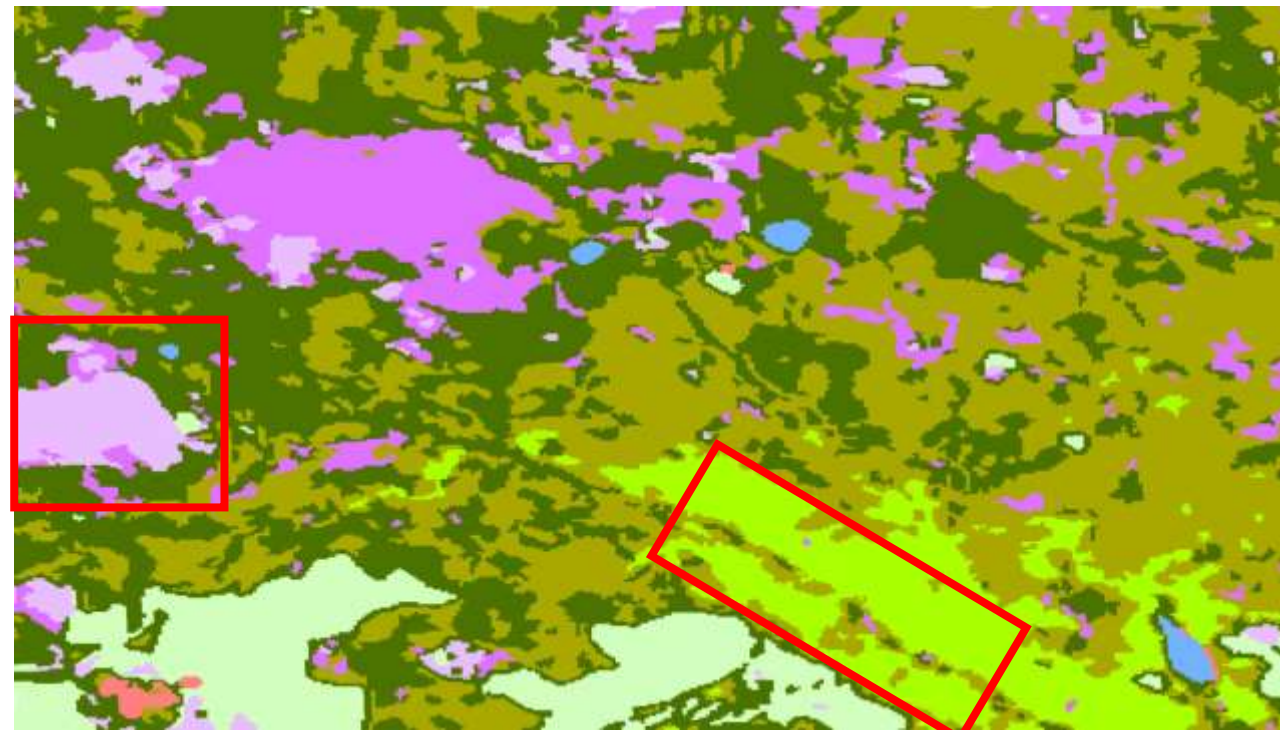
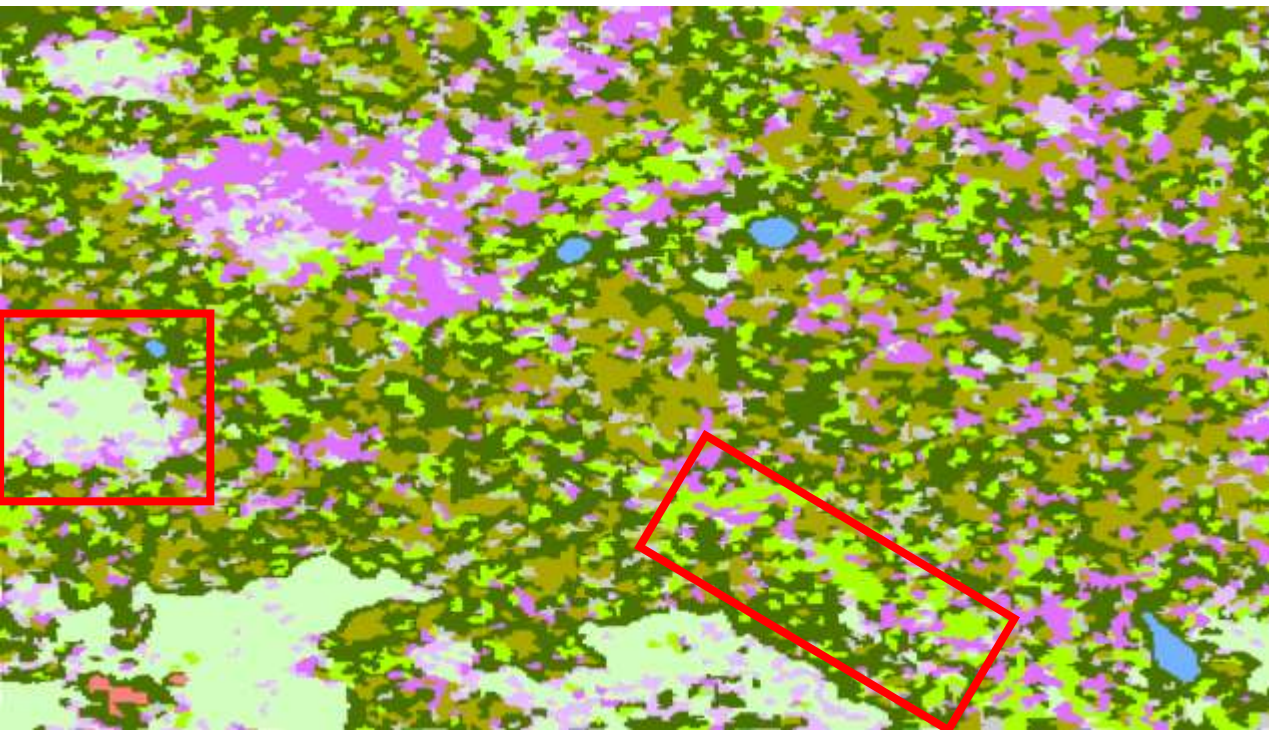
# Mono-temporal vs time series clustering

- Problematic: grassland vs shrublands; deciduous vs coniferous vs young forest
- Salt-and-pepper noise left in mono-temporal clustering
- Good differentiation only in built-up and water classes



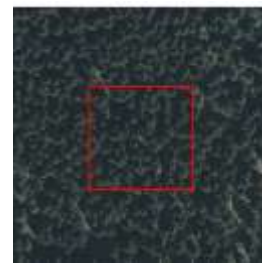
mono-temporal (3. august 2021)

time series clustering





# Sentinel-1 time series of clusters



Coniferous f.



