



→ EARTH OBSERVATION SUMMER SCHOOL

Earth System Monitoring & Modelling

30 July–10 August 2018 | ESA–ESRIN | Frascati (Rome) Italy

Sea ice concentration – retrieval and assimilation

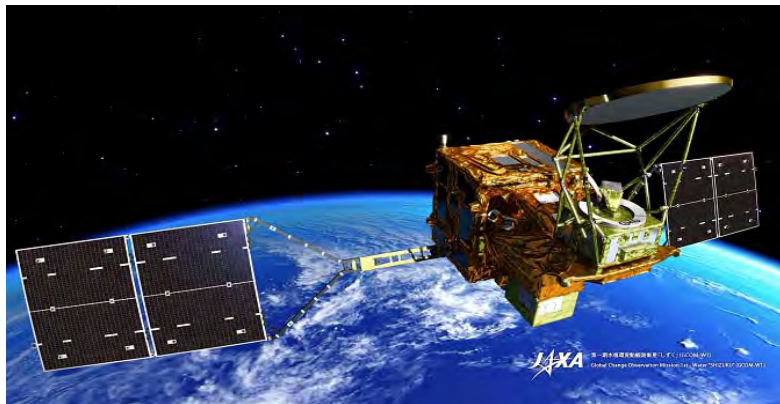
Leif Toudal Pedersen, Technical University of Denmark

Technical
University of
Denmark

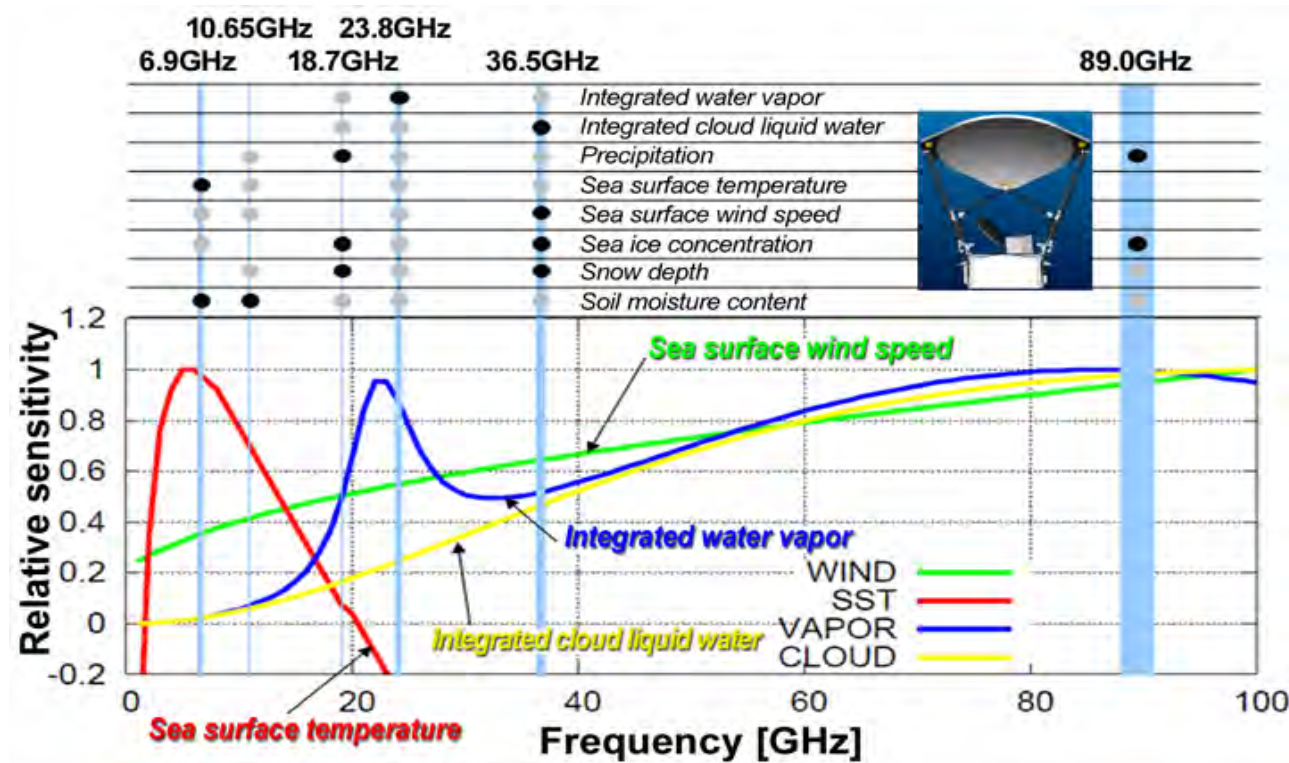


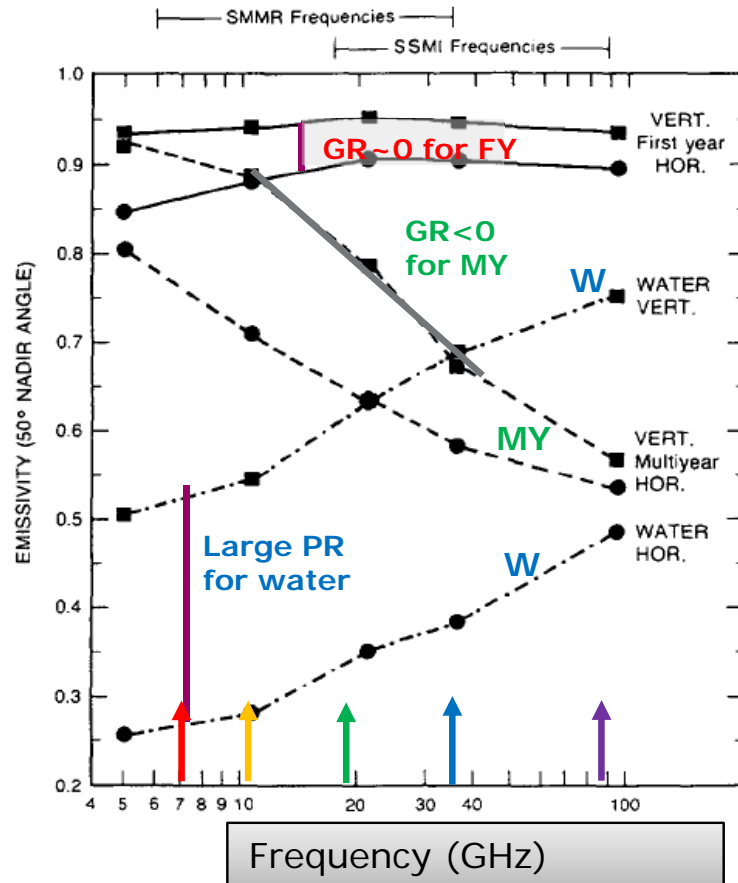
European Space Agency

AMSR2 on JAXA's GCOM-W



AMSR2 Channel Set					
Center Freq.	Band width	Pol.	Beam width	Ground res.	Sampling interval
GHz	MHz		degree	km	km
6.925/7.3	350	V/H	1.8	35 x 62	10
10.65	100		1.2	24 x 42	
18.7	200		0.65	14 x 22	
23.8	400		0.75	15 x 26	
36.5	1000		0.35	7 x 12	
89.0	3000		0.15	3 x 5	





- FY-ice emits almost like a black body
- MY-ice volume scattering reduce emissivity for shorter wavelengths
- Large polarisation difference for water surface

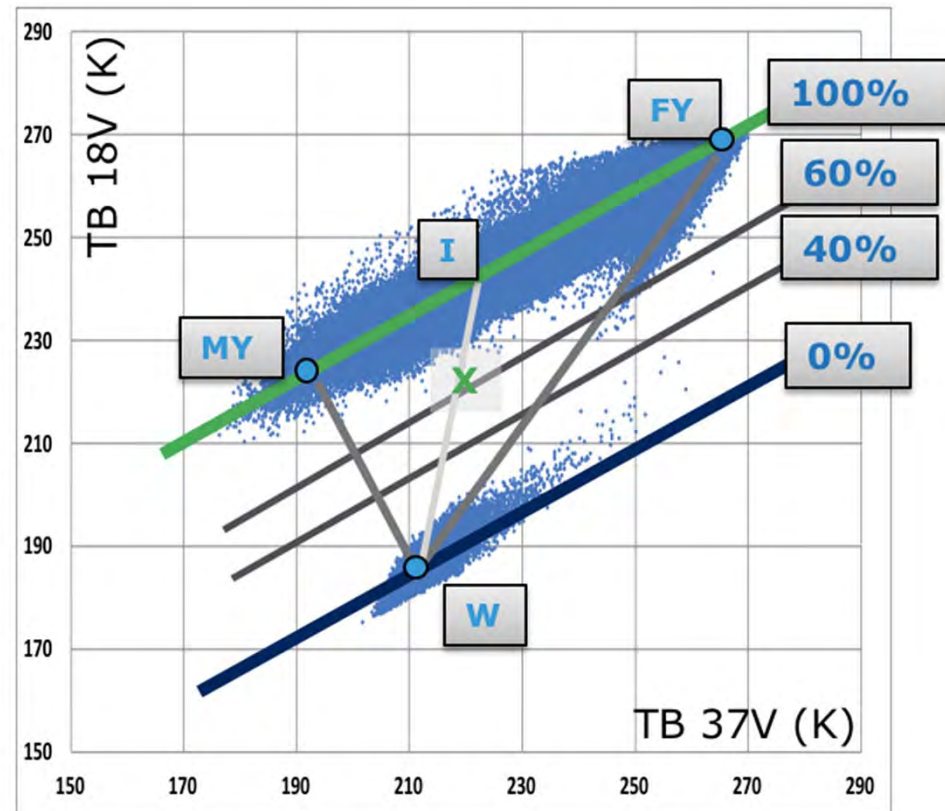
Example of algorithm principle - Tie-points



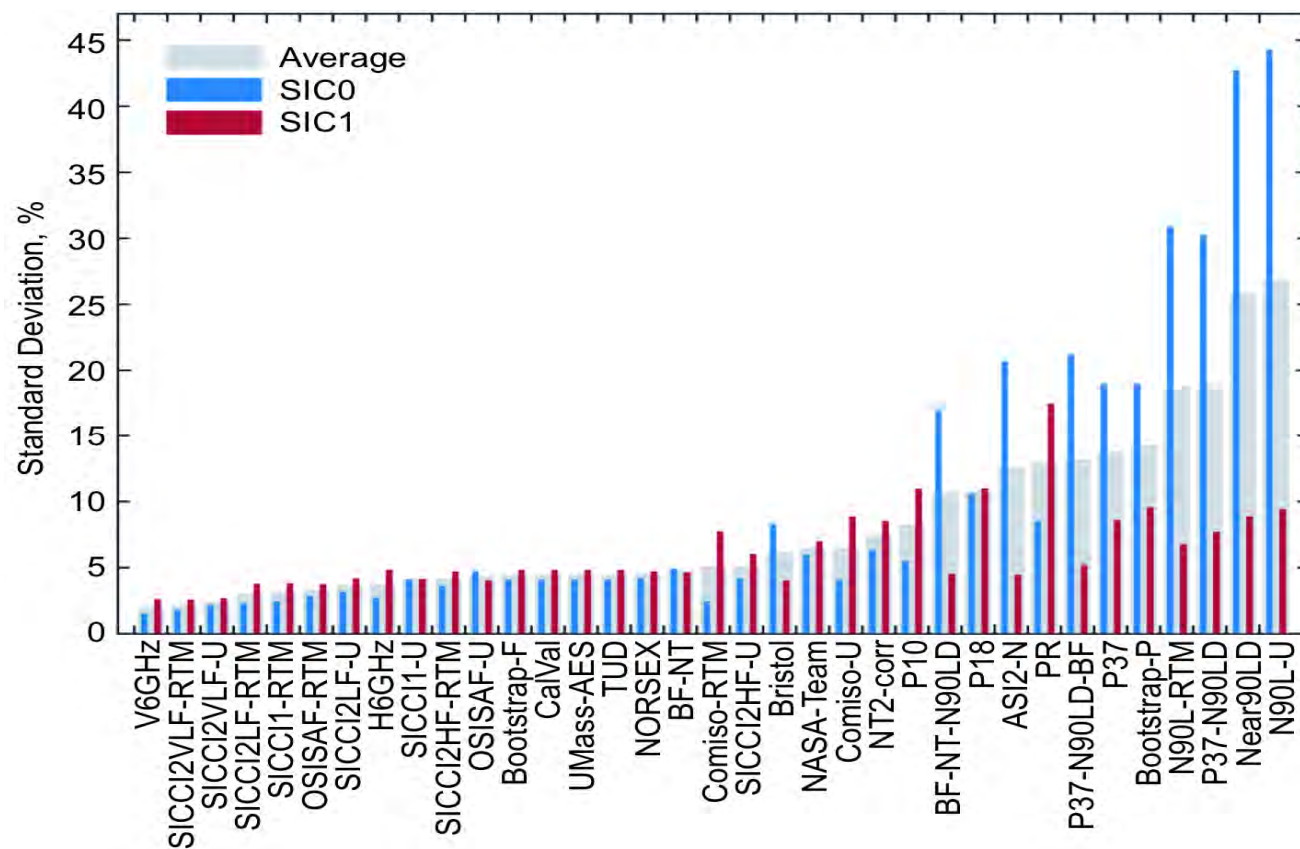
$$C_T = \frac{T_B - T_B^W}{T_B^I + T_B^W}$$

where **X** is the new observation, **W** is the water signature and **I** is a point on the **FY** to **MY** line (the 100% line)

