

# SAR Marine Applications (oil spill and ship detection)

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#### AGENDA

- Introduction to SAR marine applications
- Fundamentals Part I & II
  - Basic concepts ocean waves
  - Basic concepts SAR polarimetry
- ► SAR oil spill detection
  - Marine oil spill source and facts
  - SAR oil spill interpretation
  - Oil spill detection in single and multipolarization SARs
- SAR ship detection
  - Introduction to Automatic Identification System
  - SAR ship detection interpretation
  - Ship detection in single and multi-polarization SARs

### INTRODUCTION



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- About 70% of the Earth's surface is covered by saline waters, e.g. oceans and seas.
- Oceans and seas provide non-renewable and renewable energy sources.
- About 72% of Earth's biodiversity live in the oceans and seas

Water
Snow and Ice
Unvegetated / Barren or Sparsely Vegetated
Other Land Cover Classes
Unclassified



# **OCEAN MONITORING**

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→ THE EUROPEAN SPACE AGENCY

#### Besides Models and In-Situ, there are Satellites that help monitoring the Earth's Oceans!



#### **SAR MARINE APPLICATIONS**





Oil spill, Seepage, Oil drift, Eddies



Ice classification



Ship detection, Wake



Wind, Hurricane/Cyclone, Windfarm



Land-Water line, Coastal erosion



Sea state, Wave breaking, Bathymetry



Icebergs detection



Surface current







Ocean waves are classified by the force that creates them and the force that tries to flatten them.

- Disturbing forces: energy that cause waves
   Wind, gravity, seismic activity, landslides
- 2. Restoring forces: energy that returns the surface to being flat
  - Surface tension, cohesion, gravity



Wind is the primary disturbing force for generating capillary waves and wind waves. Wind speed, duration and fetch are the wind factor affecting the wave development:



Note I: wind-wave interaction and the physical description of sea state is a complex topic which deserves a dedicated seminar and is not covered here.

Note II: the ocean surface is not static. Theoretical description of SAR imaging of ocean surface wave is out of the scope and not covered here.



For a plane electromagnetic (EM) wave, **polarisation** refers to the behavior of the electric field vector in time observed at a fixed point in space.

Elliptical polarisation



x, y component unequal in amplitude with relative phase  $\neq$  0°, 90°



x, y component equal in amplitude with relative phase =  $\pm 90^{\circ}$  Linear polarisation



x, y component equal in amplitude and phase x only -> horizontal; y only -> vertical



The scattering problem: the scatterer changes the polarization of the incident wave

$$\begin{bmatrix} E_h^s \\ E_v^s \end{bmatrix} = F(s) \begin{bmatrix} \dot{S}_{hh} & \dot{S}_{hv} \\ \dot{S}_{vh} & \dot{S}_{vv} \end{bmatrix} \begin{bmatrix} E_h^i \\ E_v^i \end{bmatrix}$$

Spherical factor far field zone F(s)

2x2 scattering matrix

$$S = \begin{bmatrix} \dot{S}_{hh} & \dot{S}_{hv} \\ \dot{S}_{vh} & \dot{S}_{vv} \end{bmatrix}$$

Cross-pol channels Co-pol channels

Complex scattering amplitude



t=transmit r=receive

Bistatic case 
$$\dot{S}_{hv} \neq \dot{S}_{vh}$$
 Monostatic case  $\dot{S}_{hv} = \dot{S}_{vh}$ 













The vectorization of *S* is needed to extract physical information and is achieved by constructing a system vectors as follows:

For monostatic backscattering case  $\dot{S}_{hv} = \dot{S}_{vh}$  two sets of orthogonal spin matrixes are defined

so the corresponding Lexicographic and Pauli target vectors are

The factors 2,  $\sqrt{2}$ ,  $2\sqrt{2}$  ensure the invariane of the total power

$$S = \begin{bmatrix} \dot{S}_{hh} & \dot{S}_{h\nu} \\ \dot{S}_{\nu h} & \dot{S}_{\nu \nu} \end{bmatrix} \qquad \vec{k} = \frac{1}{2} Tr(S\Psi)$$

$$\{\Psi_L\} = \left\{ 2 \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} & 2\sqrt{2} \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix} & \sqrt{2} \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} \right\}$$

$$\{\Psi_P\} = \left\{ \sqrt{2} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} & \sqrt{2} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} & \sqrt{2} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \right\}$$

$$\vec{k}_L = \begin{bmatrix} \dot{S}_{hh} & \sqrt{2}\dot{S}_{h\nu} & \dot{S}_{\nu\nu} \end{bmatrix}^T$$

$$\vec{k}_P = \frac{1}{\sqrt{2}} \begin{bmatrix} \dot{S}_{hh} + \dot{S}_{\nu\nu} & \dot{S}_{hh} - \dot{S}_{\nu\nu} & 2\dot{S}_{h\nu} \end{bmatrix}^T$$

$$Span(S) = \left| \dot{S}_{hh} \right|^2 + 2 \left| \dot{S}_{h\nu} \right|^2 + \left| \dot{S}_{\nu\nu} \right|^2$$