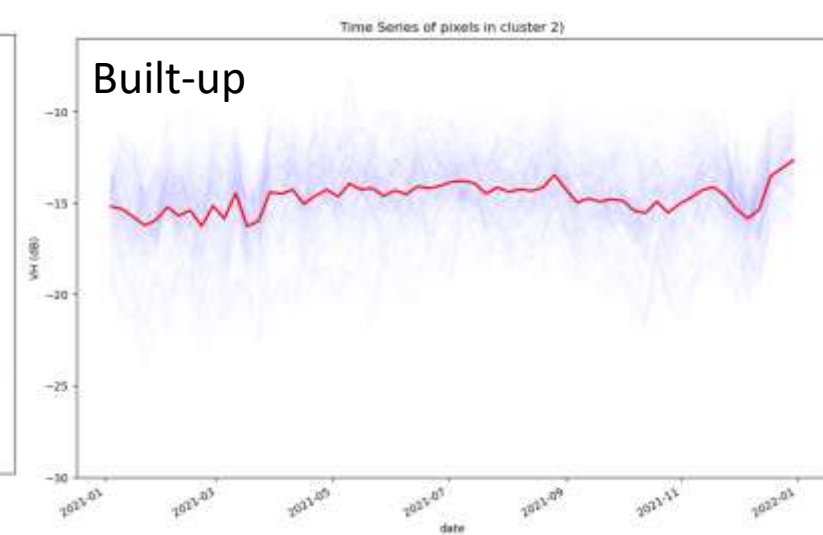
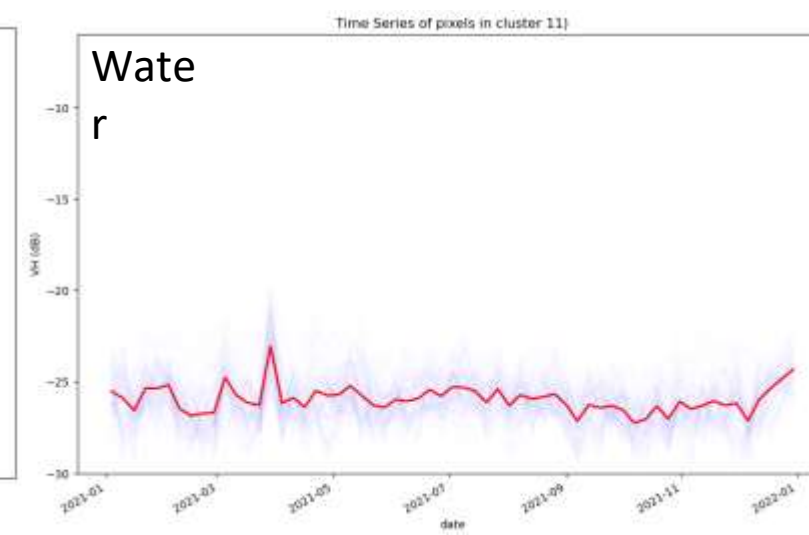
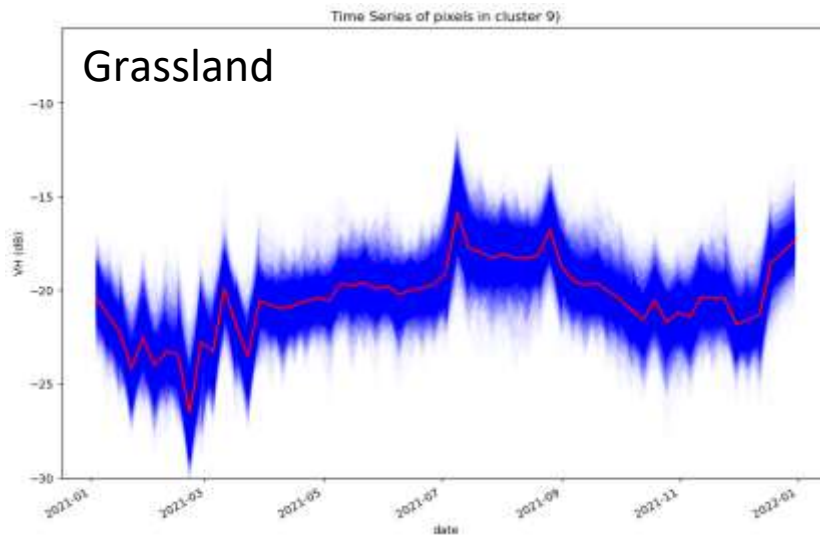
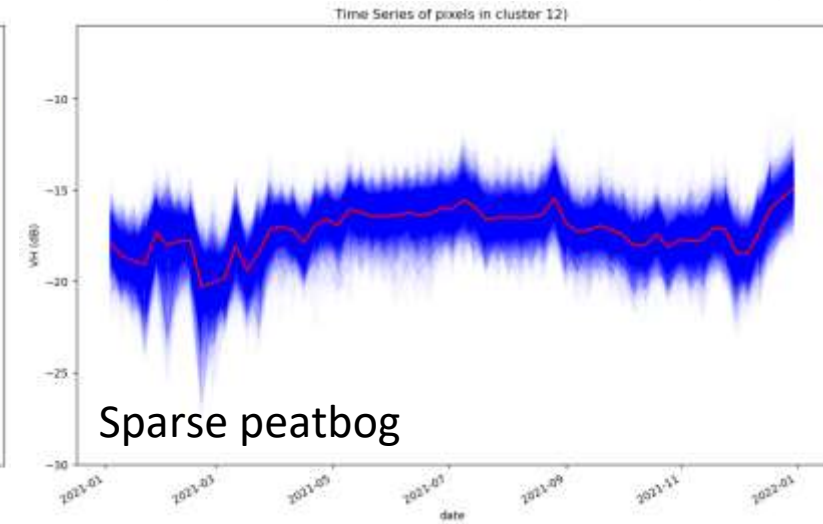
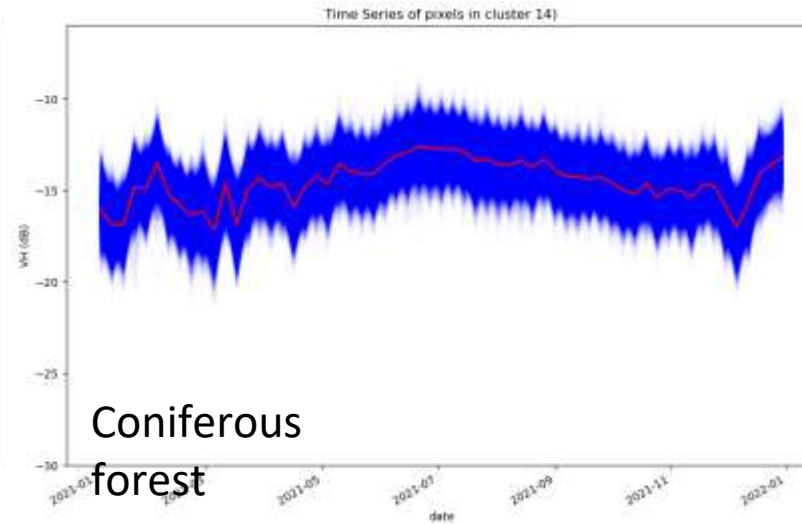
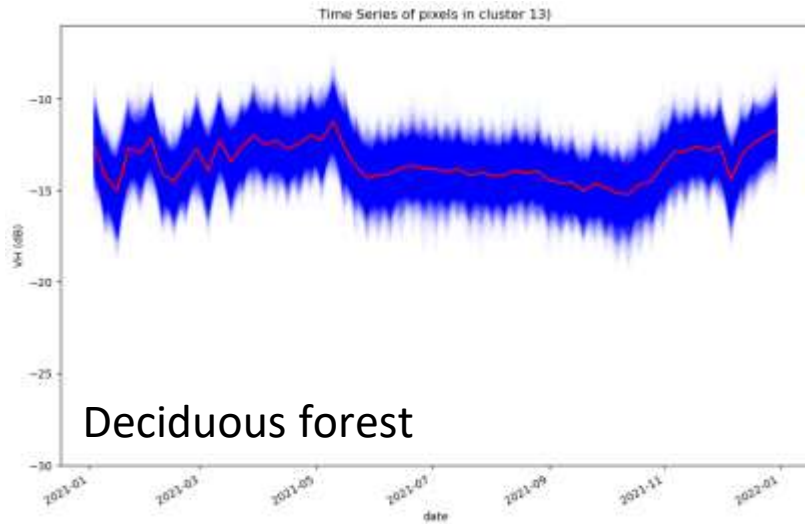
managed f.



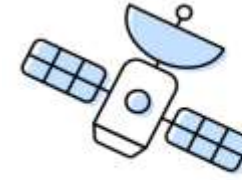
dense p.



spase p.