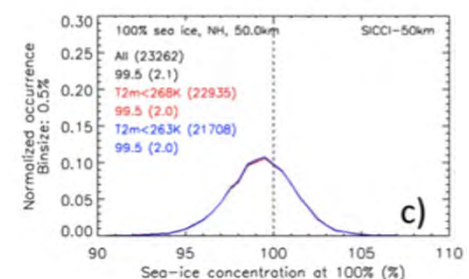
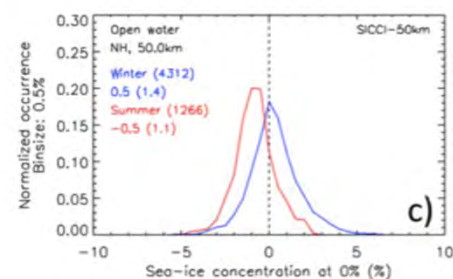
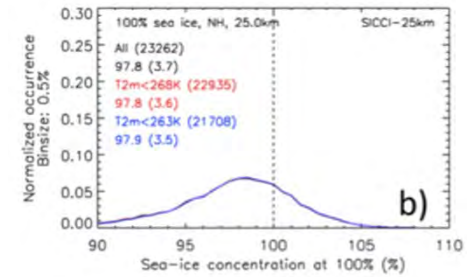
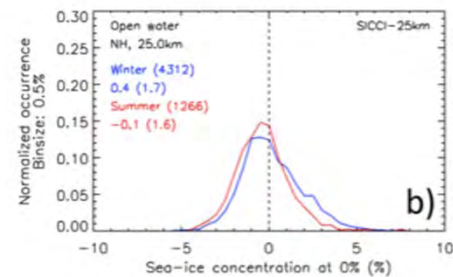
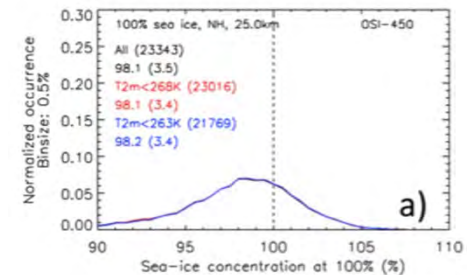
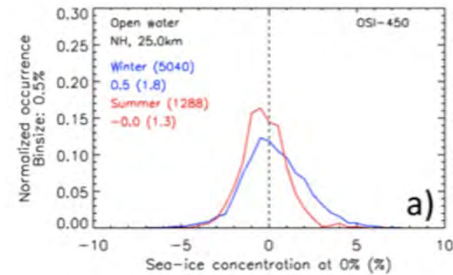
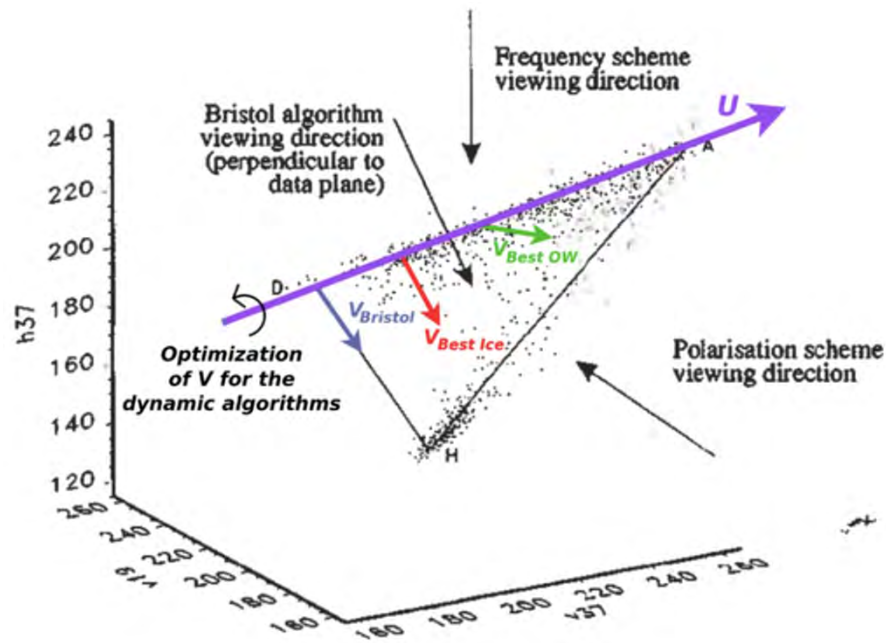
W, **FY** and **MY** are called **tie-points**



Algorithm selection



OSISAF/SICCI algorithm



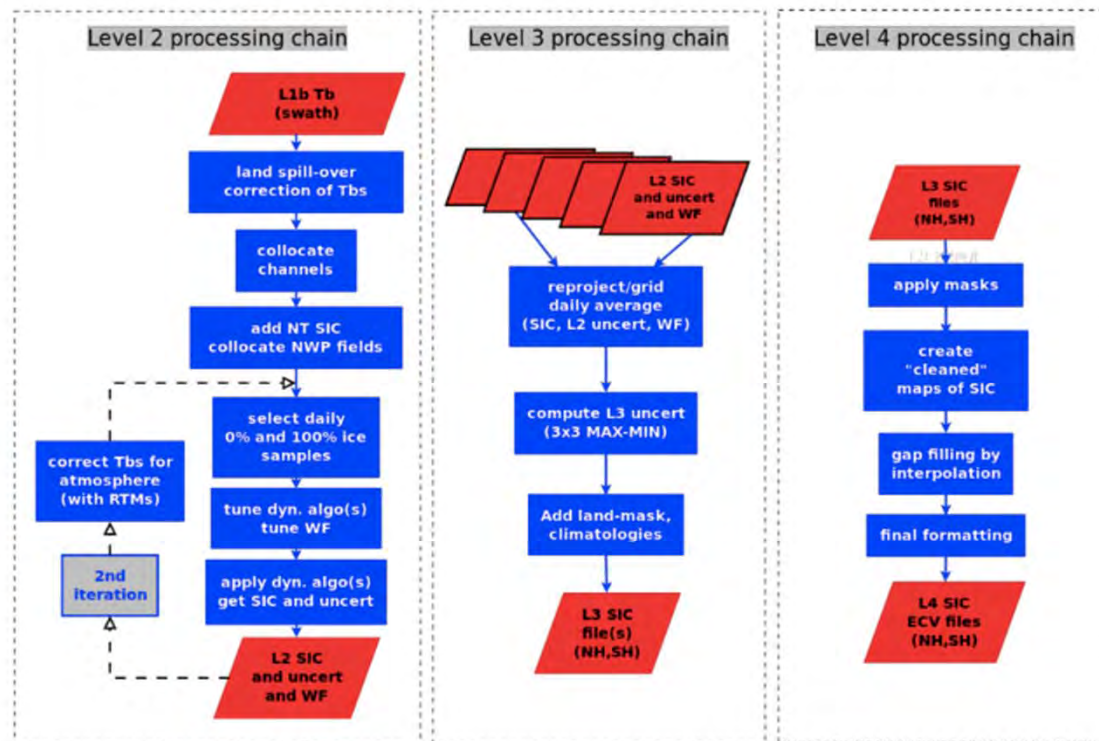
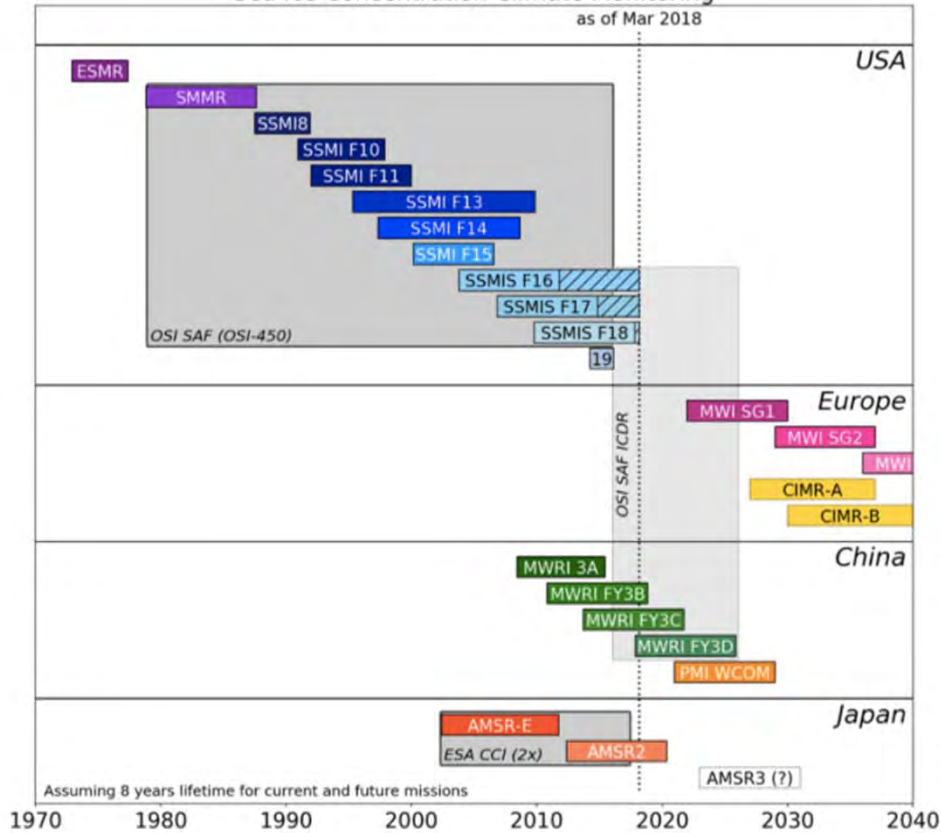
Leif Toudal Pedersen | DTU | 31/07/2018 | Slide 6



Available PMR data and necessary processing steps






Passive Microwave sensors for Sea Ice Concentration Climate Monitoring as of Mar 2018



Figures from Lavergne et al, 2018

Leif Toudal Pedersen | DTU | 31/07/2018 | Slide 7



CDR	Algorithm / Channels	Instruments	Period	Grid resolution	Project
OSI-450	(19v,37v,37h)	SMMR SSM/I SSMIS	1979-2015	25x25 km	
SICCI2 25.0km	(18v,36v,36h)	AMSR-E AMSR2	2002-2011 2012-2017	25x25 km	
SICCI2 50.0km	(06v,36v,36h)	AMSR-E AMSR2	2002-2011 2012-2017	50x50 km	

Status October 2017:

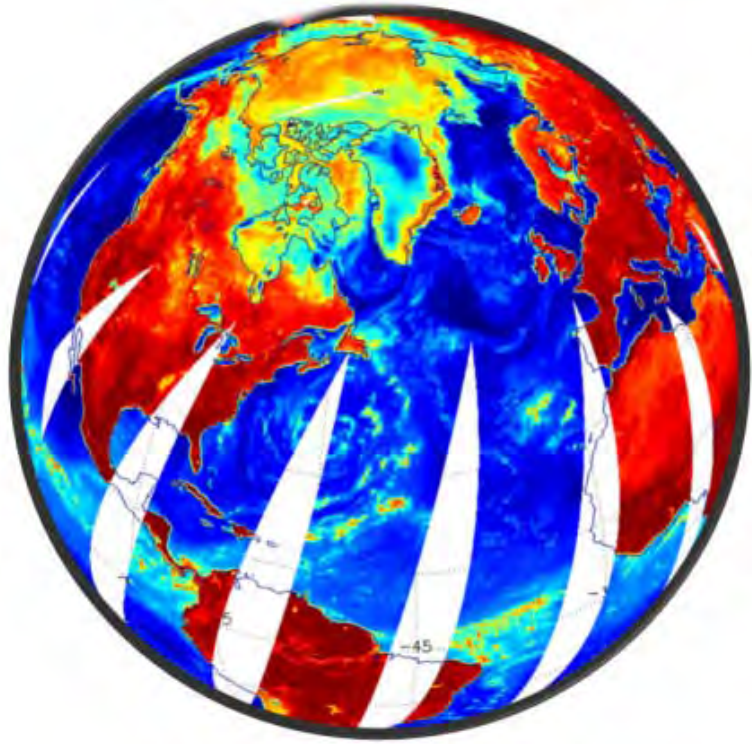
The OSISAF CDR was released in May 2017: <http://osisaf.met.no>;

The ESA CCI CDRs are released: (<http://cci.esa.int/data>);
March 2017, v2.0, 2002-2015;
October 2017, v2.1, extended to 15th May 2017.

