





→ 8th ADVANCED TRAINING COURSE ON LAND REMOTE SENSING

10–14 September 2018 University of Leicester | United Kingdom

Flood Mapping

Dr Hervé YESOU







SERTIT

Technological and services platform of ICube lab from Strasbourg University Valorisation and technological transfert in space techniques and E.O. applications

Activities

I mage processing Remote Sensing GIS -Expertise -Training

Applications

Land management and urban planning Natural resources monitoring Environmental survey Epidemiology Natural disaster and risk management







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Water bodies and Flood mapping and monitoring based on EO data

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ESA Programmes AO and CAT1 ERS/ Radarsat SOAR EOMD Plain flood project Water an Fire project GMES- ESA GSE projects (Riskeos, Respond) DRAGON ESA MOST CNES projects SPOT2 to SPOT5 preparatory and validation programmes Pactes Pléiades / Orfeo thematic programme Post Pléiades: SWIR /VHR trade off and synergy SWOT SDT

Others projects CSK ASI TerraSAR AOs



International Charter Space and Major Disasters Former GMES SAFER and EMS Copernicus more than 200 actions of flood rapid mapping

http://sertit.u-strasbg.fr

Presentation outline



Why flood mapping and monitoring Flood in the landscape

Short cut of SAR Physical basis for Water bodies mapping Backscattering in function of:

- Acquisition parameter
- Surface roughness
- Atmospheric/meteorological conditions

SAR

Context

SAR sensors for water bodies and/or flood mapping

- Past mission
- On going missions
- Future missions

Short cut of Optical Physical basis for Water bodies mapping Water bodies spectral signatures Contribution of SWIR channels Errors in water bodies mapping

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Concluding remarks

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Why it is relevant to map and monitor flood events?

Floods: 34% world natural hazards between 1974-2003

Half of affected people related to flood events

Near 200 millions of affected people each year (more than half of affected people by a natural hazards)

More than 170 000 deceases from 1980 to 2000

Average: 4700 persons / year (2006-2015)





Source: EM-DAT - International Disaster Database

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Why it is relevant to map and monitor flood events?

Floods: worldwide

I mportant mortality in Asia, Central-South America, Eastern Africa

I mportant economic losses in Europe, Northern America as well as Asia

Most dramatic are not the most costly ones (Nargis: 140 000, none insurance prime, whereas 2008 spring floods in US and Germany 1,1billion \$ each



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Why it is relevant to map and monitor flood events?

Closer period

2016: (Nino Year) China, one flood affected 60 million (134 million affected in 2010, 105 million in 2007 and 68 million in 2011).

- 200 000 homes damages
- > 5 Billion \$ of damages

2018:

Kerala (India) flood event in summer 2018, near 500 casualties, 1 million of displaced people, 3 B US\$

With climate change it would become worse worldwide

Fitting floods is one of the most important environmental challenge

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Figure 5 - Top 10 countries by number of reported events in 2016

Source: EM-DAT - International Disaster Database







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Why it is relevant to map and monitor flood events?

- Floods: Europe
- Central Europe
- British Islands
- South France



(Europe 2002-2007: SERTIT 2008)

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Set up risk memory Niamey, Niger, September 2012



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Set up risk memory Niamey, Niger, September 2017



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What are we are looking for: Flood events definition

Hydrological

Disaster Group	Disaster Subgroup	Disaster Main Type	Disaster Sub-Type
Natural Disaster	Hydrological	Flood	Coastal flood
			Riverine flood
			Flash flood
			Ice jam flood
		Landslide	Avalanche (snow, debris, mudflow, rockfall)
		Wave action	Rogue wave
			Seiche



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Flood patterns recognition: events' signatures





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Flood patterns recognition



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PHILIPPINES - Mindanao Island New Bataan - Damage assessment Typhoon Bopha/Pablo Observed the 10/12/2012

Churter Call ID No. 425-424







Bopha Typhoon which devastated Midanao's island, in souther

Philippines, on Wednesday 05 December 2012. The authoritie count around 700 dead, 400 missing and 250,000 homeless

after its passage. The typhoon has badly hit the New Bataan area: many buildings are flooded and wind damaged, with a slum area being swept away, a number of roads also seem seriously affected and the trees in the area have been hit. This is evidenced after analysing Plenades imagery acquired the 10 December 2012 (0.50m).

