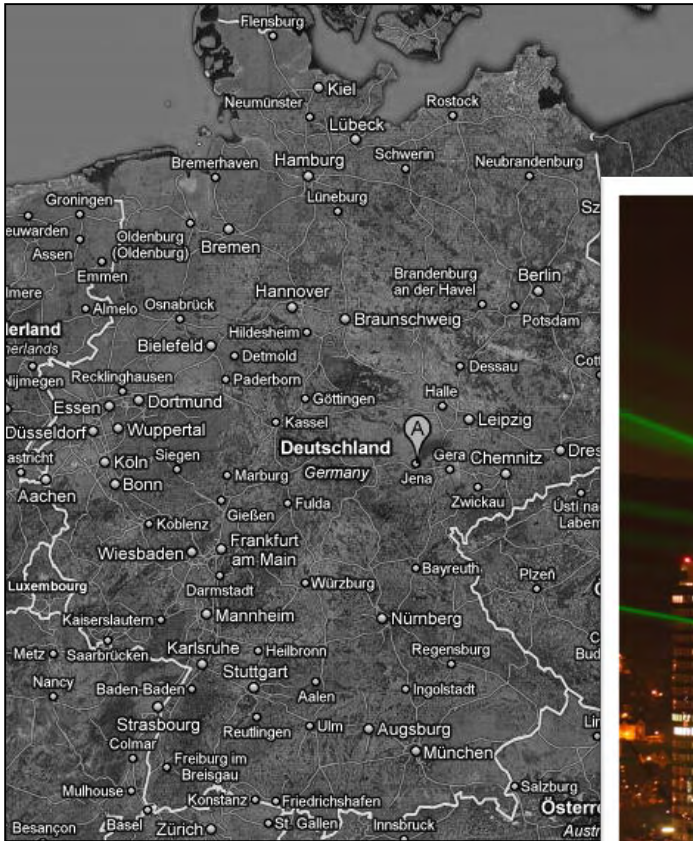


# SAR Remote Sensing

## An Introduction

*PD Dr. habil. Christian Thiel, Friedrich-Schiller-University Jena*

# Jena & Friedrich-Schiller-University







## Jena & Friedrich-Schiller-Universität







## Jena & Friedrich-Schiller-Universität





# Dept. of Earth Observation

## Basic Research

- E.g. SAR coherence & Forestry

## Applied Earth Observation

- E.g. landcover mapping using multitemporal SAR data

## Project Coordination

- Coordination of many international projects

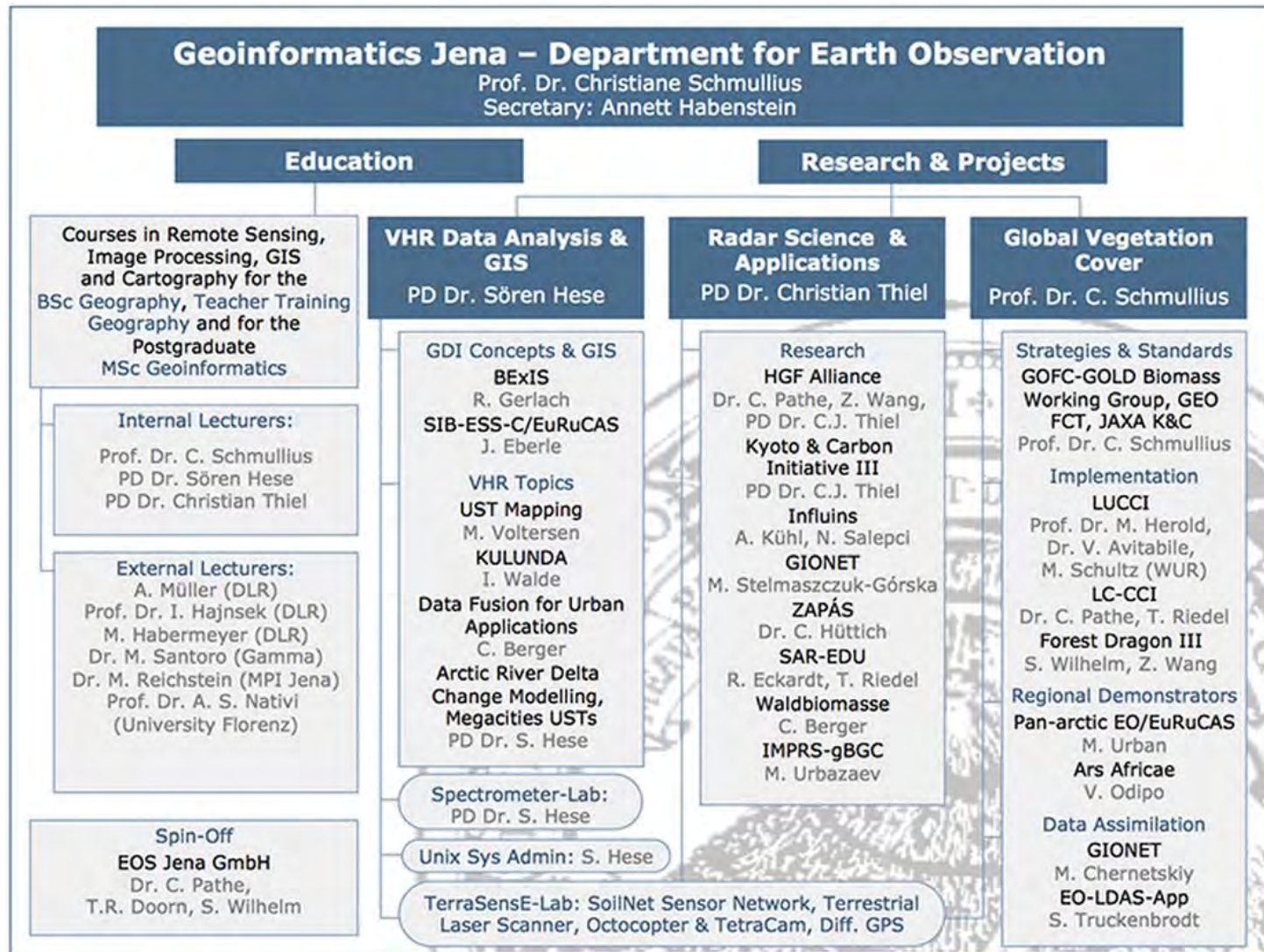
## Education

- BSc Geography
- MSc Geoinformatics
- Various PhD Projects





# Dept. of Earth Observation





# Contents

- What is Remote Sensing/Earth Observation?
- Active Radar Remote Sensing
- Summary

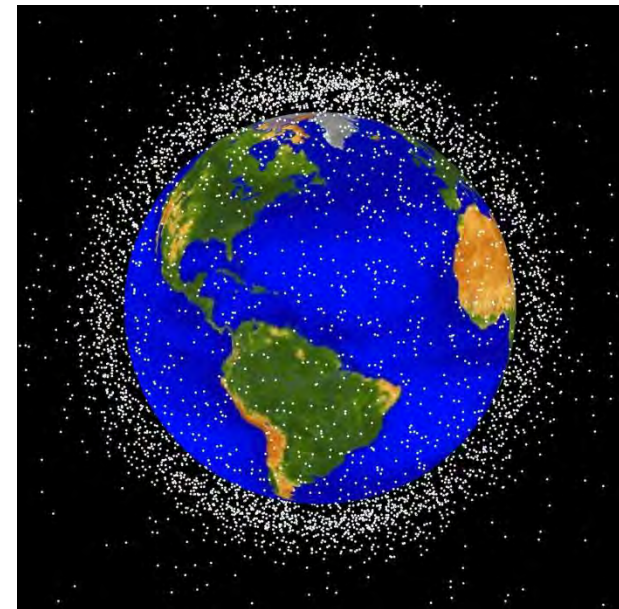


# What is Remote Sensing/Earth Observation?

- **Remote sensing (RS)**, also called earth observation, refers to **obtaining information** about objects or areas at the Earth's surface **without being in direct contact** with the object or area.



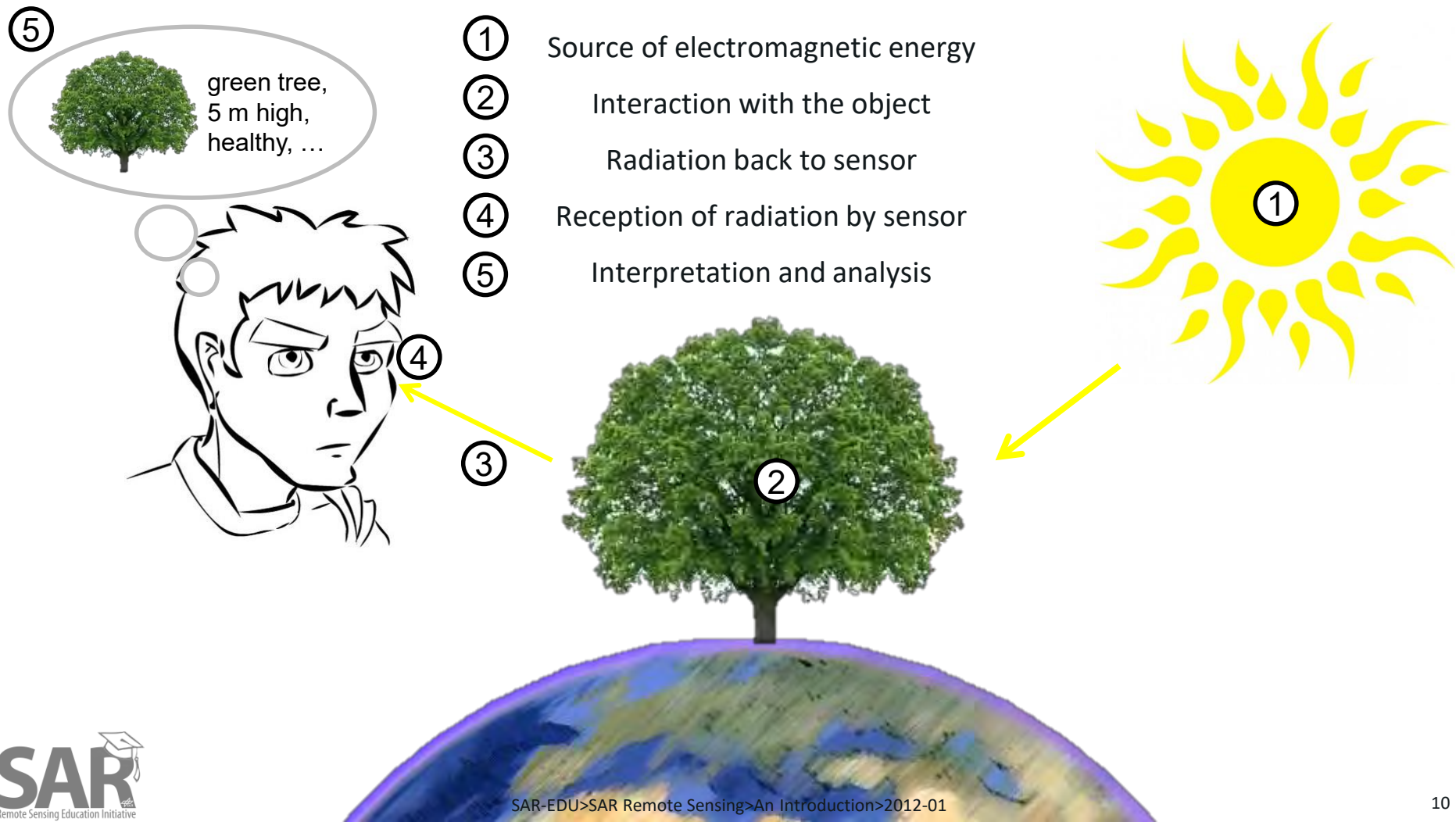
<http://freeda.files.wordpress.com/2007/10/sv003.jpg>



[http://www.nhn.ou.edu/~jeffery/astro/earth/orbital\\_debris.jpg](http://www.nhn.ou.edu/~jeffery/astro/earth/orbital_debris.jpg)

# What is Remote Sensing/Earth Observation?

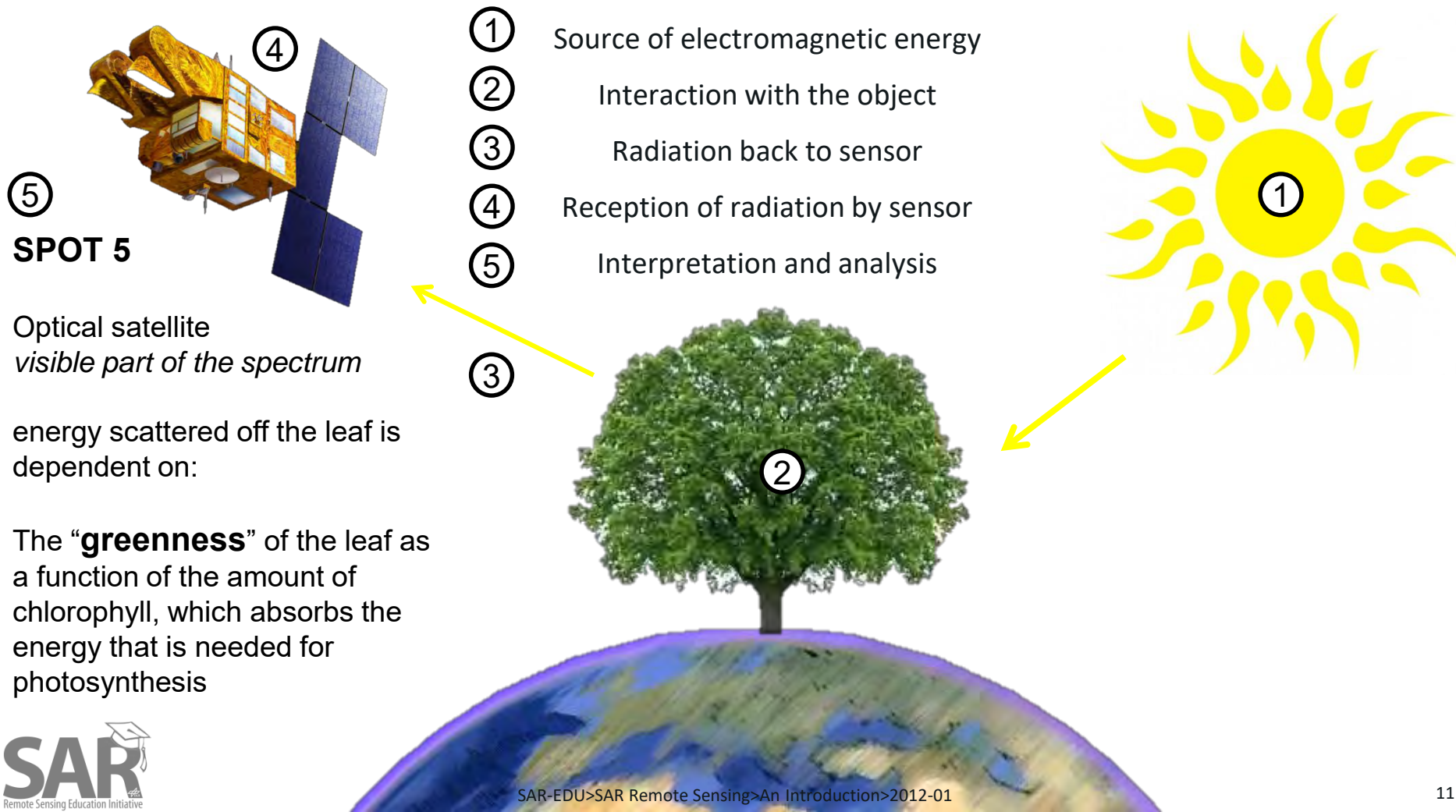
## *Components of the remote sensing process*





# What is Remote Sensing/Earth Observation?

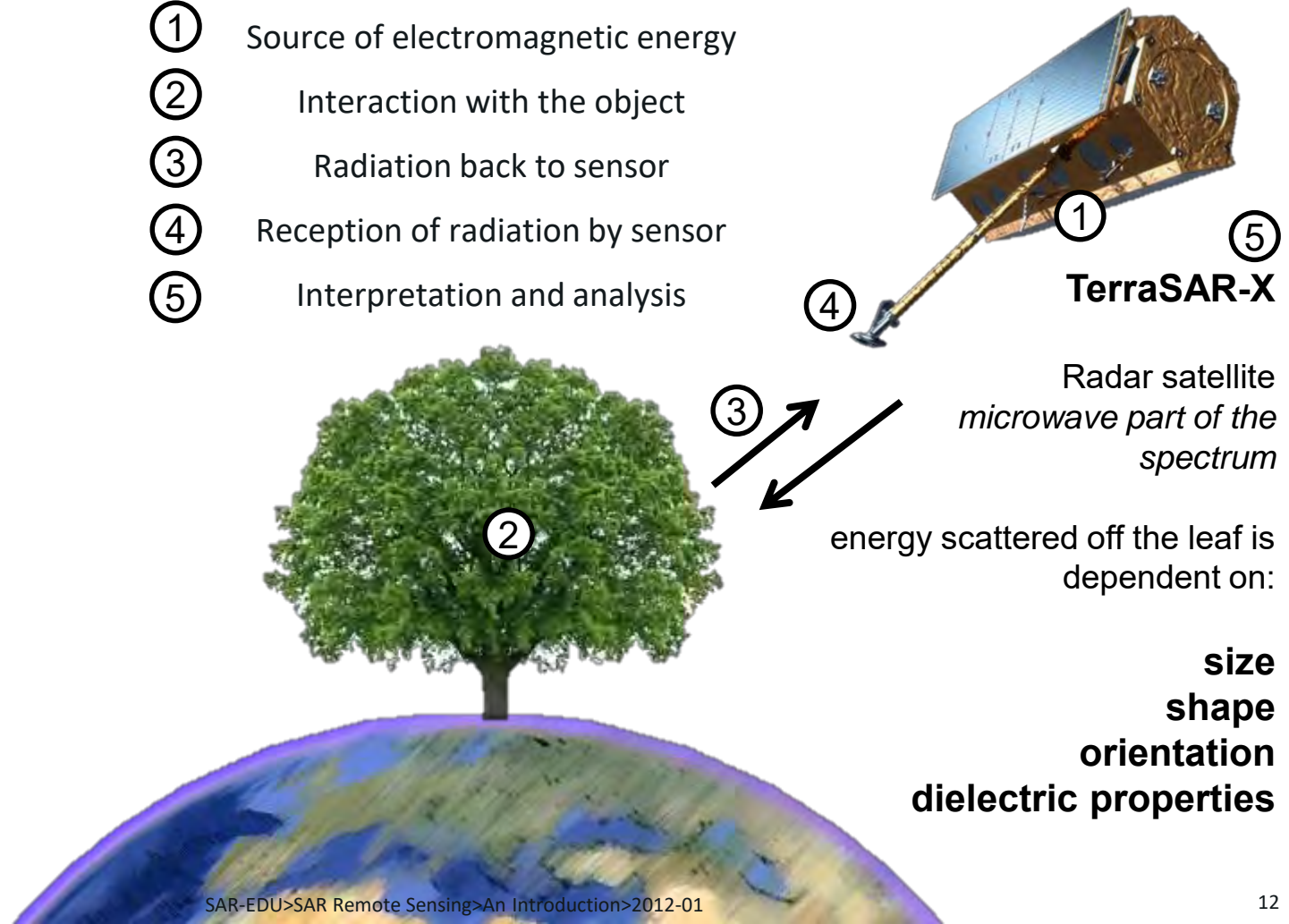
## *Components of the remote sensing process*



# What is Remote Sensing/Earth Observation?

## *Components of the remote sensing process*

- ① Source of electromagnetic energy
- ② Interaction with the object
- ③ Radiation back to sensor
- ④ Reception of radiation by sensor
- ⑤ Interpretation and analysis

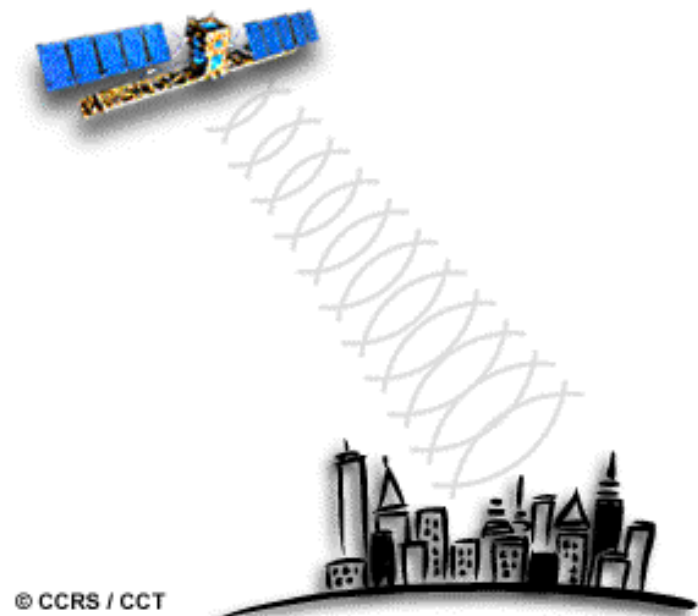
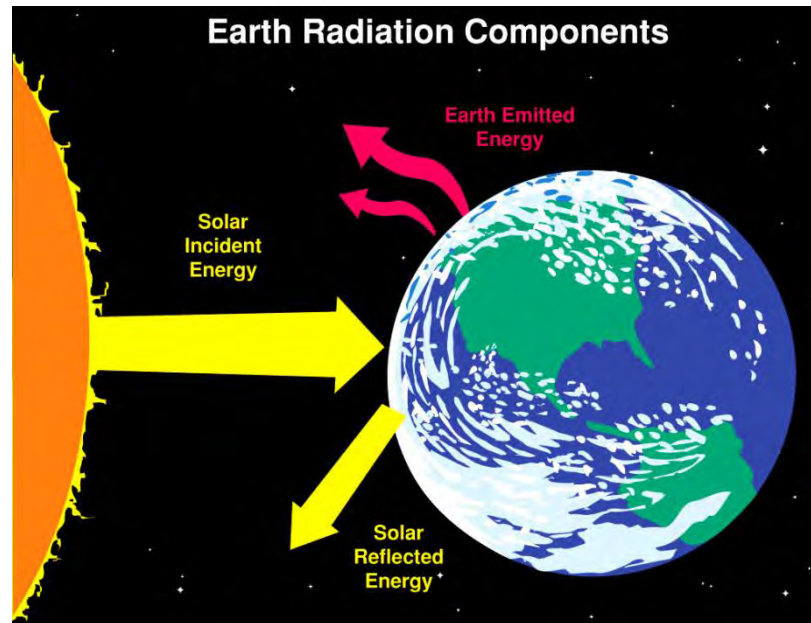




# What is Remote Sensing/Earth Observation?

## *Source of electromagnetic energy*

1. *Sun*
2. *Active Source of Energy (e.g. Satellite Sensor)*
3. *Earth Emitted Energy*

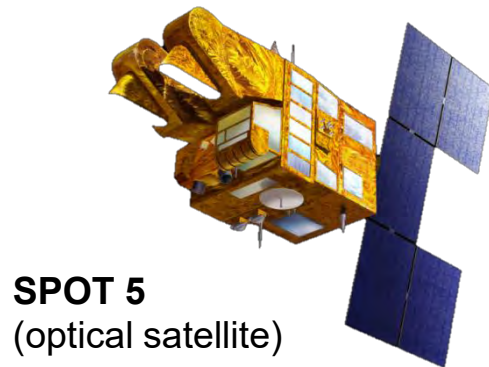


© CCRS / CCT

Source: <http://modis.gsfc.nasa.gov/gallery/>

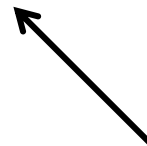
# What is Remote Sensing/Earth Observation?