Polar orbiting satellite – SSMIS & SSM/I daily coverage



SSMIS



SSM/I

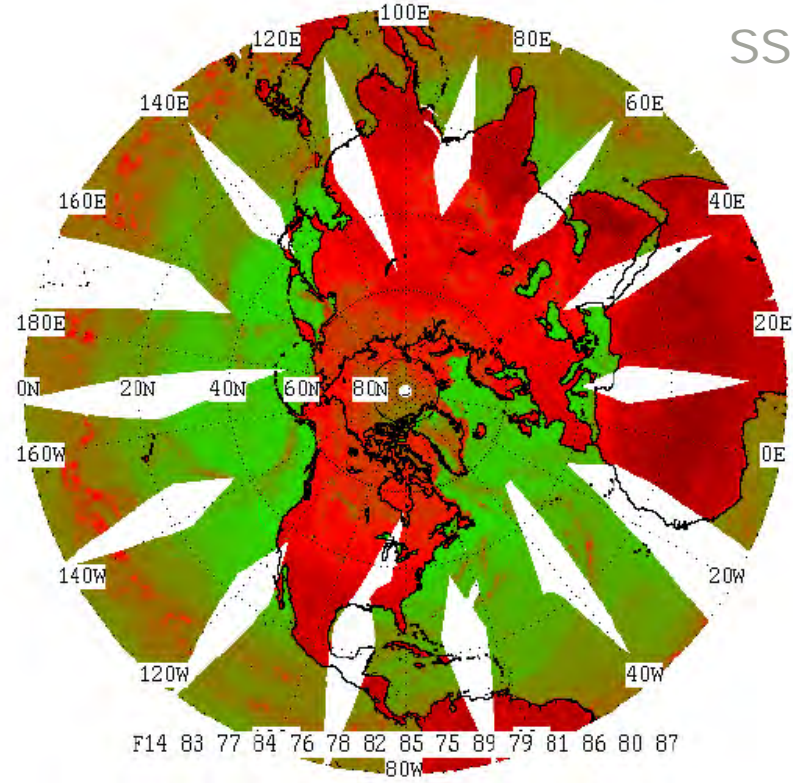
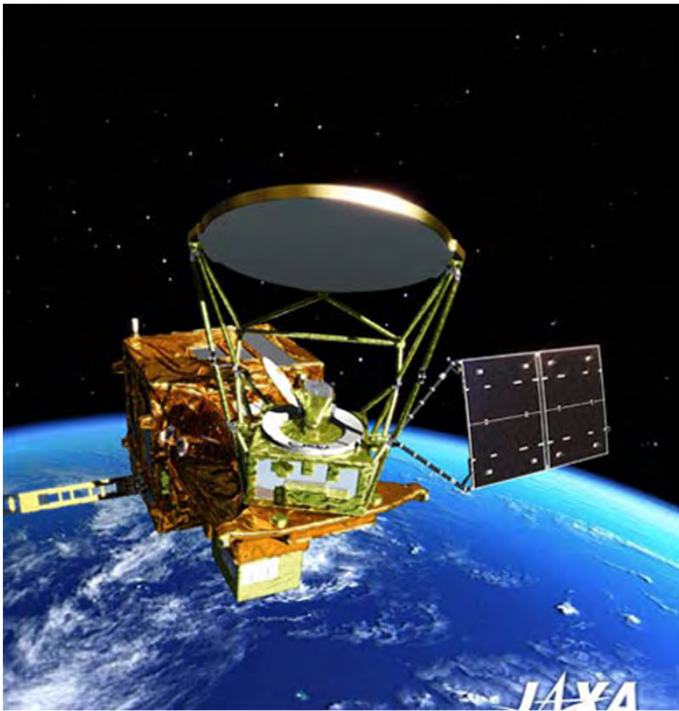


Figure 3.4-3. Example showing swath coverage and gaps at low latitudes. This represents one day of coverage by one satellite.



Radiometric resolution

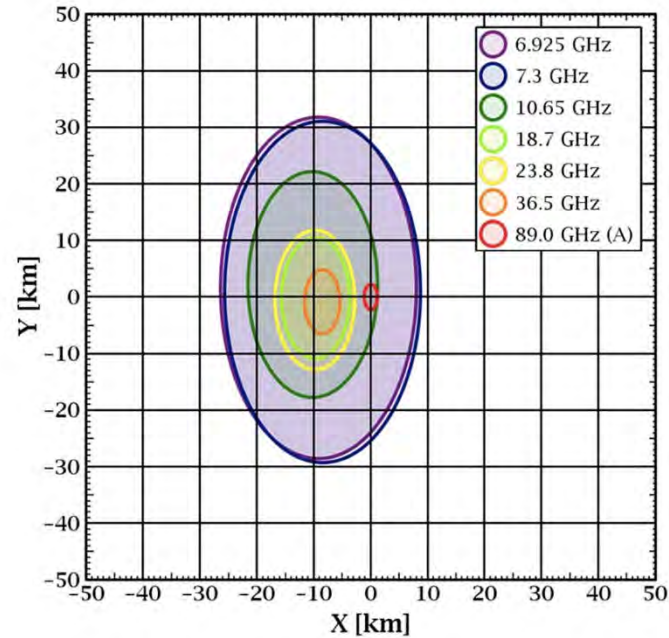
$$\Delta T = \frac{T_A + T_N}{\sqrt{B\tau}}$$



Spatial resolution

Antenna beamwidth

$$\theta_{3\text{dB}} \sim 1.2\lambda/D$$



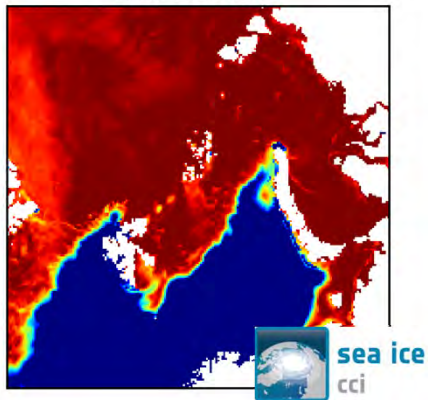
Leif Toudal Pedersen | DTU | 31/07/2018 | Slide 10



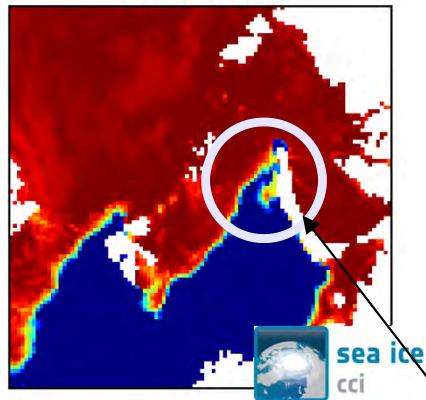
Product comparison - 20130315



CCI 12.5km



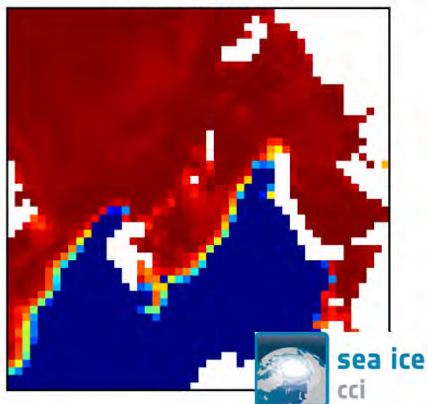
CCI 25.0km



Example maps from the 3 AMSR2 (CCI) and the SSMIS (OSI) CDRs on 15th March 2013.

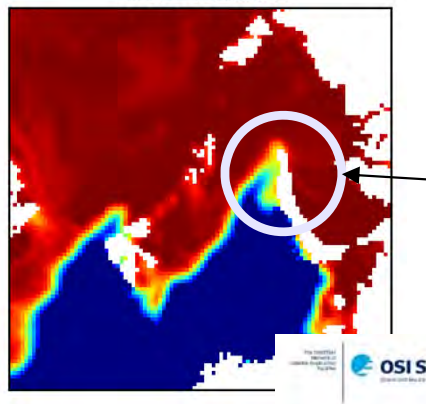
The maps from AMSR2 exhibit more details along the ice edge, except that at 50km using 6 GHz.

CCI 50.0km



20130315

OSI 25.0km

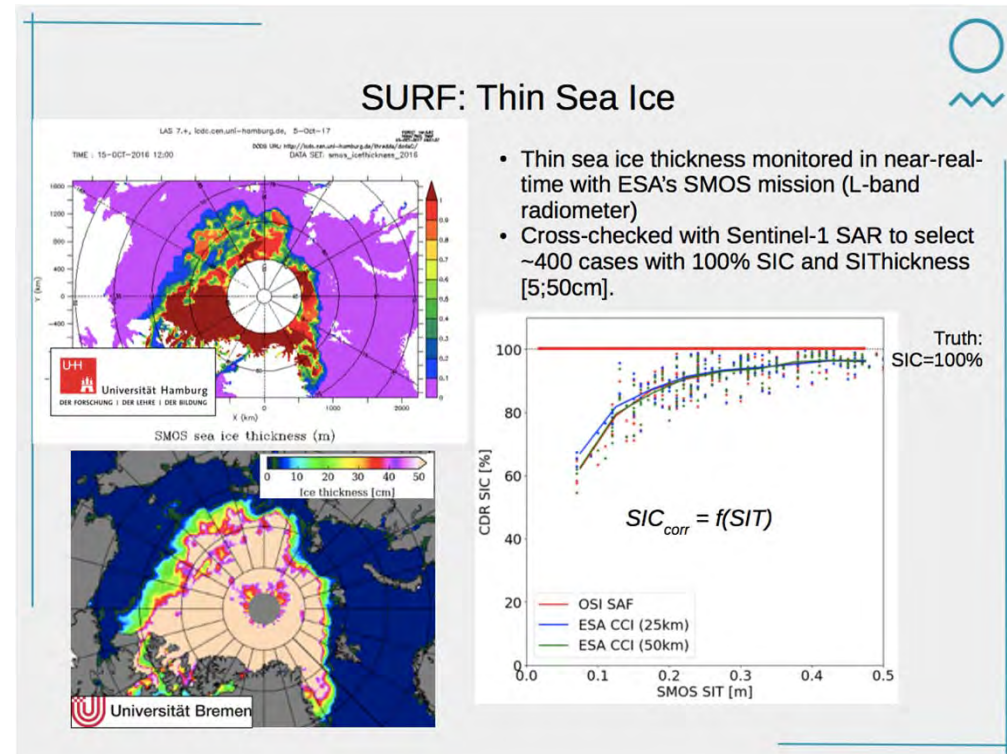
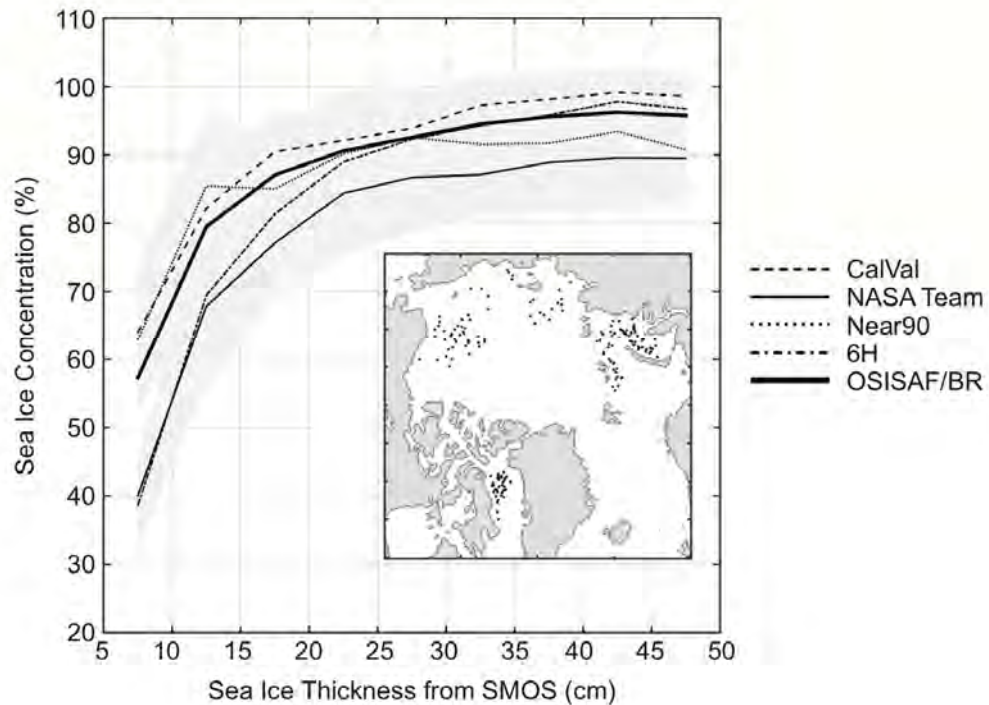


Much finer Marginal Ice Zone details with CCI/AMSR data.

Leif Toudal Pedersen | DTU | 31/07/2018 | Slide 11



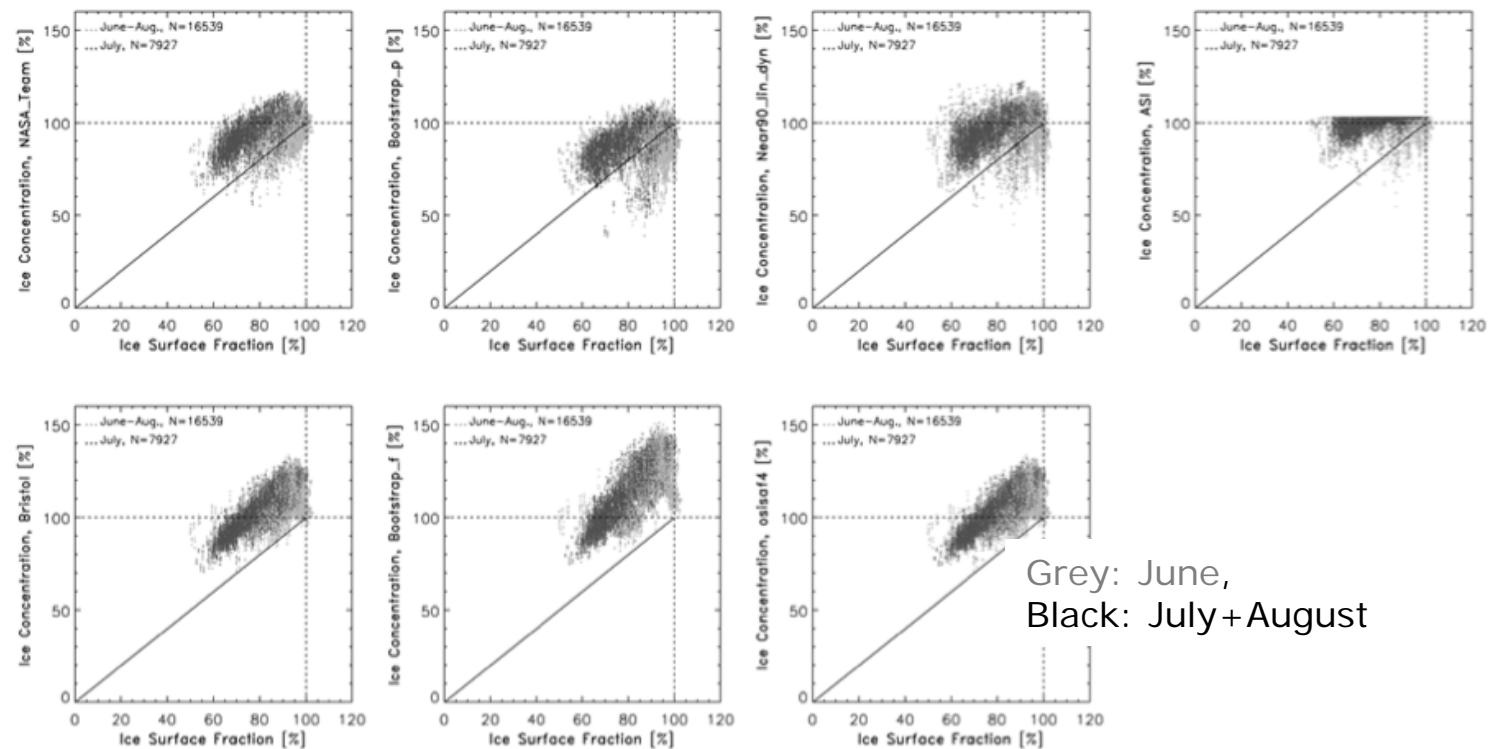
Apparent concentration of thin ice



Sea ice concentration and melt ponds



Ice surface fraction is the fraction of the surface which is ice (NOT melt-pond and NOT lead/open water)