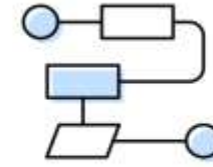


# Why Google Earth Engine ?



Satellite Imagery

+



Your Algorithms

+

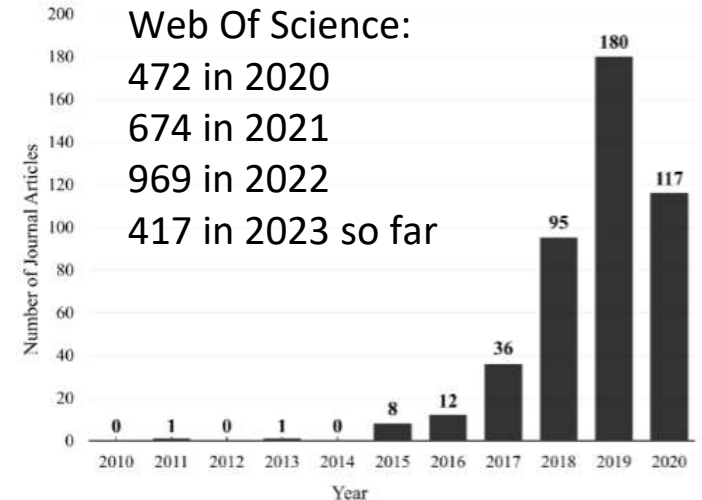


Real World Applications



## Pros

- Huge data catalog available on cloud + processing power
- ❓ no need to download data or software
  - You just need a web browser and internet connection
- Simple but powerful API (Python or JavaScript)
- You can use your own data or algorithms or create apps
- Great user community



Web Of Science:

472 in 2020

674 in 2021

969 in 2022

417 in 2023 so far

Use of GEE in impact factor journals.  
Source: Amani et. Al 2020 (May 2020)



## Cons

- Knowledge of JavaScript or Python needed
- Not extendable with third-party libraries – use only what is available in GEE or create your own
- Not open-source
- Free only for non-commercial use ❓ we are optimistic that it will remain free in the future 😊



# Practical part I.

## GEE time series





# Google Earth Engine time series

QUIZ code:

<https://code.earthengine.google.com/d800ad27238518cf8763eedda9a64f3f?noload=true>

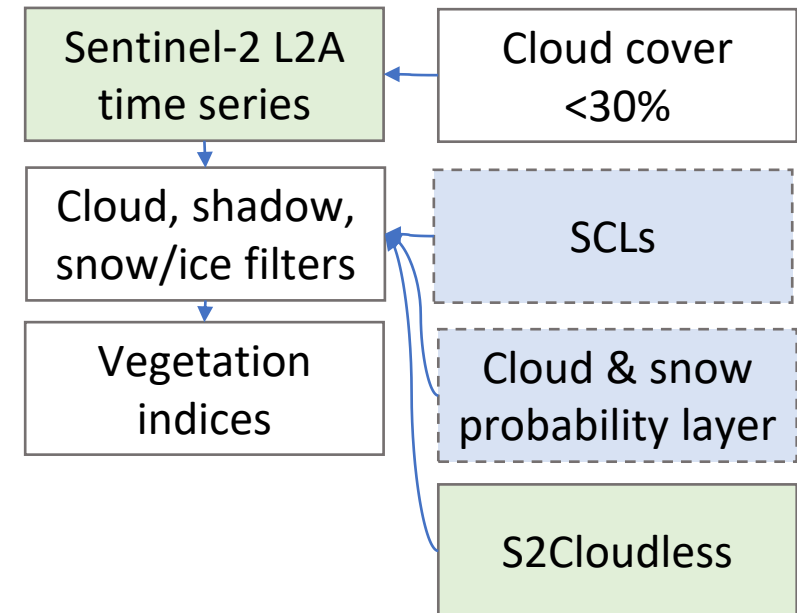
Solution code:

<https://code.earthengine.google.com/868f4448c6d5d9913ea16e7887997d30>

Available also from GitHub:

<https://github.com/palubad/TAT2023>

Sentinel-2 cloud/shadow/snow mask



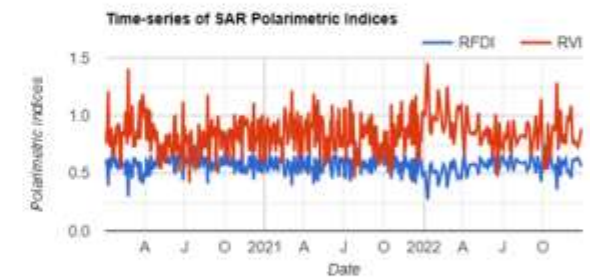
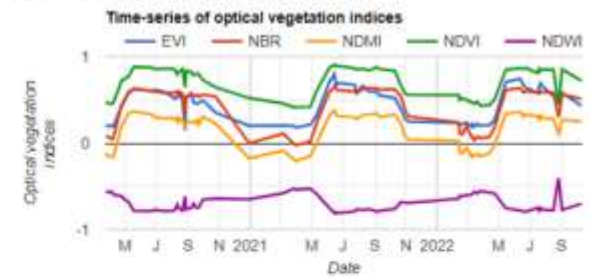
# SAR & Optical Time Series Explorer

<https://danielp.users.earthengine.app/view/saropticaltsexplorer>



Coordinates of the centroid of the selected area/point:

14.825197390115031,49.79574702437612





# Estimating forest health: Can we get standard optical vegetation indices from SAR data using AutoML?

$\Phi$ -Lab: Daniel Paluba, Bertrand Le Saux,  
ESRIN: Francesco Sarti (EOP-SD) & other ESRIN collaborators  
Charles University: EO4Landscape research team, Přemysl Štych



CHARLES UNIVERSITY  
Faculty of science

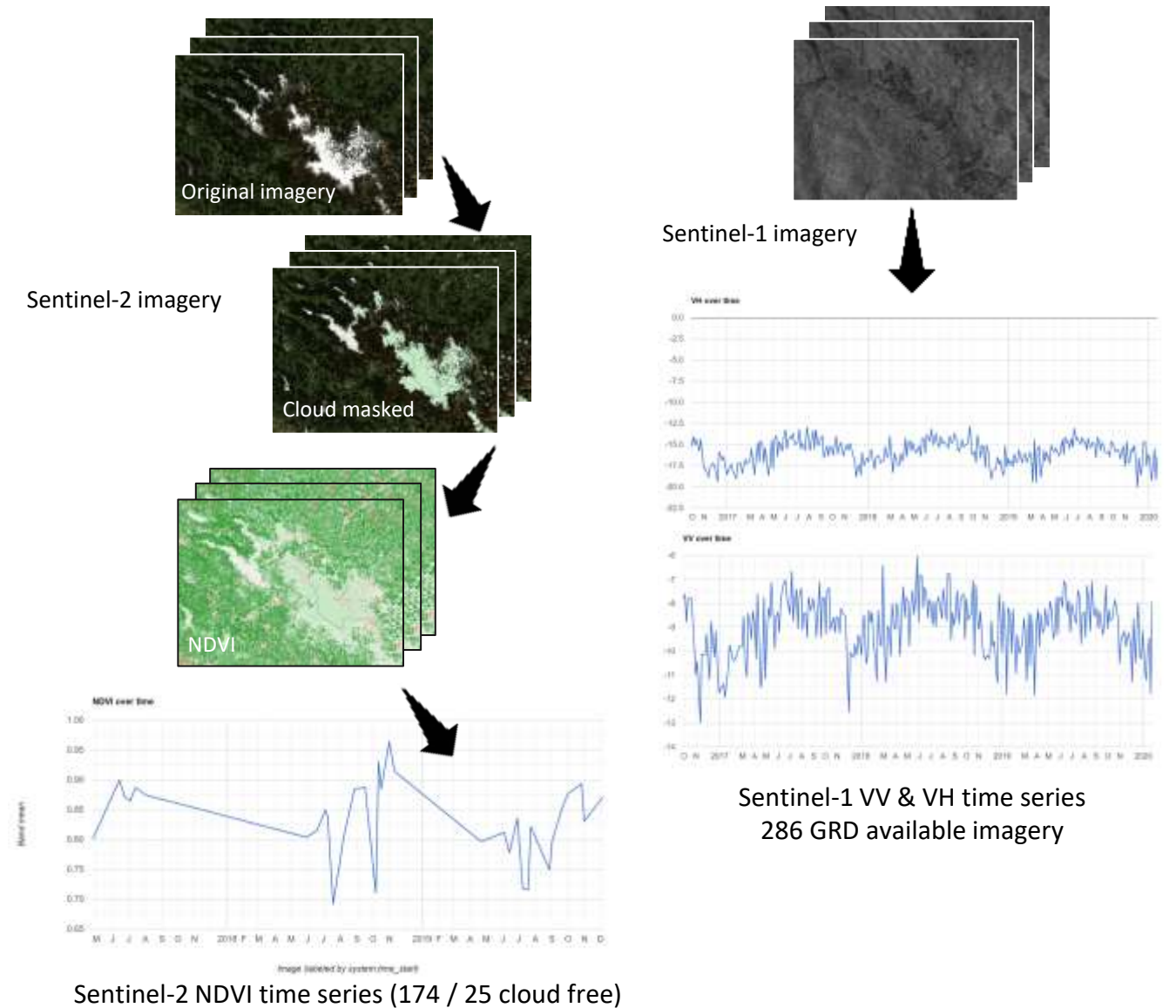




# Motivation

- **Forest health indicators come from optical satellite imagery:**  
Techniques to monitor vegetation with optical imagery are well-founded and often used by decision-makers in forestry, agriculture etc.
- **Problem:**  
Clouds – not only in tropics ☐  
Central European forests are located mostly in mountainous areas
- **Workaround solution:**  
Conversely SAR imagery offers insightful and complete time-series!