*Source of electromagnetic energy*



**SPOT 5**  
(optical satellite)

*passive*

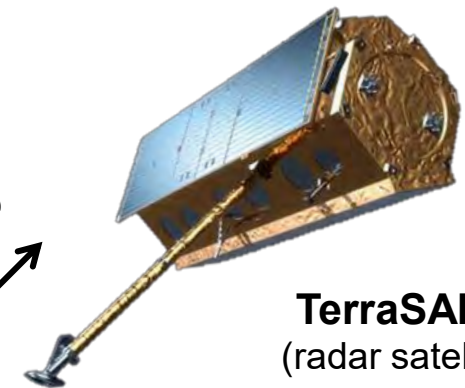


**Further Examples:**

**Non-imaging:** radiometer, magnetic sensor

**Imaging:** cameras, optical mechanical scanner, spectrometer, radiometer

*active*



**TerraSAR-X**  
(radar satellite)

**Further Examples:**

**Non-imaging:** radiometer, altimeter, laser

**Imaging:** Real Aperture Radar, Synthetic Aperture Radar





# What is Remote Sensing/Earth Observation?

## *Source of electromagnetic energy*

### **Passive** remote sensing systems:

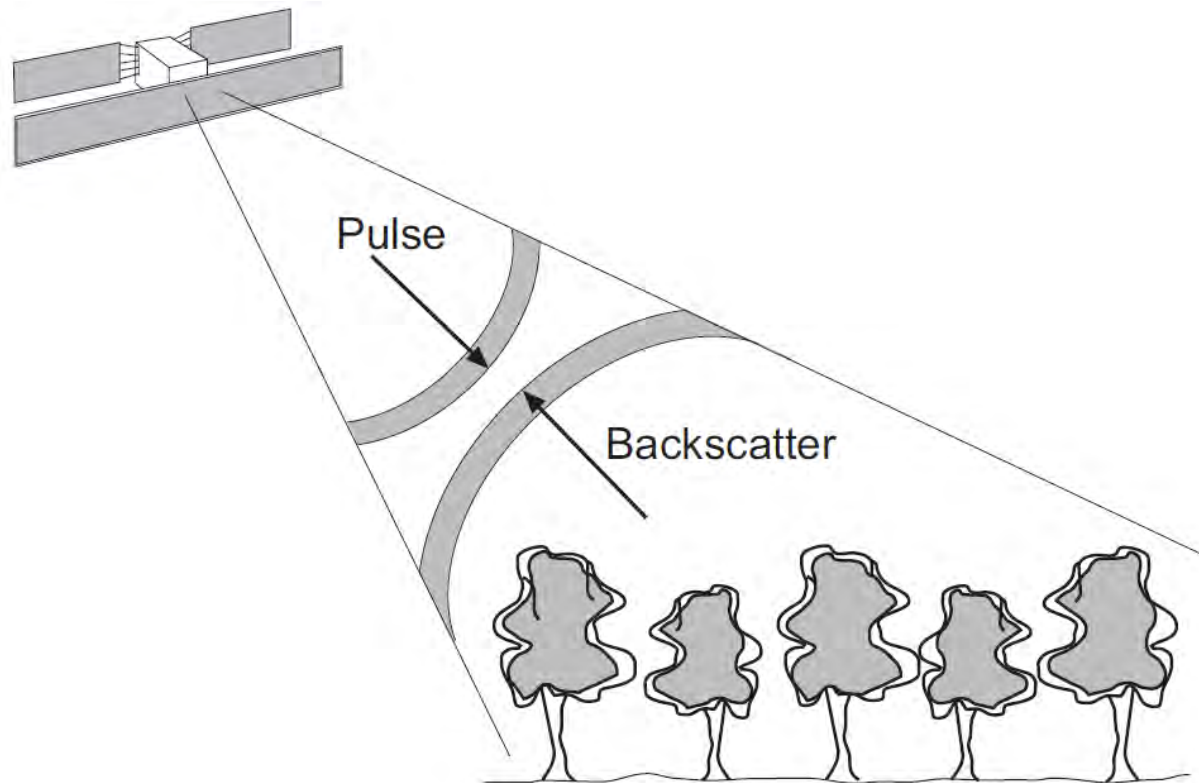
- Detect the **reflected** or **emitted** EM radiation from natural sources
- **Some of the images represent reflected solar radiation in the visible and the near infrared regions of the EM spectrum**
- **others are the measurements of the energy emitted by the earth surface itself i.e. in the thermal infrared wavelength region**

### **Active** remote sensing systems:

- Detect **reflected responses** from objects irradiated by **artificially-generated energy** sources
- **energy is transmitted from the remote sensing platform**
- **measurement of relative return from the earth's surface**

# What is Remote Sensing/Earth Observation?

*Source of electromagnetic energy - active*

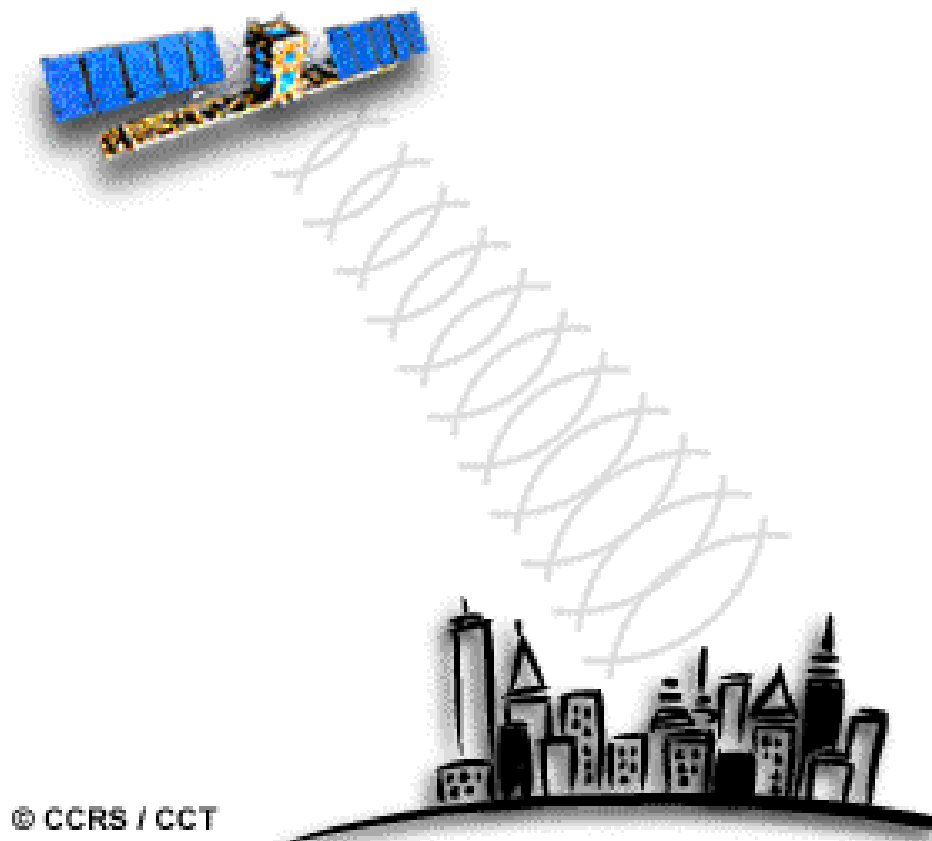


© 1999 David P. Lusch, Ph.D., Center For Remote Sensing & GIS, Michigan State University



# What is Remote Sensing/Earth Observation?

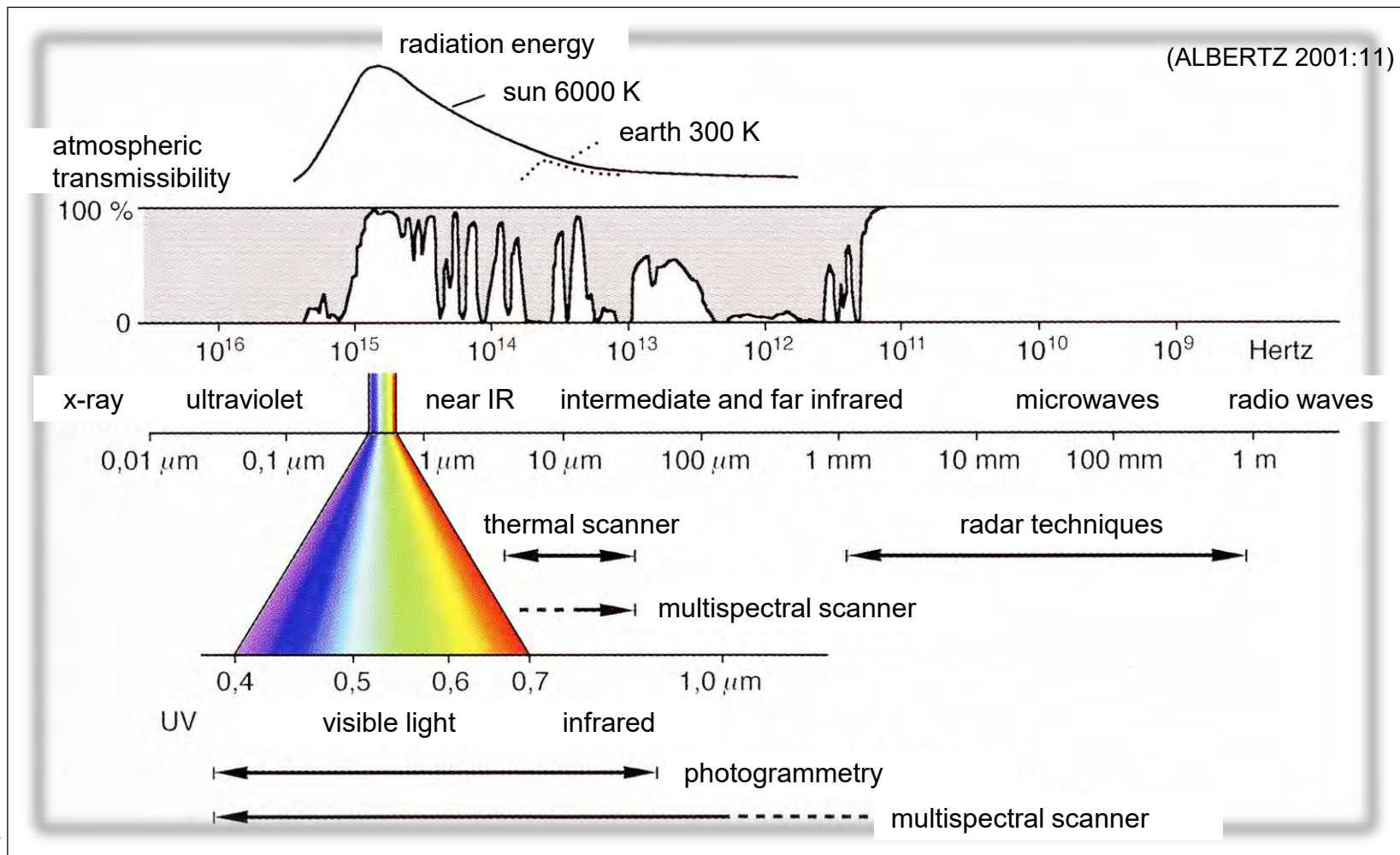
*Source of electromagnetic energy - active*



© CCRS / CCT

# What is Remote Sensing/Earth Observation?

## *Source of electromagnetic energy*





# What is Remote Sensing/Earth Observation?

## *Interaction with the object*



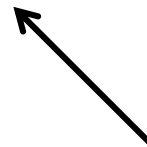
**SPOT 5**

Optical satellite  
*visible part of the spectrum*

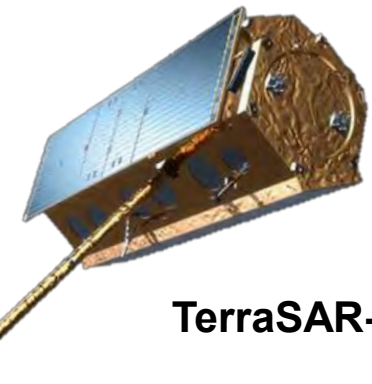
energy scattered off the leaf is  
dependent on:

**The “greenness” of the leaf  
as a function of the amount of  
chlorophyll, which absorbs the  
energy that is needed for  
photosynthesis**

*optical*



*radar*

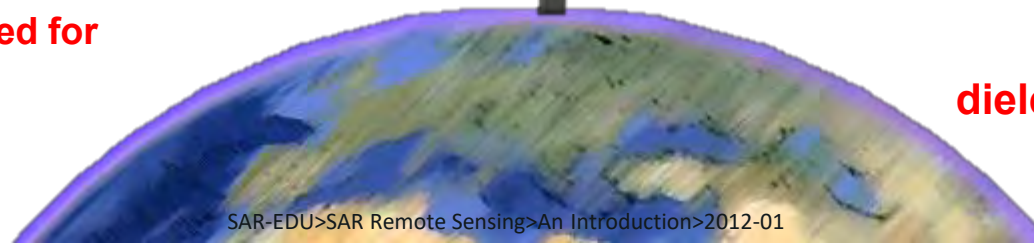


**TerraSAR-X**

Radar satellite  
*microwave part of the  
spectrum*

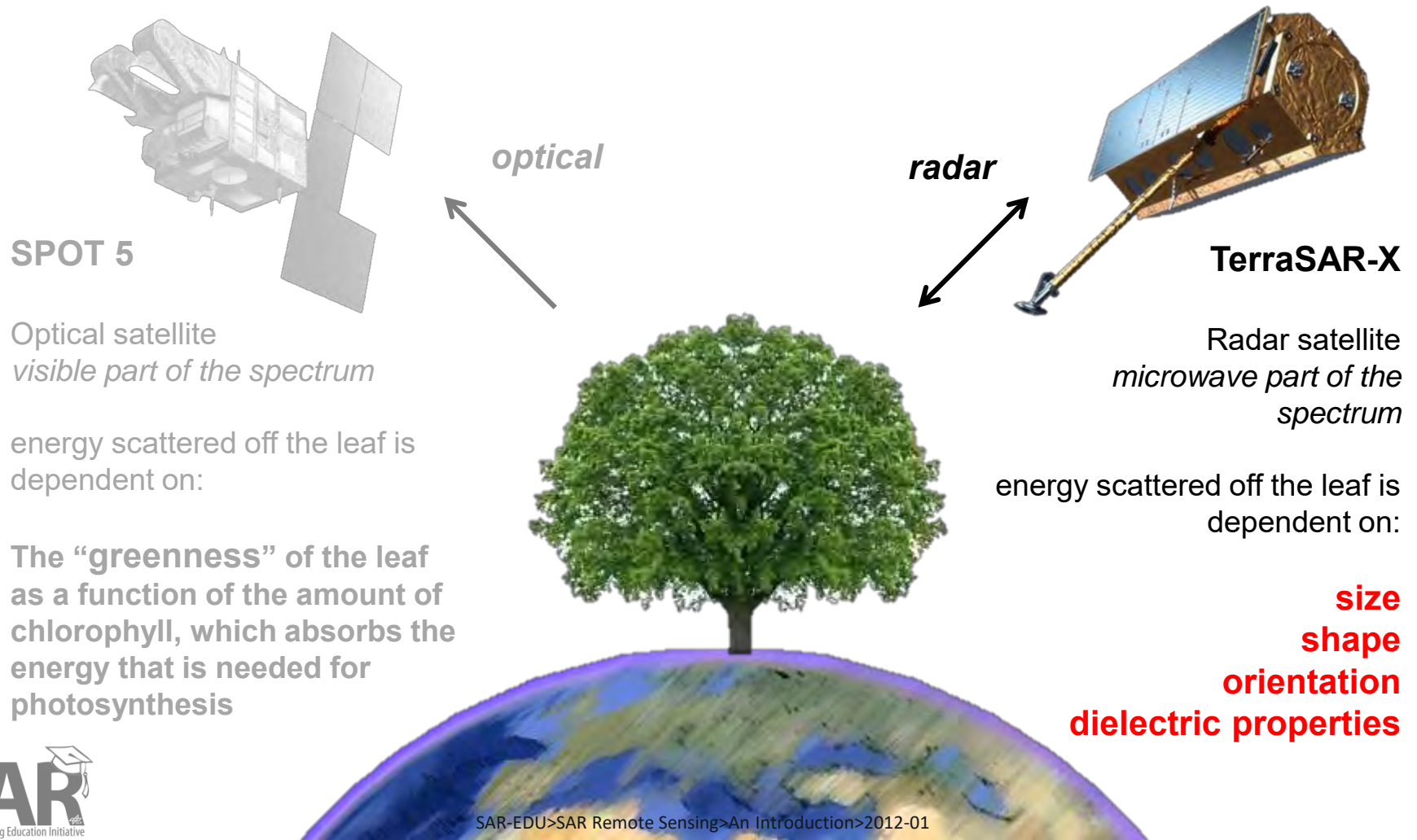
energy scattered off the leaf is  
dependent on:

**size  
shape  
orientation  
dielectric properties**



# What is Remote Sensing/Earth Observation?

## *Interaction with the object*





# Active Radar Remote Sensing

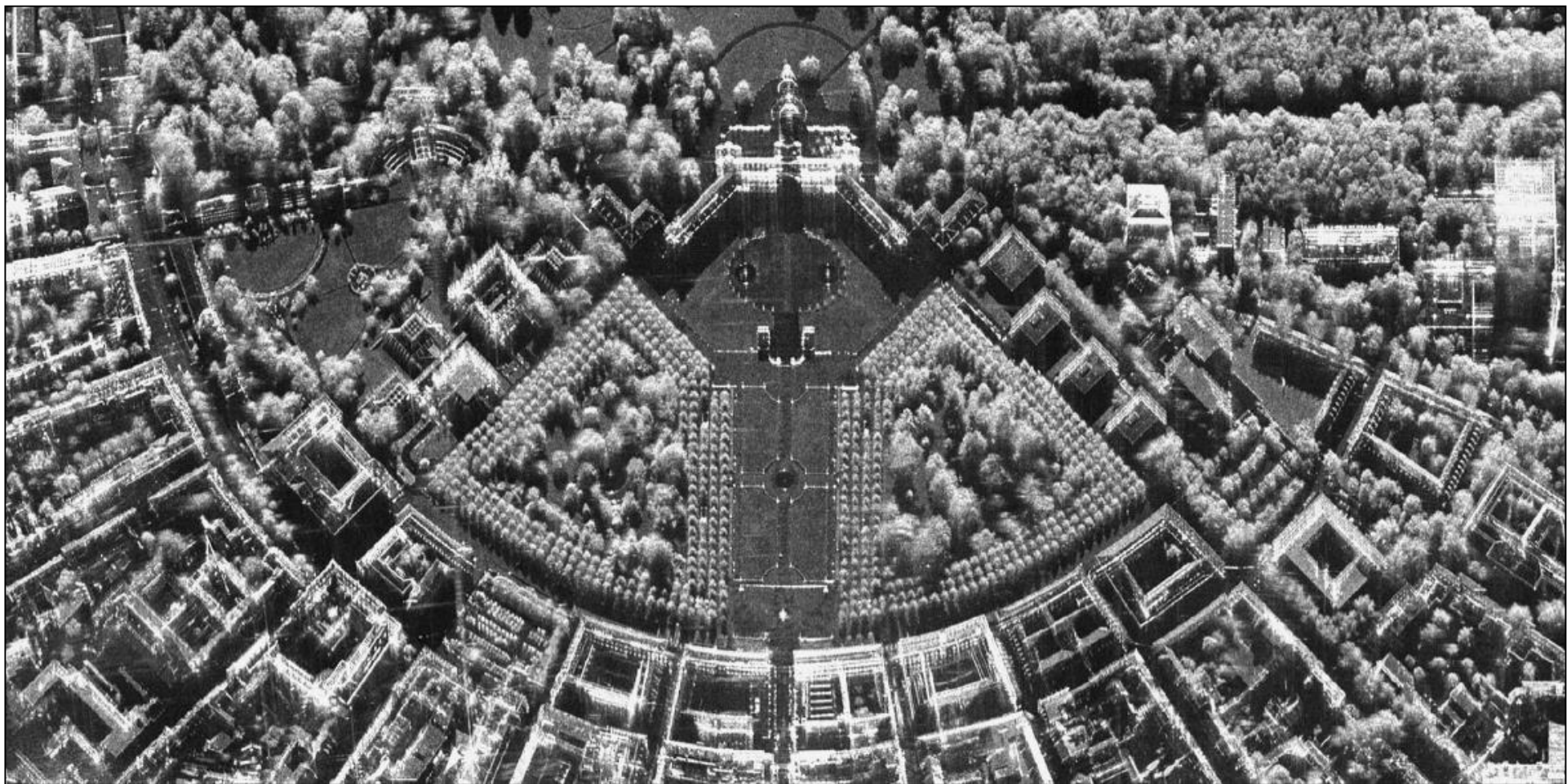
## *Interaction with the object*



The Radar Concept (after ROSEN 2004:o.S.).