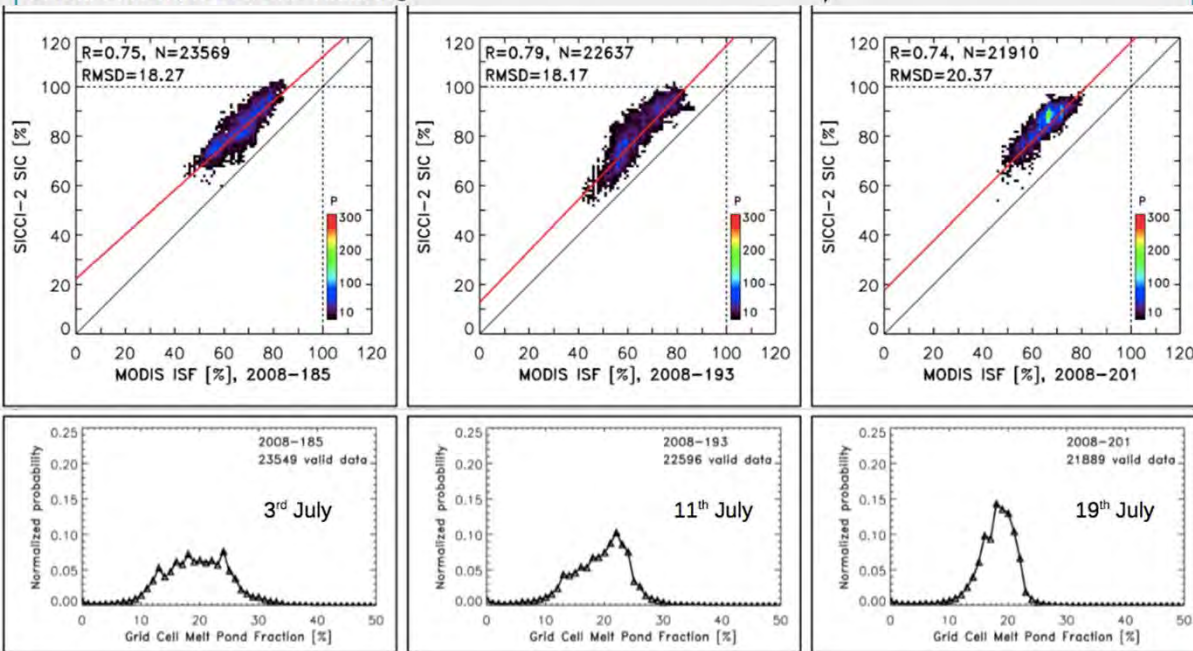


SURF: Melt-pond water

What modelers
get today



Credit: S. Kern, ICDC, Univ Hamburg



Melt ponds & thin ice - summary



At the PMW channels we use for SIC retrievals, there is no difference between the emission of sea water (leads) and melt water (ponds). $PMR\ SIC = ISF = 1 - (LeadFraction + MeltPondFraction)$ and all SIC algorithms underestimate the concentration of thin ice

- Melt ponds will be seen as open water, and cannot be distinguished from leads and other openings
- 100%, thin (<20cm) sea ice will be systematically retrieved as lower concentration of sea ice.
- 100%, thin (>20cm, <50cm) can also be biased low because it is typically more saline, smooth, snow-free.
- EO community cannot “fix” this consistently unless we bring external SIT information, e.g. from models.
- EO community provides operators $f(SIC, SIT)$ to modelers for translating model (SIC, SIT, MPF) to PMR SIC



- What the satellites measure is more or less the fraction of the resolution cell covered by ice at the surface.
- Often data are provided on a finer grid than the resolution!
- It is the misinterpretation of melt ponds that has led to the invention of the concept of sea ice extent (all grid cells with a concentration above 15%)
- The 15% threshold was introduced since most sea ice concentration algorithms deploy a so-called weather filter to remove spurious weather induced ice but which on average also removes ice up to 15% (sometimes more).
- Note that sea ice extent calculation will depend on resolution – finer resolution will lead to smaller extent – be sure to use the same resolution when comparing extents (Notz, 2014)

Observation operator(s)



Translate model state to observation space using simple models such as

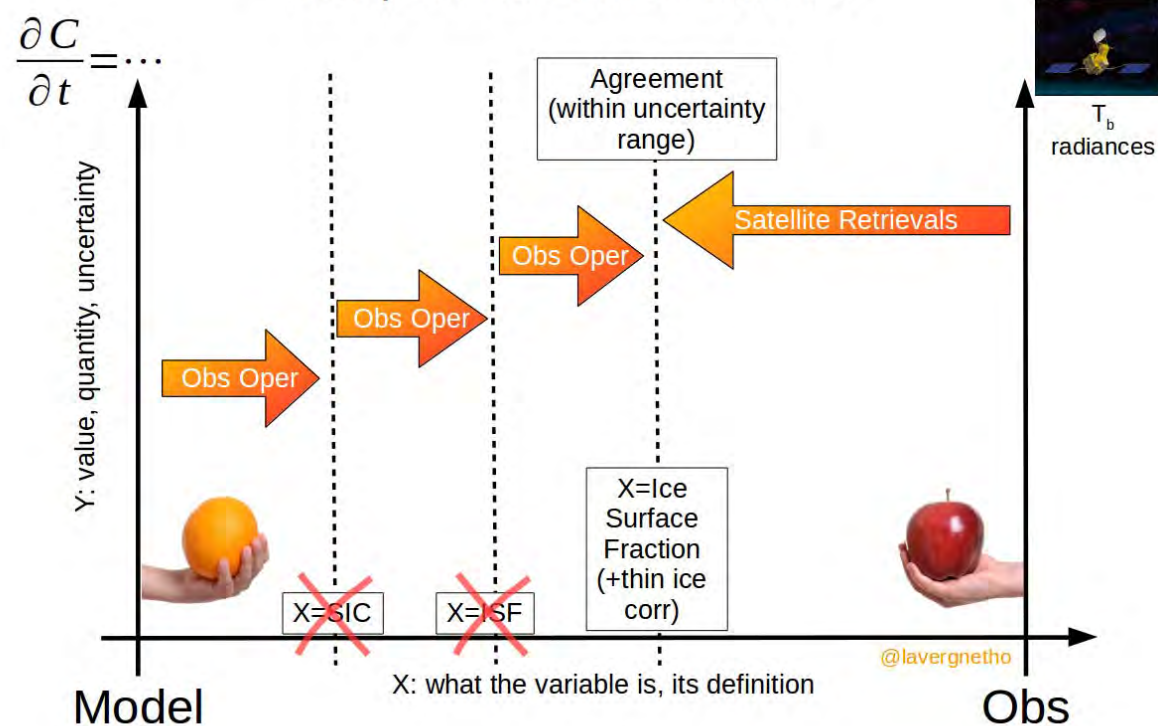
- Melt-pond correction
- Thin ice correction

Using model **ice concentration**, **thickness distribution** and **melt pond fraction**

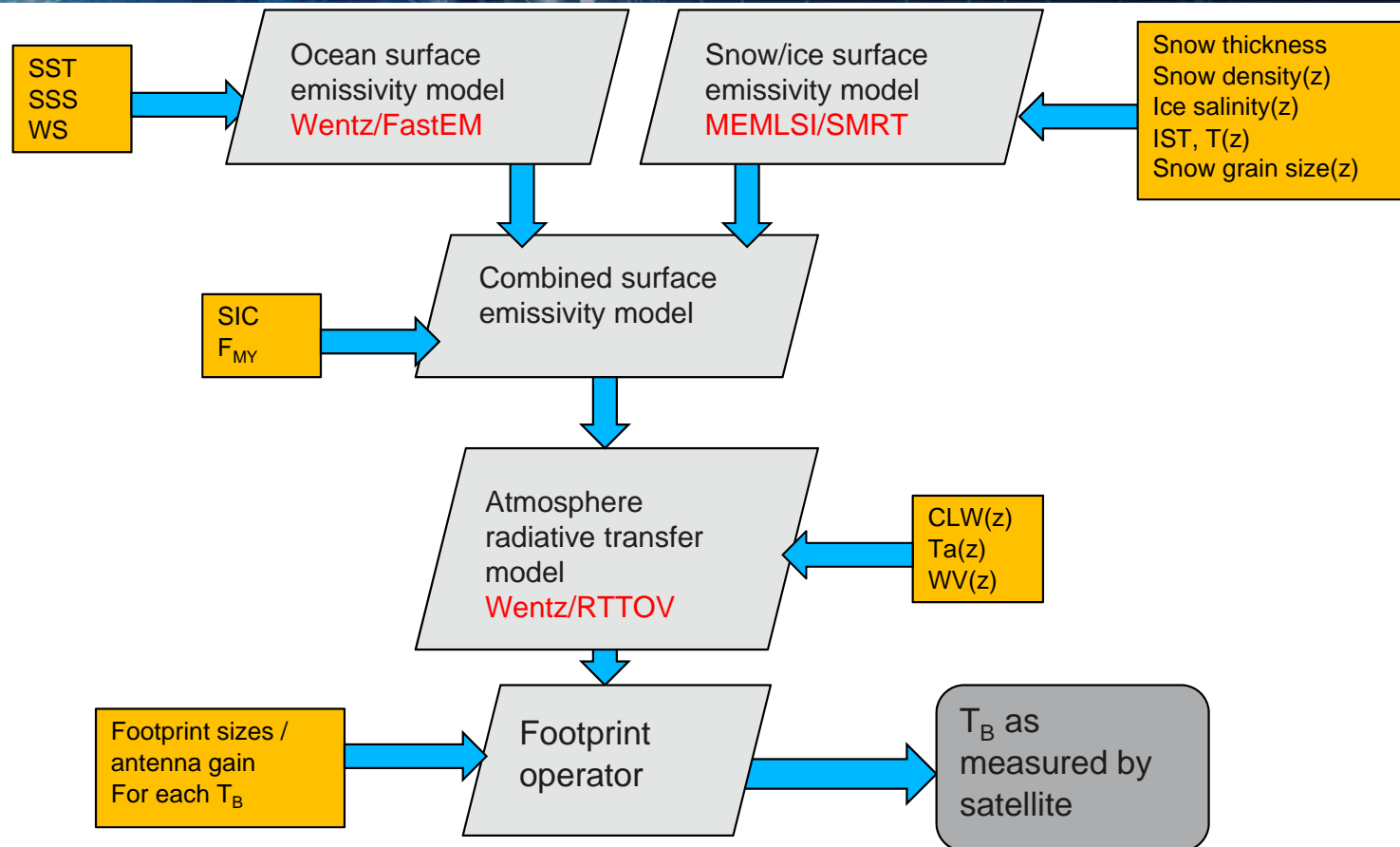
Courtesy Thomas Lavergne, MET, Norway

https://figshare.com/articles/A_step_back_is_a_move_forward/5501536/1

A step back is a move forward



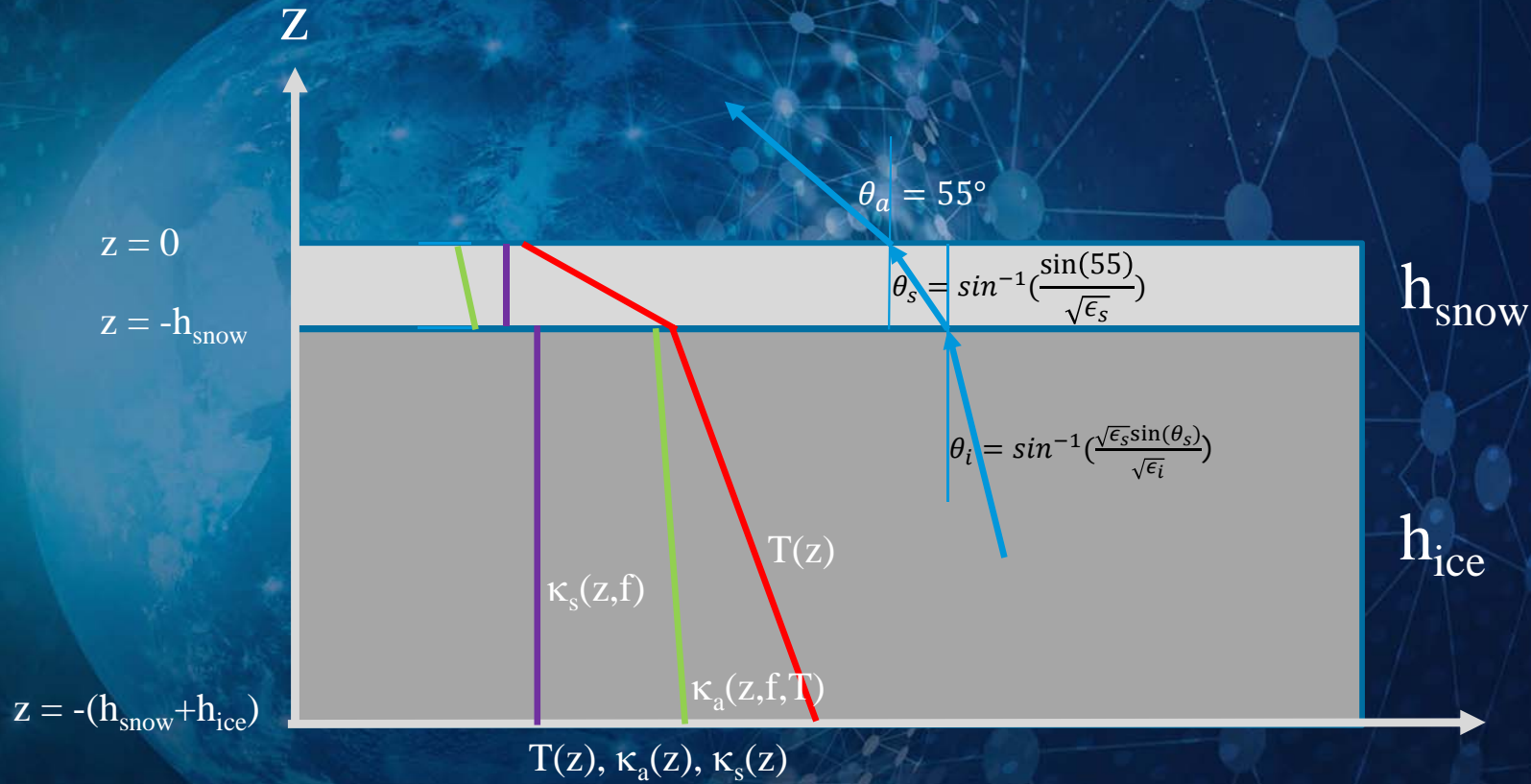
Observation operator(s)