Cartographic Information 100 200

Local projection: UTM 51 North , Datum: WGS 84 Geographic projection: Lat/Lon (DMS), Datum: WGS 84 Scale: 1:5 000 for A1 prints Geometric references Horizontal: Pleiades navigation parameters Vertical: SRTM, maximum16m specification

Crisis Inversi

Disaster impact assessment ipotentially affected buildings roads, flood traces extent), (DSERTIT 2012 Pleiades 1A. image (0.50m) acquired 10 December 2012, O CNES 2012, distribution Astrium Services / Spot Image SA, all rights reserved

Framework

The products elaborated for this Rapid Mapping Activity are realised to the best of our ability, within a very short time frame, during a crisis/exercice, optimising the material available. All geographic information has limitations due to the scale resolution, date and interpretation of the original source materials. No liability concerning the content or the use thereof is assumed by the produces.

Map produced the 11 December 2012 by SERTI © SERTIT 2012





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Flood patterns recognition: flash flood, dam rupture: Krymsh- Russia 2012





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Observation the 16/07/2012

whic product shows the potentially affected are broken bridges, the emergency camps over Krymsk with a ades HR1 image (50cm) acquired the 16th of July 2012.

Flood patterns recognition: heavy Rain Haruna Cyclone, Madagascar, 02-2013





Flood patterns recognition: heavy Rain Haruna Cyclone, Madagascar, 02-2013





Flood patterns recognition





Ireland SAFER 027, 2010: Water within bogs

Genes Engene Canada Engene Canada Engene Canada

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Flood patterns recognition





Ombala-yo-Mungo area Impact map Scale: 1:100.000 used on the 12m of 1 Futures don Geographic des UTM Zone 33 South Occupied C 2005 With 54 WIth 54 Safetim SPOT 5 SPOT 5 Neel Date 5 at 6 m Republice Color: 12th Hay 2015 26th Hards 2003 December of Americanics Officers Rest ted eakily on the 13th of May 2005 Sites of May 2008 CPOND

ANGOLA **Cunene** province

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SAR for flood mapping



Cloudy , rainy weather Sunny weather ⇒Sentinel 1 ⇒Radarsat ⇒TSX & CSK ⇒ Gaofeng 3

eather SAR sensors



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2016

26 August

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Wavelenghts pertinent for water surface mapping/monitoring



Images acquired in X, C, S, L Bands are potentially suitable for water bodies mapping

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Why SAR is a performing tool for water bodies and flood mapping?



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f=1.3 GHz (L band) f=5.3 GHz (C band) ------ f=9.6 GHz (X band)

Near all weather capability Day & night capabilities

Relative large swath Relative good revisit

On SAR data water surfaces have low values of BS

But local weather (wind/rain) effect altering the signal



semi-empirical function of backscatter coefficient o⁰ as a function of incidence (for a mean sea), for 3 different radar bands

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Why SAR is a performing tool for water bodies and flood mapping?



f=1.3 GHz (L band) f=5.3 GHz (C band) ----- f=9.6 GHz (X band)

Therefore sensibility more or less important depending of the wavelength to:

- Acquisition parameters (incidence angle
- Wind locally can alter the surface roughness
- Rain locally can alter the signal path



semi-empirical function of backscatter coefficient σ^0 as a function of incidence (for a mean sea), for 3 different radar bands

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Water backscattering in function of surface roughness



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Water backscattering in function of surface roughness





Case of water surface in various environments



Adapted from Sang-Ho Yun, NASA JPL

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Water backscattering within urban landscape





Illustration of SAR limitation in Urban area

December 2016 Flood in York, England, based on Radarsat 2 imagery:

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Water backscattering within urban landscape





English Prime Minister visiting the affected York downtown

December 2016 Flood in York, England, based on Radarsat 2 imagery:

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Water backscattering within urban landscape



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Water backscattering in function of water surface roughness



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Water backscattering in function of water surface roughness



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Water backscattering in function of water surface roughness





Water backscattering in function of water surface roughness





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SAR: All weather system: Yes but !!!!