# SAR Data Examples



Andreas R. Brenner and Ludwig Roessing, Radar Imaging of Urban Areas by Means of Very High-Resolution SAR and Interferometric SAR, IEEE TRANSACTIONS ON GEOSCIENCE AND REMOTE SENSING, VOL. 46, NO. 10, OCTOBER 2008 (X-band)



Tab 1

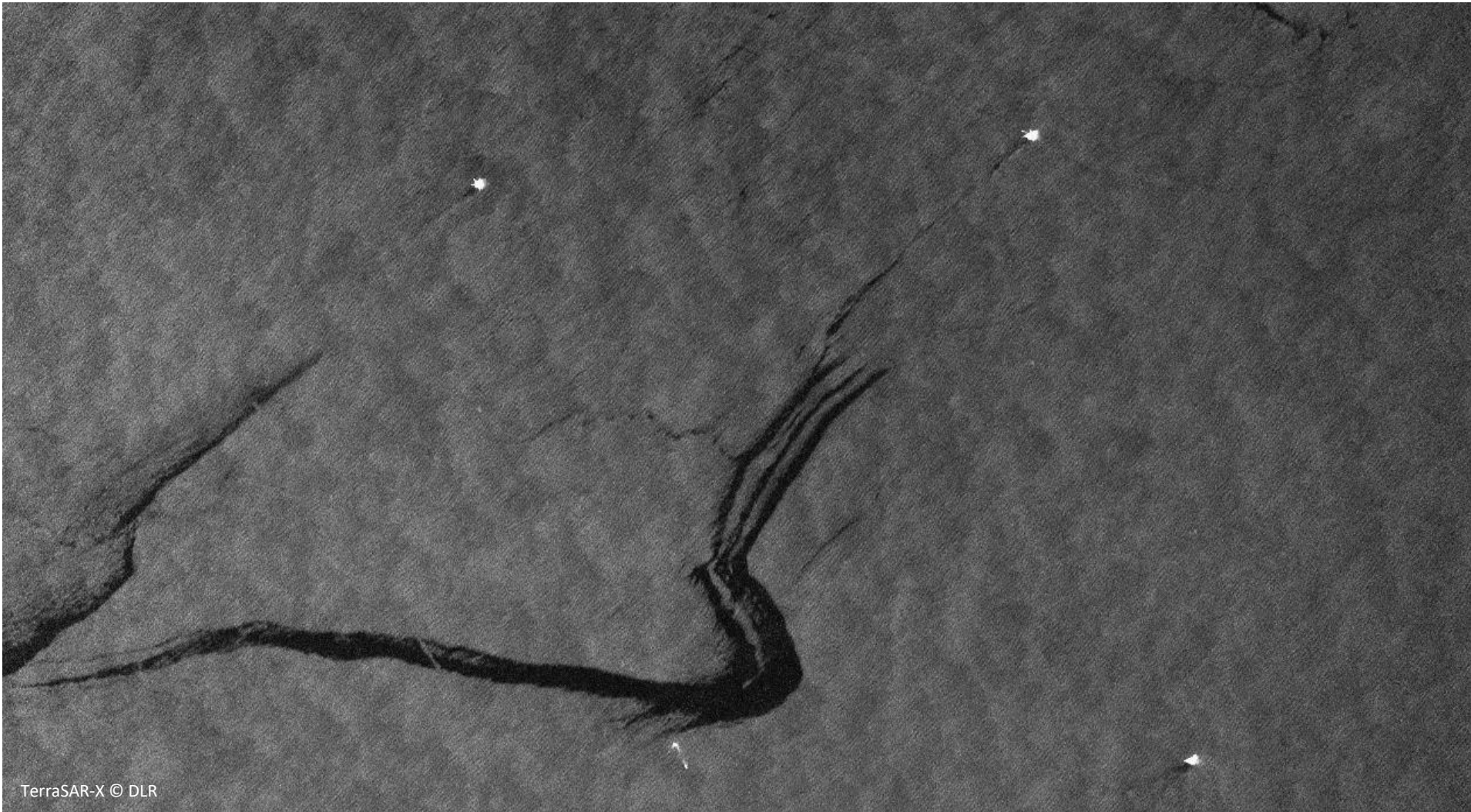
Tab 2

Tab 3

Tab 4

Tab 5

# SAR Data Examples



TerraSAR-X © DLR



# TerraSAR-X Image Examples

## Dubai Development 2007-2008



TerraSAR-X © DLR

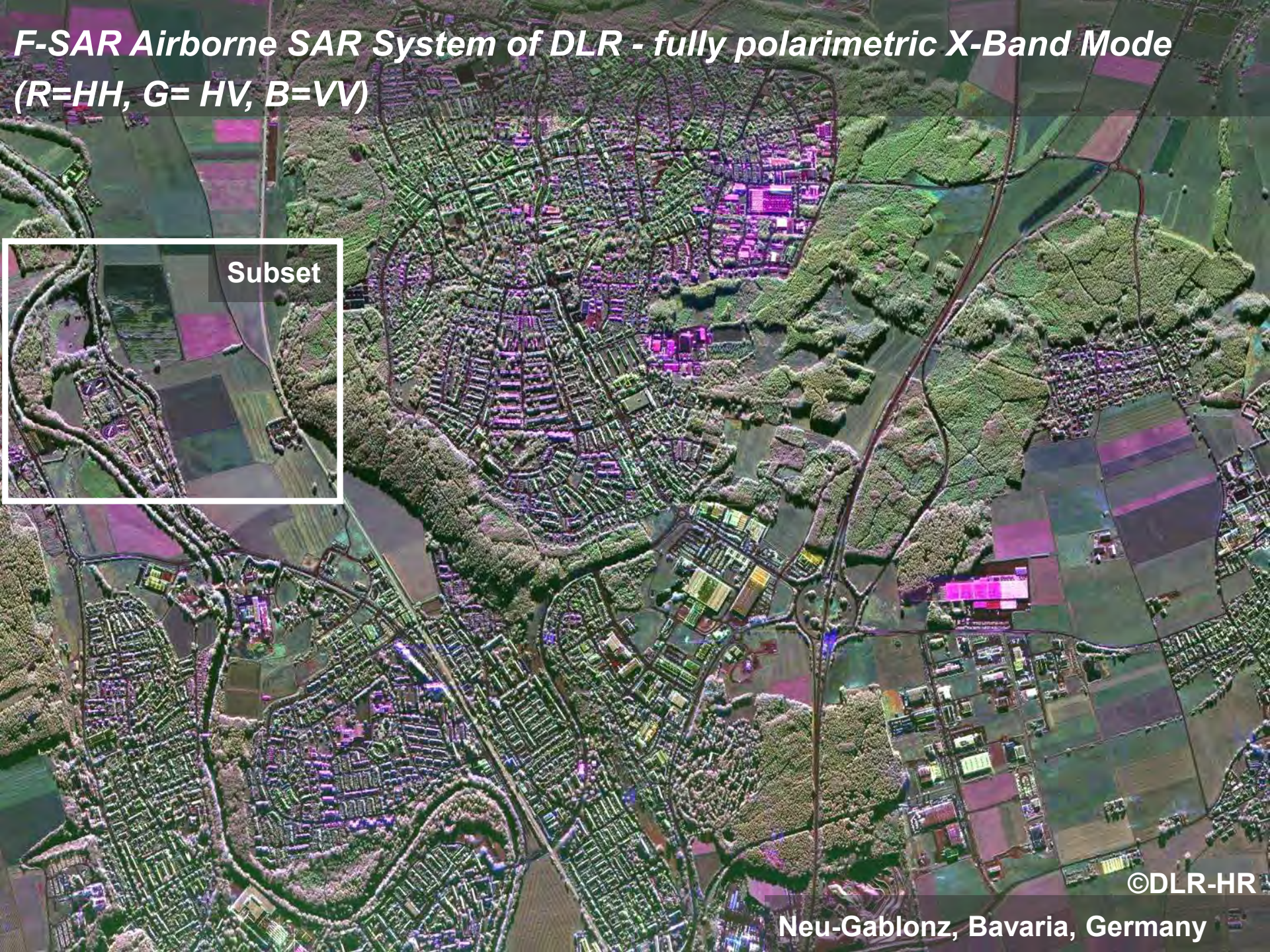


**F-SAR Airborne SAR System of DLR - fully polarimetric X-Band Mode**  
(R=HH, G=HV, B=VV)

Subset

©DLR-HR

Neu-Gablonz, Bavaria, Germany





**Subset of Neu-Gablonz Area - River, Fields and ...**  
**(R=HH, G=HV, B=VV)**

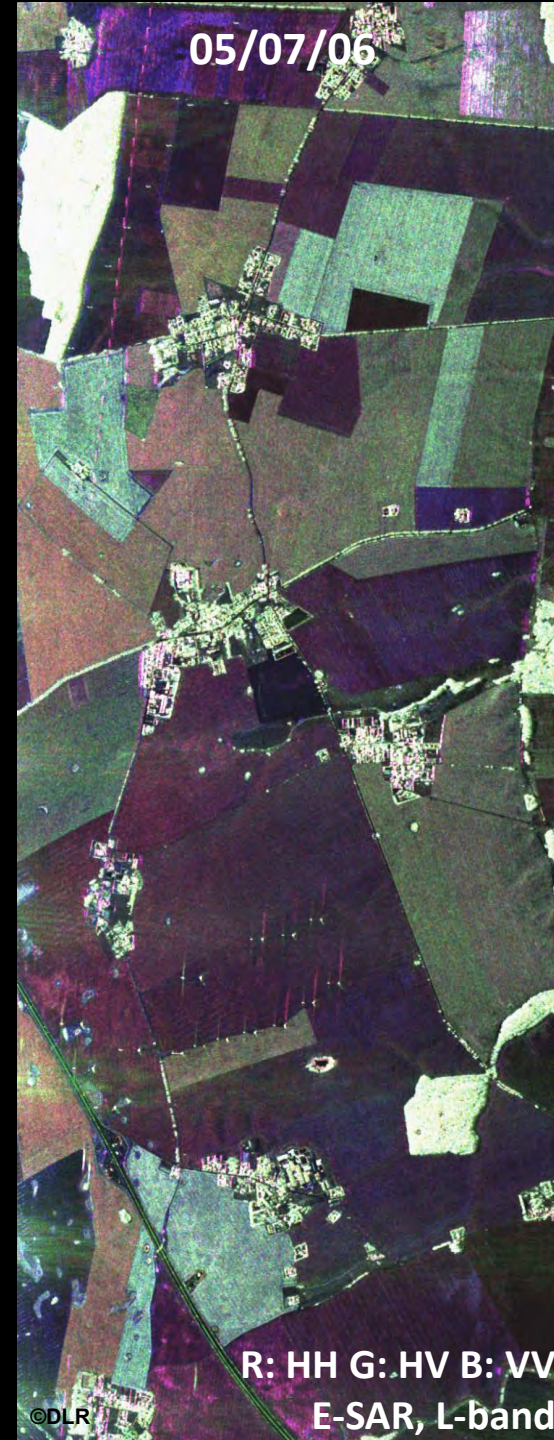
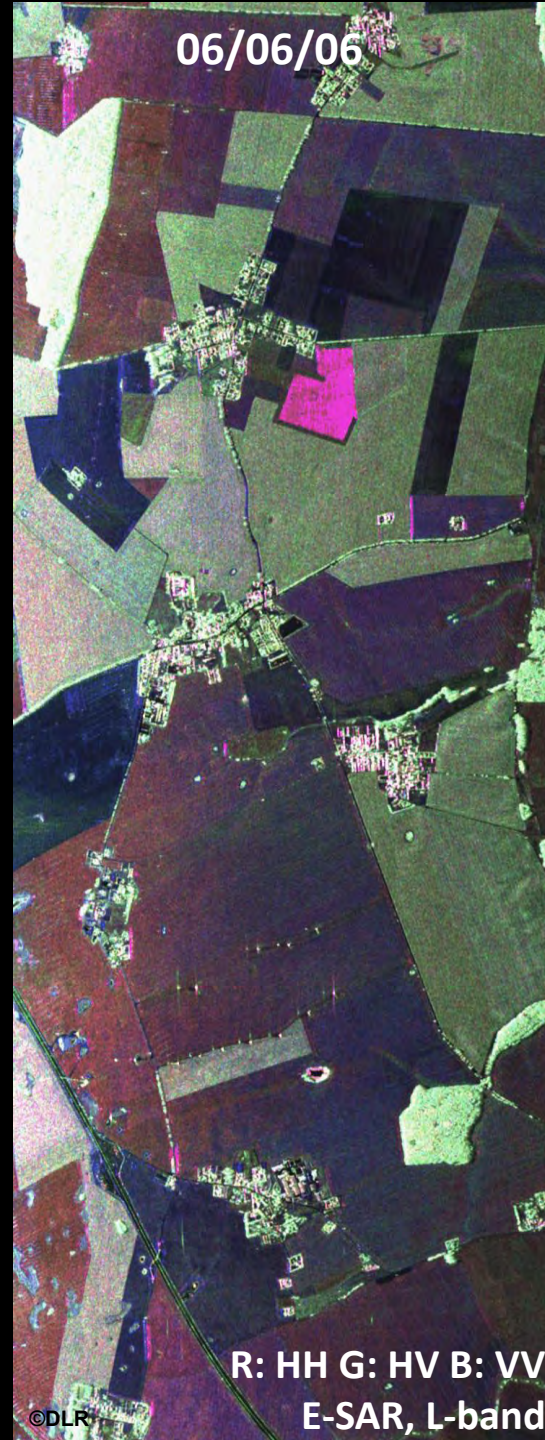
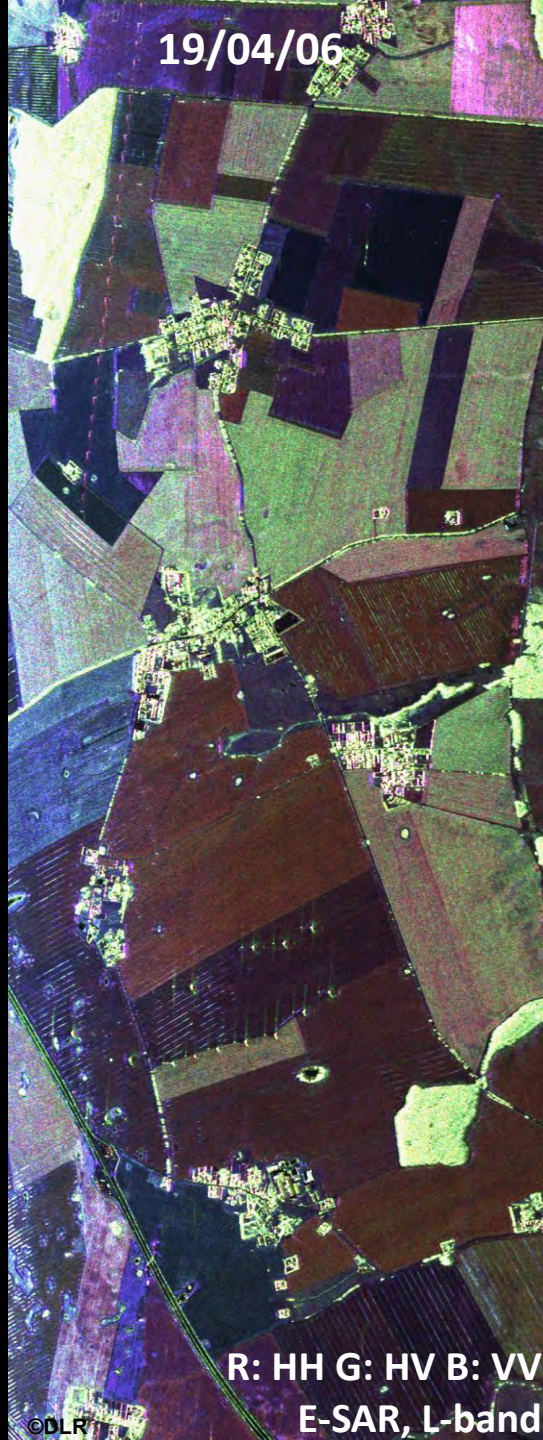


**... a purification plant**

**©DLR-HR**



# Crop monitoring with several observations



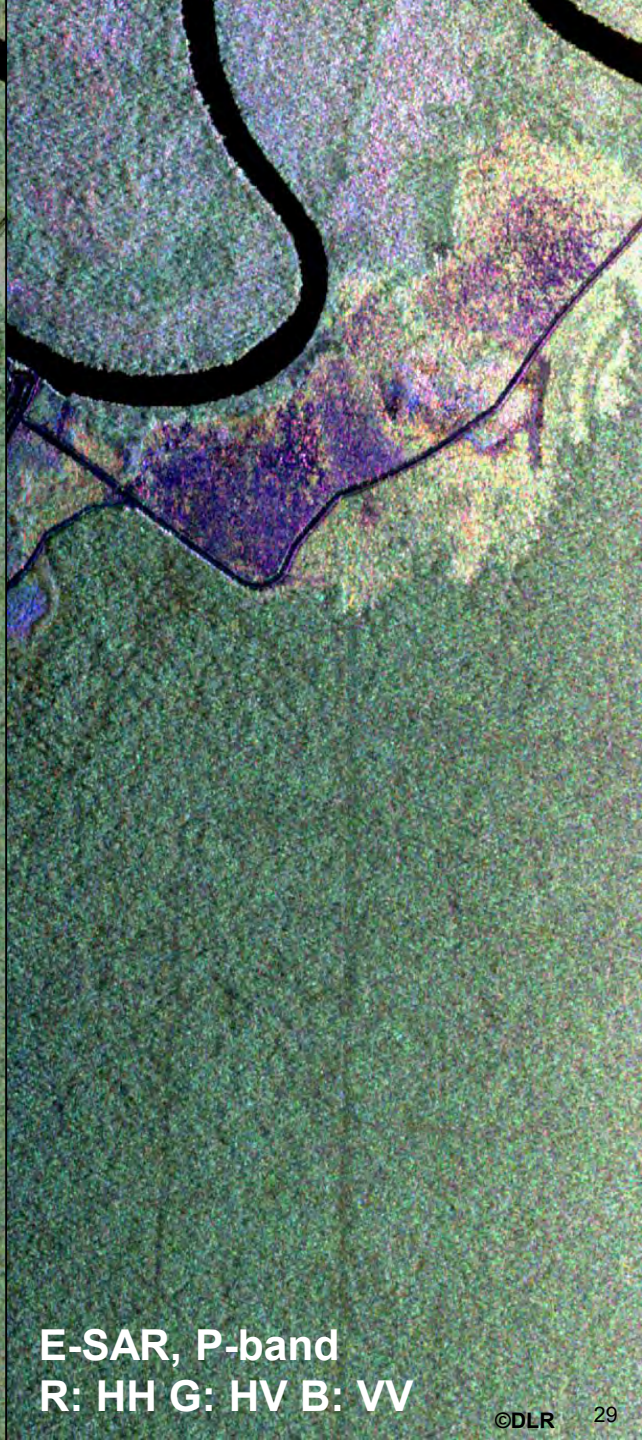




E-SAR, C-band  
R: HH G: HV B: VV



E-SAR, L-band  
R: HH G: HV B: VV

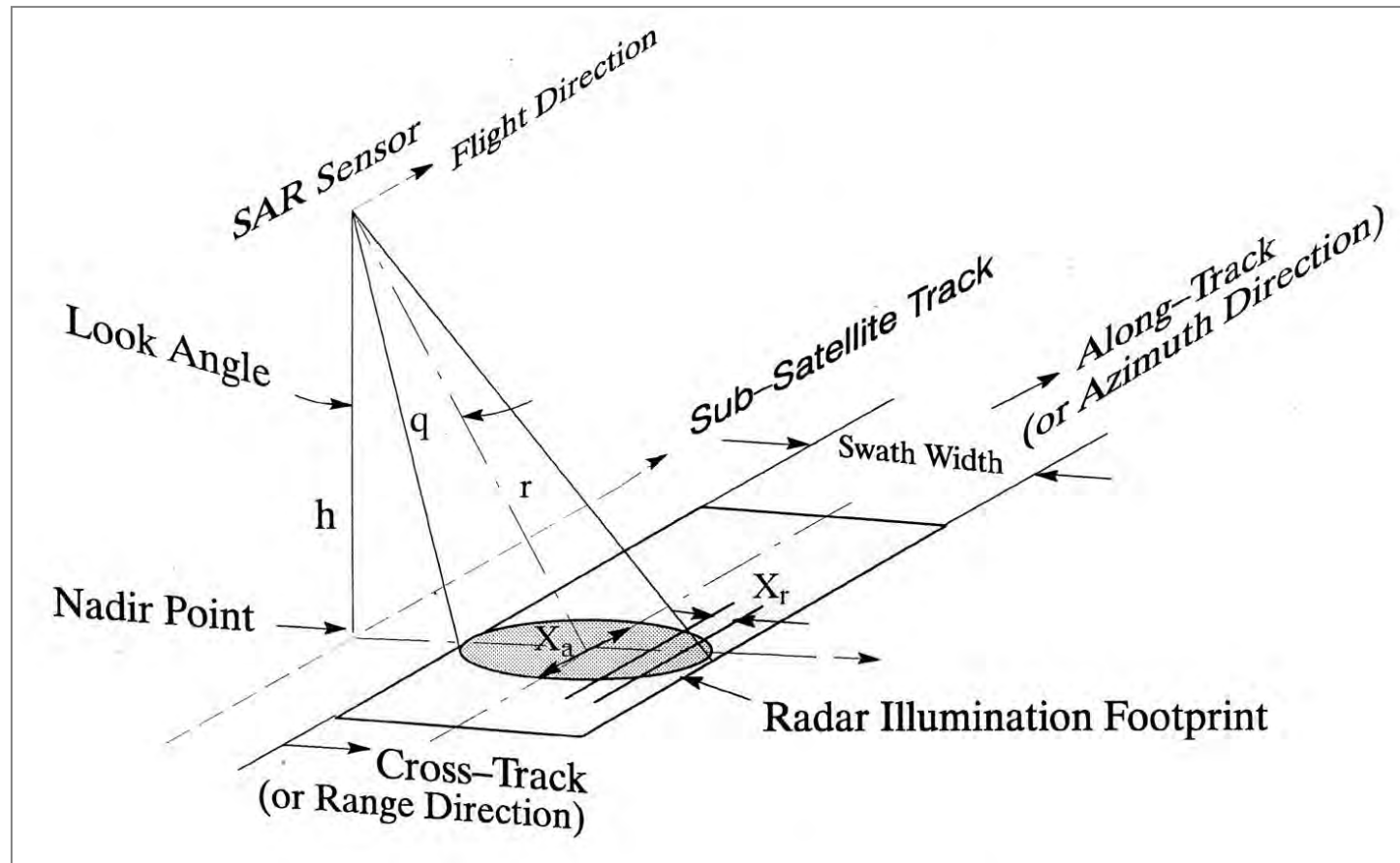


E-SAR, P-band  
R: HH G: HV B: VV



# Active Radar Remote Sensing

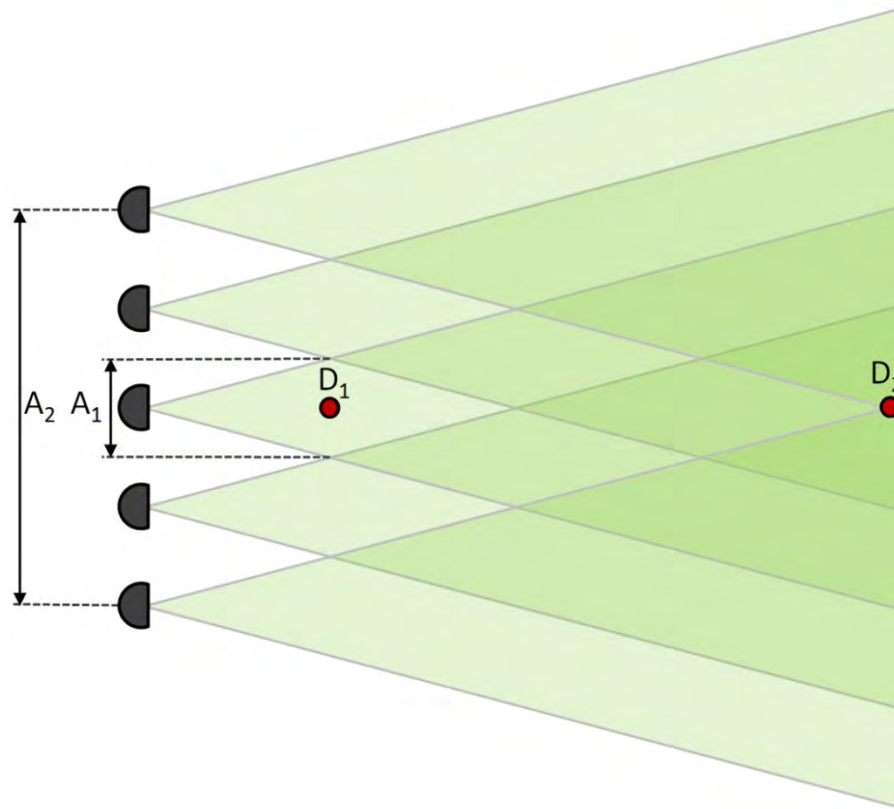
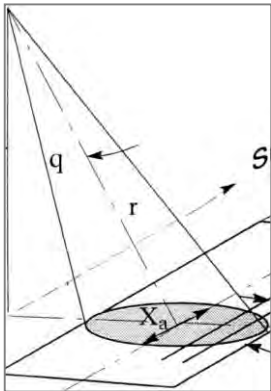
## *Interaction with the object*



Side-looking SAR geometry.