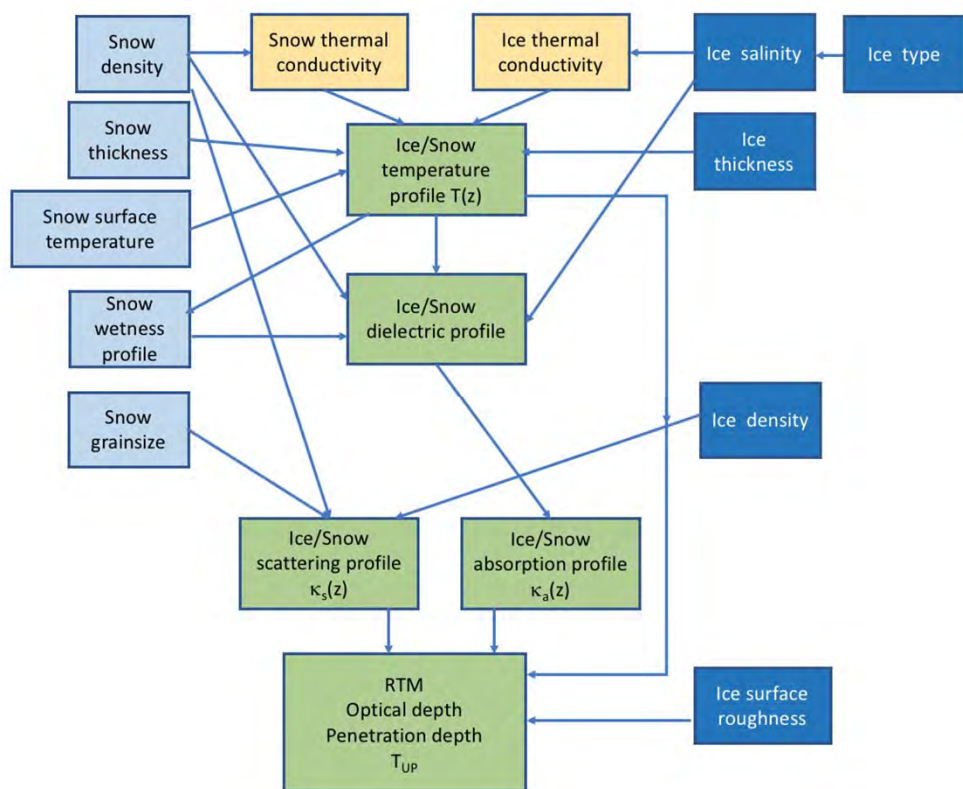


Leif Toudal Pedersen | DTU | 31/07/2018 | Slide 18



Ice/snow Emissivity model





TB as a function of

- f_{MY} Multi-year ice fraction
- T_{ice} (snow surface temperature)
- h_{snow} (snow thickness)
- h_{ice} (ice thickness)
- T_{DN} Downwelling TB (known from atmosphere RTM)

$T_{ice}, h_{ice}, h_{snow} \rightarrow T(z)$ using fixed thermal conductivities for snow, FY and MY respectively
 Salinity + $T(z) \rightarrow$ brine volume, $V_b(z) \rightarrow$ dielectric profile ($\epsilon(z)$) $\rightarrow \kappa_a(z) \rightarrow$ penetration depth (D_p).
 Dielectric profile ($\epsilon(z)$) $\rightarrow \kappa_a(z)$ and later a simple $\kappa_s(z)$ profile.
 Reflections only at air/snow and snow/ice interface
 – Include scattering from surface roughness.

- Perform radiative transfer using standard formulation.
- Incidence angle variation in snow and ice (Snell's law). $n_1 \sin(\theta_1) = n_2 \sin(\theta_2)$, where n_1 and n_2 are the refractive indices in medium 1 and 2 respectively. This needs to be done for both air/snow and snow/ice.
- Assume no reflection at other layer boundaries.

$$T_B = \sec \theta \int_{-(h_{ice} + h_{snow})}^0 T(z) \kappa_a(z) e^{-\tau(z,0)} dz \quad (+\text{scattering contribution})$$

$$\tau(z_1, z_2) = \sec \theta \int_{z_1}^{z_2} (\kappa_a(z) + \kappa_s(z)) dz$$

\mathbf{p} is a vector of

- Total ice concentration,
- MY-fraction,
- Ice temperature,
- Sea Surface Temperature (SST)
- Wind speed,
- Column water vapour
- Cloud liquid water

$$\mathbf{T}_A = \mathbf{M}\mathbf{p} + \mathbf{e}$$

Measurement (radiometer sensitivity) and model error characterized by covariance \mathbf{S}_e

And we have additional information from Climatology (typical values for the state variables (mean \mathbf{p}_o and covariance \mathbf{S}_p))

Due to the non-linearities in the model, we need to iterate:

$$\mathbf{p}_{n+1} = \mathbf{p}_n + \left(\mathbf{S}_p^{-1} + \mathbf{M}_n^T \mathbf{S}_e^{-1} \mathbf{M}_n \right)^{-1} \left(\mathbf{M}_n^T \mathbf{S}_e^{-1} (\mathbf{T}_A - \mathbf{T}_{A,n}) + \mathbf{S}_p^{-1} (\mathbf{p}_0 - \mathbf{p}_n) \right)$$

$$\mathbf{T}_A = \mathbf{M}\mathbf{p} + \mathbf{e}$$

$$\hat{\mathbf{p}} = (\mathbf{M}^t \mathbf{M})^{-1} \mathbf{M}^t \mathbf{T}_{ap} \quad (7.6)$$

$$\mathbf{T}_A = \mathbf{M}\mathbf{p} + \mathbf{e} \quad (7.7)$$

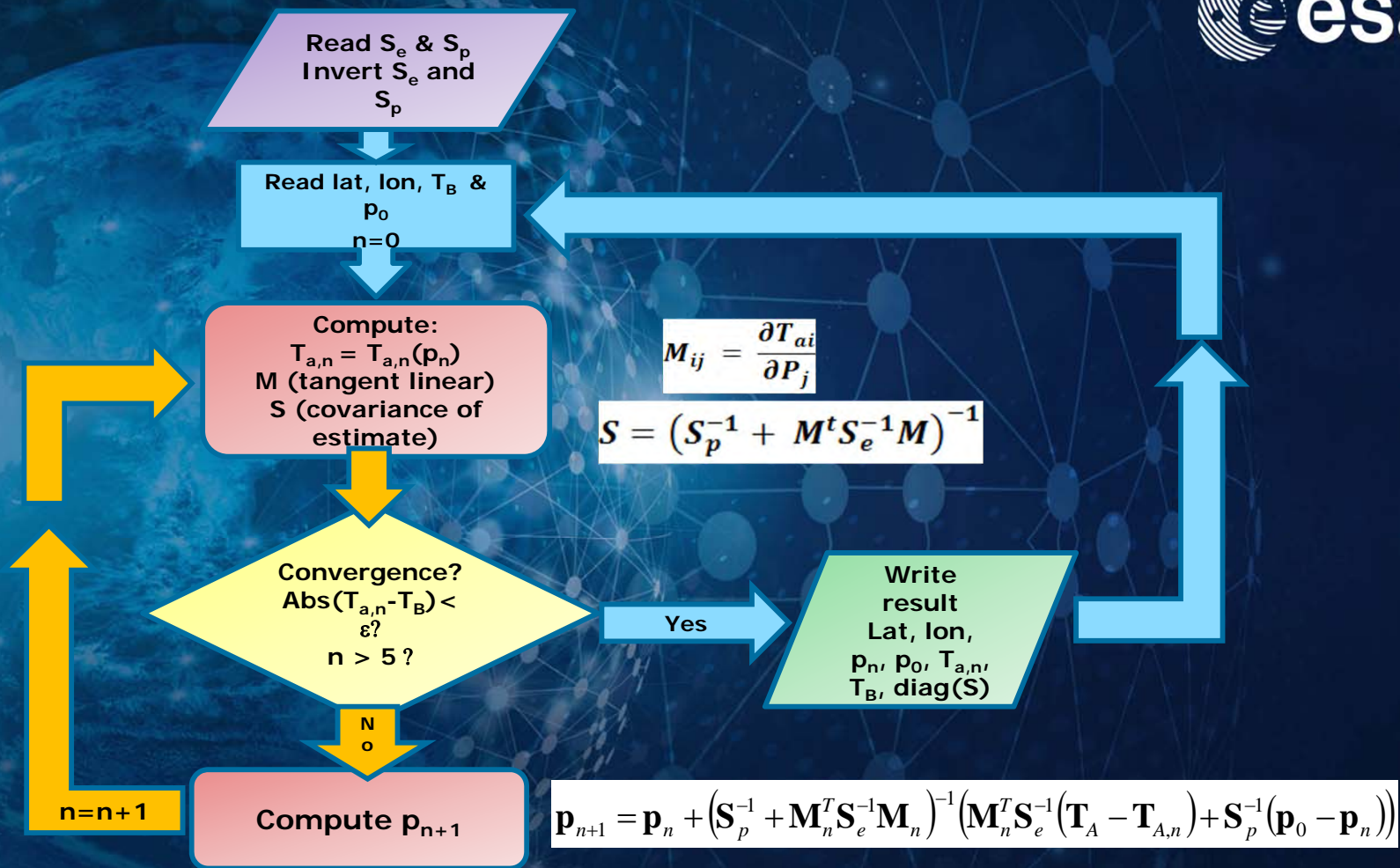
$$\hat{\mathbf{p}} = (\mathbf{M}^t \mathbf{S}_e^{-1} \mathbf{M})^{-1} \mathbf{M}^t \mathbf{S}_e^{-1} \mathbf{T}_A \quad (7.8)$$

$$\hat{\mathbf{S}} = (\mathbf{M}^t \mathbf{S}_e^{-1} \mathbf{M})^{-1} \quad (7.9)$$

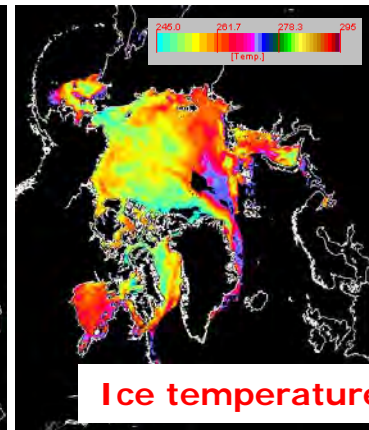
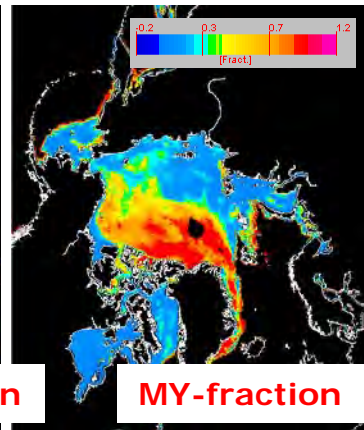
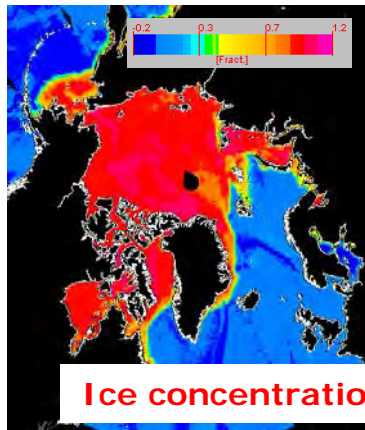
$$\hat{\mathbf{p}} = \hat{\mathbf{S}} (\mathbf{S}_p^{-1} \mathbf{p}_0 + \mathbf{M}^t \mathbf{S}_e^{-1} \mathbf{T}_A) \quad (7.13)$$

$$\hat{\mathbf{S}} = (\mathbf{S}_p^{-1} + \mathbf{M}^t \mathbf{S}_e^{-1} \mathbf{M})^{-1} \quad (7.11)$$