Time series of an old, healthy forest in Czechia (24.04.2017 – 19.01.2020)



# Objectives

## 1) **Go from SAR to optical**

- Using ML can estimate standard vegetation indicators (inc. optical vegetation indices) from SAR C-band (Sentinel-1) data for various forest types

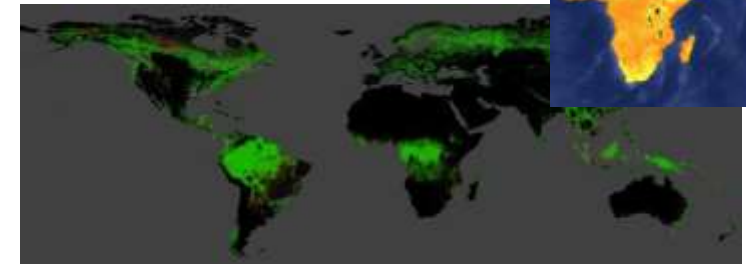
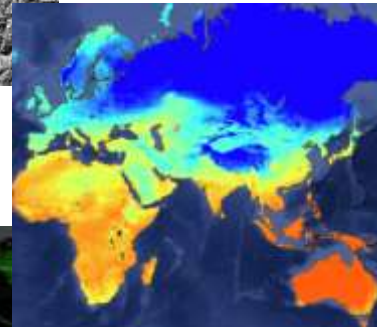
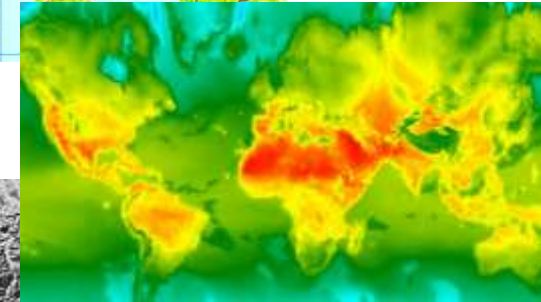
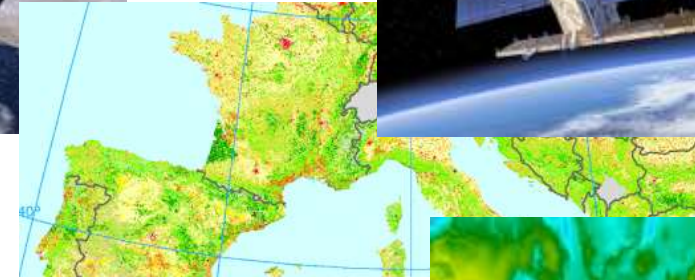
## 2) **Support** the current **Essential Climate Variables (ECV)**: Apply the developed methodology in generating ECVs in a **higher temporal** and **better spatial resolution**

## 3) **Create materials for future ESA EO Education & Training**

## 4) (*longer-term*) **Investigate the approach for other SAR bands and polarizations** (X-, L- and P-band (airborne so far) & quad-pol.) and prepare future missions

# Data

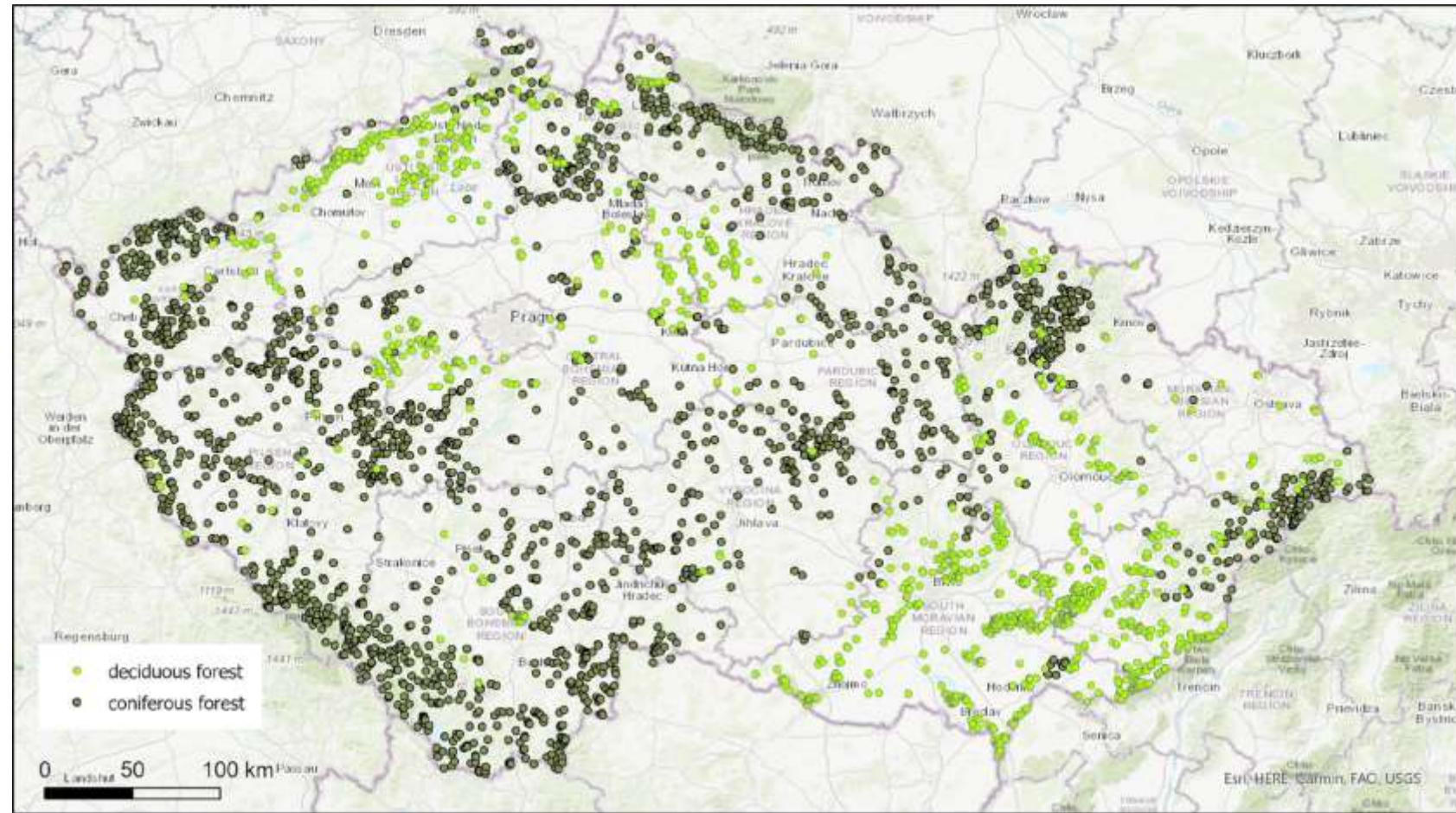
- Main focus on open access data:
- C-band SAR Sentinel-1, multispectral Sentinel-2
- Copernicus DEM (elevation, slope, local incidence angle)
- Land cover datasets: ESA World Cover, Copernicus Global Land Cover, Hansen Global Forest Change, Copernicus CORINE Land Cover
- Weather datasets (precipitation, temperature) from ERA5-Land
- Time series data preprocessing in Google Earth Engine (GEE);
- **AutoML approach** (Auto-sklearn and Auto-PyTorch)