Distortions in the SAR observational data come from various factors.



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Signal attenuation by clouds and rain for smaller wavelengths



Bande X (3 cm)

Bande C (5.6 cm)

Bande L (25 cm)

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Sentinel1 C Band Rain (clouds (?) effects



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Water recognition in function of meteo conditions Wavelength dependencies

C band:

1 image ASAR ENVISAT en bande C, over +200 analyzed

1 Sentinel1 case reported on the Web

X Band

- •1 image CSK Huge, over 15 analyzed (China)...
- 1 TerraSAR X Stripmap, over 5 analysed (Ivory coast
 1 TerraSAR X ScanSAR, over 3 analysed (Niger : attenuation and huge backscaterring
 1 CSK, over 2 CSK (Myanmar)











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Wavelengths pertinent for water surface mapping/monitoring





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Former missions: precursors and rich archives



- 1978 : First civilian SAR, SEASAT (USA).; 108 days
- 1981 : SIR A Mission, on board on US Shuttle , band L
- 1984 : SIR-B, Mission, on board on the US Shuttle, Band L, 5 13 October 1984

1991 : ERS-1 , ESA , launch 17 of July 1991 , ended in March 2000

1992 : J-ERS , Japan

1994 : SIRC X SAR, two shuttle's missions (10 days: 9/20-04-1994 and 30-09 - 11-10-1994) Bande L, C et X

1995 : ERS-2 , in tandem with ERS1 , ended in September 2011 (16 years of operation)

1995 : RADARSAT 1, Canadian Space Agency

2000 : Mission SRTM, topographic mission on the shuttle , 11-22 February 2000

2002 : Envisat, European Space Agency ended 12 of May 2012

2006 : PALSAR's L-band SAR, on ALOS mission (ended in 2011)

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Importance of the Archive: Flood memory



Radarsat over 1998 Yangtze historical flood





Analysis of Poyang lake Summer 2010 flood 2010 versus 2002 and 1998







2010 flood event is an important one in Poyang last decade history 2010 extent (3354 km²) no far to the 2002 extent (3392 km²) 2010 much smaller in term of extent than 1998 (4116 km2)

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ERS 1 - 2

ERS 1 launch, 1991,17 of July ERS 2 in 1995, 21 of April

C Band, VV

Cycle: 35 days Cycle: 3 days

Cycle: 265 days, Geoid & bathymetry



Operational mode	Band	Center frequency	Polarization	Incidence angle	Spatial resolution	Swath width
SAR Imaging mode	C-band	5.3 GHz	LV (linear vertical)	23º at mid-swath	10-30 m	100 km
SAR wave	C-band	5.3 GHZ	LV	23° +0.5°	30 m	5 KM X 5 KM
AMI-SCAT (wind)	C-band	5.3 GHz	LV	Fore/aft: 25º-29º Mid: 18º-47º	50 km	500 km

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ERS 1 – 2: precursors systems



ERS SAR data have been wordily exploited for flood mapping

(cf numerous papers on ESA conferences)

- Thames flood 1992
- Camargue flood in 1993
- Meuse flood 1993-1994
- Aude flood 1996
- Oder flood in 1997
- Chinese flood in 1998 and many more...
- => Polish floods, 19-05-2010

Exploiting mostly the Amplitude



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ERS Mosaïque over the Oder river : Acquired 30-07 to 9-08-97



Flood mapping based on ERS 1 - 2





ERS: experimental 3 days mode from winter 93 to spring 94 Map of water permanency during the Meuse flood draw off in spring 1994 (Yésou et Chastanet, 2000)

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Flood mapping based on ERS 1 - 2 INSAR

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Few examples of Coherence exploitation

Aude 96 flood event

ERS-2: 7 8 1995 ERS-2: 29 01 1996 ERS-1: 28 01 1996

Acquisition near the maximum of the flood

2 consecutives images

Exploitation of the phase information: lost of coherence on water surface

(Marinelli et al., 97 ; Nico et al., 2000 ; Sarti, 2004)