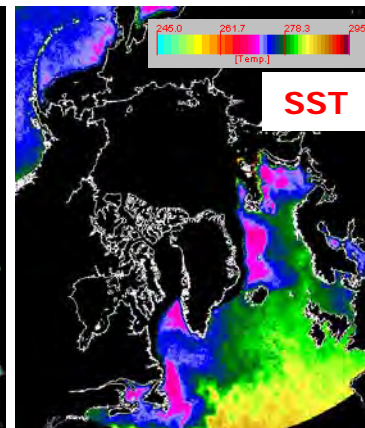
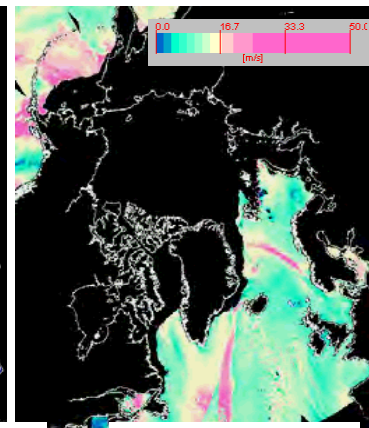
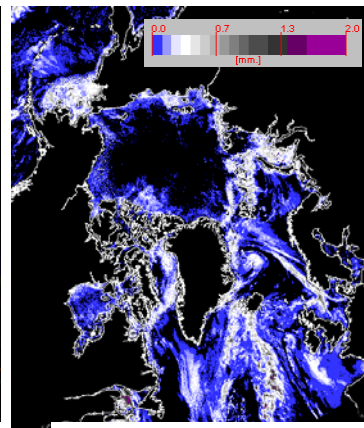
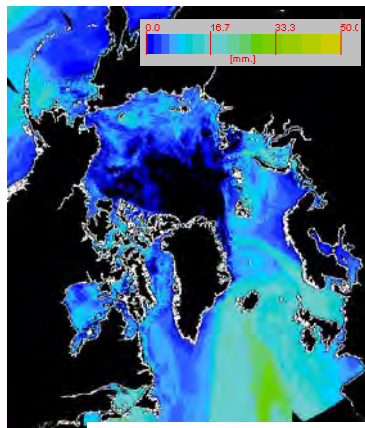
Optimal estimation processor



OE AMSR-E retrieval - February 4, 2006



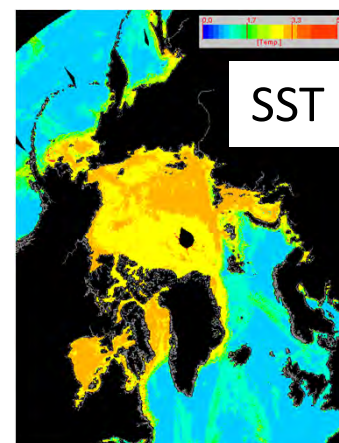
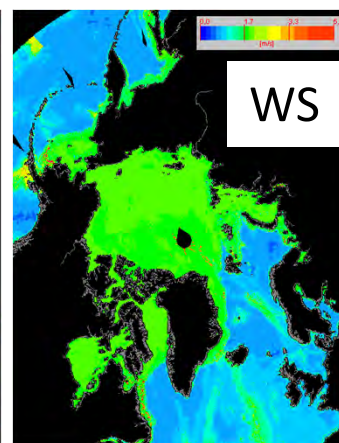
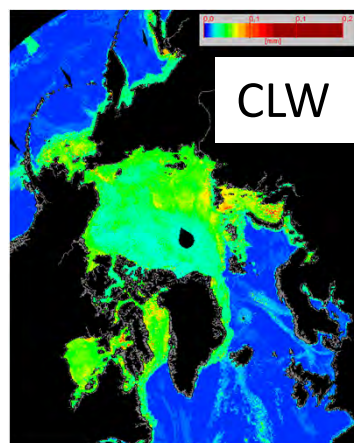
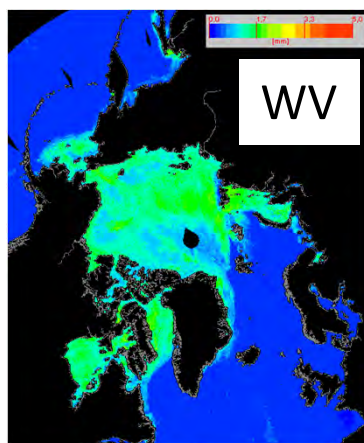
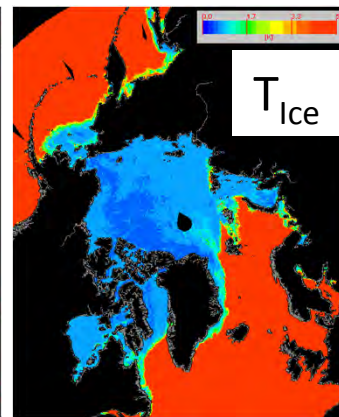
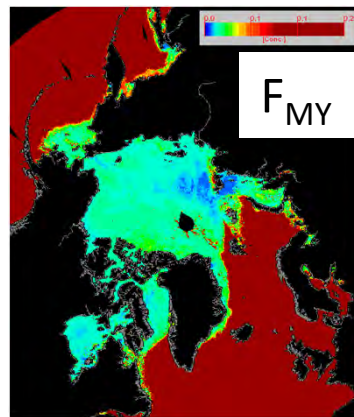
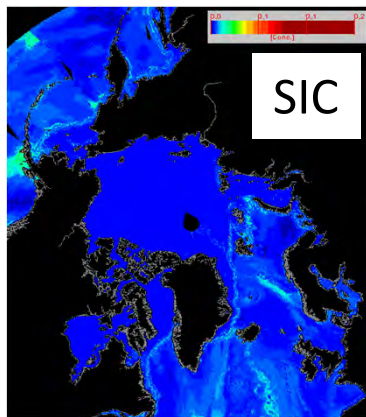
Runs operationally with AMSR2 data - Results available through www.seaice.dk java sea ice browser



Leif Toudal Pedersen | DTU | 31/07/2018 | Slide 25



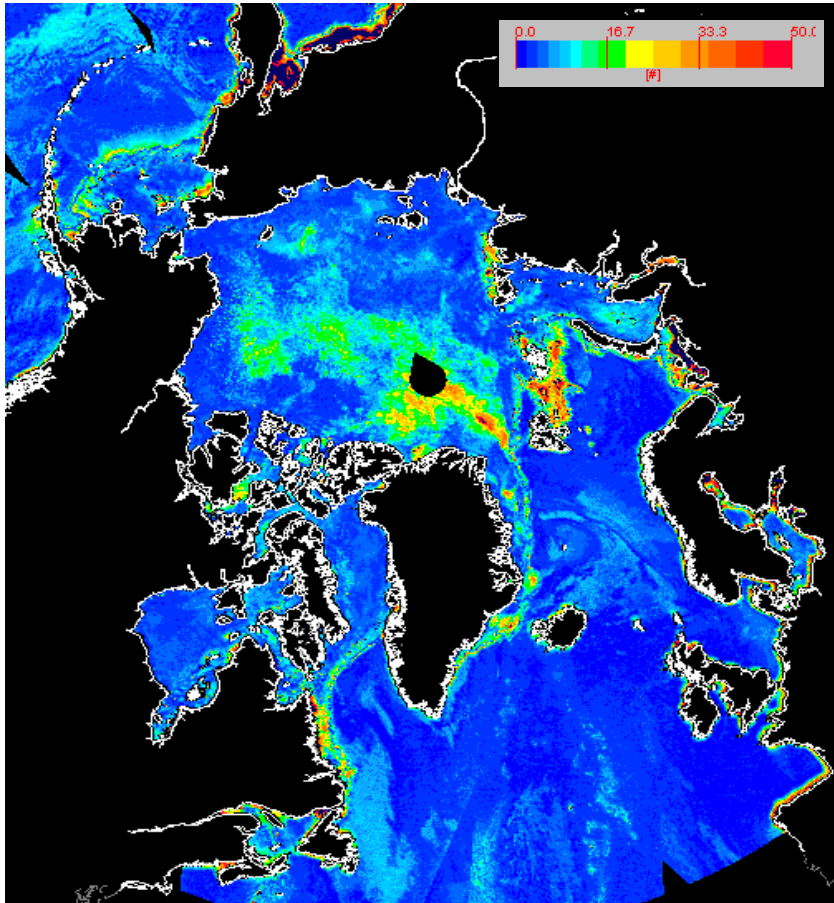
Diagonal elements of S matrix – estimated uncertainty



DTU | 31/07/2018 | Slide 26



Cost function ($T_B(p) - T_B^{obs}$)



Discrepancies along strong gradients due to mismatching footprints
Discrepancies inside Arctic Ocean due to too simple ice forward model



Satellite data products are NOT the truth

- All SIC algorithms underestimate thin ice
- All SIC algorithms see melt-ponds as 0% ice
- Algorithms overestimate SIC in Summer due to difficulty in tie-point definition

Icecharts are NOT the truth either

- They typically overestimate intermediate ice concentrations
- They are not necessarily consistent from day to day

4 reasons why icechart and PMR SIC products differ

1. Thin ice is underestimated in PMR SIC
2. Melt ponds are seen as open water in PMR SIC (PMR SIC = ISF)
3. Wet snow on ice may lead to regional overestimation – tie-points are hemispheric
4. Ice charts often overestimate intermediate concentrations

However, when used with caution, satellite data (and icecharts) provide a wealth of useful information about sea ice and it's snow cover

Data providers should provide quantitative estimates of known issues – ask them for specifications for observation operators!!

OSISAF operational and archived Sea Ice products (netCDF & quicklooks)

<http://osisaf.met.no/p/ice/index.html>

ESA CCI Sea Ice CDR (netCDF) (AMSR-E/AMSR2)

<https://icdc.cen.uni-hamburg.de/1/projekte/esa-cci-sea-ice-ecv0.html>

ESA CCI and H2020 SPICES projects Round Robin Data Package

https://figshare.com/articles/Reference_dataset_for_sea_ice_concentration/6626549

Lavergne, T., Sørensen, A. M., Kern, S., Tonboe, R., Notz, D., Aaboe, S., Bell, L., Dybkjær, G., Eastwood, S., Gabarro, C., Heygster, G., Killie, M. A., Kreiner, M. B., Lavelle, J., Saldo, R., Sandven, S., and Pedersen, L. T.: **Version 2 of the EUMETSAT OSI SAF and ESA CCI Sea Ice Concentration Climate Data Records**, The Cryosphere Discuss., <https://doi.org/10.5194/tc-2018-127>, in review, 2018.

Ivanova, N., Pedersen, L. T., Tonboe, R. T., Kern, S., Heygster, G., Lavergne, T., Sørensen, A., Saldo, R., Dybkjær, G., Brucker, L., and Shokr, M.: **Inter-comparison and evaluation of sea ice algorithms: towards further identification of challenges and optimal approach using passive microwave observations**, The Cryosphere, 9, 1797-1817, <https://doi.org/10.5194/tc-9-1797-2015>, 2015.

Sea Ice Analysis and Forecasting

Towards an Increased Reliance on Automated Prediction Systems

Edited by Tom Carrieres, Mark Buehner, Jean-François Lemieux and Leif Toudal Pedersen



Sea Ice Analysis and Forecasting (**Book**)

Towards an Increased Reliance on Automated Prediction Systems

Edited by Tom Carrieres, Mark Buehner, Jean-François Lemieux, Leif Toudal Pedersen

This book provides an advanced introduction to the science behind automated prediction systems, focusing on sea ice analysis and forecasting. Starting from basic principles, fundamental concepts in sea ice physics, remote sensing, numerical methods, and statistics are explained at an accessible level. Existing operational automated prediction systems are described and their impacts on information providers and end clients are discussed. The book also provides insight into the likely future development of sea ice services and how they will evolve from mainly manual processes to increasing automation, with a consequent increase in the diversity and information content of new ice products. With contributions from world-leading experts in the fields of sea ice remote sensing, data assimilation, numerical modelling, and verification and operational prediction, this comprehensive reference is ideal for students, sea ice analysts, and researchers, as well as decision-makers and professionals working in the ice service industry.