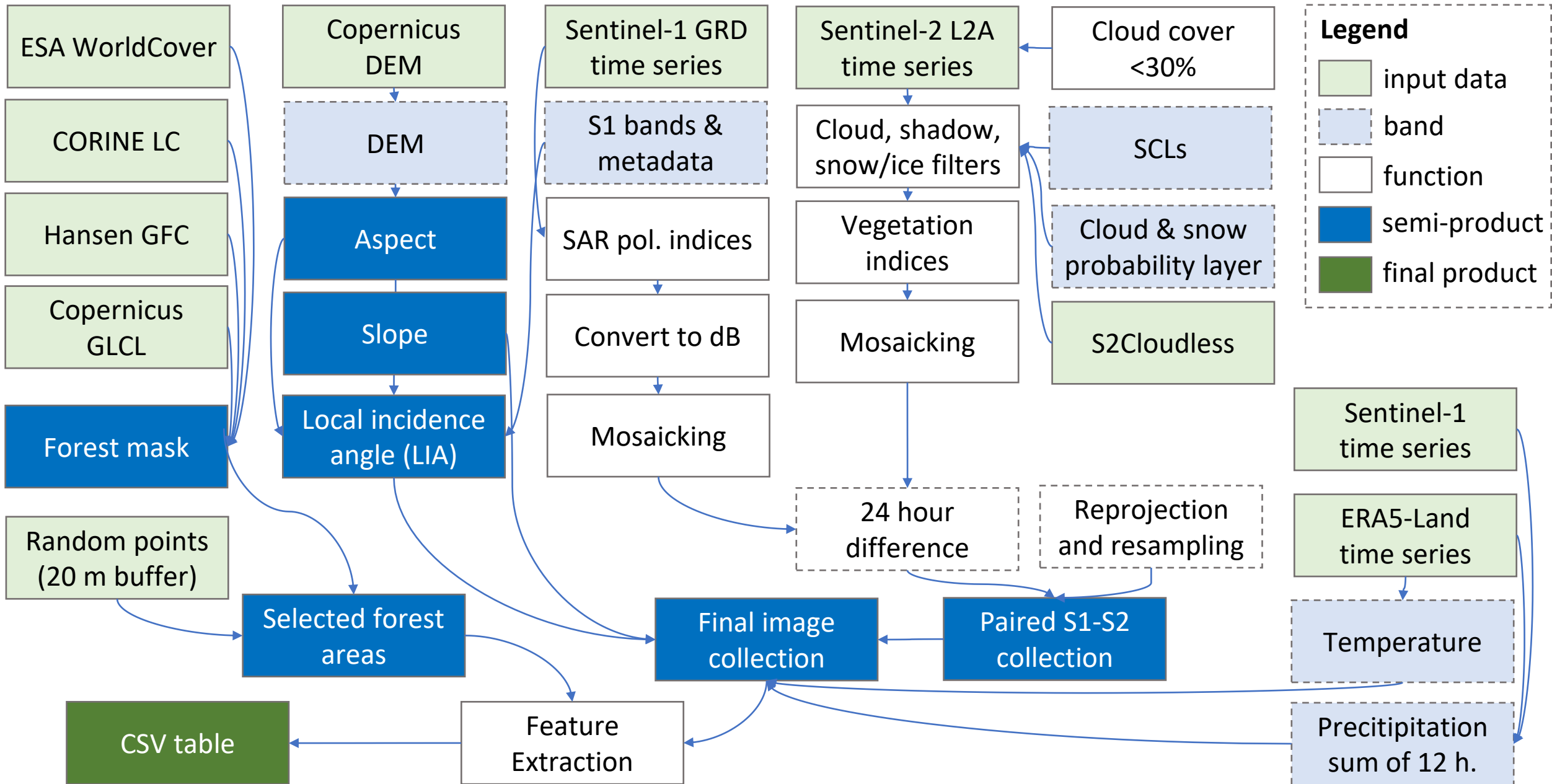
# Study areas

- 2100 coniferous forests
- 1300 deciduous forests
- 1200 forest loss areas (2018-2021)



- Intersection of 4 land cover / forest databases in Czechia ☐ generation of random points
  - Hansen Global Forest Change → 2000 base layer (forest cover >50%), forest loss (2000-2021) was masked out
  - Copernicus Global Land Cover Layer 2019 - **deciduous vs coniferous**,
  - CORINE Land Cover 2018 - **deciduous vs coniferous**,
  - ESA WorldCover 2021

# Data preprocessing and preparation in GEE



# Feature selection

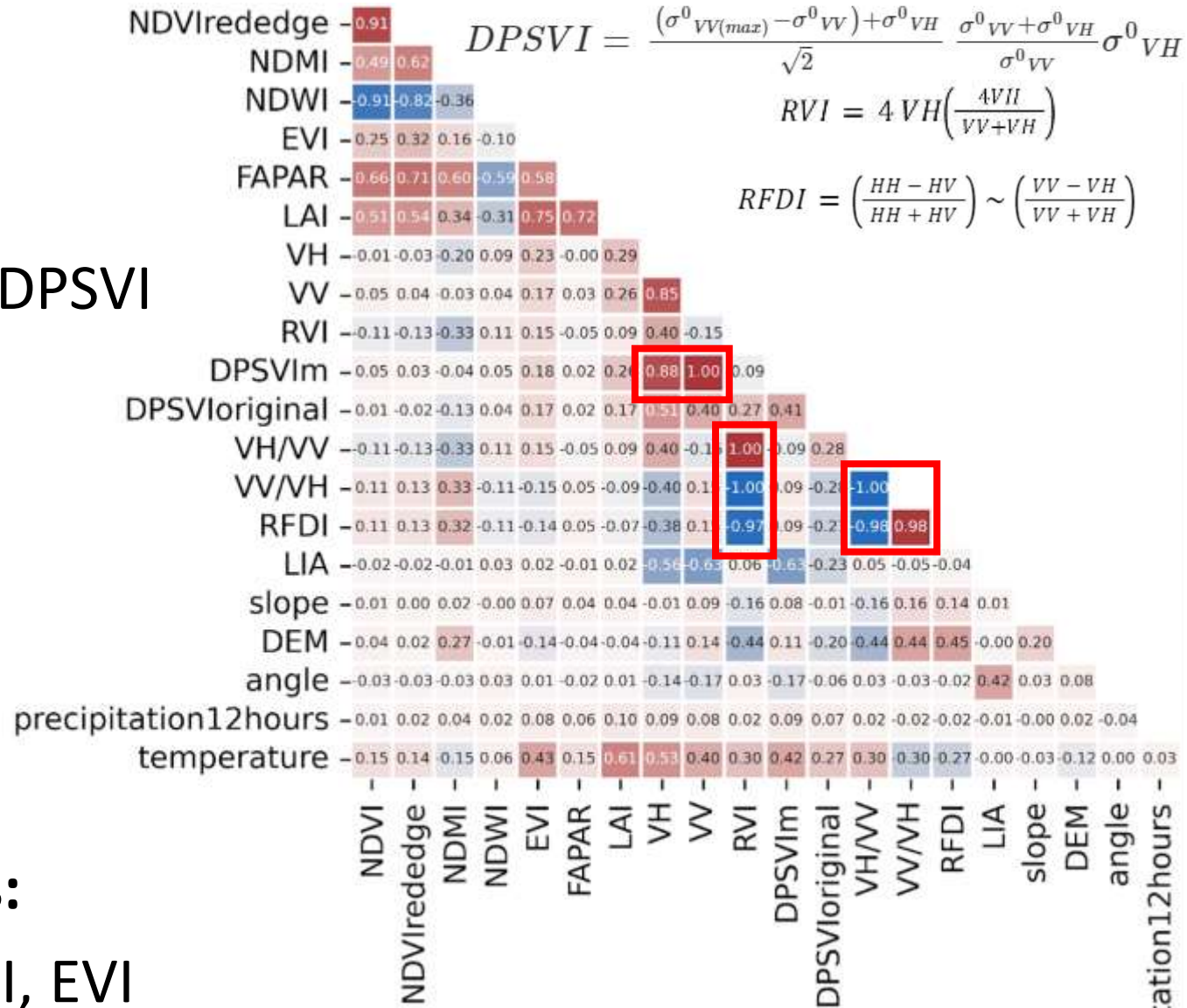
## Input features:

- VV, VH
- ~~VH/VV, VV/VH, RFDI, DPSVIm, RVI, DPSVI~~
- LIA, slope, DEM
- Precipitation 12 h., temperature
- Day of the year (sin&cos),
- X,Y coordinates of the centroid
- Forest type

## Estimated optical vegetation indices:

- LAI, FAPAR, NDVI, NDVI<sub>rededge</sub>, NDMI, EVI

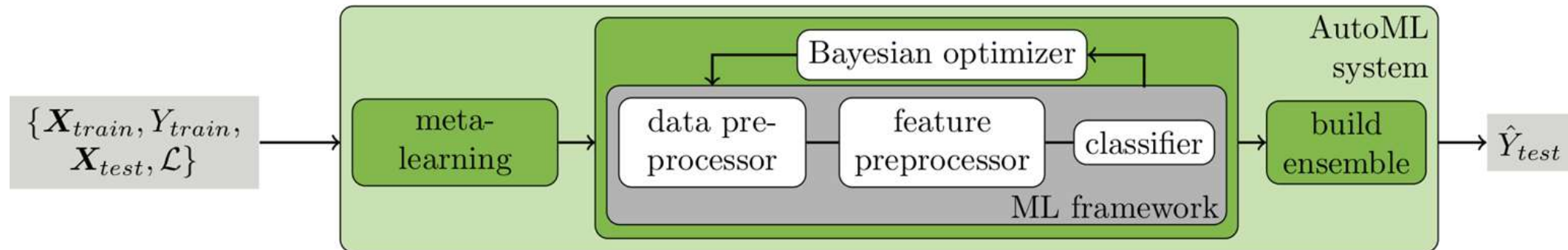
## Pairwise correlation between features





# What is AutoML? Auto-sklearn as an example

- Out-of-the-box supervised machine learning – lower the barrier to use ML
- **Algorithm selection and hyperparameter tuning** through **Bayesian optimisation**
  - 15 classification and 11 regression algorithms, 14 feature preprocessing algorithms (scikit-learn)
- **Builds an ensemble** with the best solution
- Uses meta-learning to identify similar datasets and use knowledge gathered in the past
  - Initialize the hyperparameter optimization algorithm configurations which worked well on previously seen datasets.
- **Other AutoMLs, e.g. Auto-PyTorch** [?](#) Neural Architecture Search (NAS) - Fully automated deep learning (AutoDL)



## Regression models:

- adaboost
- ard\_regression
- decision\_tree

- liblinear\_svr
- libsvm\_svr
- Mlp

## In this work - training:

- 30% for testing
- 10-fold cross-validation
- Loss function: MAE

# Why AutoML? Benefits, limitations, use cases

## + Benefits:

- Saves your time and increases your productivity - automates repetitive and time-consuming tasks, efficiently explores the algorithm and hyperparameter space.
- Improves the performance – uses ensembles to combine the best models.
- Makes ML accessible – user-friendly tools, enables non-experts to develop competitive models.

## ■ Limitations:

- May not capture domain-specific knowledge as effectively as manual approaches.
- Limited transparency and interpretability in model selection and hyperparameter optimization.
- Lacking customization - limited support for highly specialized or novel techniques.
- Most of the AutoML libraries prepared for tabular data, but there are some for image data, e.g. Auto-Keras, Ludwig.

## Use Cases and Considerations:

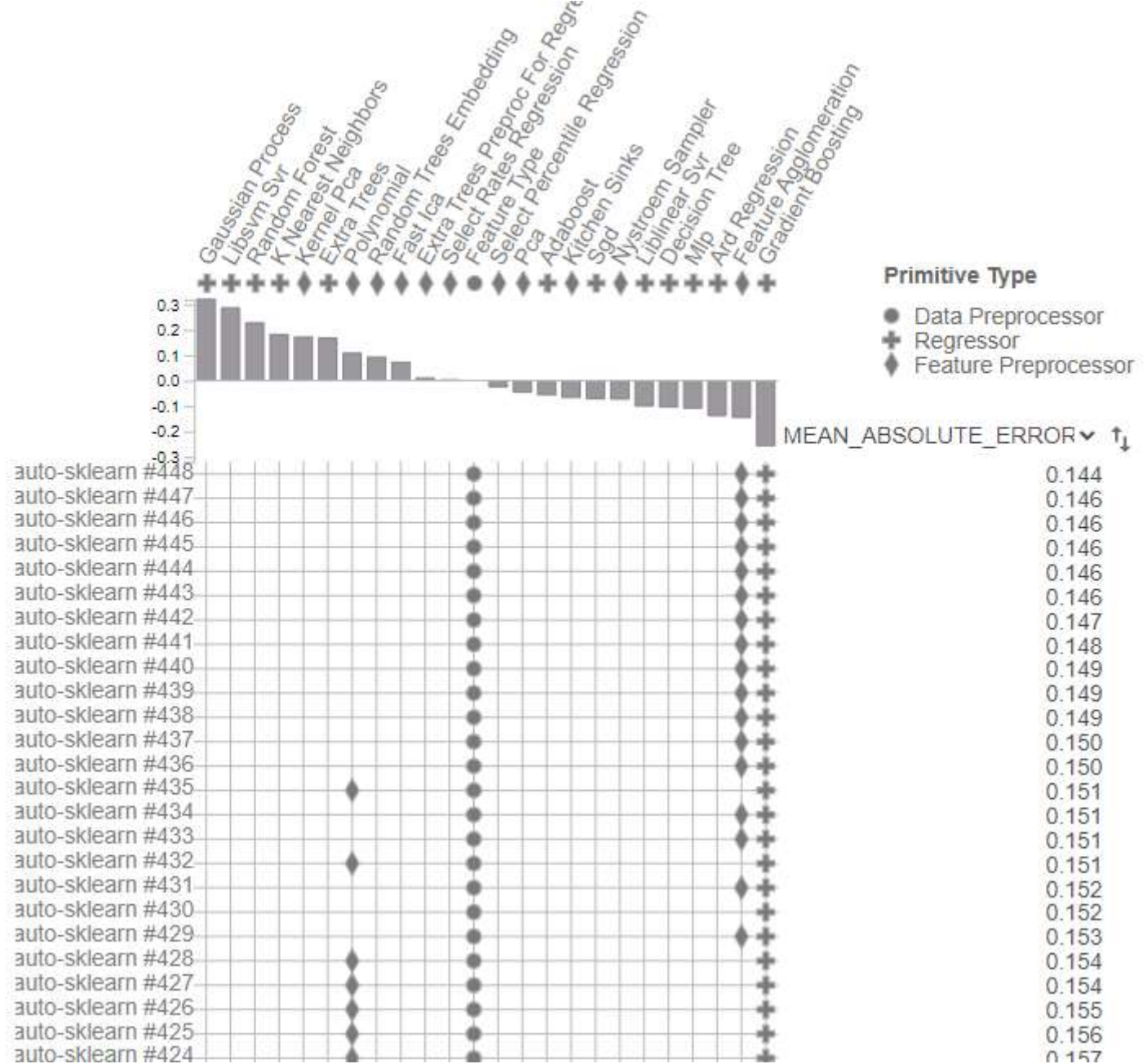
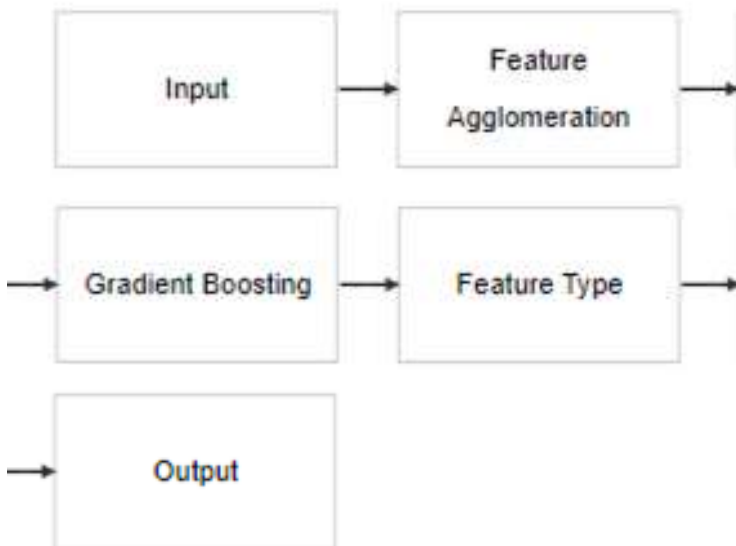
- Ideal when time and computational resources are limited.
- Well-suited for large-scale datasets where manual optimization becomes infeasible.
- Great also for exploratory analysis and prototyping – providing insights and direction for further analysis.
- Useful for researchers who are less experienced in machine learning or lack domain expertise.