Distributed targets. Covariance and Coherency matrixes

*S* describes the scattering from a point target, but insufficient for distributed targets. Statistically based matrices are used in the latter case

$$C_{3} = \langle k_{L} \cdot k_{L}^{H} \rangle = \begin{bmatrix} \langle \left| \dot{S}_{hh} \right|^{2} \rangle & \sqrt{2} \langle \dot{S}_{hh} \dot{S}_{hv}^{*} \rangle & \langle \dot{S}_{hh} \dot{S}_{vv}^{*} \rangle \\ \sqrt{2} \langle \dot{S}_{hv} \dot{S}_{hh}^{*} \rangle & 2 \langle \left| \dot{S}_{hv} \right|^{2} \rangle & \sqrt{2} \langle \dot{S}_{hv} \dot{S}_{vv}^{*} \rangle \\ \langle \dot{S}_{vv} \dot{S}_{hh}^{*} \rangle & \sqrt{2} \langle \dot{S}_{vv} \dot{S}_{hv}^{*} \rangle & \langle \left| \dot{S}_{vv} \right|^{2} \rangle \end{bmatrix}$$

$$T_{3} = \langle k_{P} \cdot k_{P}^{H} \rangle = \frac{1}{2} \begin{bmatrix} \langle |\dot{S}_{hh} + \dot{S}_{vv}|^{2} \rangle & \langle (\dot{S}_{hh} + \dot{S}_{vv})(\dot{S}_{hh} - \dot{S}_{vv})^{*} \rangle & 2\langle (\dot{S}_{hh} + \dot{S}_{vv})\dot{S}_{hv}^{*} \rangle \\ \langle (\dot{S}_{hh} - \dot{S}_{vv})(\dot{S}_{hh} + \dot{S}_{vv})^{*} \rangle & \langle |\dot{S}_{hh} - \dot{S}_{vv}|^{2} \rangle & 2\langle (\dot{S}_{hh} - \dot{S}_{vv})\dot{S}_{hv}^{*} \rangle \\ 2\langle \dot{S}_{hv}(\dot{S}_{hh} + \dot{S}_{vv})^{*} \rangle & 2\langle \dot{S}_{hv}(\dot{S}_{hh} - \dot{S}_{vv})^{*} \rangle & 4\langle |\dot{S}_{hv}|^{2} \rangle \end{bmatrix}$$

where  $(\cdot)^H$  is the adjoint,  $(\cdot)^*$  is the conjugate and  $\langle \cdot \rangle$  is the averaging operator



Covariance matrix polarimetric features

$$C_{3} = \sigma \begin{bmatrix} 1 & \beta \sqrt{\delta} & \rho \sqrt{\gamma} \\ \beta^{*} \sqrt{\delta} & \delta & \varepsilon \sqrt{\gamma \delta} \\ \rho^{*} \sqrt{\gamma} & \varepsilon^{*} \sqrt{\gamma \delta} & \gamma \end{bmatrix}$$

Channel power  $\sigma = \langle |\dot{s}_{hh}|^2 \rangle$   $\rho = \frac{\langle \dot{s}_{hh} \dot{s}_{vv}^* \rangle}{\sigma \sqrt{\gamma}} = \frac{\langle \dot{s}_{hh} \dot{s}_{vv} \rangle}{\sqrt{\langle |\dot{s}_{hh}|^2 \rangle \langle |\dot{s}_{vv}|^2 \rangle}}$   $\beta = \frac{\sqrt{2} \langle \dot{s}_{hh} \dot{s}_{hv}^* \rangle}{\sigma \sqrt{\delta}} = \frac{\langle \dot{s}_{hh} \dot{s}_{hv} \rangle}{\sqrt{\langle |\dot{s}_{hh}|^2 \rangle \langle |\dot{s}_{hv}|^2 \rangle}}$   $\epsilon = \frac{\sqrt{2} \langle \dot{s}_{hv} \dot{s}_{vv}^* \rangle}{\sigma \sqrt{\gamma\delta}} = \frac{\langle \dot{s}_{hv} \dot{s}_{vv} \rangle}{\sqrt{\langle |\dot{s}_{hv}|^2 \rangle \langle |\dot{s}_{hv}|^2 \rangle}}$ 



#### Eigenvalue decomposition polarimetric features

With the eigenvalue analysis of the coherency (covariance) matrix it is possible to valuate the dominant average scattering mechanism in each cell.  $\lambda_i$  and  $e_i$  are the j-th eigenvalue, eigenvector

$$T_{3} = \sum_{j=1}^{3} \lambda_{j} \underline{e}_{j} \cdot \underline{e}_{j}^{H}$$
$$\lambda_{1} > \lambda_{2} > \lambda_{3}$$

DOUDC

From single scattering mechanism ( $\lambda_1 \neq 0$ ,  $\lambda_2 = \lambda_3 = 0$ ) to de-correlated random scattering ( $\lambda_1 = \lambda_2 = \lambda_3 \neq 0$ ) there is the case of distributed or partially polarized scatterers.