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(© CEMAGREF 1996 , © ESA, 1996)





On going SAR Missions

2007 : June launches constellation Cosmo Skymed constellation , Terra SAR X December: Radarsat 2

- 2012 : launch of RISAT (ISRO) , operational mode in 2015
- 2014 : Launch ALOS 2, bande L

2014-2016: Launches of Sentinel 1A and 1B (Constellation Copernicus)

2016 : Gaofeng 3, C band (Quad Pol)

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SENTINEL 1

The Sentinel-1 series : part of the GMES programme Sentinel1A, 2014 Sentinel1B, 2016

Priority: ensure continuity for C-band data Improvement of SAR signal (30% better than ENVISAT)

Multi mode

- Strip map: 80 km swath , 5m
- Interferometric Wide swath mode IW, 250km, 20 m
- Extra wide EW Swath, 400 km, 25x100 m
- Wave mode, WV, low data rate, 5x20m
- Swath 250 km

Polarisation modes:

- VV or HH in wave mode
- Selectable dual pol for all other mode HH+HV; VV+VH
- => follow on until 2030...

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Sentinel 1 improvement in term of swath : standard mode



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Sentinel1: revisit and large swath

Myanmar Heavy monsoon rain caused river overflow and flooding in August 2015





04 September 2015





Sentinel1: revisit and large swath

Myanmar Heavy monsoon rain caused river overflow and flooding in August 2015



Sentinel1 : Flood Events of January 2018 in the Grand Est region (France)

EUGENIUS

MEUSE river (Commercy) : Sentinel1 data acquisitions





On Going VHR and polarimetric SAR:

X Band: VHR SAR: TerraSAR, CosmoSkymed C Band: RadarSAT II: VHR and Full Pol GAOFENG 3: VHR and Full Pol L Band : PALSAR II bi & Full Pol, large swath ScanSAR mode

See Pr. Pottier presentations

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The VHR and polarimetric SAR: TerraSAR, CSK



X band VHR satellites

• Cosmo-Skymed: Italian, Launch: 08-06-07, Constellation of 4 Dual civilian-military

• Terra SAR: German, Launch: 15-06-07

Multi mode, Spotlight, Stripmap, ScanSar Pol capabilities







• ScanSAR : expanded swath width (200 instead of 100km).

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The VHR and polarimetric SAR: TerraSAR, CSK













The VHR and polarimetric SAR: Radarsat

- C band : Radarsat II: Canadian, left right looking Launch: 14-12-07 C
- High resol mode, 3m band
- Full polarimetric mode (scientific)





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Exploitation of VHR SAR: Radarsat II Polarimetric approach

Exploitation of the polarimetric information based on the entropy (valuable technics in natural/Agricultural landscape)



From Maréchal, Pottier et al., Igarss 2011; Pottier et al., Igarss Munich 2012

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Advanced Land Observing Satellite (ALOS II) PALSAR

L Band Phased Array type L-band Synthetic Aperture Radar (PALSAR) Left/right looking WS to ultra fine (490 to 25km => 60 m to 1m)





24 May 2014

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Advanced Land Observing Satellite (ALOS II) PALSAR





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Advanced Land Observing Satellite (ALOS II) PALSAR



Flooded area under or with tall vegetation









Coming SAR missions

Nov. 2018 : Radarsat Constellation Mission (RCM), C Band, Singl, Dual, Hybrid Pol Revisit 4 days

2018-2019 SIASGE Italian-Argentine System of Satellites for Emergency management October 2018-2019 : SAOCOM de la Conae, L band (Singl, Dual Twin Pol, revisit 4 days) two satellites A & B SIASGE X+L-BAND 2018-2019 : COSMO SkyMed Second Generation, COSMO-Sky Me SAOCOM L - BAND (X band Sing/Dual/Quad Pol)

2021 : BIOMASS P band, not suitable for flood/lake mapping too coarse resolution (May some interest for DEM under forest)