# Auto-sklearn outputs

Check out the final ensemble and their weights:

model_id	rank	ensemble_weight	type	cost	duration
346	1	0.18	gradient_boosting	0.144000	815.199952
404	2	0.16	gradient_boosting	0.145509	664.683397
431	3	0.10	gradient_boosting	0.145904	575.139516
389	4	0.04	gradient_boosting	0.146090	623.569639
343	5	0.18	gradient_boosting	0.146346	603.111197
398	6	0.04	gradient_boosting	0.147216	1229.422719
428	7	0.10	gradient_boosting	0.148389	1135.259109
205	8	0.06	gradient_boosting	0.148677	535.995555
180	9	0.04	gradient_boosting	0.150514	4599.399399
261	10	0.04	gradient_boosting	0.151253	4029.990861
392	11	0.06	gradient_boosting	0.156059	4081.637630

Explore the pipeline of each model tested model:



Explore each pipeline using the PipelineProfiler library



# Auto-sklearn results

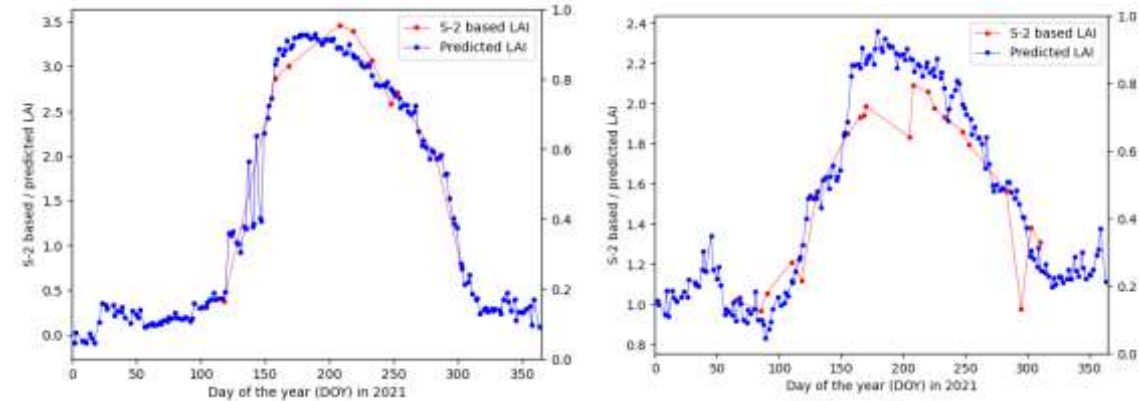
## Statistical results (for LAI estimation):

- Deciduous forests
    - MAE = 0.296
    - RMSE = 0.163
  - **Mixed model - LAI**
    - MAE = 0.268
    - RMSE = 0.137
  - NDVI<sub>red-edge</sub>
    - MAE = 0.037
    - RMSE = 0.003
- Coniferous forests
    - MAE = 0.256
    - RMSE = 0.113
  - FAPAR
    - MAE = 0.054
    - RMSE = 0.005
- Optical: 9-25 in 2021  
SAR: 70-170 in 2021

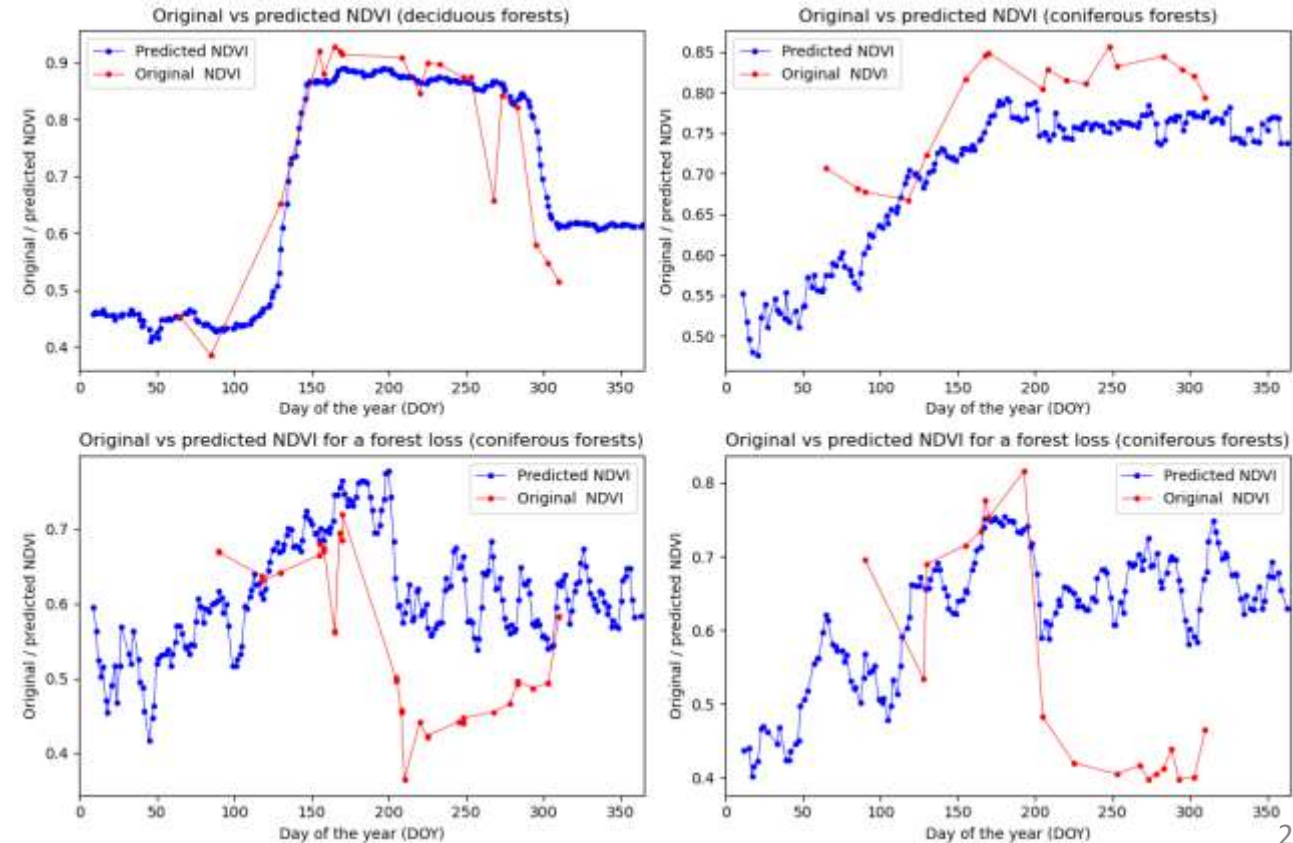
Mixed model (healthy forest)

Deciduous forests

Coniferous forests



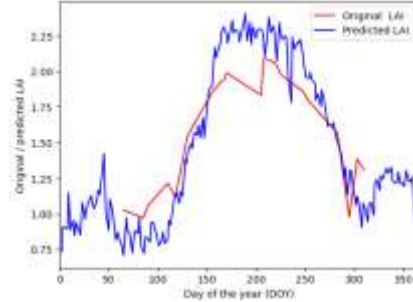
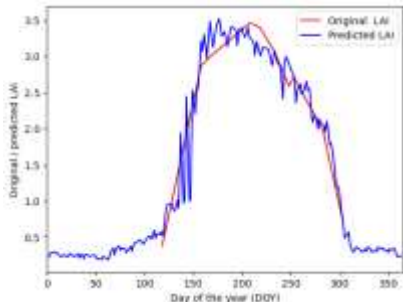
Added forest loss training (NDVI)