# What is Remote Sensing/Earth Observation?

## *Synthetic Aperture Radar*



Length of synthetic aperture depending on distance between antenna and target

→ Azimuth resolution independent on range distance



# What is Remote Sensing/Earth Observation?

## *Synthetic Aperture Radar*

# Is side looking really necessary?

<http://www.geos.ed.ac.uk/~ihw/hype/radar/intro2radar.html>

# SAR Imaging Geometry

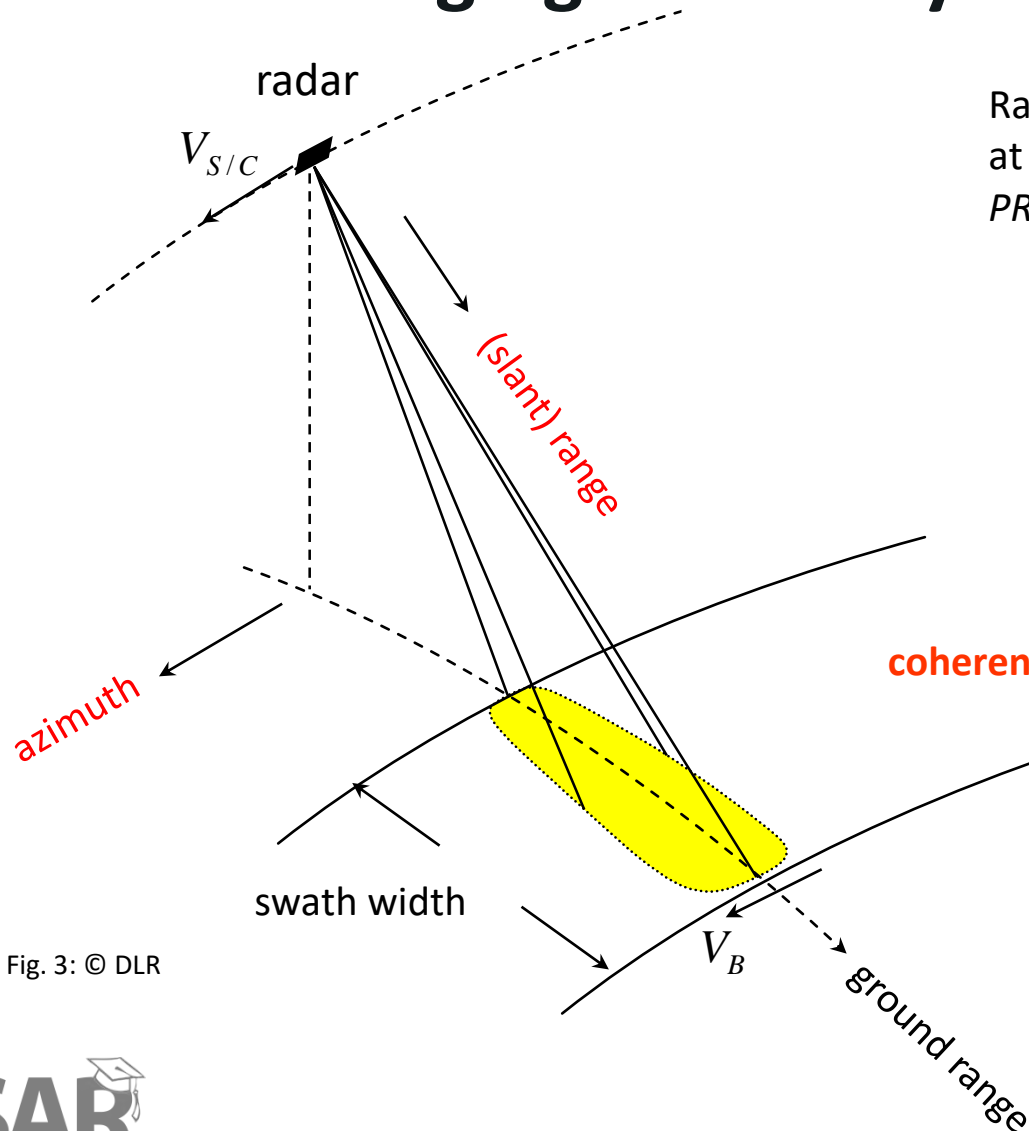


Fig. 3: © DLR

Radar transmits pulses and receives echoes  
at the rate of the pulse repetition frequency:  
 $PRF \cong 1000 - 4000 \text{ Hz}$

**range:** radar principle =  
scanning at speed of light

**azimuth:** scanning in flight direction  
at  $V_B$   
plus aperture synthesis  
(holography)

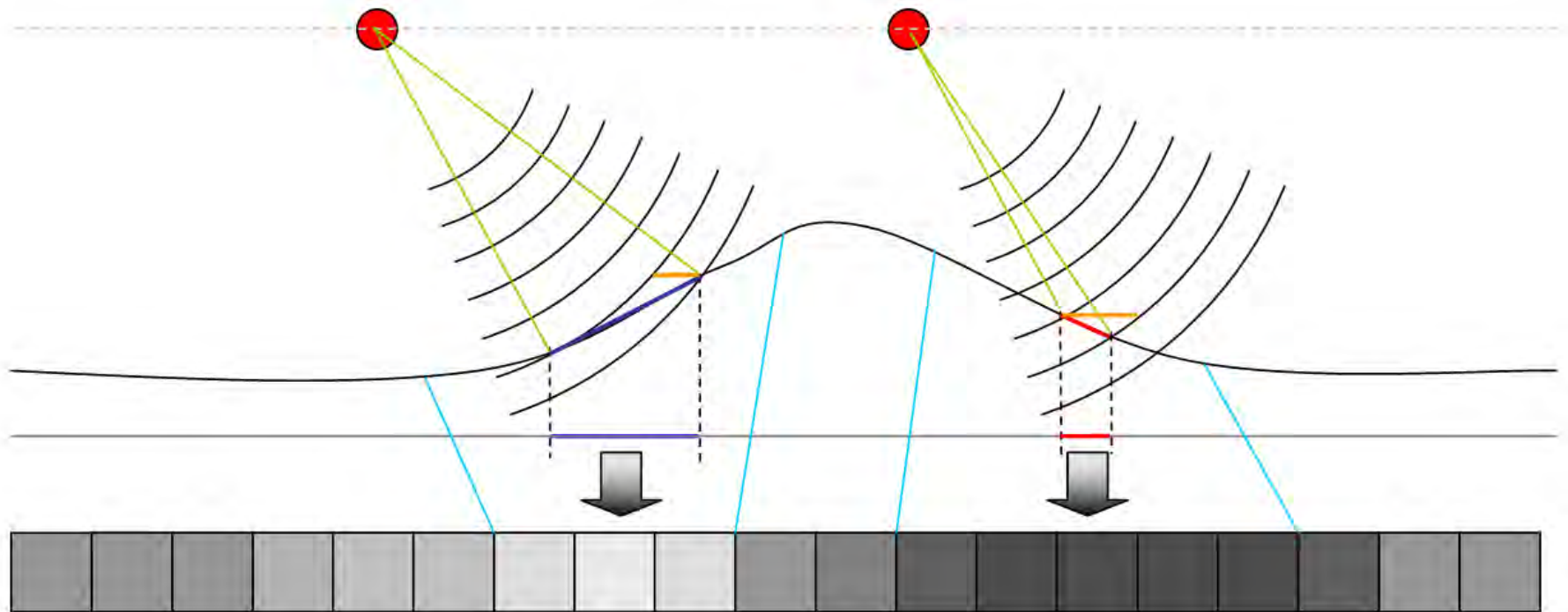
**coherent imaging:** complex-valued pixels  
contain amplitude (brightness)  
and **phase** information

for this lecture: straight flight path

$$\Rightarrow V_{S/C} = V_B = V$$



# Effects of SAR Imaging Geometry



Length of synthetic aperture depending on distance between antenna and target

→ Range resolution dependent on range distance (and topography)

# SAR Image Examples

→ azimuth

↓ range

Sensor: ERS-1

Mojave Desert  
CA, USA

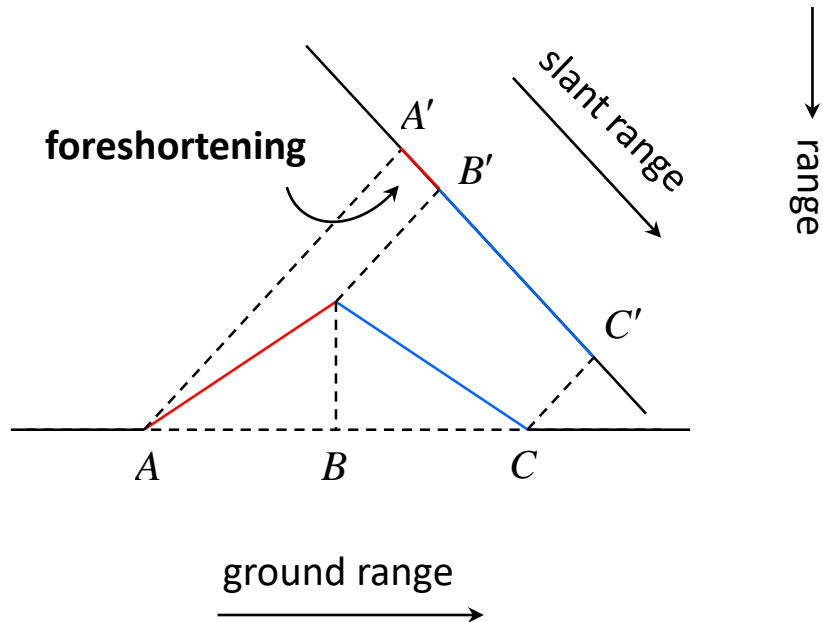
Size  $\approx 40 \text{ km} \times 40 \text{ km}$



ERS-1 © ESA



# Geometry of SAR Images - Foreshortening



→ Slopes oriented to the SAR appear compressed

$$\theta = 23 \text{ deg}$$

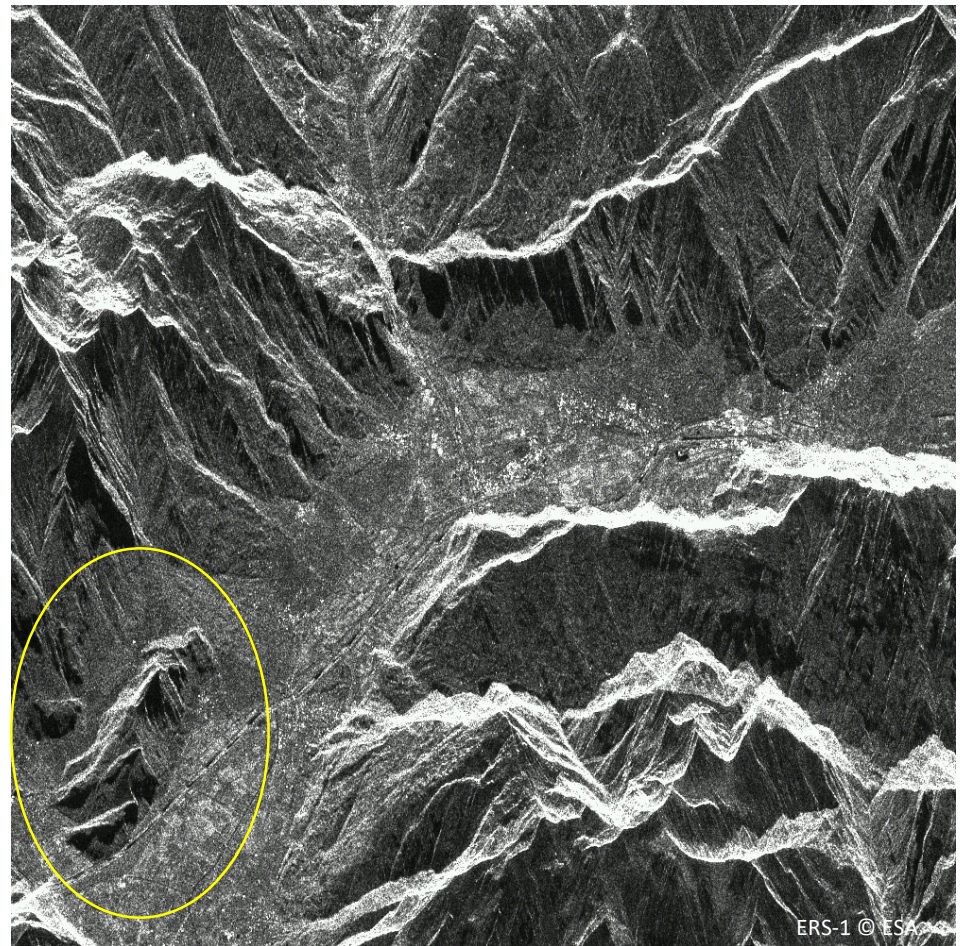
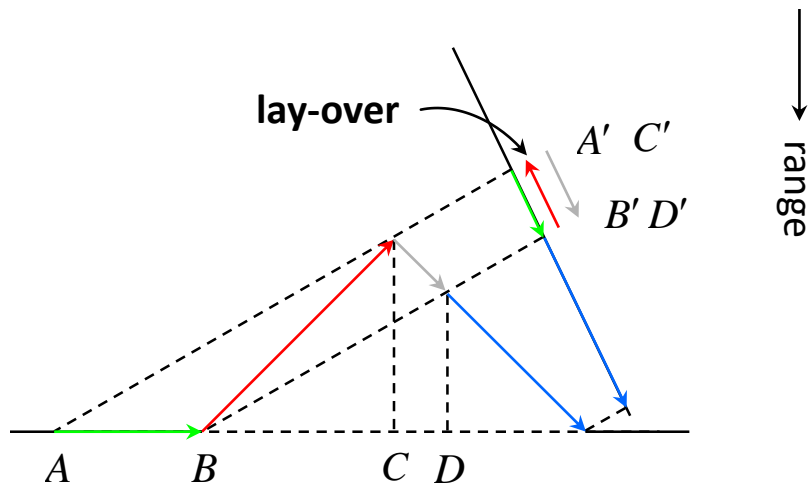


Fig. 33: © DLR

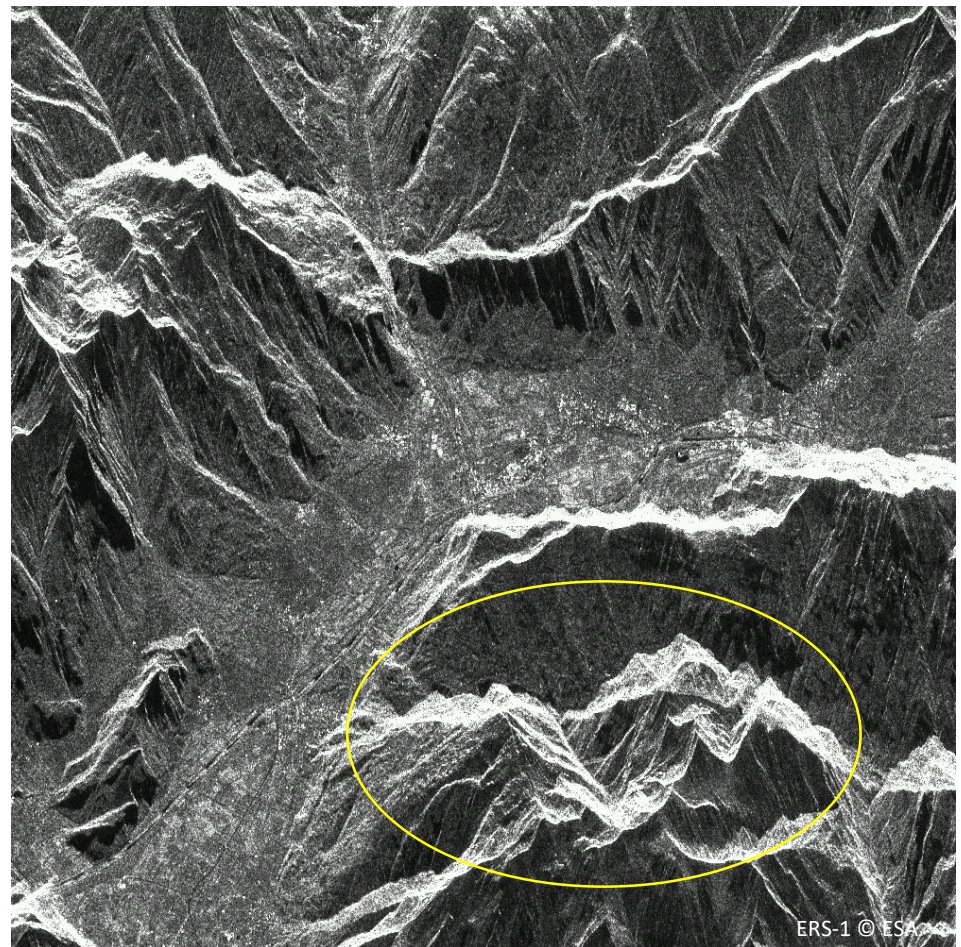


# Geometry of SAR Images - Layover



→ Steep slopes oriented to the SAR lead to ghost images

$$\theta = 23 \text{ deg}$$



ERS-1 © ESA

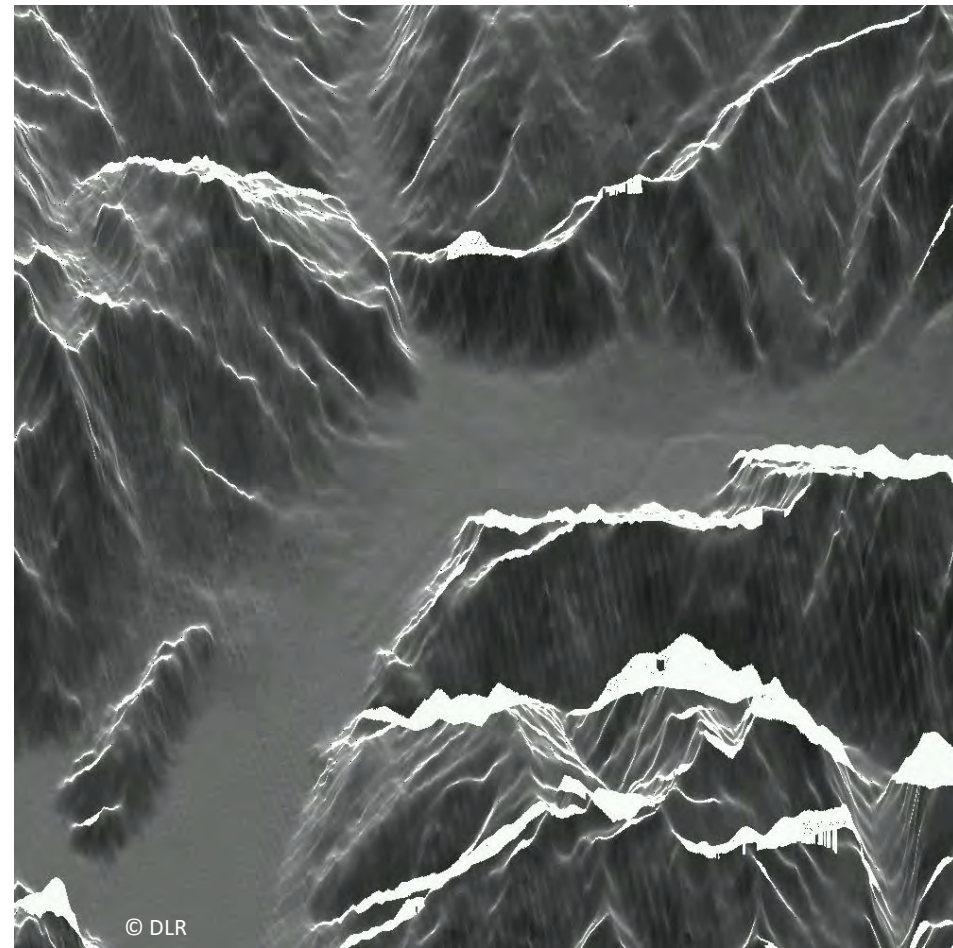
Fig. 34: © DLR



# Layover Mask Computed from DEM



100m DEM



simulated ERS-Image  
white: lay-over



# Geometry of SAR Images - Shadow

→ Steep slopes oriented away from the SAR return no signal

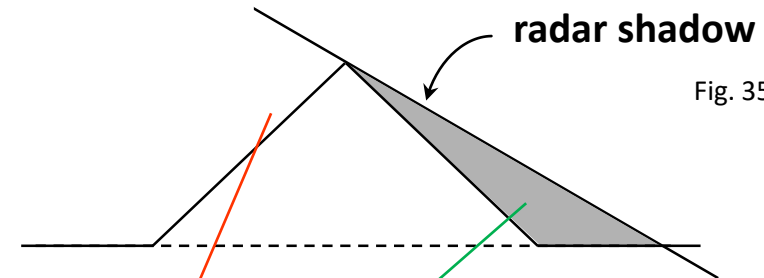
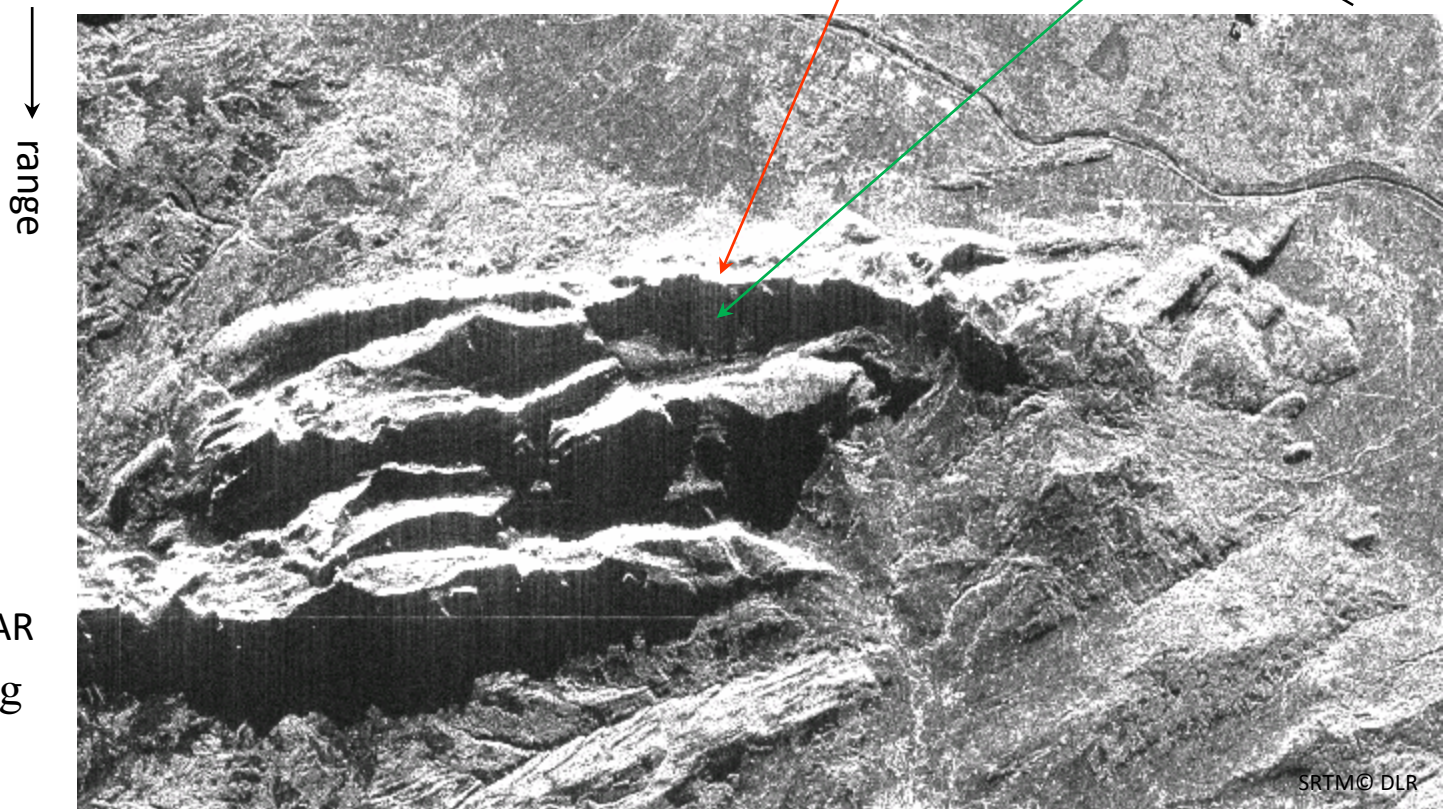