Entropy

$$H = -\sum_{j=1}^{3} P_j \log_3 P_j \quad H \in [0, 1] \qquad P_j = \frac{\lambda_j}{\lambda_1 + \lambda_2 + \lambda_3}$$

Average alpha angle

 $\overline{\alpha} = P_1 \alpha_1 + P_2 \alpha_2 + P_3 \alpha_3 \quad \overline{\alpha} \in [0^\circ, 90^\circ]$ 



Anisotropy

$$A = \frac{\lambda_2 - \lambda_3}{\lambda_2 + \lambda_3} = \frac{P_2 - P_3}{P_2 + P_3} \qquad 0 \le A \le 1$$

Note: there are many more polarimetric parameters which have been introduced in literature for different applications, as well as a whole set of model-based decomposition technique not covered.



#### PolSAR in a nutshell

Schematic representation of PolSAR radar system composed of two receiver chains and T/R timing diagram





Quad-pol: 4 combinations  $[\dot{S}_{hh}, \dot{S}_{hv}, \dot{S}_{vh}, \dot{S}_{vv}] \longrightarrow$  Doubling of the PRF

Dual-pol: 2 combinations  $[\dot{S}_{hh}, \dot{S}_{hv}]$  or  $[\dot{S}_{vh}, \dot{S}_{vv}]$  and the combination  $[\dot{S}_{hh}, \dot{S}_{vv}]^1$  doubling the PRF

PolSAR side effects: reduced swath width (half coverage in range), reduced spatial resolution (doubled in azimuth).

<sup>1</sup> Different SAR missions have different implementations of the  $[\dot{S}_{hh}, \dot{S}_{vv}]$ : twin, alternating polarization, ping pong. PRF doubling is needed to keep the inter-channel correlation



#### Effects of the PolSAR reduced swath width for the oil spill observation



© source SAR data: TerraSAR-X/TanDEM-X DLR e.V, 2015



#### Effects of the PolSAR reduced spatial resolution for the ship detection

Single-pol HH polarization acquired by TS-X

5.3 Imaged ship Length 67.0m 41.5 Width 14.6m

Quad-pol HH <sup>-15.0</sup> polarization acquired by TD-X





#### SAR imaging mode and TerraSAR-X PolSAR case example



#### **SAR MARINE APPLICATIONS**





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Ship detection, Wake



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Icebergs detection



Surface current

#### **DEFINITION OF OIL SPILL**





- Oil is a general term used to denote petroleum products which mainly consist of liquid hydrocarbons.
- Crude oils are made up of a wide spectrum of hydrocarbons ranging from very volatile, light material such as propane and benzene to more complex heavy compounds such as bitumen, asphaltenes, resins and waxes.
- An oil spill is a violent spillage due to human activity that is concentrated in a specific area and surpassing the natural assimilation capacities of the surrounding environment.

© slide courtesy of Prof. M. Migliaccio

### SOURCE OF OIL SPILL





Selection of Largest oil spills							
Spill / Tanker	Location	Date	Tonnes of crude oil (thousands)				
Kuwaiti Oil Fires	Kuwait	16.01.91 - 6.11.91	136,000				
Kuwaiti Oil Lakes	Kuwait	01.91 – 11.91	3,409–6,818				
Lakeview Gusher	California, USA	ornia, USA 1910 – 1911					
Gulf War oil spill	Kuwait, Iraq, and the Persian Gulf	1991	818–1,091				
Deepwater Horizon	Gulf of Mexico, USA	20.04.2010 – 15.07.2010	560–585				
Ixtoc I	Gulf of Mexico, Mexico	3.06.1979 – 23.03.1980	454–480				
Atlantic Empress / Aegean Captain	Trinidad and Tobago	1979	287				
Fergana Valley	Uzbekistan	2.03.1992	285				
ABT Summer	Angola	28.05.1991	260				
Nowruz Field Platform	Persian Gulf, Iran	4.02.1983	260				
Castillo de Bellver	South Africa, Saldanha Bay	6.08.1983	252				
Amoco Cadiz	France, Brittany	16.03.1978	223				
Taylor Energy Gulf of Mexico, USA		2004 – Present	210–490				

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# SOURCE OF OIL SPILL

- Accidents involving tankers rank top in number but not in quantity (oil spills caused by war and wells blowout are larger)
- Some oil spill have marked history not for their size but for the combination geographical location, clean-up and compensations costs and political context, e.g. Exxon Valdez (Alaska), Erika (France), Deepwater Horizon (USA)
- There are 3 types of routine ship operations which pollute the sea:
  - Ballast water\*
  - Tank washing residues\*
  - Engine room effluent discharges

\*Mainly tankers

Selection of Largest oil spills						
Spill / Tanker	Location	Date	Tonnes of crude oil (thousands)			
Kuwaiti Oil Fires	Kuwait	16.01.91 - 6.11.91	136,000			
Kuwaiti Oil Lakes	Kuwait	01.91 - 11.91	3,409–6,818			
Lakeview Gusher	California, USA	1910 – 1911	1,200			
Gulf War oil spill	Kuwait, Iraq, and the Persian Gulf	1991	818–1,091			
Deepwater Horizon	Gulf of Mexico, USA	ulf of Mexico, 20.04.2010 – SA 15.07.2010				
Ixtoc I	Gulf of Mexico, Mexico	3.06.1979 – 23.03.1980	454–480			
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# **OIL POLLUTION PREVENTION AND SURVEILLANCE**