Cambridge University Press, Fall 2017

Leif Toudal Pedersen | DTU | 31/07/2018 | Slide 30



End of part 2



Thank you 😊



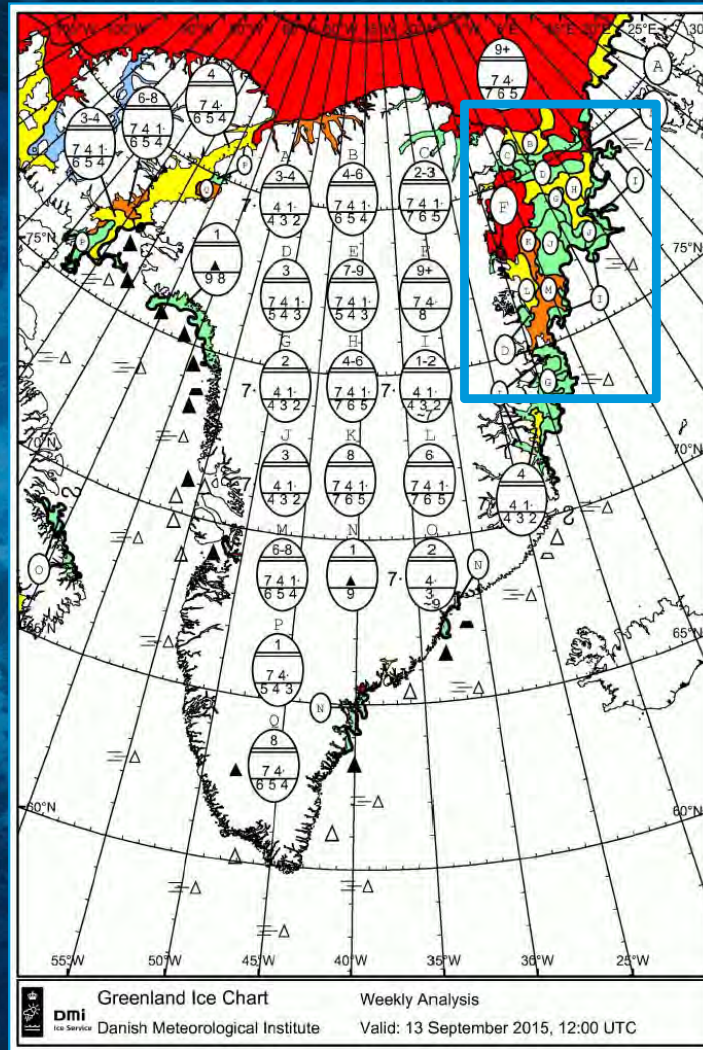
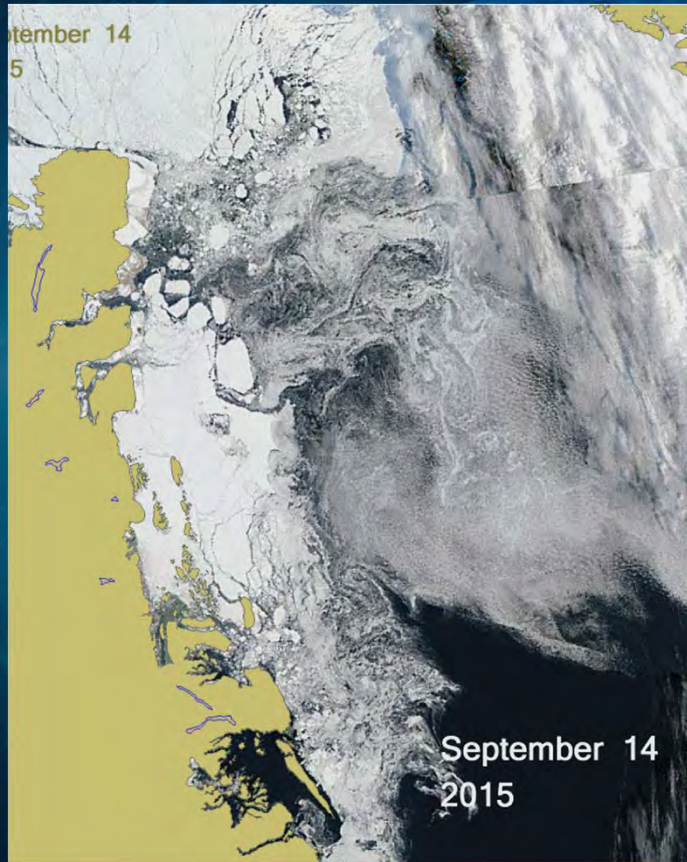
European Space Agency

$$T_B = \frac{1}{\cos(\theta)} \int_{-(h_{ice}+h_{snow})}^0 T(z)\kappa_a(z)e^{-\tau(z,h_{snow})} dz + \frac{1}{\cos(\theta)} \int_{-(h_{ice}+h_{snow})}^0 T_{DN}(z)\kappa_s(z) dz$$

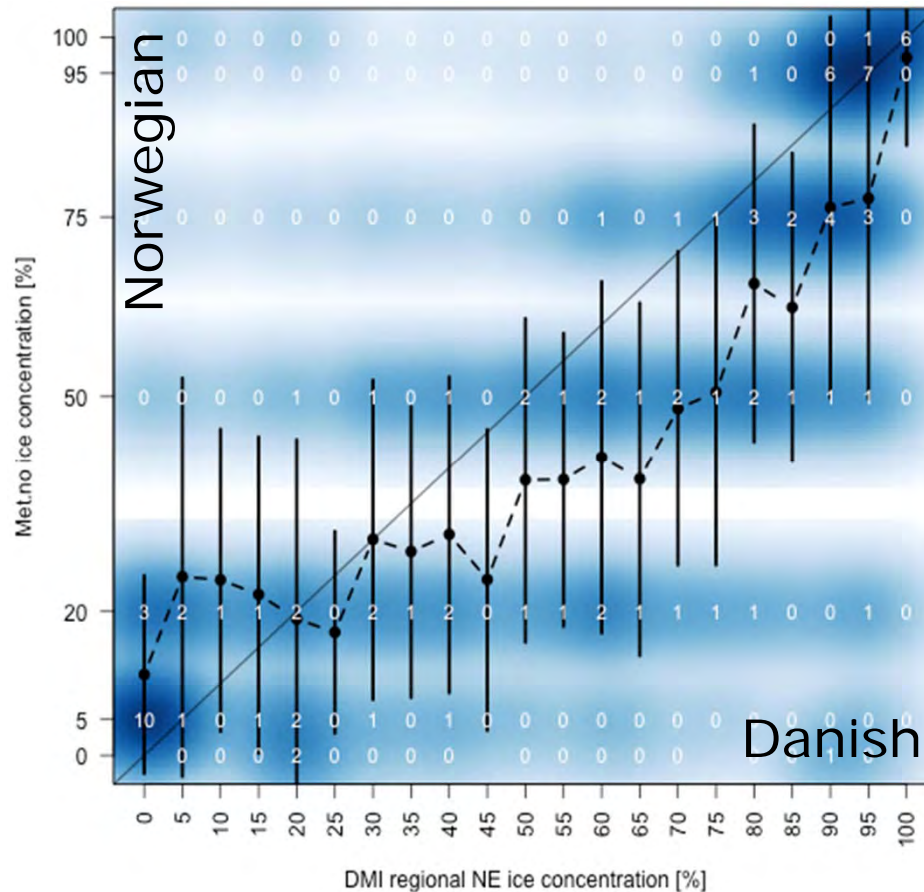
$$\tau(z_1, z_2) = \frac{1}{\cos(\theta)} \int_{z_1}^{z_2} (\kappa_a(z) + \kappa_s(z)) dz$$

$$T_{DN}(z) = T_{DN}(0)e^{-\tau(0,z)} + \frac{1}{\cos(\theta)} \int_z^0 T(z)\kappa_a(z)e^{-\tau(z,h_{snow})} dz$$

Icecharts



Icechart to OSISAF SIC intercomparison



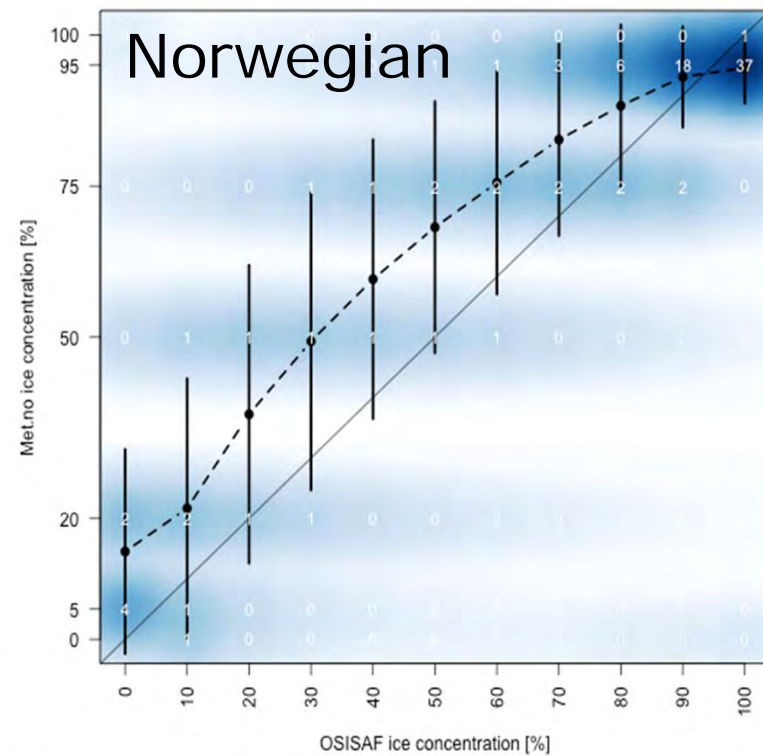
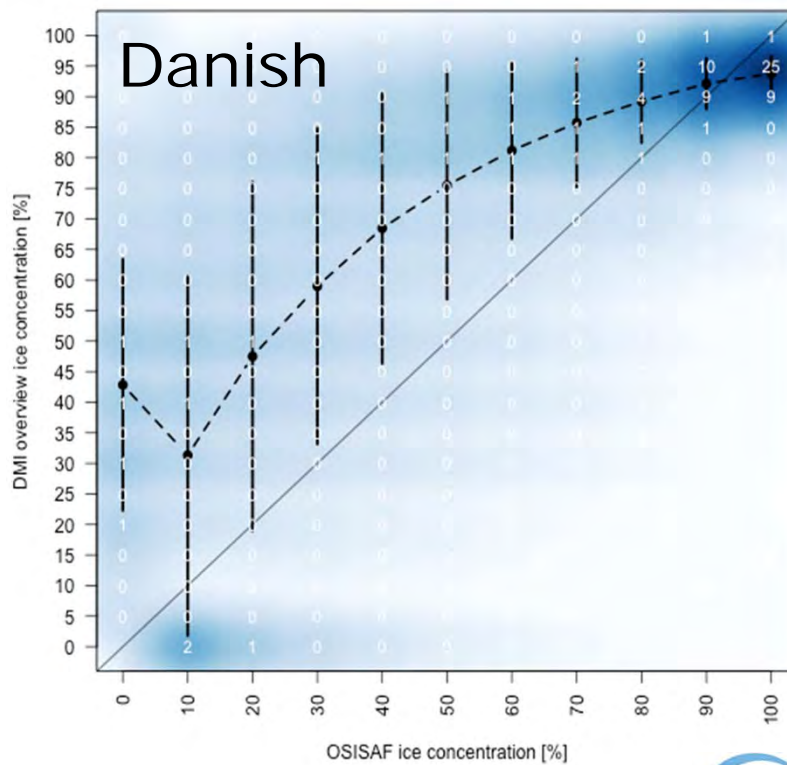
East Greenland Area



See also:
 Karvonen, Juha, Jouni Vainio, Marika Marnela, Patrick Eriksson, and Tuomas Niskanen, *A Comparison Between High-Resolution EO-Based and Ice Analyst-Assigned Sea Ice Concentrations*, IEEE JOURNAL OF SELECTED TOPICS IN APPLIED EARTH OBSERVATIONS AND REMOTE SENSING, VOL. 8, NO. 4, APRIL 2015



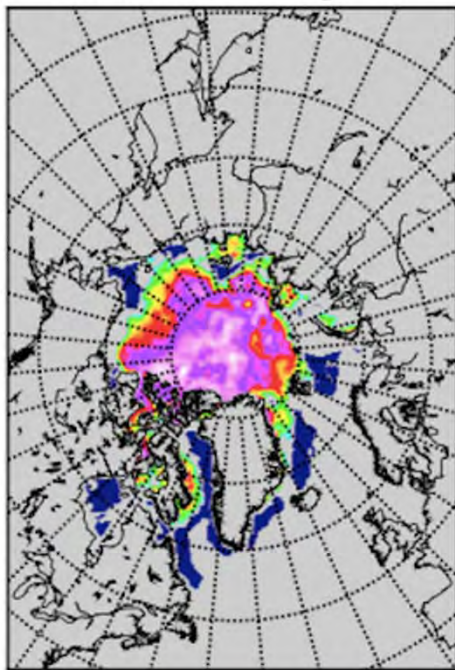
Icechart vs OSISAF - SIC intercomparison



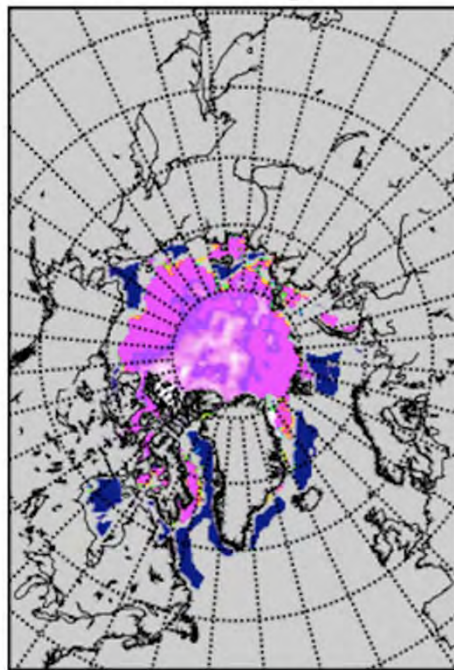
Icechart vs OSI-SAF ice concentration

Sea Ice Concentration (OSI SAF vs NIC) Comparison 2017-07-20

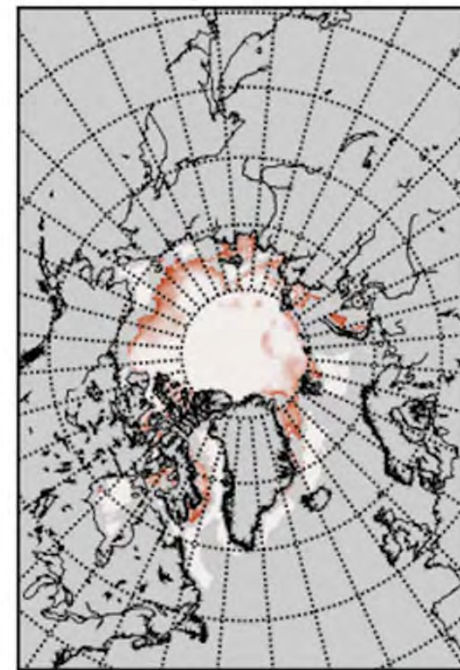
OSI SAF Percentage SIC



NIC Percentage SIC

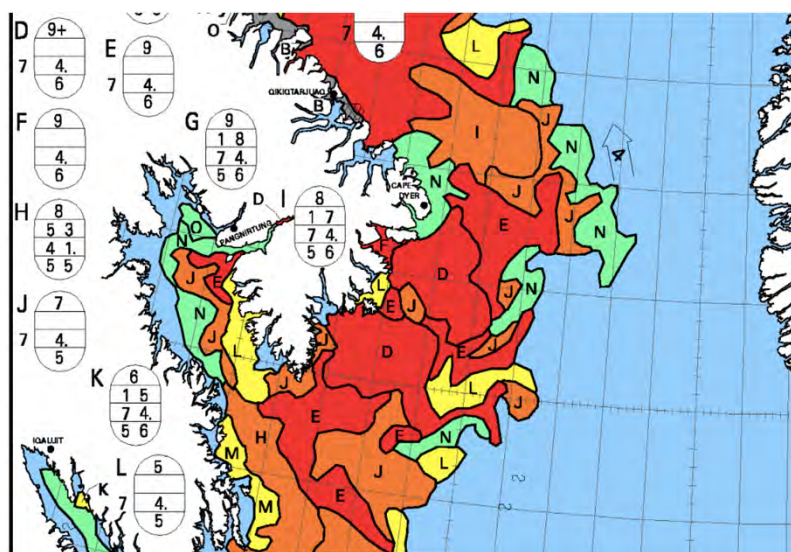


SIC Anomaly ('NIC' - 'OSI SAF')