Fig. 35: © DLR



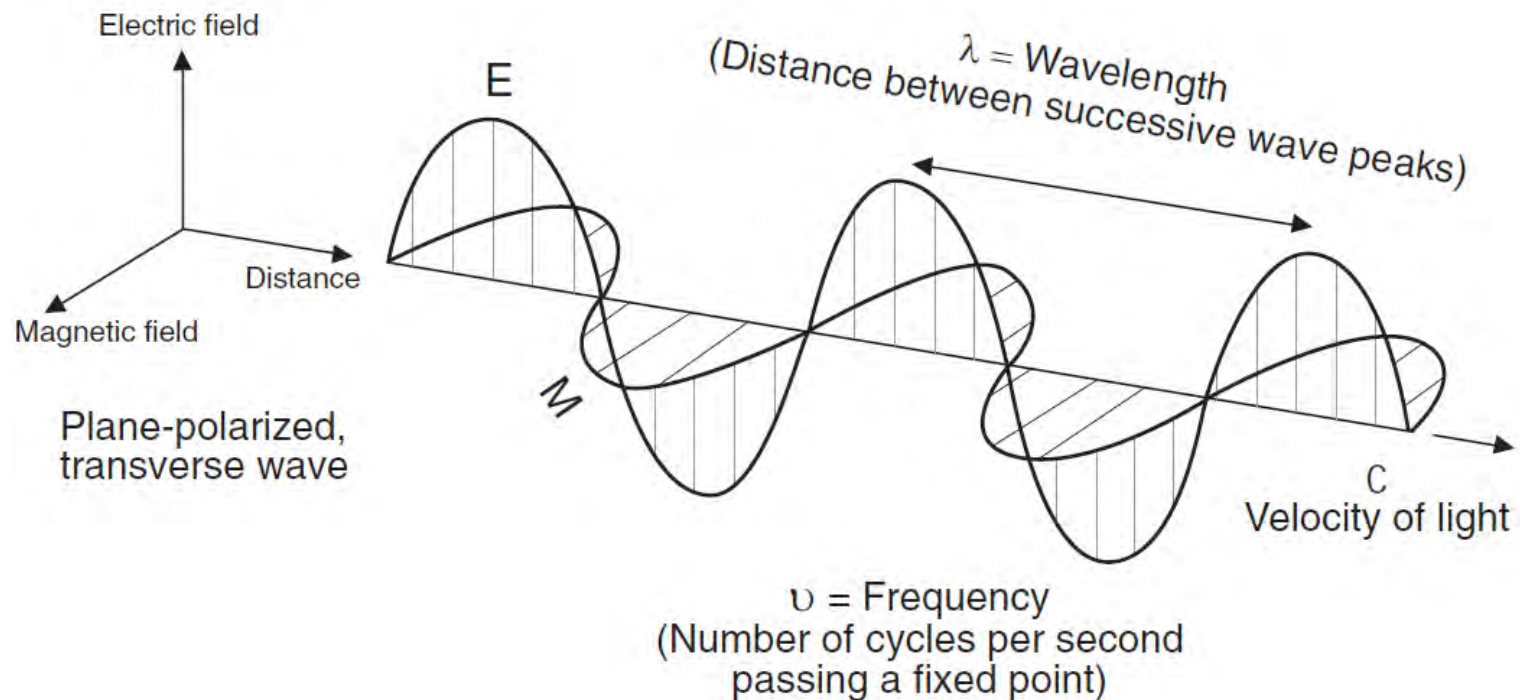


# Active Radar Remote Sensing

## *Interaction with the object*

$$c = \lambda \nu$$

$$c = 3 \times 10^8 \text{ m s}^{-1}$$

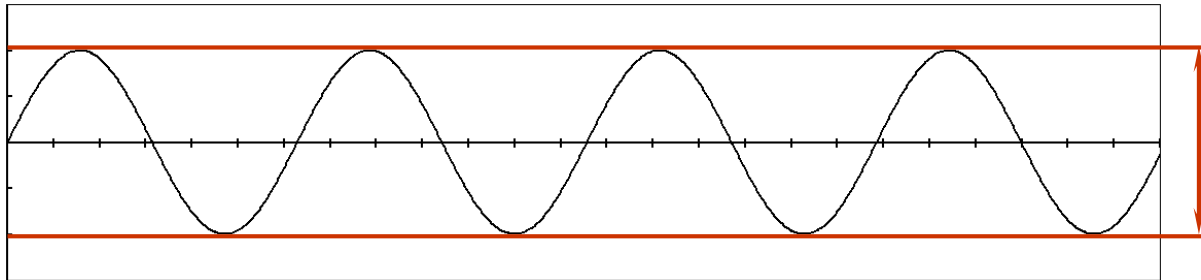


Wave Theory and Polarization  
(David P. Lusch, 1999).

# Active Radar Remote Sensing

## *Measurements of a SAR*

### 1. Amplitude







# Backscattering Coefficient $\sigma_0$

<b><i>Levels of Radar backscatter</i></b>	<b><i>Typical scenario</i></b>
<b><i>Very high backscatter (above -5 dB)</i></b>	<ul style="list-style-type: none"><li>➤ <b><i>Man-Made objects (urban)</i></b></li><li>➤ <b><i>Terrain Slopes towards radar</i></b></li><li>➤ <b><i>very rough surface</i></b></li><li>➤ <b><i>radar looking very steep</i></b></li></ul>
<b><i>High backscatter (-10 dB to 0 dB)</i></b>	<ul style="list-style-type: none"><li>➤ <b><i>rough surface</i></b></li><li>➤ <b><i>dense vegetation (forest)</i></b></li></ul>
<b><i>Moderate backscatter (-20 to -10 dB)</i></b>	<ul style="list-style-type: none"><li>➤ <b><i>medium level of vegetation</i></b></li><li>➤ <b><i>agricultural crops</i></b></li><li>➤ <b><i>moderately rough surfaces</i></b></li></ul>
<b><i>Low backscatter (below -20 dB)</i></b>	<ul style="list-style-type: none"><li>➤ <b><i>smooth surface</i></b></li><li>➤ <b><i>calm water</i></b></li><li>➤ <b><i>road</i></b></li><li>➤ <b><i>very dry soil (sand)</i></b></li></ul>

# Calibration of SAR Systems

- Instrument parameters to be calibrated:
  - transmit power
  - receiver gain
  - elevation antenna pattern (satellite roll angle !)
  
- Calibration objects:
  - corner reflectors
  - active radar calibrators (ARCs)
  - rain forest



# Parameters Influencing Radar Brightness

## ➤ Sensor Parameters

- wavelength (e.g. penetration through canopy)
- polarization
- look angle
- resolution (texture)

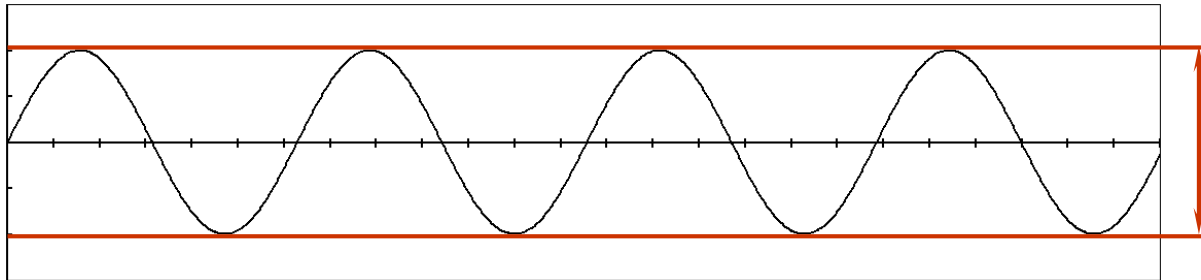
## ➤ Scene Parameters

- surface roughness (e.g. Bragg scattering at ocean surfaces)
- local slope and orientation  $\Leftarrow$  geomorphology
- scatterer density, e.g. biomass, leaf density
- 3-D distribution of scatterers and scattering mechanism, e.g. surface, volume, or double bounce (canopy, trunks, buildings)
- dielectric constant  $\epsilon$   $\Leftarrow$  scattering material
  - soil moisture
  - vegetation status

# Active Radar Remote Sensing

## *Measurements of a SAR*

### 1. Amplitude

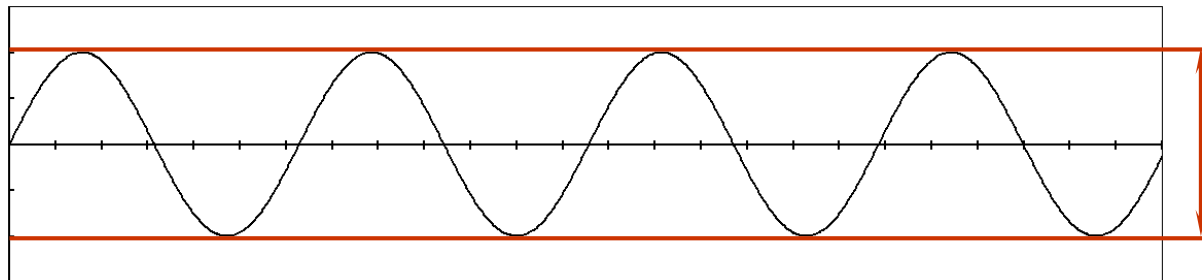




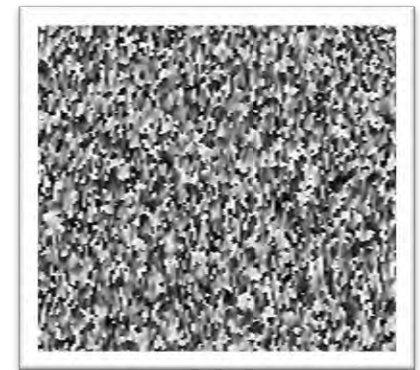
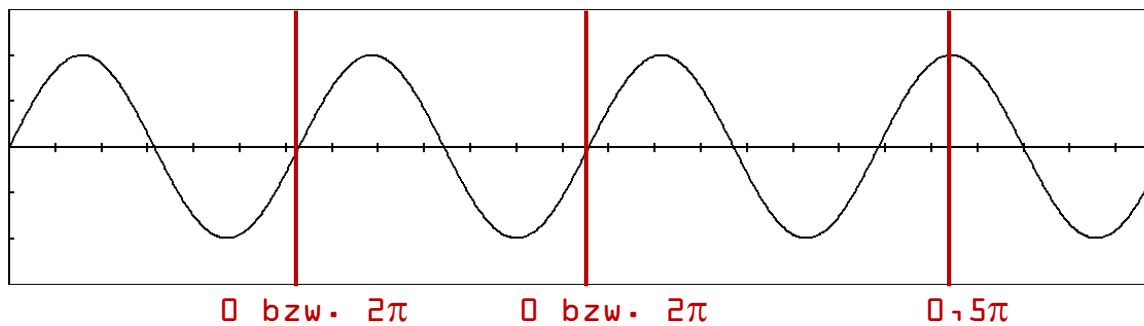
# Active Radar Remote Sensing

## *Measurements of a SAR*

### 1. Amplitude



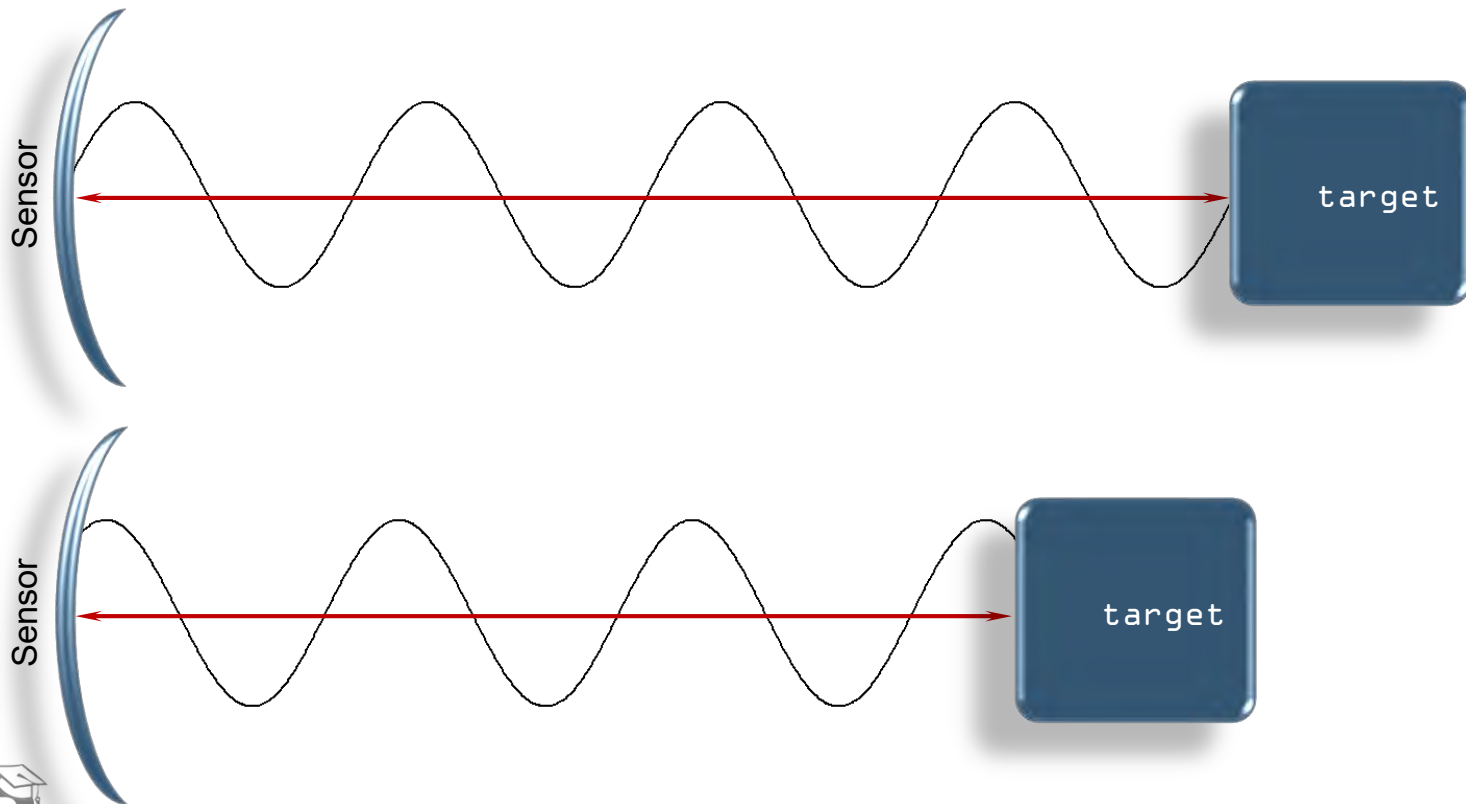
### 2. Phase $[0, 2\pi]$



# Active Radar Remote Sensing

*Phase depends on:*

1. Distance between sensor und target

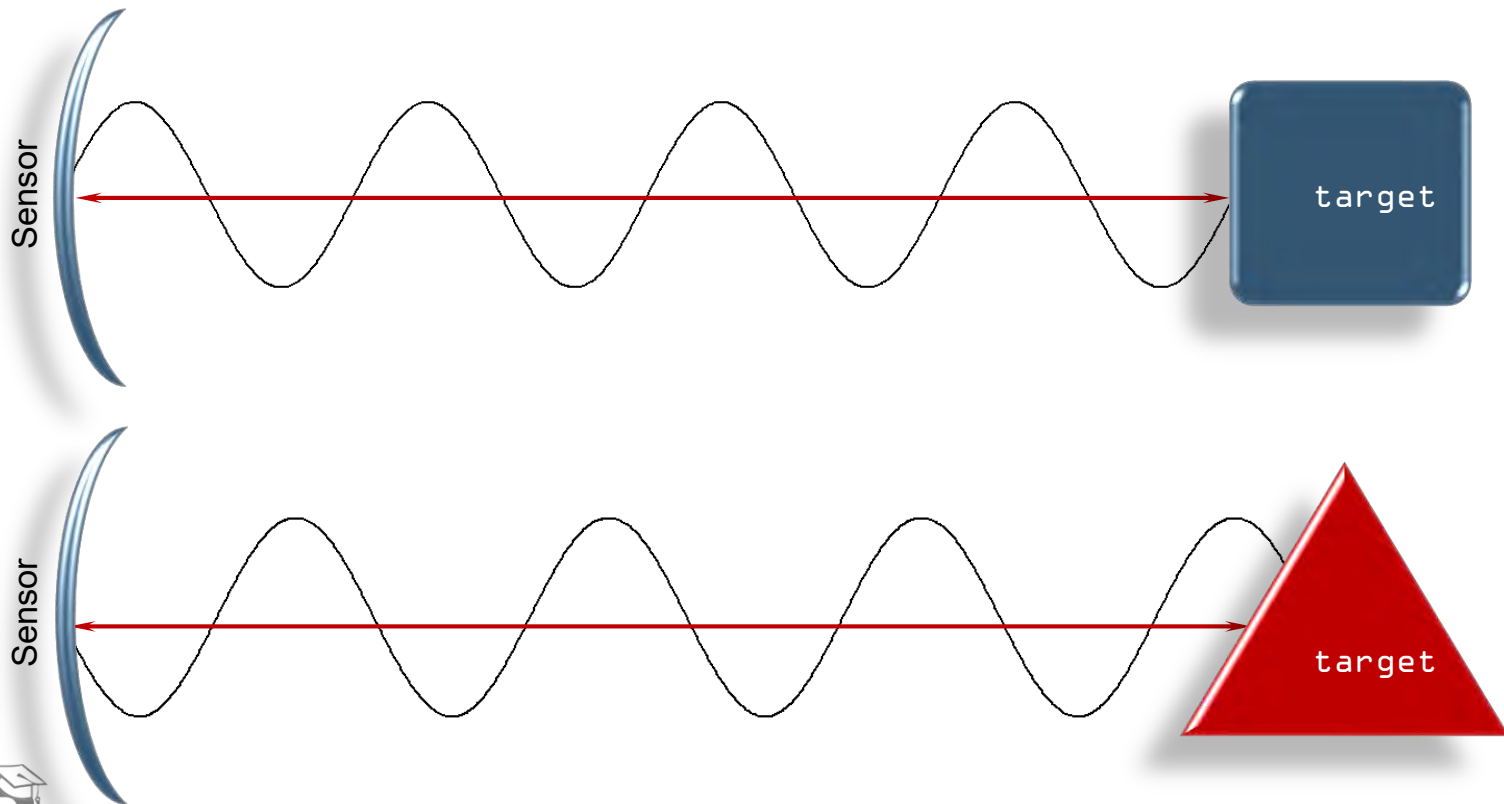




# Active Radar Remote Sensing

*Phase depends on:*

## 2. Characteristics of target



# Corner Reflectors for SAR End-to-End Calibration



radar cross section of  
a trihedral corner reflector:

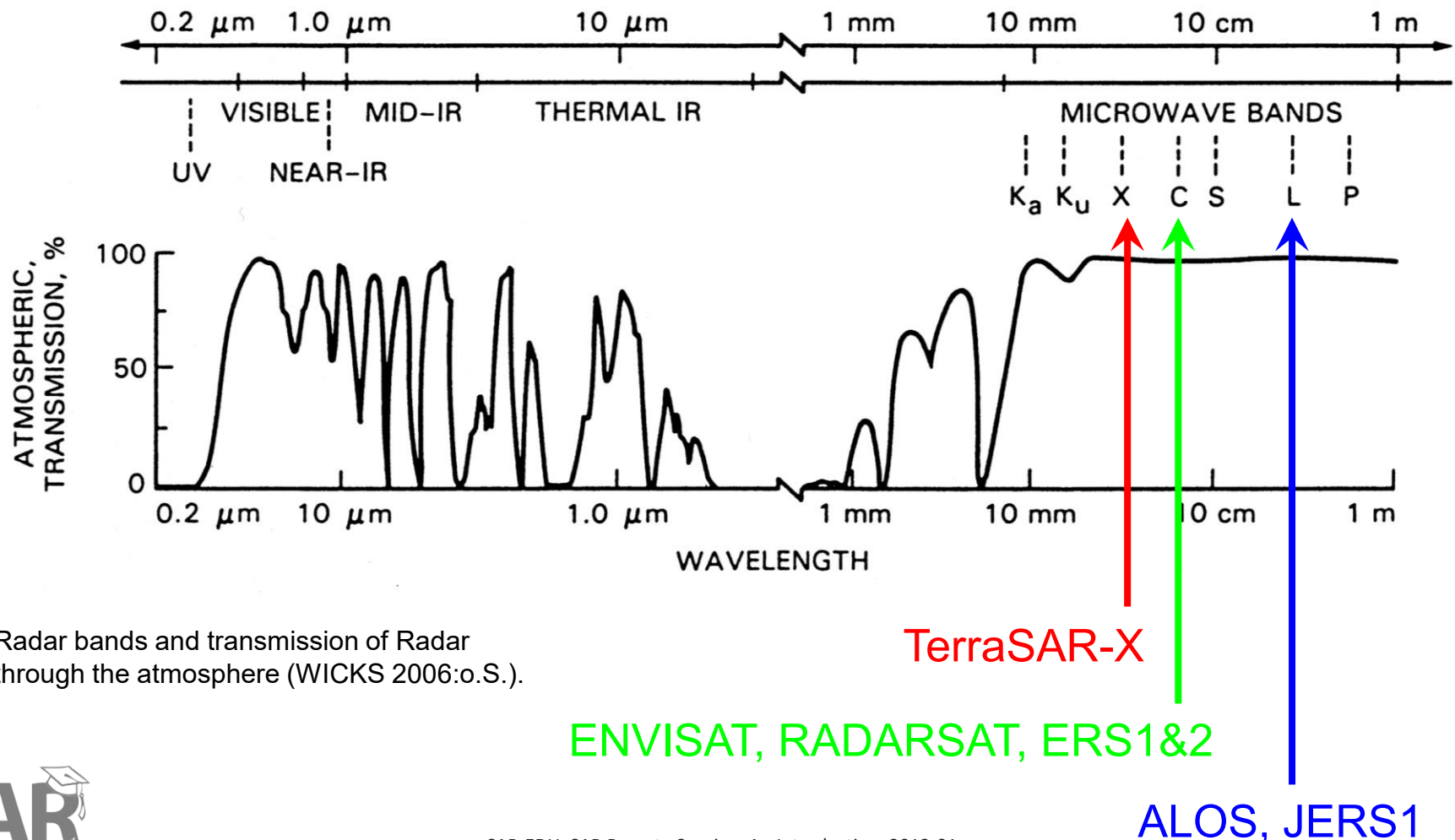
$$\sigma = \frac{4\pi L^4}{3\lambda^2} \quad [m^2]$$





# Active Radar Remote Sensing

## Interaction with the object



Radar bands and transmission of Radar through the atmosphere (WICKS 2006:o.S.).