# IMO MARPOL Annex I – Prevention of pollution by Oil

MARPOL ANNEX 1 -Control of Discharge of Oil From Machinery Space





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- Special Areas Annex I: Mediterranean Sea, Baltic Sea, Black Sea, Red Sea, Gulf Area, Gulf of Aden, Antarctic area, North West European Waters, Oman area of the Arabian Sea, Southern South African waters
- Regional convention and community laws can be more restrictive: Bonn agreement, Helsinki convention, Directive 2005/35/EC

#### Monitoring and surveillance – The EMSA CleanSeaNet European satellite based oil spill monitoring and vessel detection service



European Maritime Safety Agency

CleanSeaNet service supports three different types of activity undertaken by coastal states

- Routine monitoring Images are planned to cover wide areas all year round, with the primary purpose of detecting vessels discharging substances like oil at sea, possibly illegally
- Emergency response Images can be acquired at short notice following an incident at sea, to check whether there has been a spill and if so to track the spread of oil subsequently
- Specific pollution monitoring operations
   CleanSeaNet supports EU administrations undertaking pollution monitoring and response operations and exercises





© EMSA



#### Overview table of remote sensing techniques used for oil spill monitoring

Туре	Optical				Microwave	
Sensor	Visible	IR	UV	LFS	MWR	SAR/SLAR
Weather condition	No clouds	No clouds No fog	Clear atmosphere	No clouds No fog	All weather	All weather
24 h operation	No	Yes	No	Yes	Yes	Yes
Spatial Resolution	High	High	High	High (line profile)	Low	Very high-High
Spatial Coverage	Medium (airplane)	Small (airplane)	Small (airplane)	Small (airplane)	Small	High
Thickness Information	No	Rough Estimation	No	<20 µm	50 $\mu m$ – few $mm$	No
Oil classification	No	No	No	Yes	No	No
False Alarms	Algae, dark shoreline	Algae, shoreline	Algae, sun glint, wind sheen	No	No	Algae, low wind area, oceanic features





*Left* multispectral optical image acquired by the NASA satellite MODIS-AQUA on August 30, 2009 at 05:20 UTC during the Montara oil spill in Timor Sea

*Right* Synthetic Aperture Radar image acquired by the DLR satellite TerraSAR-X on August 30, 2009 at 09:58 UTC during the Montara oil spill in Timor Sea





*Left* multispectral optical image acquired by the ESA satellite ENVISAT-MERIS on April 25, 2010 at 16:28 UTC during the DWH oil spill in the Gulf of Mexico

*Right* Synthetic Aperture Radar image acquired by the DLR satellite TerraSAR-X on April 25, 2010 at 11:50 UTC during the DWH oil spill in the Gulf of Mexico





Satellite and aerial support during DWH cleanup procedure



Airplane equipped with thermal infrared, hyperspectral, camera, C-band microSAR



Aerial survey during clean-up procedure



Several zoom-in of the satellite TerraSAR-X imag acquired over the polluted area



← 30 km→

Start Date: 2010-07-09T12:24:52,131 End Date: 2 010-07-09T12:25:36,628 Sensor Mode: StripMap Polarization Mode: Single Polarization Channels: VV Pass Direction: Descending Looking Direction: Left



© DLR e.V., 2010

#### **SAR OIL SPILL INTERPRETATION**





# **SAR OIL SPILL INTERPRETATION – WIND SPEED**





- Low wind speed

both oil and natural slicks

generation of natural slick impossible

If detection -> pollution

High wind speed

turbulence and waves, which drag slicks in the ocean sub-surface -> no detection

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 $2 < U_{10} < 10 - 14 m/s$ 

Moderate wind speed

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# SAR OIL SPILL INTERPRETATION



#### How man made oil spills looks like?

#### Operational discharges

- Straight linear
- Curvilinear



#### Accidental discharges

- Discontinuous patches
- Rounded shape

# SAR OIL SPILL INTERPRETATION – OIL TYPE





Figure 1: Radar contrast induced by the light fuel MARPOL spills as a function of the Bragg wavenumber  $k_B$ . The bars indicate how the Bragg wavenumbers are covered by the different radar bands. The solid line is computed from *Marangoni* wave damping theory [9]. © V. Wismann, 1993



- Controlled oil spill experiment SAMPLEX
- Radar backscatter measurements done with HELISCAT at L- (1 GHz), S-(2.4 GHz), C- (5.3 GHz), X-(10 GHz) and Ku-band (15 GHz)
- Contrasts of light fuel spills well below those of heavy fuel spills

# **SAR OIL SPILL INTERPRETATION – WAVELENGTH**







- Controlled oil spill experiment SAMPLEX
- Radar backscatter measurements done with HELISCAT at L- (1 GHz), S-(2.4 GHz), C- (5.3 GHz), X-(10 GHz) and Ku-band (15 GHz)
- Major Spill made with heavy fuel discharged instantaneously
- Shorter wavelength higher radar contrast

# SAR OIL SPILL INTERPRETATION – LOOK-ALIKES



Not all low backscatter area are related to oil spills. Man-made and natural phenomena damping the Bragg waves produce "dark areas" in SAR images are called look-alikes.



Look-alikes are therefore false alarms and should be reduced as much as possible. Unfortunately the discrimination is not an easy task either for trained operator than for automatic detection algorithm. Auxiliary information are generally needed, e.g. wind field, current, AIS, etc.