2021 : NISAR, Indo American mission, bande L et S

2021 : SWOT Altimetry Mission,

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Presentation outline



Flood in the landscape
 Short cut of SAR Physical basis for Water bodies mapping

Why flood mapping and monitoring

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29 August 2016



29 August 2016

Clear sky Sunny weather \Rightarrow Sentinel 2 \Rightarrow SPOT 6-7 \Rightarrow Pléiades HR \Rightarrow







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Short cut of Physical basis for Water bodies mapping



Water absorbs the longer wavelengths of visible and NIR and SWIR domains Reflects the shorter wavelengths of the visible domain (blue, green)

- \Rightarrow More precisely water color depends on:
 - •Depth (ground influence sand/rocks)
 - •Materials in suspension
 - •Vegetation or algae



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Short cut of Physical basis for Water bodies mapping



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Short cut of Physical basis for Water bodies mapping Realation between water quality/color and water content





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Optical Flood mapping : channel selection





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Optical Flood mapping : Contribution of the SWIR channel





September 2002

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Optical Flood mapping : Contribution of the SWIR channel





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Contribution of the SWIR channel for flood imprint mapping



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Index	Equation	Remark	
Normalized Difference	NDWI = (Green - NIR)/(Green +	Water has positive value	
Water Index	NIR)		
Normalized Difference	NDMI = (NIR - MIR)/(NIR +	W/- 4 1	
Moisture Index	MIR)	water has positive value	
Modified Normalized	MNDWI = (Green – MIR)/(Green	W7-4 1 1	
Difference Water Index	+ MIR)	water has positive value	
Water Ratio Index	WRI = (Green + Red)/(NIR +	Value of water body is	
	MIR)	greater than 1	
Normalized Difference	$\mathbf{N} \mathbf{D} \mathbf{V} \mathbf{I} = (\mathbf{A} \mathbf{I} \mathbf{D} - \mathbf{D} + 1) (\mathbf{A} \mathbf{I} \mathbf{D} + \mathbf{D} + 1)$	Water has negative value	
Vegetation Index	NDVI = (NIR - Red)/(NIR + Red)		
Automated Water	$AWEI = 4 \times (Green-MIR) - (0.25)$	Water has resitive as hes	
Extraction Index	\times NIR + 2.75 \times SWIR)	water has positive value	

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Errors and sources of errors in water surfaces mapping



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	Sensors properties	Lakes Characteristics	Data processing
Spatial	Spatial resolution	Size and Shape Size of the transition zones between dry and flooded areas Ice	Spatial sampling
Radiomet ric	Radiometric resolution Spectral coverage (bands)	Spectral response of water/land, floating or submerged vegetation	Radiometric sampling Sensitivity of the descriptors
Temporal	Temporal resolution Acquisition date	Seasonal water surfaces fluctuations Periodic/recurrent	Co registration Nb exploitable images
(a) Lyons a RS, 20 ⁷	• — Rasterized polygons "uned classification" 16	Lyons and Sheng, RS, 2016	Δ — Rasterized polygons Δ Tuned classification
b b b c c c c c c c c c c	A 100 150 Lake	OTE SENSING	•

Errors and sources of errors in water surfaces mapping





Definition of limits of flooded areas





Shape / sensor resolution



Presence of vegetation/alga bloom Presence of Ice

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Potential limitation on water surface recognition water flooded vegetation and floating vegetation





Azolla filiculoides





La Coste pound, Saint-Julien, Côtes-d'Armor, FR, 04-2016



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Sentinel 2



Highest Resolution same as SPOT5 (10m)

Presence of two SWIR bands (heritage of landsat)

Large swath (MERIS heritage) Revisiting time 10 – 5 days sentinel-2





Sentinel-2A : on 23 June 2015 Sentinel-2B : on 7 march 2017

Free access



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Sentinel2 revisit: images acquired over Poyang Lake (PR China)



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155 images S2 acquired since October 2015 (at the 11-11-2017) Increase of acquisitions since the rump up phase of Sentinel 2B