# Synthetic Aperture Radar - SAR

- active                    ⇒            independent of sun illumination
- microwave            ⇒            penetrates clouds and (partially) canopy, soil, snow
  - wavelengths:            X-band: 3 cm
  - C-band: 6 cm
  - L-band: 23 cm
- coherent              ⇒            interferometry, speckle
- polarization can be exploited
- spatial resolution:            space-borne: 0.5 m - 100 m (TerraSAR-X: ≈1 m)  
  air-borne: > 0.2 m



# Penetration of Microwaves

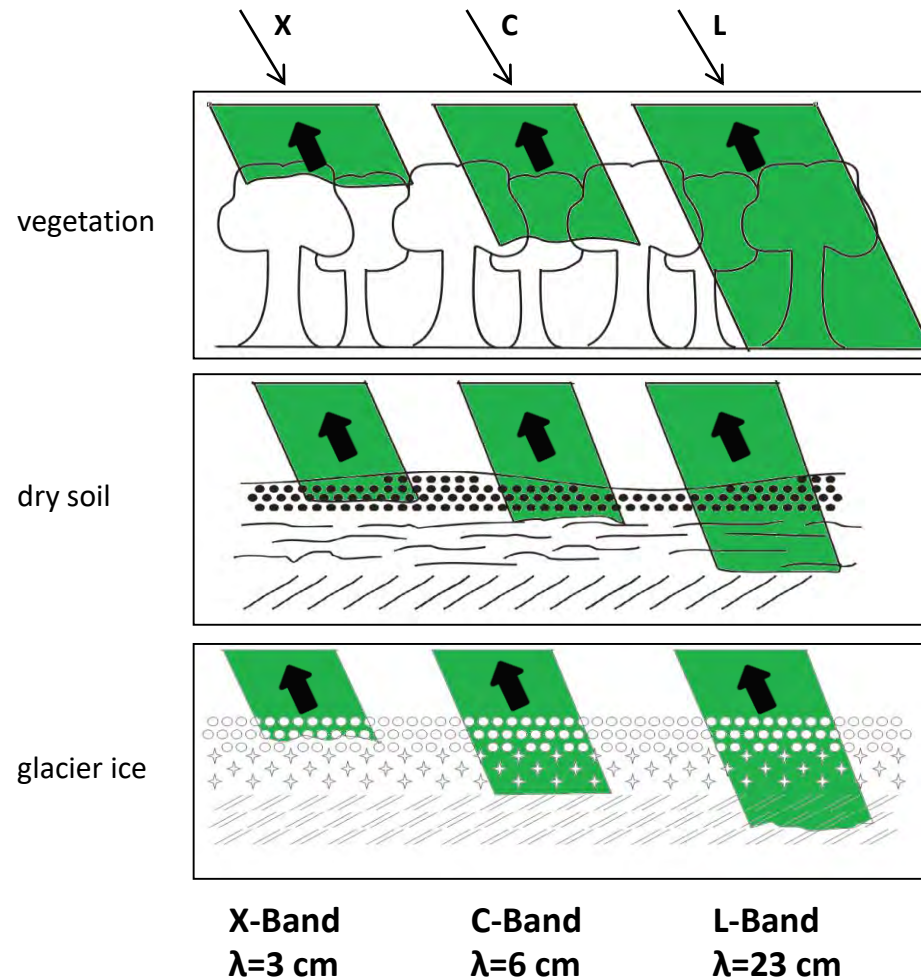


Fig. 30: © DLR

# Scattering Mechanisms in Forests

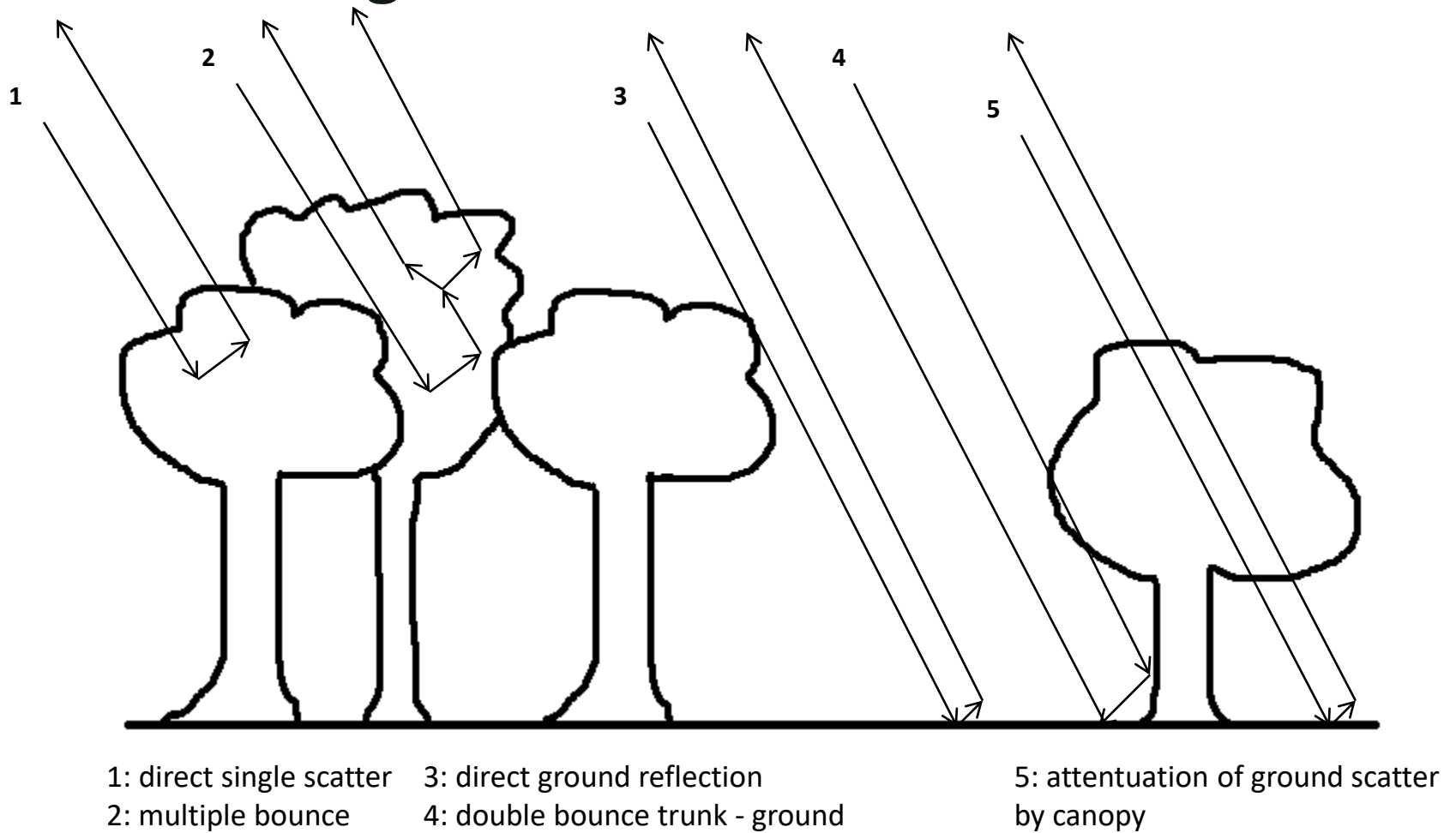
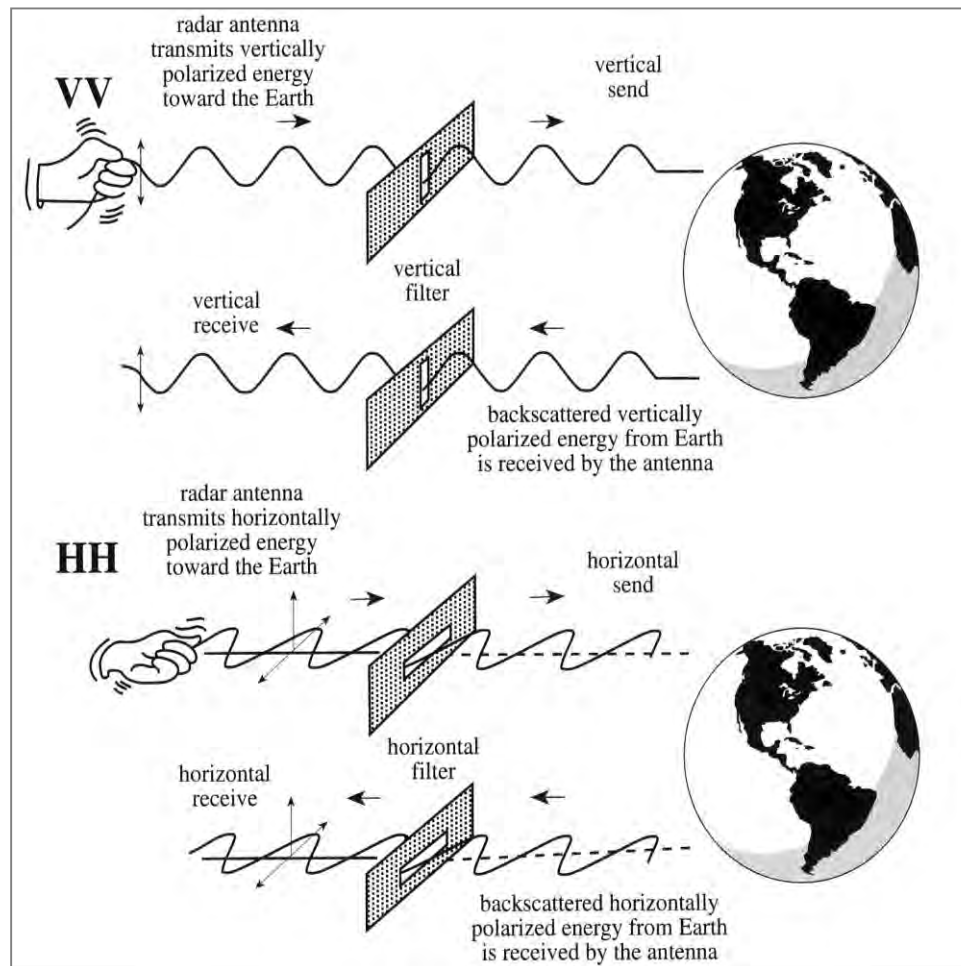


Fig. 31: © DLR



## Use of polarized waves



Polarisation (Jensen, 2000).

Tab 1

Tab 2

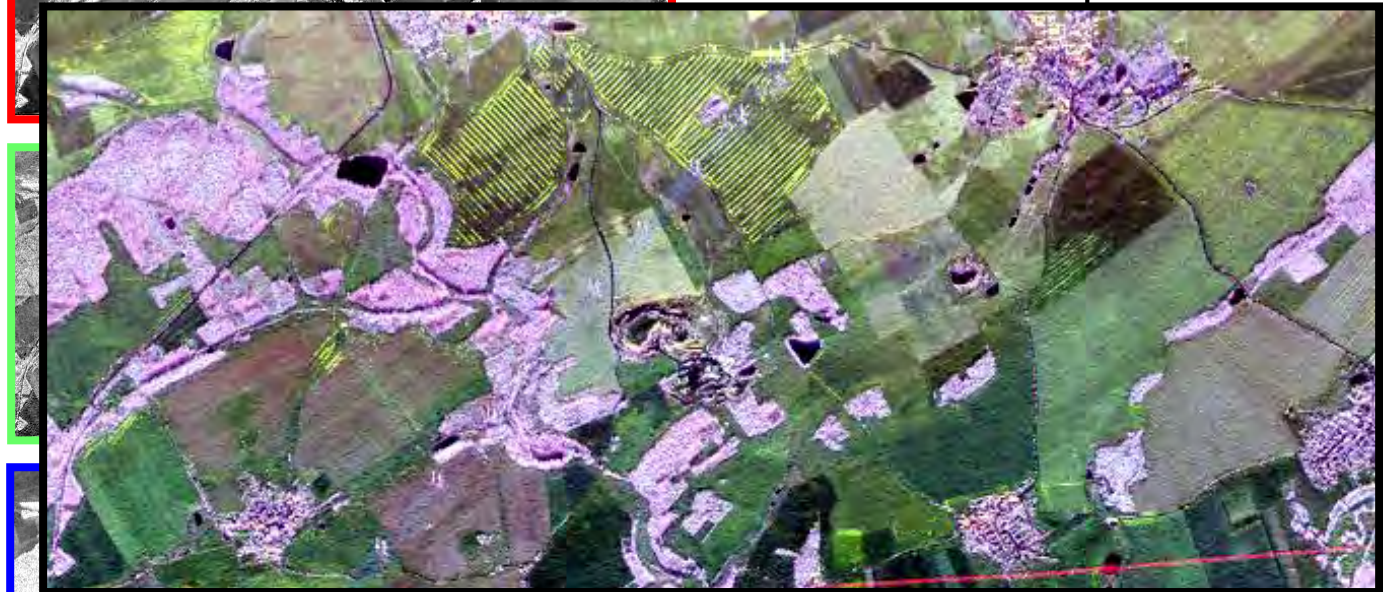
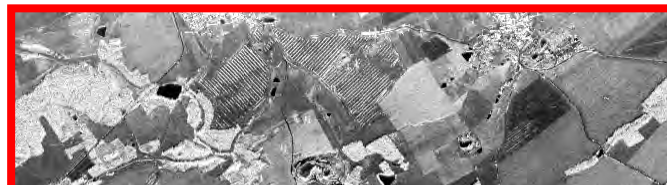
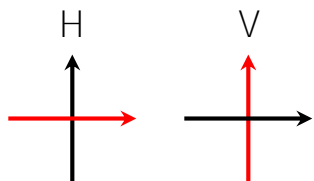
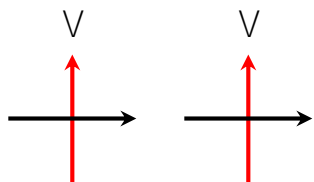
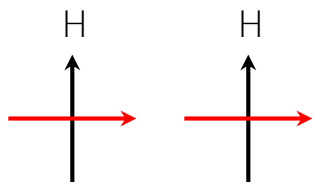
Tab 3

Tab 4

Tab 5

# *Use of polarized waves*

Sender Receiver





Tab 1

Tab 2

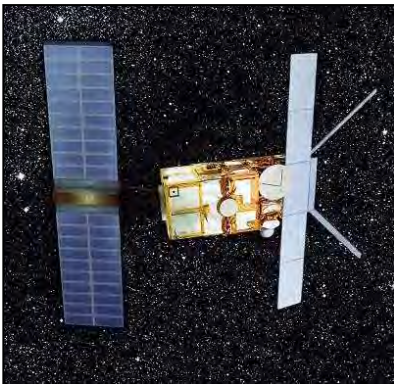
Tab 3

Tab 4

Tab 5

# Active Radar Remote Sensing

## *Examples of satellite based radar sensors*



ERS-1, 2



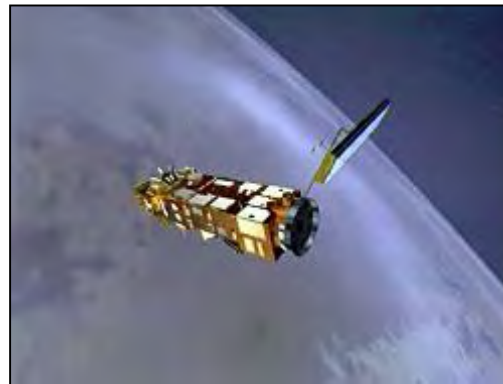
JERS-1



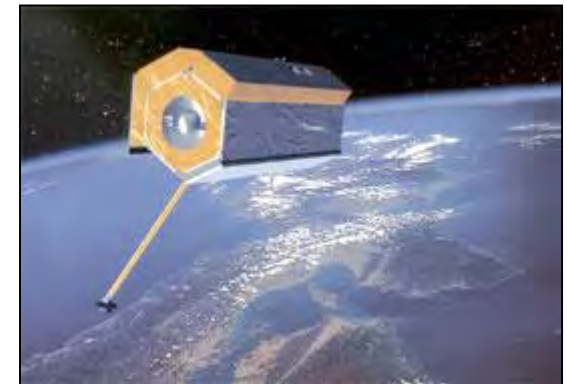
Radarsat 1, 2



ALOS (PALSAR)



Envisat (ASAR)



TerraSAR-X

Tab 1

Tab 2

Tab 3

Tab 4

Tab 5

# Active Radar Remote Sensing

*Examples of satellite based radar sensors*



Sentinel-1A



Tab 1

Tab 2

Tab 3

Tab 4

Tab 5



Seasat

SIR-A

SIR-B

SIR-C / X-SAR

ERS-1

ERS-2

ENVISAT

JERS

ALOS

RADARSAT-1

RADARSAT-2

SRTM

TerraSAR-X

TanDEM-X

COSMO SkyMed

Sentinel-1

ALOS-2

TanDEM-L



# Active Radar Remote Sensing

## *A brief history of Microwaves*

1976 1978 1980 1982 1984 1986 1988 1990 1992 1994 1996 1998 2000 2002 2004 2006 2008 2010 2012 2014

# Current and Future Civil Spaceborne SARs

satellite	owner	band	resolution	look angle	swath	lifetime
ERS-1	ESA	C	25 m	23°	100 km	1991-2000
ERS-2	ESA	C	25 m	23°	100 km	1995-2012
Radarsat-1	Canada	C	10 m - 100 m	20° - 59°	50 - 500 km	1995-2013
ENVISAT	ESA	C	25 m - 1 km	15° - 40°	100 - 400 km	2002-2012
ALOS	Japan	L	10 m - 100 m	35° - 41°	70 - 360 km	2006-2011
Cosmo	Italy	X	ca. 1 m - 16 m	...	...	2007-
TerraSAR-X & TanDEM-X	Germany	X	1 m - 16 m	15° - 60°	10 - 100 km	2007/2010-
Radarsat-2	Canada	C	3 m - 100 m	15° - 59°	10 - 500 km	2007-
ALOS-2	Japan	L	3 m - 100 m	8° - 70°	25 - 350 km	2014-
Sentinel-1	ESA	C	5 m - 50 m	20° - 46°	20 - 400 km	2014-



Tab 1

Tab 2

Tab 3

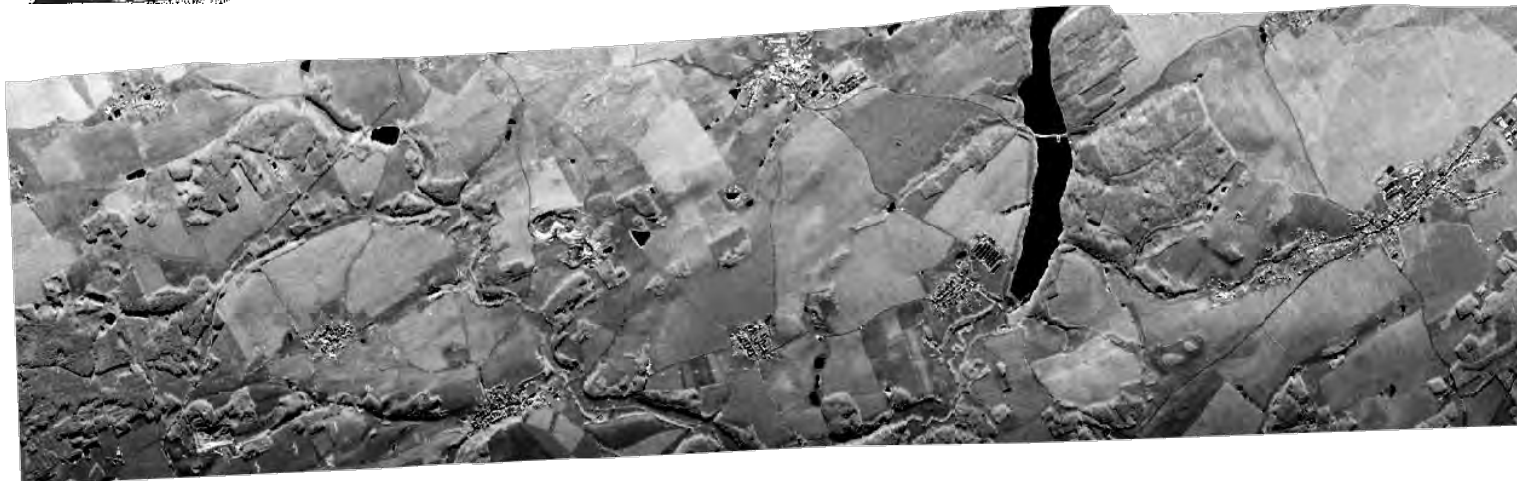
Tab 4

Tab 5

## *Impact of SAR Frequency*



L-band



X-band

# Active Radar Remote Sensing

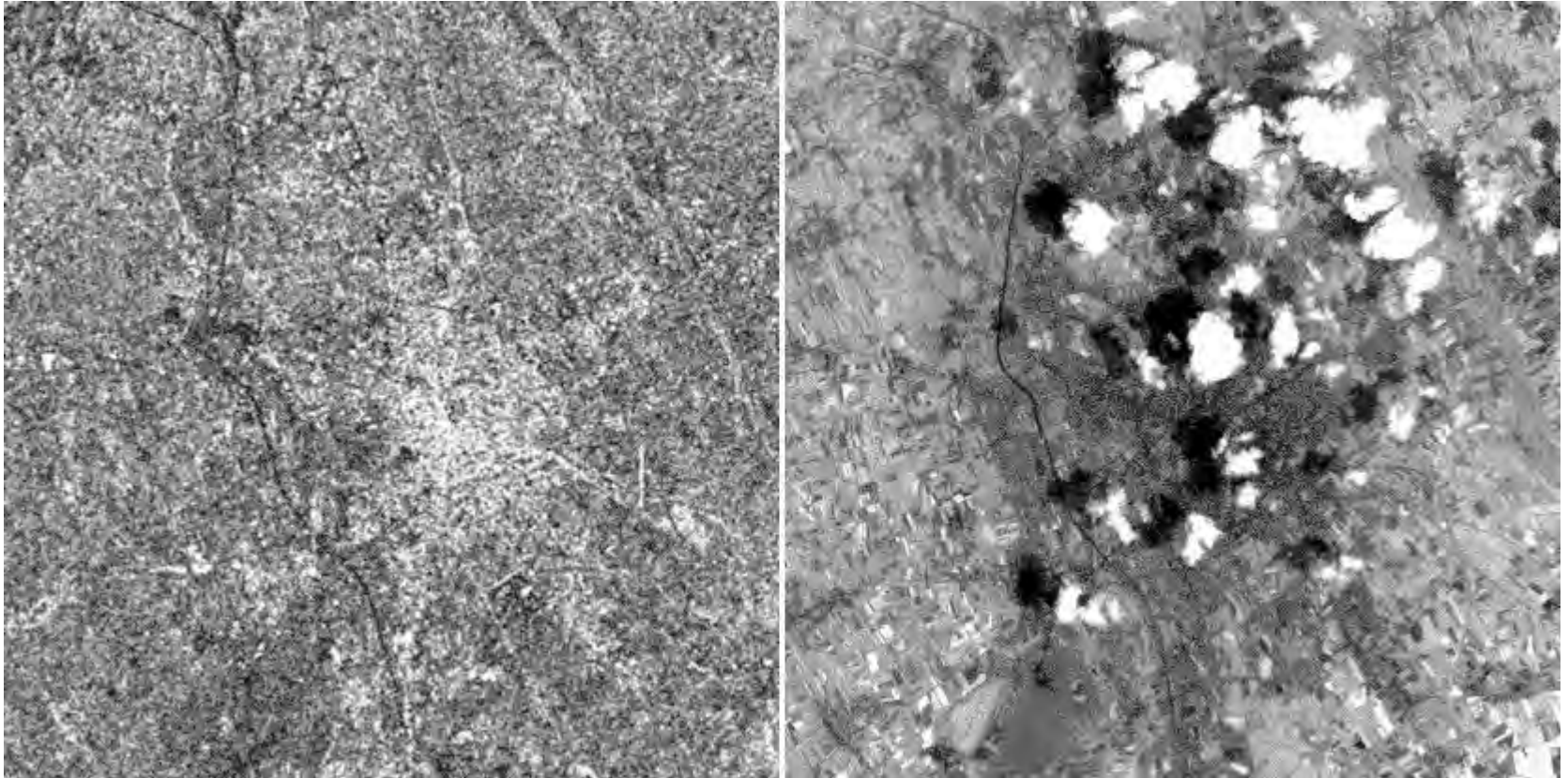
## *Advantages*

- ***all weather*** capability (small sensitivity of clouds, light rain)
- ***day and night*** operation (independence of sun illumination, active instruments, they have their own source of energy)
- ***no effects of atmospheric constituents*** (multitemporal analysis)
- sensitivity to ***dielectric properties*** (water content , biomass, ice)
- sensitivity to ***surface roughness*** (ocean wind speed)
- accurate measurements of ***distance*** (interferometry)
- sensitivity to ***man made objects***
- sensitivity to ***target structure*** (use of polarimetry)
- ***subsurface penetration*** (the longer the wavelength, the higher the transmission through a medium)