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# SAR OIL SPILL DETECTION WORKFLOW

- Image Product:
  - level-1 product
  - ScanSAR, StripMAP modes
  - (Multilooked) Ground Range Detected (GRD) type
- Ancillary Data:
  - product annotation metadata file
  - Iand mask dataset
  - weather information
- Final Product:
  - polygons of dark patches
  - oil spill/look-alike label

Near-Real-Time: 20-30 mins from data downlink at base station



### **OFFSHORE PLATFORMS OIL SPILL DETECTION**



#### Example of semi-automated oil spill detection for offshore monitoring



© source SAR data: TerraSAR-X DLR e.V, 2013
# **OIL WELL LOCALIZATION**



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 Sea surface oil slick continuously reported in Gulf of Mexico

- Offshore structure destroyed by hurricane
- Leaks coming from the underwater oil wells once attached to the platform
- Multiple observation also in short revisit time



# **CLASSICAL VS POLSAR OIL SPILL**



#### **Classic approach**

- Large number of false alarms (lookalikes)
- Reliability of final product depends on trained personnel
- Algorithms need to be developed for sensors specific acquisition mode and wavelength
- Mostly base on image processing with little physics involved
- Many images are needed for training
- Well accepted for routine operations

#### **PolSAR** approach

- Not so much used in operational context due to reduced coverage
- Some class of false alarms (weak damping look-alike) can be better discriminated already with dual-pol
- Based on electromagnetic modelling of the sea surface scattering
- Robust and effective across wavelength and SAR missions
- Quad-pol approaches can't be employed when only dual-pol are available

#### **POLARIMETRIC SCATTERING MODEL**



The oil spill detection using PolSAR data is based on a polarimetric model developed by Prof. M. Migliaccio to understand the sea surface scattering with and without surface slicks in terms of some polarimetric features



#### QUAD- AND DUAL-POL FEATURES OIL SPILL



#### Extraction of PolSAR techniques for oil spill detection available in literature

- Quad-pol measurements
  - Mueller filter
  - Polarimetric entropy
  - Degree of polarization
  - Unpolarized backscattered energy
  - Conformity coefficient
- **Dual-pol measurements** 
  - Co-polarized Phase Difference
  - Co-pol coherence
  - Geometric intensity
  - Dual-pol degree of polarization

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#### THE OIL SPILL CPD ON X-, C- AND L-BAND





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#### **THE CPD IN X-BAND – TANKER ACCIDENT**





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#### **THE CPD IN X-BAND - OPERATIONAL SPILLAGE**





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#### **THE CPD IN X-BAND - NATURAL SLICKS**





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#### **VERIFICATION OF THE CPD IN X-BAND**



The probability density functions of the CPD retrieved from the data confirm the soundness of the polarimetric modelling of the sea surface scattering with and without oil 0.025 0.020 SEA ---- SEA — OIL LOOK ALIKE OIL 0.020 0.015 0.015 pdf 20.010 0.010 0.005 0.005 0.000 0.000 -100 -100 -200 0 100 200 -200 0 100 200 CPD (°) CPD (°)

#### **THE CPD IN C-BAND – DWH SPILL**





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© slide courtesy of Prof. M. Migliaccio

#### THE CPD IN L-BAND – VIETMAN OIL SLICK



**CPDstd** 

Intensity HH



© slide courtesy of Prof. M. Migliaccio

## **DUAL-POL OIL SEEP CPD ANALYSIS**



- Time-series of dual-pol HH/VV TerraSAR-X acquired over a known source of oil spill
- Dataset partitioned in three groups based on the acquisitions angle of incidence 26°, 34°, 43°





#### **DUAL-POL OIL SPILL DETECTION**



# TerraSAR-X dual-pol HH-VV data during the annual NOFO oil-on-water (OOW) exercise 2011 in the North Sea



© source SAR data DLR e.V., 2011





#### **DUAL-POL OIL SPILL DETECTION**



# TerraSAR-X dual-pol HH-VV data during the annual NOFO oil-on-water (OOW) exercise 2011 in the North Sea. Dual-pol polarimetric features



## **TRADITIONAL AND DUAL-POL FEATURES**



Histogram-based discrimination power of X-band dual-pol features. For each feature the contrast is evaluated:



(a) CPDstd, (b) sample coh, (c) Real co-pol product, (d) span, (e) Geometric intensity, (f) co-pol power ratio, (g) entropy, (h) Anisotropy, (i) mean alpha angle

Mutual information analysis of X-band traditional and polarimetric features (225 oil class, 26 look-alike class)

Featur  $Y_1 = \text{Geom}$  $Y_2 = Pw Ra$  $Y_3 = \text{Span}$ i = Pw R $Y_{h} = A$ 

 $Y_{10} = Alpl$  $Y_{17} = CP$ 

in = Geon Ym =Rco

 $Y_{24} = \text{Reo Obj}$ 

 $Y_{26} =$ Spreading

0.21920133

0.14384433

$$\mathcal{I}(X/Y) = \sum_{y \in Y} \sum_{x \in X} p(x, y) \log(p(x, y)/p(x)p(y))$$