Landsat Family



Systematic acquisition 16 days revisit Huge archive

Since Landsat 4-5 . SWIR band 30 m

NASA





esa Comparison Sentinel2 and Landsat family: spectral



Comparison of Landsat 7 and 8 bands with Sentinel-2

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Comparison Sentinel2 and Landsat family: spatial



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SPOT 1-5

SPOT1-3 3XS + 1 PAN 20m -10 m

SPOT4-5 4XS + PAN 20 m + 10m (red band) 10 m + 2,5m

Green: 0.53-0.60 μm Red: 0.61-0,68 μm NIR: 0.78-0,89 μm SWIR: 1.58-1,75 μm

PAN: 0.48-0,71 µm

Swath 60 km





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SPOT 1: 22 February 1986 / 17 November 2003
SPOT 2: 22 January 1990 / July 2009
SPOT 3: 26 September 1993 / November 1996
SPOT4: 26 March 1998 /
SPOT5: 4 May 2002 /31 March 2015

•=> Very rich archive, no so well known and exploited

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SPOT 6-7

Following of SPOT 5 with improved spatial resolution 1,5-6m at nadir 2 satellites in constellation with Pleaides

Launch September 2012 and June 2014

4 bands + Pan Blue: 0.45-0.52 μm Green: 0.53-0.60 μm Red: 0.76-0,69 μm NIR: 0.76-0,89 μm PAN: 0.45-0,75 μm

Swath 60 km (agil)

=> Daily coverage capacity





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SPOT 6-7

Flood in Bridgewater, England, 11 January 2014





PLEIADES

2 satellites in constellation Launch December 2011 and 2012

0,70 cm in PAN 2,8 in XS

4 bands + Pan Blue: 0.43-0.55 μm Green: 0.50-0.62 μm Red: 0.59-0,71 μm NIR: 0.70-0,94 μm PAN: 0.47-0,83 μm

Swath 20 km (agility)

=> Daily coverage capacity







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Optical VHR and flood mapping: very fine description of the flood field



ICUBE



Mud traces recognition: within cities







Flood traces detection: Influence of spatial resolution





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Sertit

VHR & Flood mapping: Mud traces recognition: within cities





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VHR & flood mapping: Impact on agricultural parcels

Optical VHR : parcelling and flood

Extraction of narrow water bodies Identification of mud deposit Impact on river pathway Impact on agricultural field



Reference image, IGN BD

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Hautes-Pyrénées: flash flood of Gave de Pau (June 2013)



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Optical VHR and flood mapping: very fine description of the flood impact on hydraulic elements



Optical VHR : Dike break

Agly 2013 flood event Based on Pleiades HR



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Damaged infrastructure: Bridge





Image pre crisis (Google)

Image post-crisis (Pléiades)



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Optical VHR : Dike over flooded and partially erased

→ 8th AD



ICUBE

Xynthia 2010 – Western coast of France niveau de tempête route DIGUE erosion -Sertit meters 10-14 September 2010 Fourversity of Lettester Fourtee Kingdom

Optical VHR : Dike over flooded and partially erased



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Digue fortement impactée avec trace de laisse de surverse

Digue sans dégâts apparents

Brèche de vidange ouverte

après le 1er mars 2010

Western coast of France

Digue dégradée Erosion par surverse

Incertitude. Forte probabilité de surverse

Xynthia 2010

 \cap



Conclusion



- Flood is a major threat
- EO data can help to understand, describe and monitoring/modelize these events
- Both passive (Optical HR) than active sensors (SAR/INSAR) are pertinent
- DO NOT oppose the systems but exploit the synergism (revisit, resolution, spectral information)
- DO NOT oppose the different resolution (synergy in term of spatial coverage, tool for validation)
- Not so "simple" task/work..

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- => acquisition parameter's, scene characteristics, wavelength, meteo
- => different landscape, different signatures /traces of the event
- Resource is guarantee for the next 15-20 years (Sentinel 1/2/3)

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Sertit

Copernicus missions (ESA) exploitable for hydrology Cesa



Sertit







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Flood Mapping

Dr Hervé YESOU

14/09/2018

herve.yesou@unistra.fr



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