# Active Radar Remote Sensing

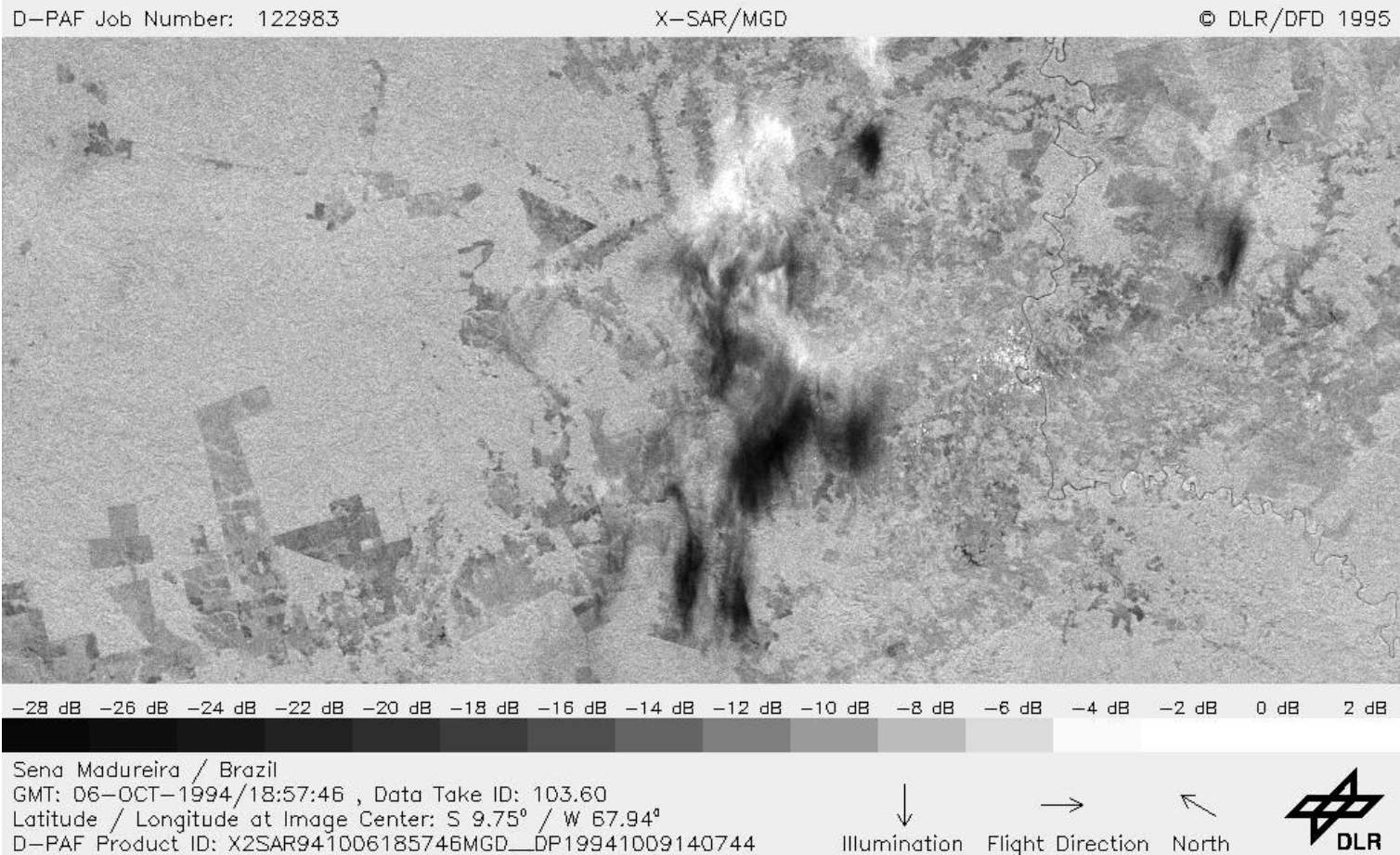
## *Advantages / Example all weather*



These images were acquired over the city of Udine (I), by ERS-1 on the 4th of July 1993 at 9.59 a.m. (GMT) and Landsat-5 on the same date at 9.14 a.m. (GMT) respectively. The clouds that are clearly visible in the optical image, are not appearing in the SAR image.

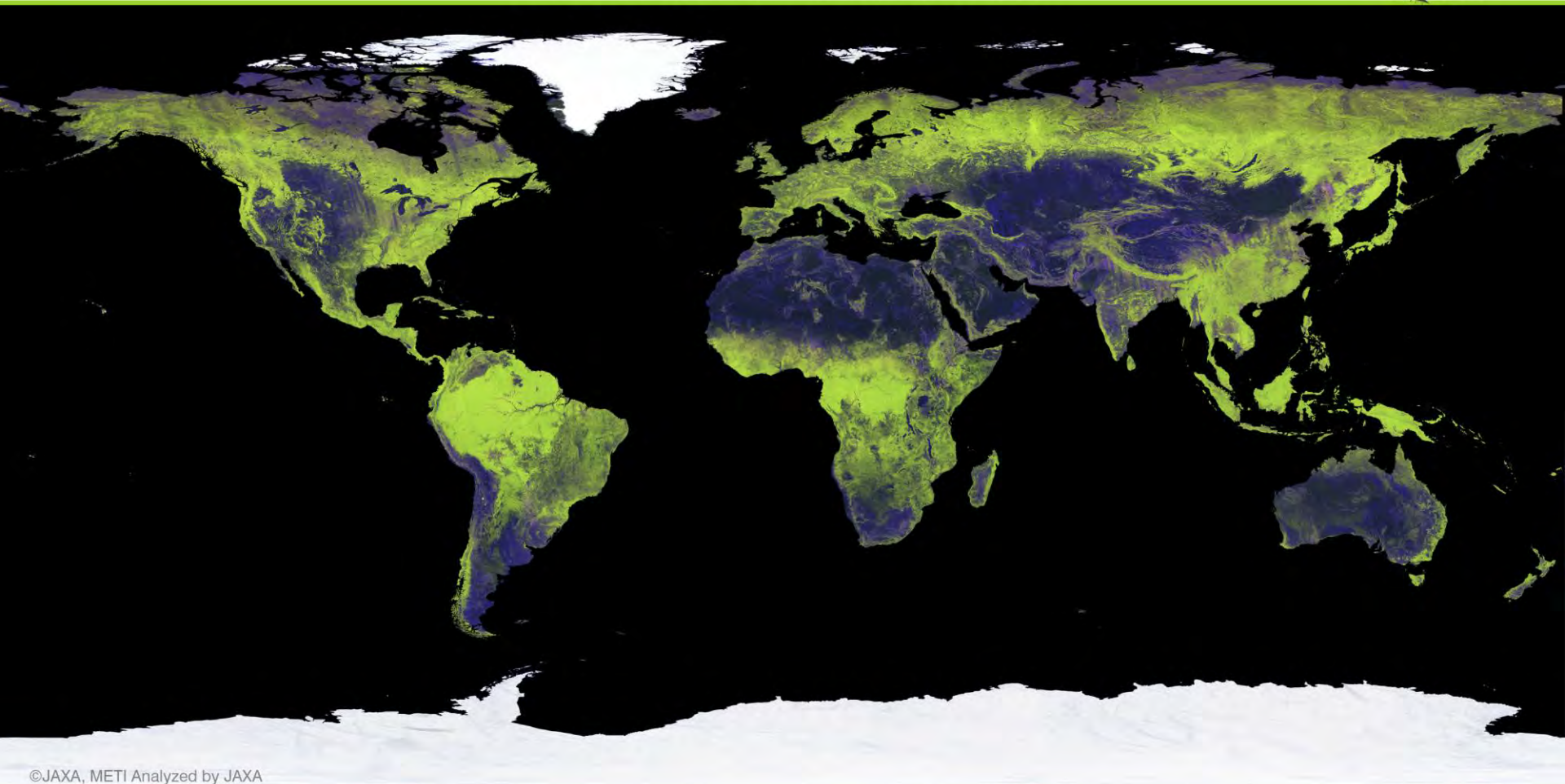
# Heavy Clouds and Rain Cells in X-Band SAR Images

→ Only visible at short wavelengths and extreme conditions





## PALSAR 10m Global Mosaic 2009



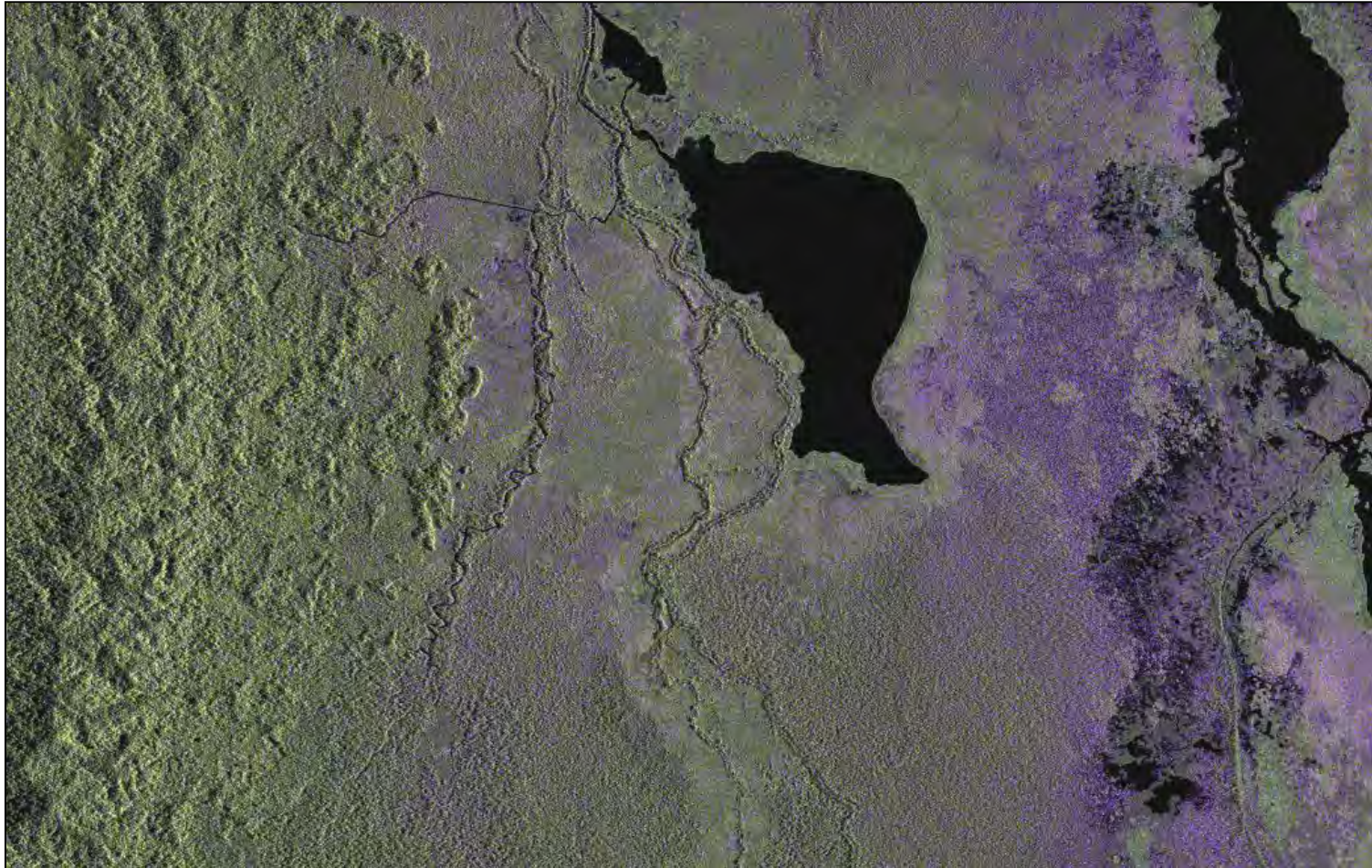
©JAXA, METI Analyzed by JAXA

R:HH G:HV B:HH/HV



# Active Radar Remote Sensing

*Advantages / Example all weather*



TS-X, Brazil



# Active Radar Remote Sensing

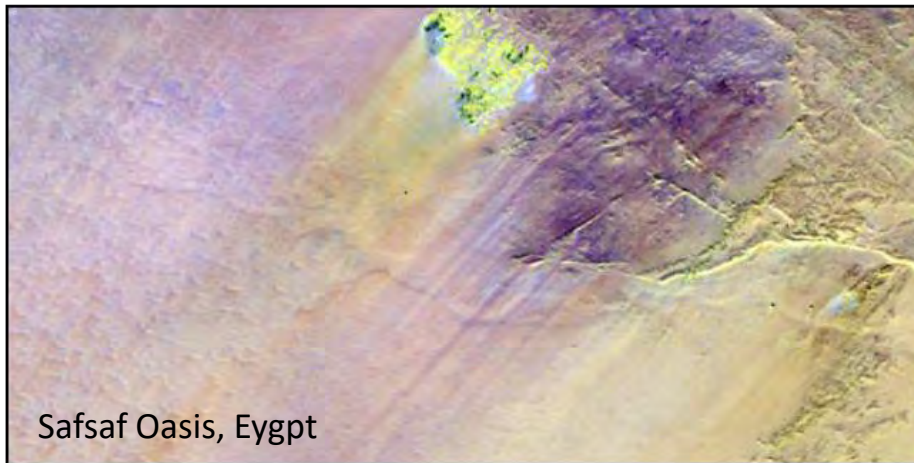
## *Advantages / Example dielectric properties*



Irrigated fields:  
Higher backscatter

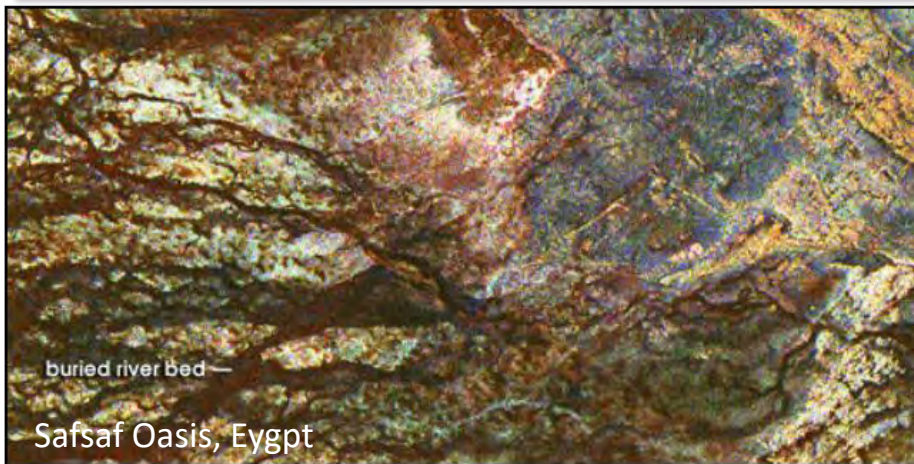
# Active Radar Remote Sensing

## *Advantages / Example subsurface penetration*



Safsaf Oasis, Egypt

Landsat Thematic Mapper  
shows the desert's surface



buried river bed —

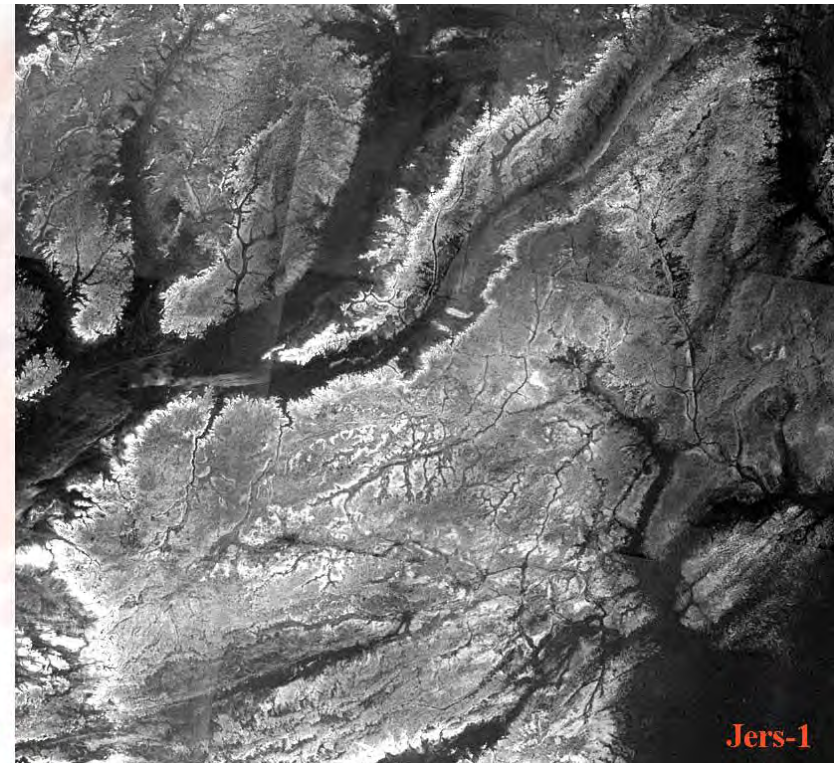
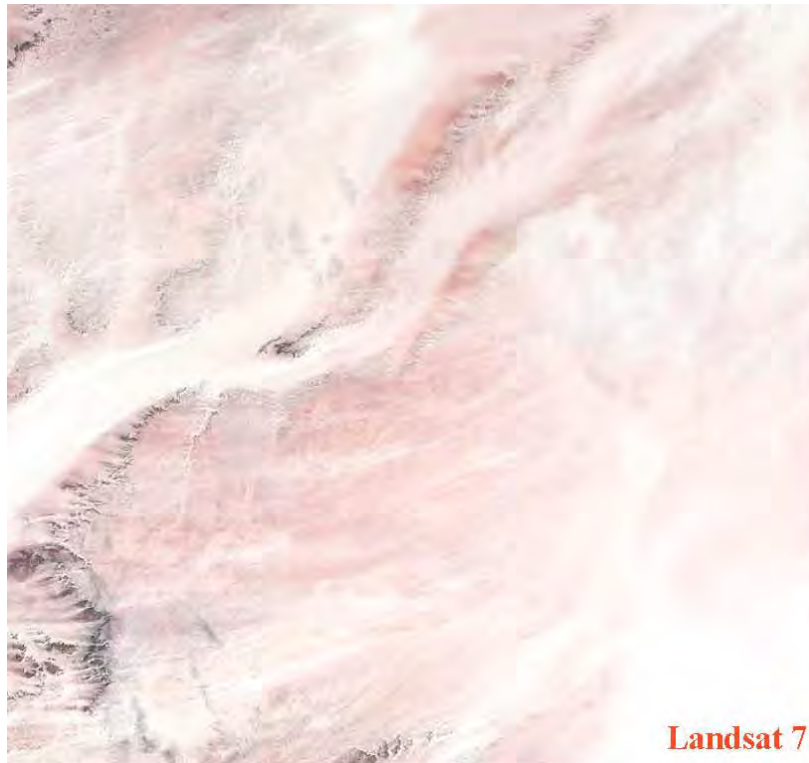
Safsaf Oasis, Egypt

SIR-C/X-SAR  
shows what the landscape might look  
like if stripped bare of sand