Feature Y,	$\mathcal{I}(Y_i; Class)$		
=Geom_Int_Contr	0.43435536	1 2 3 4 5 6 7 8 0 10 11 12 13 14 15 16 37 18 19 20 21 22 30 24 25	00
2 =Pw_Ratio_Contr	0.43030952		ww.
Y3 =Span_Contr	0.41153182		an.
1 = Pw_Ratio_Obj	0.39556791		44
$Y_h = A\_Contr$	0.38074514		-
$Y_6 = Max_Contr$	0.37435768		00
$Y_7 = StdDev_Obj$	0.37396138		
$Y_8 = Alpha_Obj$	0.37385888		10
$Y_9 = CPD\_Contr$	0.36981304		
$Y_{10} = Corr_Contr$	0.36836651		eD.
Y11 =Mean_Contr	0.36487212	12	
$Y_{12} = Complexity$	0.36091791	14	50
Y <sub>11</sub> =H_contr	0.35548907		
$Y_{14} = H_Obj$	0.34583747		40
$Y_{15} = \text{Corr_Obj}$	0.34455698		
$Y_{10} = \text{Alphn}_\text{Contr}$	0.33402118		30
$Y_{17} = CPD_Obj$	0.31725307		
$Y_{16} = A_Obj$	0.31501395	1 20	26
19 = Geom_Int_Obj	0.28707119	21	
$Y_{20} = \text{Rco\_Contr}$	0.28407560	20	50
Y21 =Perimeter	0.26252907		
$Y_{22} = Area$	0,24698244	-25	00
Y <sub>21</sub> = Span_Obj	0.24439306	1	

(left) Ranking of traditional and dual-pol features based on mutual information (right) matrix of normalized mutual information values

#### **SAR MARINE APPLICATIONS**





Oil spill, Seepage, Oil drift, Eddies



Ice classification



Ship detection, Wake



Wind, Hurricane/Cyclone, Windfarm



Land-Water line, Coastal erosion



Sea state, Wave breaking, Bathymetry



Icebergs detection



Surface current

#### **SHIP TRAFFIC**



- About 90% of the worldwide good traffic goes by ship.
- Human activities at sea:
  - Transport (Safety)
  - Pleasure (Safety)
  - Waste dumping (Security)
  - Illegal trafficking (Security)
  - Piracy (Security)
  - Etc.



Worldwide ship density map based on AIS data in 2021

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The **Automatic Identification System** (AIS) is a vessel collision avoidance/tracking system designed for autonomous information exchange between ship-ship and ship-shore base station.

Short messages are sent and received by the transceiver using radio waves in two dedicated VHF (very high frequency) channels: AIS 1 161.975 MHz, marine channel 87B and AIS 2 162.025 MHz, marine channel 88B

Autonomous and continuously operation is ensured by the transmission protocol:

- Self Organized Time Division Multiple Access (SOTDMA) for Class A (large vessels)
- Carrier Sense Time Division Multiple Access (CSTDMA) for Class B (small vessels)
- Fixed Access Time Division Multiple Access FATDMA for base station and Aids to Navigation (AtoN)
- Pre Announced Time Division Multiple Access PATDMA for Search and Rescue Radar Transponder (SART)



Each minute of time is divided in 2250 timeslots. Having two channels it makes 4500 time slots available. 1 timeslot is 256 Bits.

There are 27 message types currently in use out of the 64 possible. The most relevant are:

- Position messages: 1, 2, 3, 4, 18 and 27
- Static and voyage messages: 5 and 24

Updates of position messages happen at intervals from 2 secs to 3 mins depending on ship status and AIS class. Static and voyage message at 6 mins interval.

Position messages (MMSI, latitude, longitude, timestamp, speed and course, heading, etc.) are compiled using other navigation instruments (GPS, long-range nav system, gyrocompass, rate of turn indicator). Static and voyage messages (MMSI, name, type, dimension, IMO number, ETA, etc.) are manually compiled during installation.



Ships that must be equipped with an AIS according to SOLAS:

- All passenger ships
- All ships > 300 gross tonnage engaged on international voyages
- Cargo ship > 500 gross tonnage not engaged on international voyages Additional regional agreements:
  - EU flagged fishing ships > 15m length
  - US commercial flagged fishing ship > 65 ft length

The AIS horizontal range is limited by the line-of-sight propagation of VHF radio wave, e.g. a receiving station at 100m ASL receive with a range of 35-40 km

AIS messages can be received by satellite (S-AIS) in Low Earth Orbit (LEO). Dense ship areas might create message collision. Refresh rate depends on the number of satellite in the constellation.



AIS are used in practice beyond collision avoidance in several applications:

- Vessel Traffic Services (VTS). Provides additional information on the type of vessels and their movement among other useful vessel specific data
- Aids to Navigation. Ability to broadcast positions and names of objects, such as lighthouse, buoys, and markers
- Search and Rescue. Status information of vessel in the vicinity of a vessel or person in distress
- Accident investigation. Provide accurate navigation data history of the vessels involved in an accident.
- Binary messaging. Use of binary data for broadcasting communication, for example meteorological conditions
- Surveillance and security. Border control, counter piracy, fishing regulation compliance.
- ► Add-on for SAR oil spill and ship detection





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#### How ships looks like in SAR image?