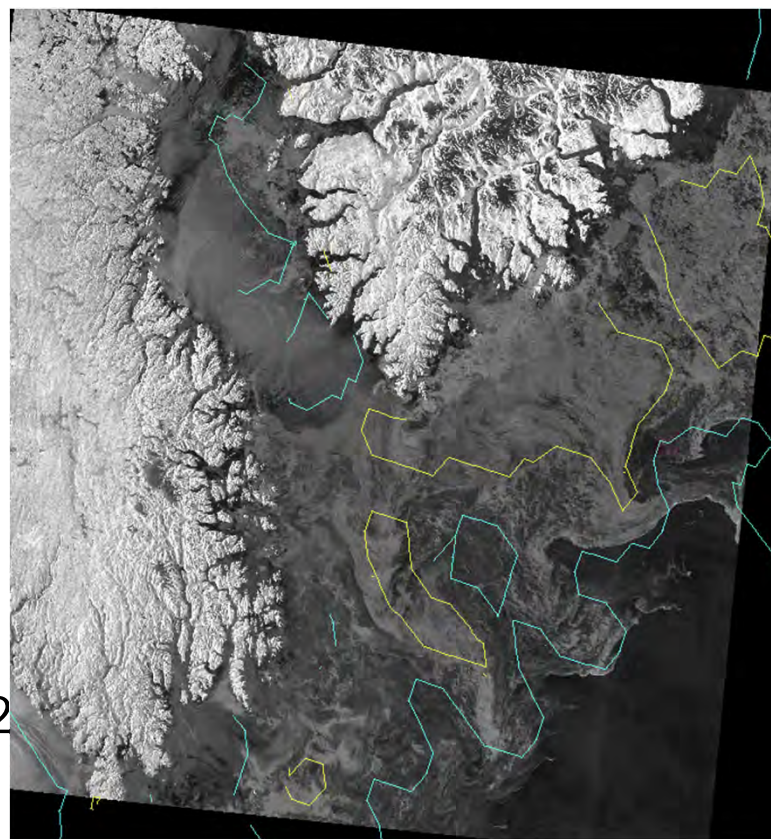


0 10 20 30 40 50 60 70 80 90 100 -100 -50 0 50 100

CIS Icechart vs Sentinel-1 SAR



CIS daily ice chart on July 20



Sentinel-1 SAR on July 20, 2017

Leif Touretzki, Pedersen, DTU | 31/07/2018 | Slide 37



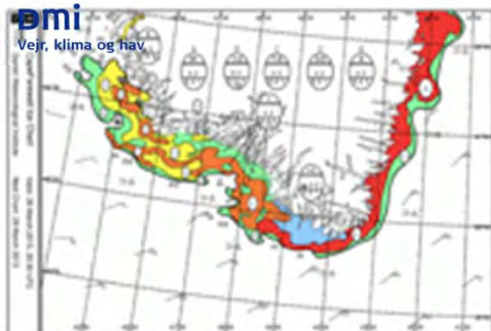
Icechart to OSISAF SIC intercomparison



DMI Greenland Overview Ice Chart



Met.no Svalbard Ice Chart



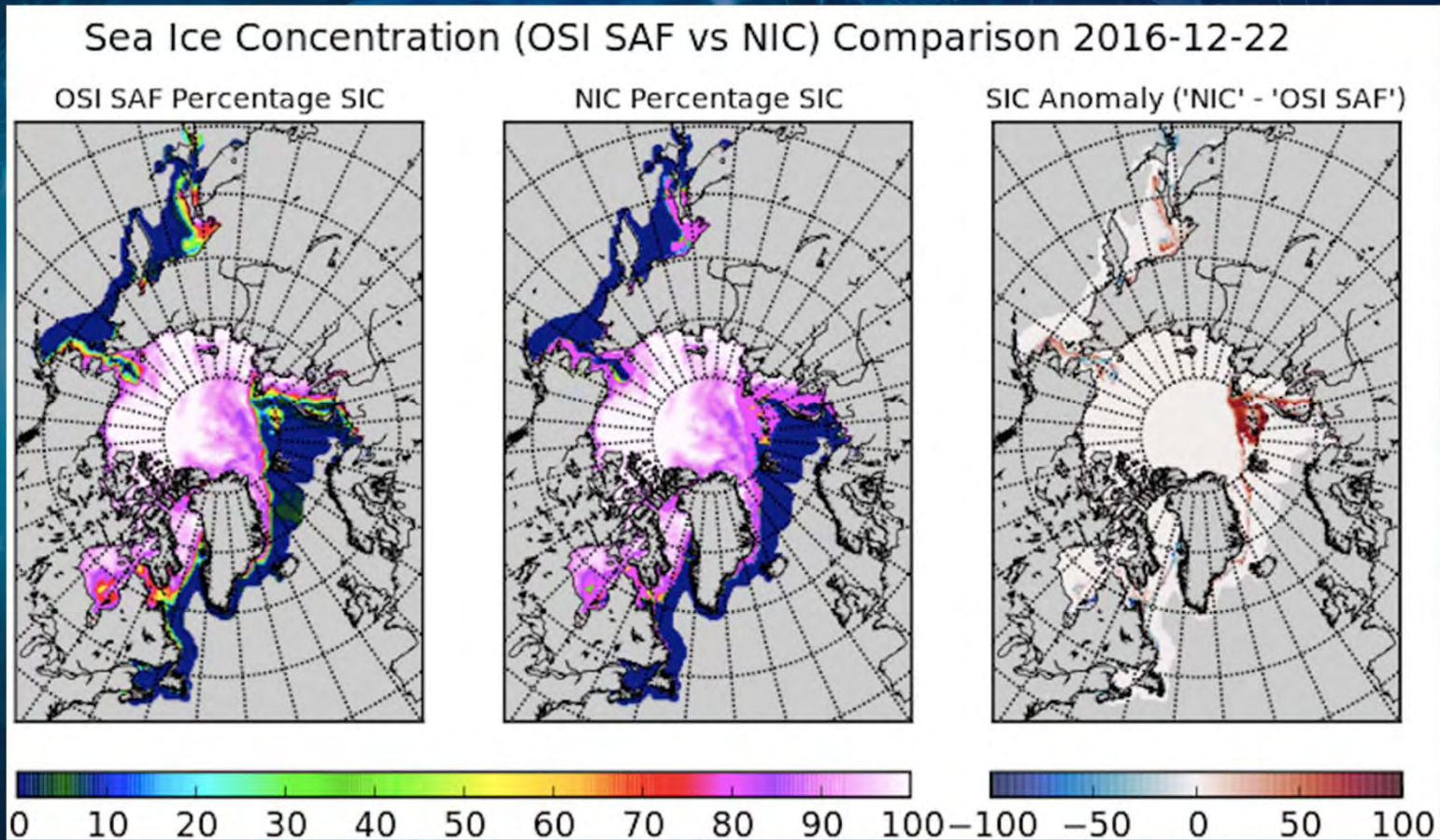
DMI Greenland Regional Ice Chart (example)



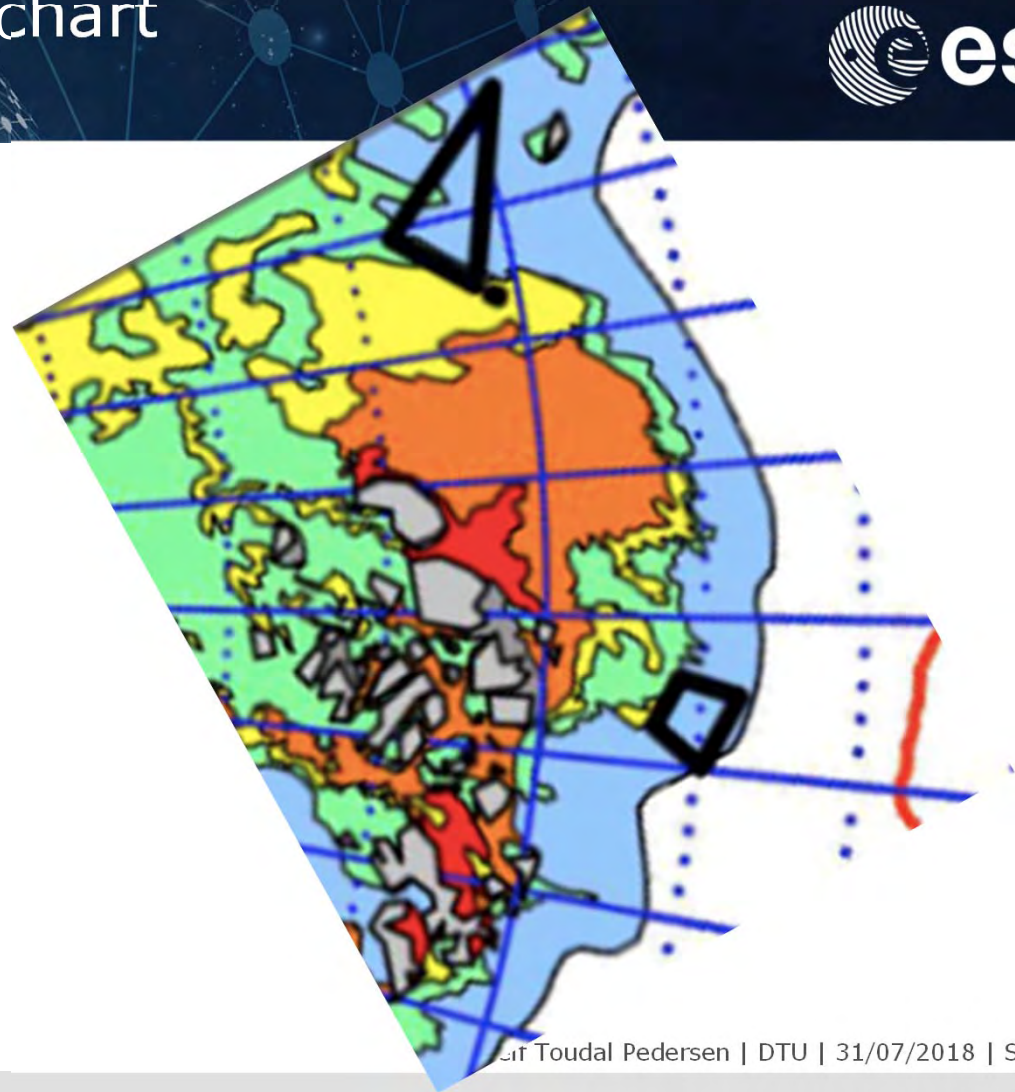
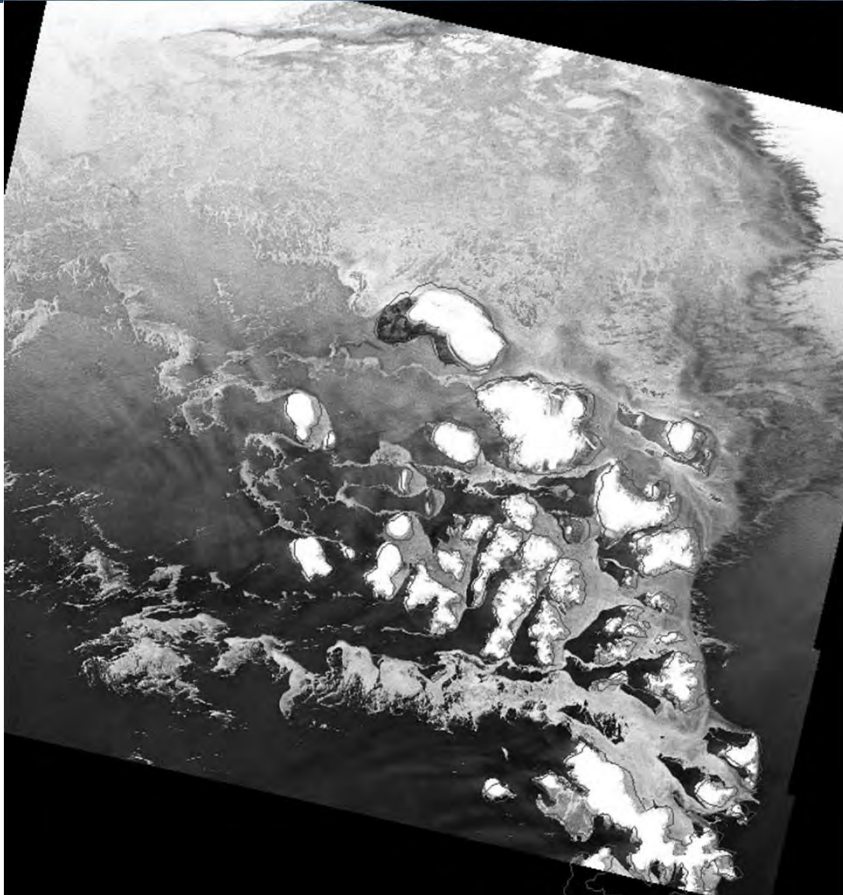
OSISAF Ice Concentration data



Icechart to OSISAF SIC intercomparison



Sentinel-1 SAR and MET Icechart 2016-12-22



Lir Toudal Pedersen | DTU | 31/07/2018 | Slide 40