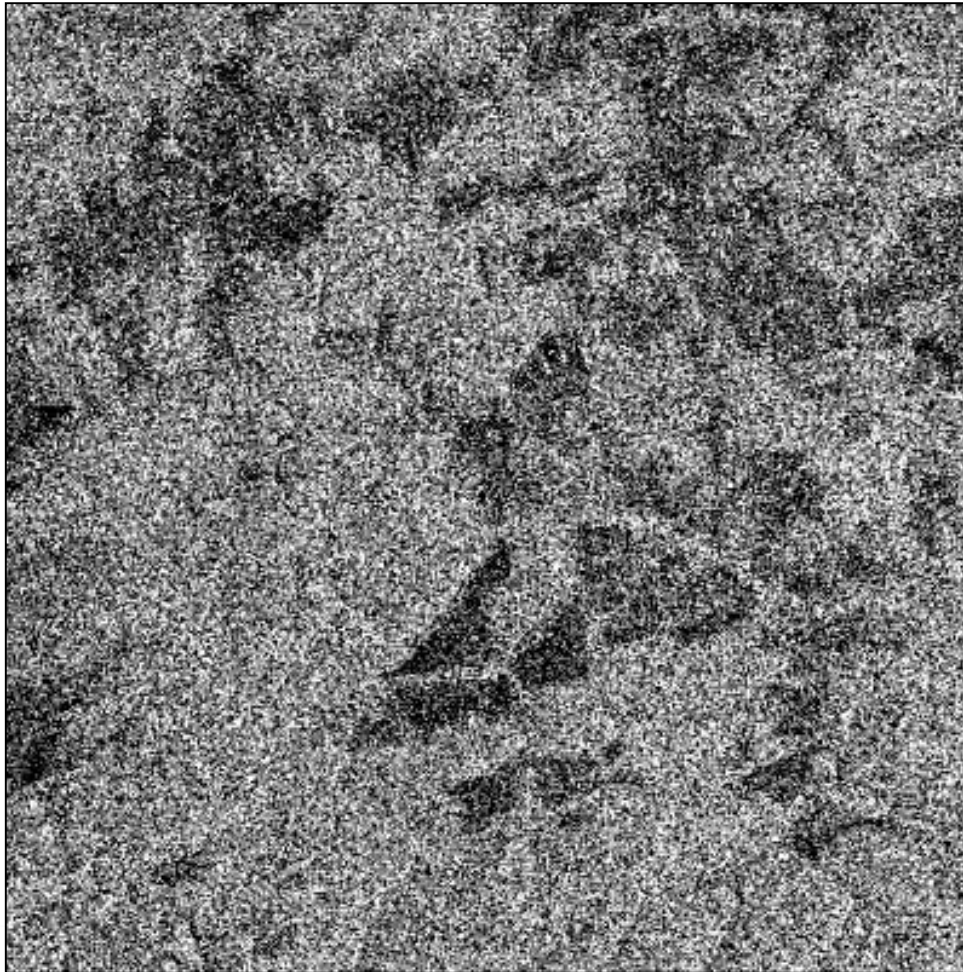


# Active Radar Remote Sensing

## *Advantages / Example subsurface penetration*

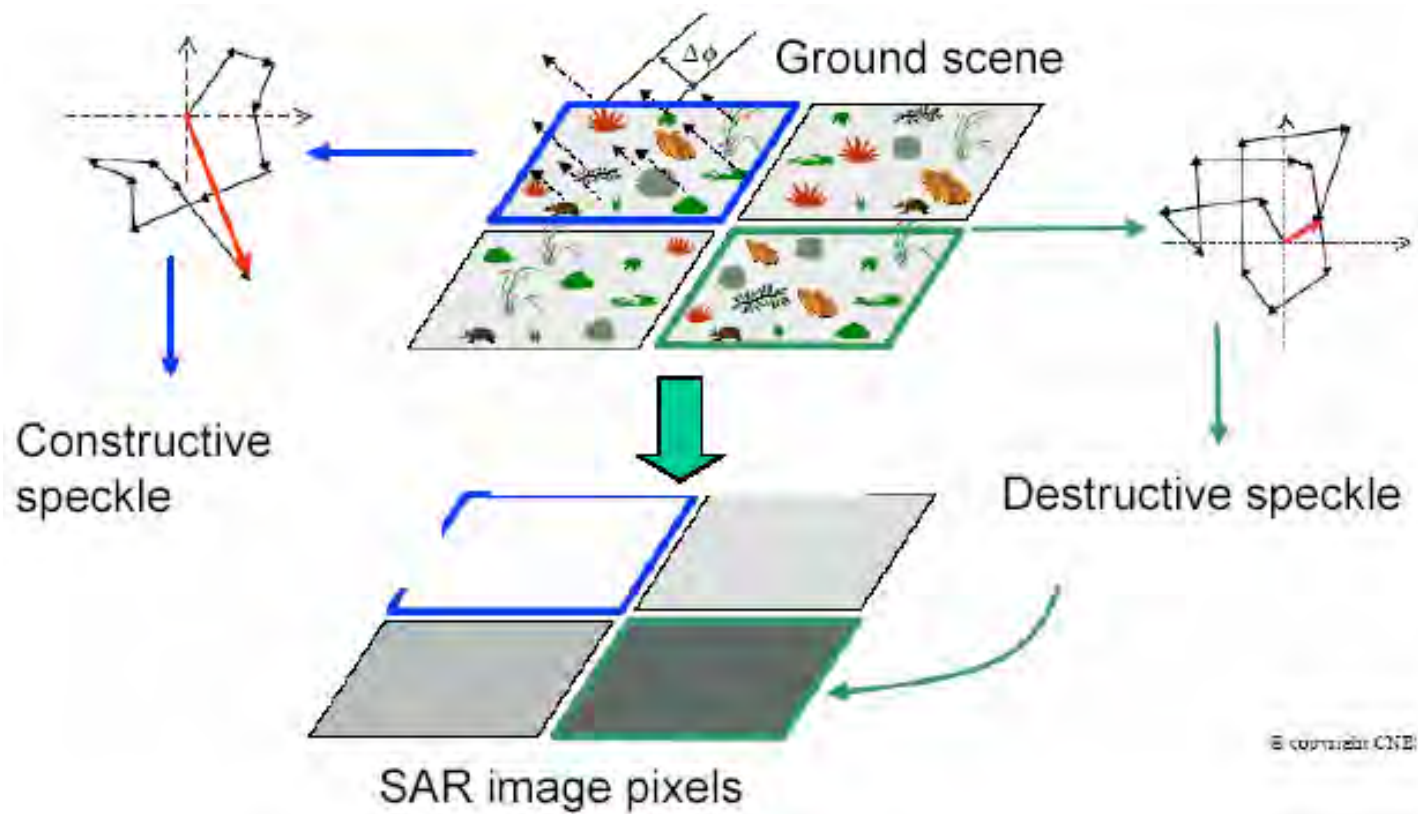


# Speckle “Noise” – Salt and Pepper





# Speckle “Noise”



© copyright CNR

# Speckle “Noise”

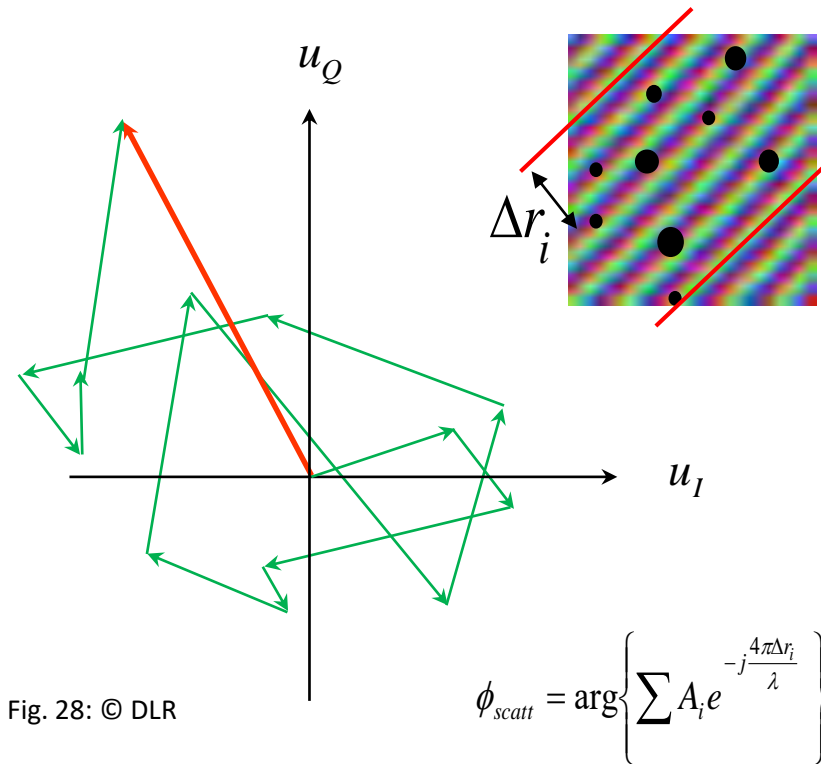


Fig. 28: © DLR



- Random positive and negative interference of wave contributions from the many individual scatterers within one resolution cell
- varying brightness from pixel to pixel even for constant  $\sigma_0$
- granular appearance even of homogenous surfaces



# Example for Bayesian Speckle Reduction



original SAR image  
SAR data © AeroSensing GmbH



speckle filtered  
Bayesian algorithm

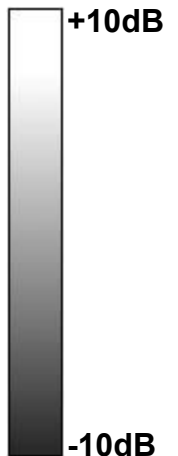
# Speckle Reduction by Temporal Multilooking (ERS)



5 spatial looks  
20 x 20 m ground resolution  
2 dB radiometric resolution



320 spatio-temporal looks  
20 x 20 m ground resolution  
0.3 dB radiometric resolution



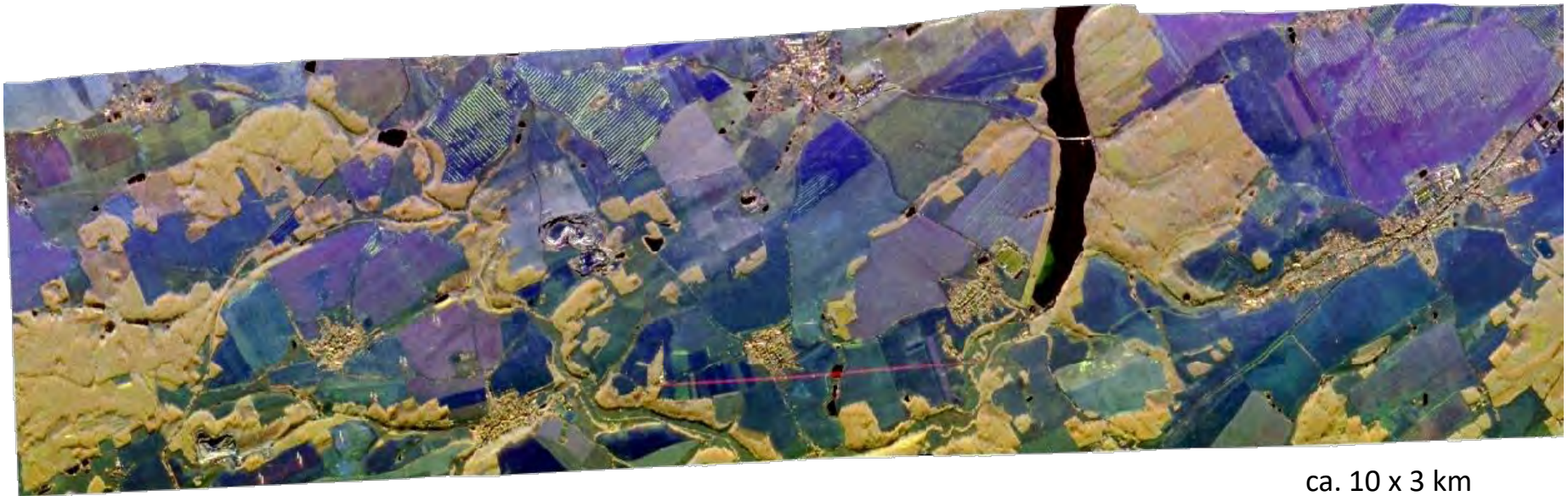


# Active Radar Remote Sensing

## *Inconvenients*

- ***complex*** interactions (difficulty in understanding, complex processing)
- ***speckle*** (difficulty in visual interpretation)
- ***topographic effects***
- etc.

# Applications - Examples

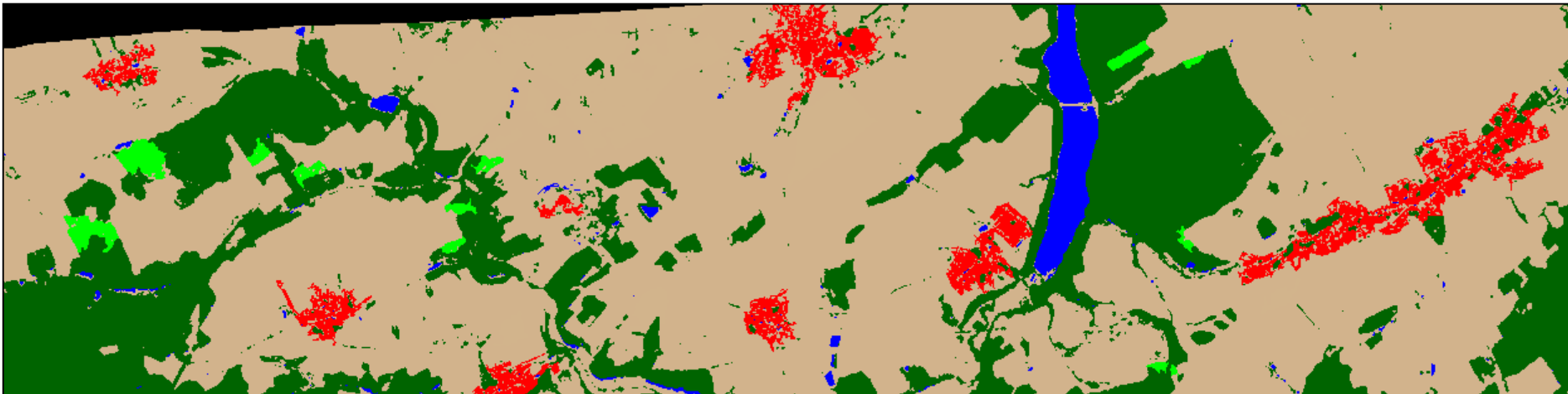


ca. 10 x 3 km

E-SAR (L-HH, L-HV, X-VV), Zeulenroda, Germany



# Applications - Examples

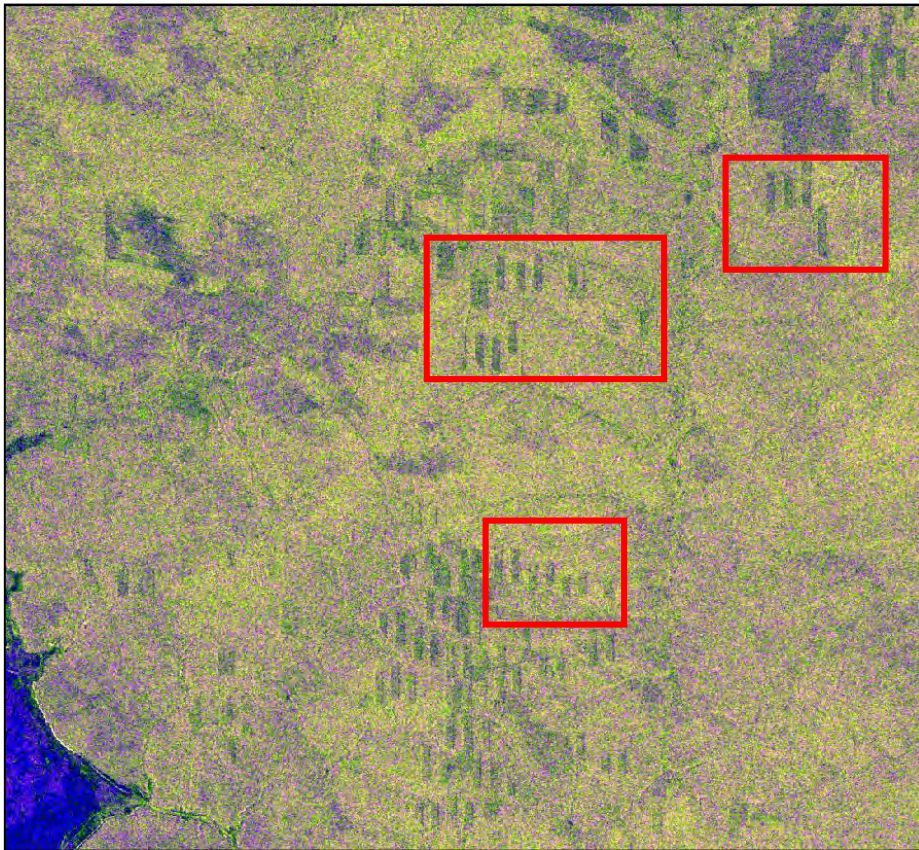


Classification of Land Cover

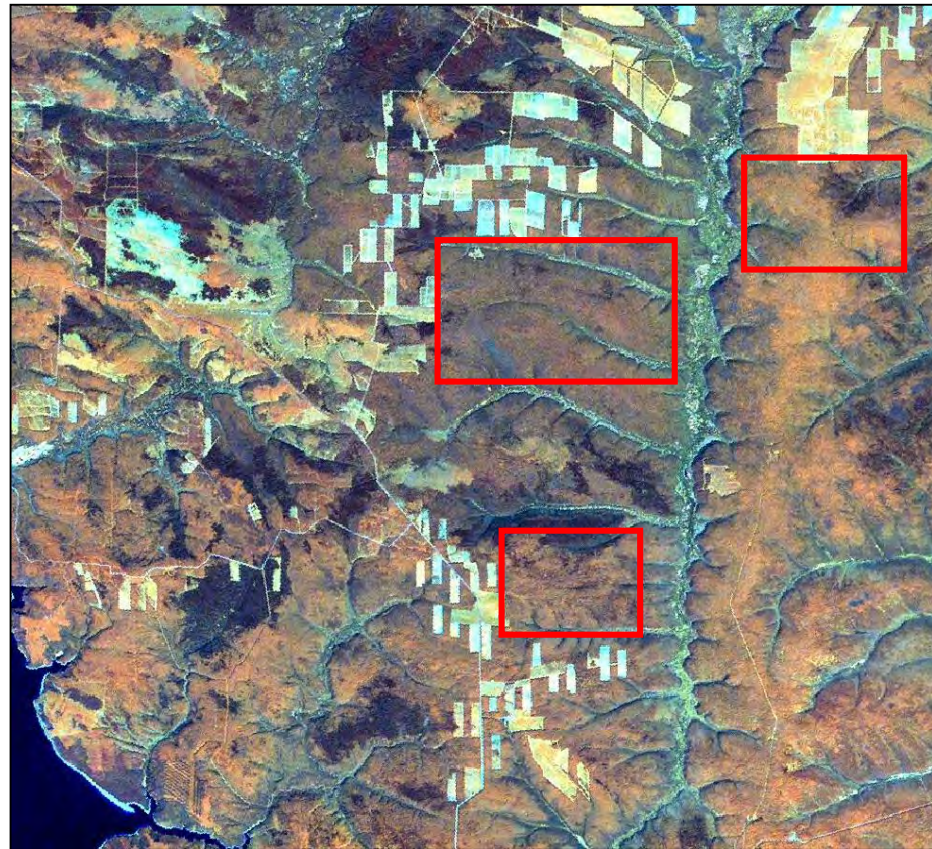


# Applications - Examples

## Detection of Change



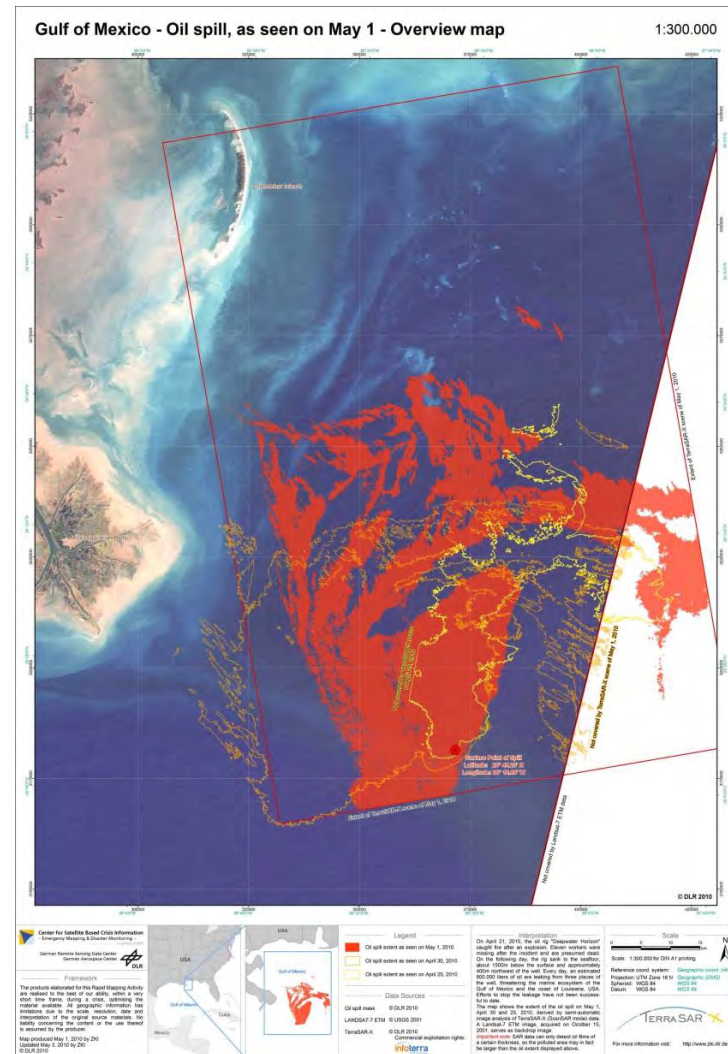
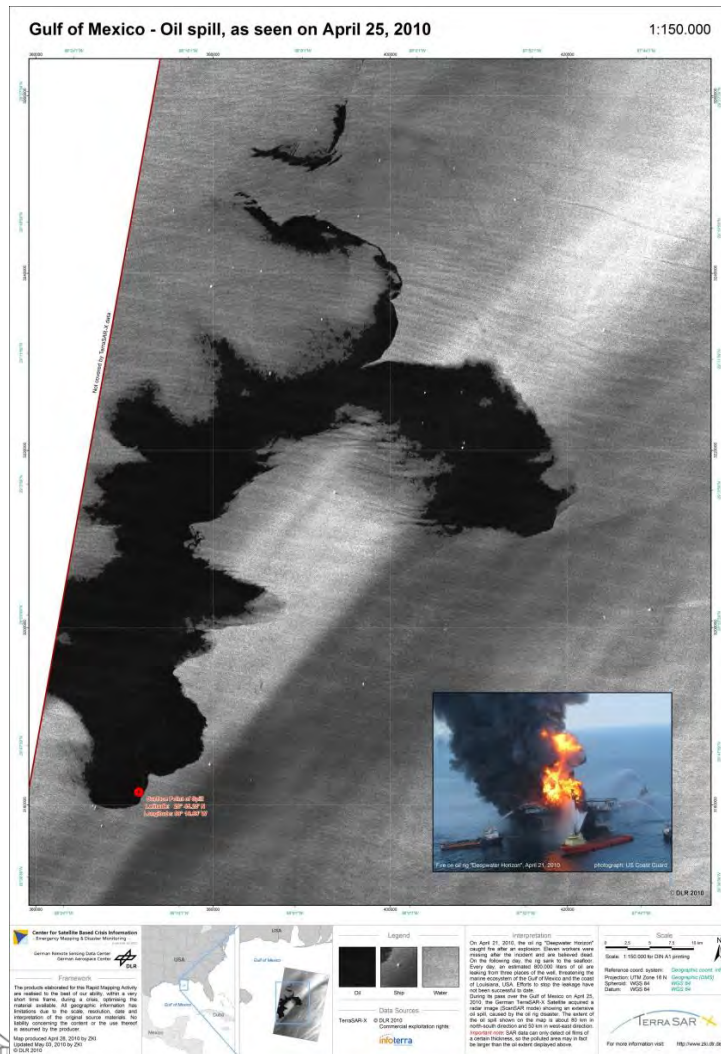
ASAR APP (HH, HV, HV/HH), Siberia 2006



Landsat (4, 5, 3), Siberia 1990



# Applications - Examples



Rapid situation analysis

# Summary

## *Applications of radar remote sensing systems*

SAR's ability to pass relatively unaffected through clouds, illuminate the Earth's surface with its own signals, and precisely measure distances makes it especially useful for the following applications:

- Sea ice monitoring
- Cartography
- Surface deformation detection
- Glacier monitoring
- Crop production forecasting
- Forest cover mapping
- Ocean wave spectra
- Urban planning
- Coastal surveillance (erosion)
- Monitoring disasters such as forest fires, floods, volcanic eruptions, and oil spills
- etc.