 $\ensuremath{\mathbb{C}}$  Her Majesty the Queen in Right of Canada, as represented by the Minister of National Defence, 2013

- Paris W. Vachon (DRDC Canada) has developed a performance tool to predict the ship detectability in Cband SAR
- The tool is based on modelling the ship RCS as function of the ship's length and the ocean RCS as function of wind speed/direction (CMOD and XMOD) and following a K-distribution
- The tool has been extended to Xband and can give an indication of ship detectability at different wavelength (HH, PFA=2.5(10)<sup>-9</sup>, PD=0.9, margin=3dB



Data-driven probability of detection map based on X-band high resolution (better than 6m) SAR data, under moderate sea state conditions, as function of wind speed, incidence angle and ship size classes, small, medium and large.



 Comparison of SAR single-pol and PolSAR mode for the detection of small metallic target at sea





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- Comparison of SAR single-pol and PolSAR mode for the detection of small metallic target at sea
- The resolution loss of the PolSAR mode might end-up in losing some targets, but this drawback is compensated by the different polarization available





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- Ship's velocity radial component produces a Doppler shift which results in the ship to be located at displaced position in azimuth "train off the track effect".
- This impacts the geolocation estimation of the detected ships



© source SAR data: DLR e.V., 2011. In-situ field experiment funded by the FP7 project DOLPHIN FP7-SPACE-2010-1

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# SAR SHIP DETECTION CHALLENGES

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- Ship's velocity along track component, i.e. azimuth, change the Doppler slope which results in a defocusing of the impulse response with a consequent "smearing" of the ship.
- This impacts the detection and ship's length estimation







- Ship's dihedral structures, e.g. bridgedeck, produce a "crosslike" radar signature
- This impacts the estimation of the ship's width.





- The SAR finite sampling 👔 тз-х of the Doppler spectrum at PRF produce signal components outside the processed bandwidth to fold back and generate the ghost replicas "azimuth ambiguity".
- This impacts the detection performance increasing the false alarms



source SAR data: TerraSAR-X DLR e.V.; RADARSAT-2 MacDONALD, DETTWILER AND ASSOCIATES LTD (2011).



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© source SAR data: TerraSAR-X DLR e.V.; RADARSAT-2 MacDONALD, DETTWILER AND ASSOCIATES LTD (2011).





### **NRT SAR SHIP DETECTION WORKFLOW**

► Image Product:

Ancillary Data:

► Final Product:

Near-Real-Time: 20-30 mins from data downlink at base station

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#### **SHIP DETECTION – CFAR**



Basic concept of Constant False Alarm Rate: identify pixels that do not fit to the statistical properties of sea clutter keeping constant the probability of false alarms along the input image



The threshold changes adaptively and the detector is usually applied on pixelbases. 30 x 50 km<sup>2</sup> VHR SAR image @1.5m pixel spacing is ~660 \* 10<sup>6</sup> pixels

#### **SHIP DETECTION – CFAR**



#### Nested sliding moving window concept and ocean clutter parameters


## **SHIP DETECTION – CFAR ITERATIONS**





- The detection step can take advantages of the Time-Frequency (TF) decomposition of Single Look Complex SAR data
- Several variations of the TF have been proposed for ship detection based on:
   1) Single, dual- or quad-pol
  - 2) Fourier in range, azimuth or both
  - 3) Partitioning strategy: only time, only frequency and TF
  - 4) Number of partitions
  - 5) With and without overlap between partitions
  - 6) Yes/No re-oversample and tapering function
  - 7) How n complex signals are combined







#### Arnaud '99, Ouchi et. al. '04:

single-pol input, azimuth direction and partitioning, 2 non-overlapping frequency band (halved  $B_{Doppler}$ ), magnitude normalized coherence  $\rho$ . Higher TCR, sea decorrelation, clutter suppression. Limited data, no info on data oversampling, Doppler centroid correction and data weighting function.

#### Souyris et. al. '03:

single-pol input, range and azimuth direction and partitioning, oversampling only in range, Doppler centroid correction, 2 non-overlapping (halved  $B_{Doppler}$  and  $B_{chirp}$ ) resulting in 4 complex signal output, complex correlation  $\rho_{herm}^{azi}$ ,  $\rho_{herm}^{rge}$  and their incoherent sum. Polarimetric extension.  $\rho_{herm}$  better than  $\rho$ , decomposition in range investigated. Limited data, tested with land target immersed in speckle, vehicle or ship can easily "decohere".



©J.-C. Souyris, C. Henry, and F. Adragna, "On the use of complex SAR image spectral analysis for target detection: assessment of polarimetry," IEEE Trans. Geosci. Remote Sens., vol. 41, no. 12, pp. 2725–2734, Dicembre 2003.



#### Greidanus '06:

single-pol input, only azimuth direction and partitioning, 3 non-overlapping ( $1/3 B_{Doppler}$ ), various combinations of the 3 complex signal output (multiplicative mean, CoV, local correlation, multi-look image). Small fishing vessel, no combination performed better than intensity, theoretical behavior. Limited data, no info on data oversampling, Doppler centroid correction and data weighting function.