Separate models (healthy forest)

Deciduous forests

Coniferous forests



# Conclusion / take away messages

- Best Auto-sklearn results using **Gradient Boosting** algorithm
- Slightly better results with Auto-PyTorch
  - Longer training (few hours) on a powerful computer is required
- Auto-Sklearn can be run on an “normal” computer with 4-8 cores (or even on Google Colab’s 2 cores) while a shorter training time is enough to found a sufficient number of successful runs **?** **useful for training and educational purposes**
- Better temporal resolution was achieved compared to S-2 and other products (e.g. Copernicus GLMS)
  - Consistent vegetation index time series – up to 170 measurements/year
- Better spatial resolution (20 m) compared to e.g. Copernicus GLMS (300 m)

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- De Petris, Samuele, Evelyn Joan Momo, Filippo Sarvia, and Enrico Borgogno-Mondino. 2022. "Multitemporal Dual-Pol Sentinel-1 Data to Support Monitoring of Forest Post-Fire Dynamics." *Geocarto International* 37 (27): 15463–15484. doi:10.1080/10106049.2022.2098388.
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- Kaiser, Philipp, Henning Buddenbaum, Sascha Nink, and Joachim Hill. 2022. "Potential of Sentinel-1 Data for Spatially and Temporally High-Resolution Detection of Drought Affected Forest Stands." *Forests* 13 (12): 2148. doi:10.3390/f13122148.
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# Thank you for your attention!

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Practical part II.  
GEE time series & classical ML vs  
AutoML



# Add folder to your Drive

TAT2023 > TAT2023 > AutoML

Typ souboru | Lidé | Naposledy upraveno

Název	Naposledy uprave...	Velikost souboru
samples	25. 6. 2023 Daniel Paluba	—
TAT_revised.csv	27. 6. 2023 Daniel Paluba	1,3 MB
TAT2023_FINAL_Classical_vs_AutoML.ipynb	27. 6. 2023 Daniel Paluba	232 kB

- Otevřít v aplikaci
- Nová složka
- Sdílet
- Kopírovat odkaz
- Přidat zástupce na Disk**
- Presunout
- Označit hvězdičkou
- Přejmenovat
- Změnit barvu
- Vyhledat v: AutoML
- Stáhnout
- Přesunout do koše

Přidat zástupce položky „AutoML“

Navrženo | S hvězdičkou | **Všechna místa**

**Můj disk** | Přidat

Počítače | Sdíleno se mnou

Zrušit | Přidat

Add shortcut to your Drive



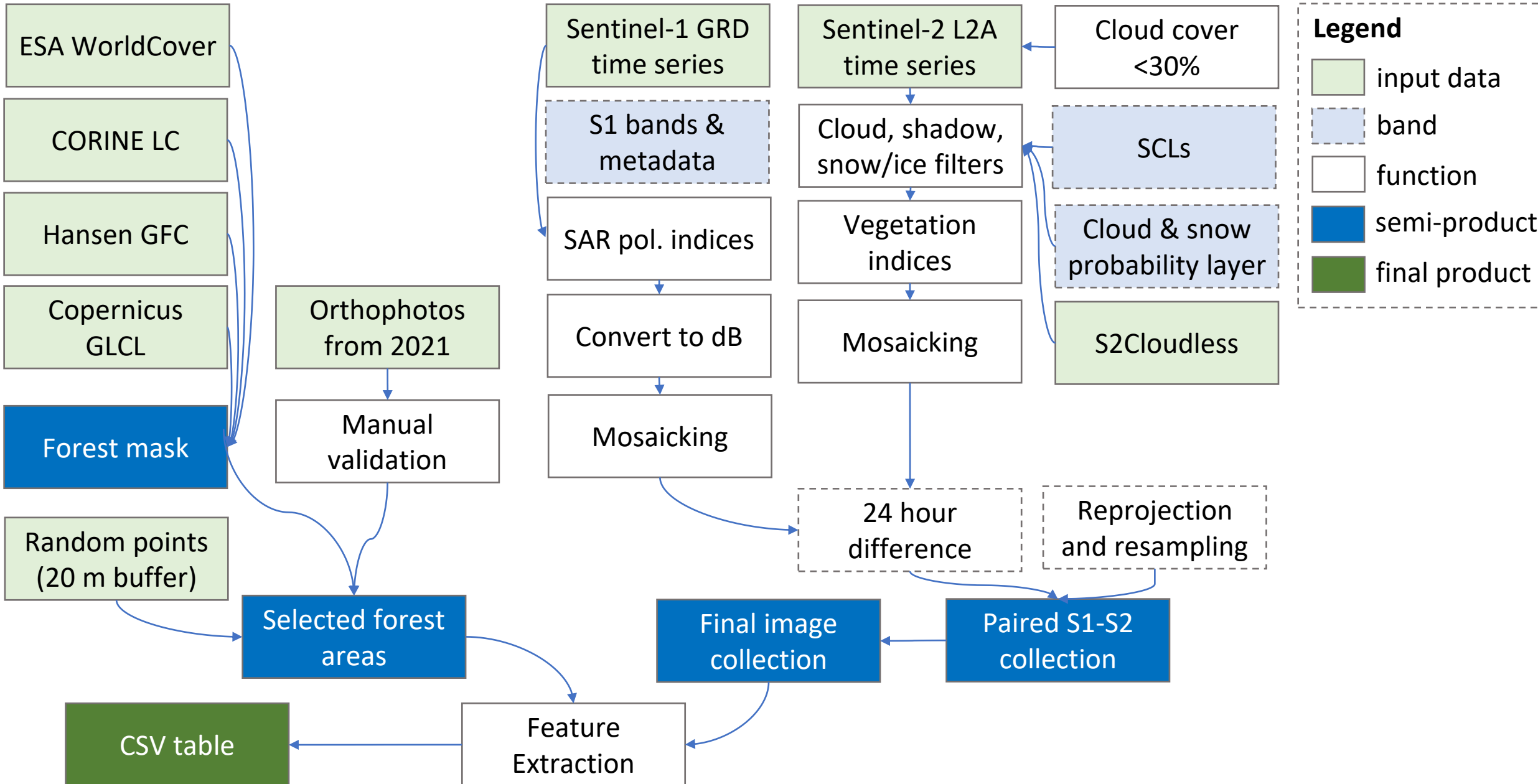
# Classical ML vs AutoML

- Data:

[https://drive.google.com/drive/folders/1Vrtd2XAE5S7bZW7aSitMVwZCcQkLV8Mk?usp=drive link](https://drive.google.com/drive/folders/1Vrtd2XAE5S7bZW7aSitMVwZCcQkLV8Mk?usp=drive_link)

- Code: [https://drive.google.com/file/d/1qcji9NfuKai\\_2Ttolq-vUVtaJk4ncpzx/view?usp=drive link](https://drive.google.com/file/d/1qcji9NfuKai_2Ttolq-vUVtaJk4ncpzx/view?usp=drive_link)

# Classical ML vs AutoML: A simplified data preprocessing and preparation in GEE





# Google Colab: pros & cons



## Pros:

- Cloud-based: no need to install any software & no worries about system requirements
  - Ideal for AutoML (Auto-sklearn) demonstration, while it needs a Linux OS or a [Linux subsystem installed on your Windows](#)
- Available from any device with an internet connection
- Pre-installed libraries and dependencies
- Collaborative features: collaborative editing, enabling multiple users to work together on the same notebook simultaneously, easily shareable
- Integration with Google Drive and GitHub



## Cons:

- Limited computational resources for free: 2 cores with 12 GB of RAM.
- Dependency on internet connectivity: As a cloud-based service, Colab requires a stable internet connection. If you have limited or unreliable internet access, it can impact your ability to work on your projects. Disconnected after 90 minutes of inactivity.
- **Limited session duration and idle timeout:** Colab sessions have a maximum duration of around 12 hours, after which the session may be terminated.
- Harder to customize and control, e.g. library versions, etc.