#### Brekke et. al. '13:

single-pol input, azimuth direction and partitioning, 2 varying  $B_{Doppler}$  and overlap, Doppler centroid correction, oversampling, complex correlation  $\rho_{herm}^{azi}$  Polarization dependency. Overlap effects. Medium size ship. Limited data and conditions tested.



©H. Greidanus, "Sub-aperture Behavior of SAR Signatures of Ships," in IEEE International Conference on Geoscience and Remote Sensing Symposium, 2006. IGARSS 2006, 2006, pp. 3579–3582.



• Hu et. al. '13:

quad-pol input, simultaneous azimuth and range direction and partitioning, 2 non-overlapping azimuth (halved  $B_{Doppler}$ ) and 2 non-overlapping range (halved  $B_{chirp}$ ), Doppler centroid correction, no-oversampling, TF-pol coherence  $\rho_{TF-pol}$  Ships vs island, ships vs ghost, ships vs sea ice, low SCR. Computational complexity, increased amount of memory, limited data.

Marino et. al. '15:

single-pol input, individual azimuth and range direction and partitioning, 2 or more azimuth (varying  $B_{Doppler}$ ) and 2 or more range (varying  $B_{chirp}$ ), set of overlapping bands, oversampling and prewhitening, coherence, correlation, entropy, GLRT. L-, C- and X-band, large amount of ground truth ships, meteorological conditions. Dedicated to a general ship size.



# **DUAL-POL REFLECTION SYMMETRY**



Reflection symmetry approach applied to ship detection

- Man-made metallic target
- Not symmetric
- C has 9 non-0 elements
- Natural distributed target
- **Symmetric**
- C has 5 non-0 elements

$$C_{3} = \begin{bmatrix} \langle \left| \dot{S}_{hh} \right|^{2} \rangle & \sqrt{2} \langle \dot{S}_{hh} \dot{S}_{hv}^{*} \rangle & \langle \dot{S}_{hh} \dot{S}_{vv}^{*} \rangle \\ \sqrt{2} \langle \dot{S}_{hv} \dot{S}_{hh}^{*} \rangle & 2 \langle \left| \dot{S}_{hv} \right|^{2} \rangle & \sqrt{2} \langle \dot{S}_{hv} \dot{S}_{vv}^{*} \rangle \\ \langle \dot{S}_{vv} \dot{S}_{hh}^{*} \rangle & \sqrt{2} \langle \dot{S}_{vv} \dot{S}_{hv}^{*} \rangle & \langle \left| \dot{S}_{vv} \right|^{2} \rangle \end{bmatrix}$$

$$r = \left| \langle S_{xx} S_{xy}^{*} \rangle \right| \quad x, y \in \{h, v\}$$

$$C_{3} = \begin{bmatrix} \langle \left| \dot{S}_{hh} \right|^{2} \rangle & 0 & \langle \dot{S}_{hh} \dot{S}_{vv}^{*} \rangle \\ 0 & 2 \langle \left| \dot{S}_{hv} \right|^{2} \rangle & 0 \\ \langle \dot{S}_{uv} \dot{S}_{hh}^{*} \rangle & 0 & \langle \left| \dot{S}_{uv} \right|^{2} \rangle \end{bmatrix}$$



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- Dual-Pol HH/HV  $\vartheta = 30.51$
- Time: 2009-07-15 at 06:30 UTC
- Wind: 5.1 m/s SW
- Targets: 8; Ground truth: 7 Ships (AIS)



- Dual-Pol TS-X VV/VH  $\Theta$  = 39.7
- Time: 2011-08-30 at 14:15 UTC
- Wind: 2.2 m/s SE
- Targets: 50; Ground truth: 21 (10 AIS)

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[Left] r image processed with 3x3 moving window based on dual-pol HH/HV. [Right] Logical true-false output.



[Left] r image processed with 3x3 moving window based on dual-pol VV/VH. [Right] Logical true-false output.



© source SAR data: DLR e.V., 2013. In-situ field experiment funded by the FP7 project DOLPHIN FP7-SPACE-2010-1

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Reflection symmetry approach tested during field experiment using a control boat















© courtesy of F. Nunziata



Spatial displacement of ghost replicas

$$\Delta x_{AZ} \approx \frac{mPRFv_S}{f_{\rm DR}}$$

$$\Delta x_{RG} = \frac{m\lambda PRF}{f_{\rm DR}} \left( f_{\rm DC} + \frac{mPRF}{2} \right)$$

and mutual relationship

$$\Delta x_{AZ} \approx m \frac{\lambda PRF}{2\nu_S} s_0$$
$$\Delta x_{RG} = \frac{(\Delta x_{AZ})^2}{2s_0}$$



















### **THANK YOU FOR YOUR ATTENTION!**



# Ship at sea exercise. Comparison of X-band SAR and X-band WaMoS<sup>®</sup>II wave radar system with added value of AIS tracks



© source SAR data: DLR e.V., 2011; WaMoS®II data Oceanwaves GmbH. In-situ field experiment funded by the FP7 project DOLPHIN FP7-SPACE-2010-1

#### **QUESTIONS?**





Oil spill, Seepage, Oil drift, Eddies



Ice classification



Ship detection, Wake



Wind, Hurricane/Cyclone, Windfarm



Land-Water line, Coastal erosion



Sea state, Wave breaking, Bathymetry



Icebergs detection



Surface current