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SAR Interferometry

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Outline

- → SAR Interferometry
 - Introduction
 - Cross-track interferometry (InSAR)
 - → Along-track interferometry (ATI)
 - → Differential InSAR (DInSAR)
 - → Typical processing chain
- → (Advanced topics)
 - → (Bistatic SAR)
 - → (SAR Tomography)
 - → (Circular SAR)
 - → (High Resolution Wide Swath (HRWS))
 - 7 ...
- → (Interferometric missions & sensors)



SAR Interferometry: Introduction



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Earthquakes



Volcanoes



Land & Sea Ice



Subsidence

Ocean





Land Environment





Traffic





Reconnaissance











SAR Basic Principle



SAR Raw and Image Data



SAR raw data

SAR image

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SAR Processing: Two-Dimensional Matched Filter



SAR as a linear system





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Phase and impulse response details

→ Due to the band-pass characteristic of the IRF, the final signal can be thought as the convolution between the modulated reflectivity and a 2-D impulse response function

 \neg The reflectivity itself is really the integration of the reflectivity along the elevation angle (cylindrical geometry):



A SAR image has a 2-D cylindrical geometry (but the world is 3-D at least)



Single scatterer contribution

Every scatterer (target) contributes to the image with its complex amplitude and a propagation phase

$$s = a \exp\left(-j\frac{4\pi}{\lambda}r\right)$$

- Within one pixel (resolution cell) the phase oscillates fast (e.g. 100 times for TerraSAR-X)
- → The wavelength is typically in the order of a few centimeters / decimeters



The propagation phase





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Interferometry Principle

SAR Interferometry

- Two or more complex-valued SAR images are combined
- Information about the imaged objects (compared to a single image) are derived by exploiting the phase differences ...
- → Therefore the images must differ in at least one aspect (\Rightarrow "Baseline")

Baseline Type	Name	Measurements and Applications
$\Delta \phi$	Across-Track	Topography, digital elevation models (DEMs)
∆ <i>t</i> = ms s	Along-Track	ocean currents, moving object detection
Δt = days	Differential	glacier / ice fields, lava flows, hydrology
Δt = days years	Differential	subsidence, seismic events, volcanic activities, crustal displacements
Δt = ms years	Coherence Estimator	sea surface decorrelation times, land cover classification





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Interferometry Principle

Phase of a complex SAR pixel 7



SAR Image 1



Phase is always ambiguous w.r.t. integer multiples of 2π \Rightarrow phase unwrapping required!



Applications: Across-Track Interferometry (XTI)





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Across-Track Interferometry Principle



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Interferometry allows to locate targets in the third dimension (elevation or cross-range)



Across-Track Interferometry as a Measurement of Angle





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Example: Interferogram of Cotopaxi, Acquired with SRTM



TerraSAR-X Repeat Pass Interferogram of Paris (HS 300 MHz 16.1.-27.1.2008)



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by Remote Sensing Technology Institute

12 mm LOS displacement (270°)



ange

Tour Eiffel and Pont Mirabeau

360°



by Remote Sensing Technology Institute

TerraSAR-X DEM (RP-InSAR)

Only over non-vegetated areas good quality



DLR

Coherence: measure of interferometric quality

Coherence

$$\gamma = \frac{E[m \ s \ *]}{\sqrt{E[m \ m \ *]E[s \ s \ *]}}$$

a correlation coefficient, sometimes just called *correlation* $0 \le |\gamma| \le 1$



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Coherence: phase variance

- ✓ Coherence is linked to phase variance in a neighborhood
 - \neg More coherence = less phase variance

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- → The absolute value of the coherence is what matters
- → Cramér-Rao Bound for phase estimates (averages)



Interferometric phase quality: coherence

Coherence is a measure of how much two "pixels" look alike. It takes values between 0 and 1.

 $\gamma_{\rm int} = \gamma_{\rm temp} \cdot \gamma_{\rm geo} \cdot \gamma_{\rm vol} \cdot \gamma_{\rm Doppler} \cdot \gamma_{\rm cor} \cdot \gamma_{\rm amb} \cdot \gamma_{\rm quant} \cdot \gamma_{\rm SNR}$

- → Sources of decorrelation:
 - \neg Temporal decorrelation (things change: λ , Vegetation, Water, Ice, Dry soil, Urban)
 - Geometric decorrelation: due to variations of the interferometric phase within a resolution cell
 - → Causes range spectral shift
 - → Increases with baseline (critical baseline causes total coherence loss)
 - Decreases if we increase the pulse bandwidth (=improve range resolution)
 - ✓ Leads to trade off between height sensitivity and interferometric quality
 - Volume decorrelation: due multiple targets with different interferometric phase at the same exact range
 - → Increases with baseline, and with penetration depth



Interferometric phase quality: coherence

Coherence is a measure of how much two "pixels" look alike. It takes values between 0 and 1.

 $\gamma_{\text{int}} = \gamma_{\text{temp}} \cdot \gamma_{\text{geo}} \cdot \gamma_{\text{vol}} \cdot \gamma_{\text{Doppler}} \cdot \gamma_{\text{cor}} \cdot \gamma_{\text{amb}} \cdot \gamma_{\text{quant}} \cdot \gamma_{\text{SNR}}$

- → Sources of decorrelation:
 - "Doppler decorrelation": Due to acquisitons under different squint/Doppler Centroid. Implies that resolution cell is being observed from a different direction.
 - → Can be mitigated by "common band filtering" at the expense of resolution.
 - Doppler Centroid difference = Doppler bandwidth

Complete decorrelation

- \neg Co-registration errors.
- → Ambiguities, quantization and thermal/system noise.









TanDEM-X first DEM





Height of ambiguity and cross-track baseline



Height of ambiguity and cross-track baseline

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Height of ambiguity





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JAXA (PALSAR)

Height of ambiguity: vertical baseline



Height of ambiguity: horizontal baseline



Eyjafjallajökull - Island

Vulkan Eyjafjalla

Further Across-Track Interferometry Applications



Topography



Crisis management





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Navigation



Urban areas



Oceanography



Land cover



Glaciology

Hydrology

Applications: Along-Track Interferometry (ATI)





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Along-Track Interferometry Principle



 Δt ... temporal baseline v_{los} ... line-of-sight velocity



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Along-Track Interferometry Principle



Range Difference $\Delta r = r_1 - r_2 = v_{los} \Delta t$

Interferometric Phase:

$$\Delta \phi = \frac{4\pi}{\lambda} \Delta r = \frac{4\pi}{\lambda} v_{los} \Delta t$$

For "Ground Moving Target Indication" (GMTI) in general an antenna array mounted on a single platform is used!

 $\Rightarrow \Delta t = ms$

 Δt ... temporal baseline v_{los} ... line-of-sight velocity



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Along-Track Interferometry for GMTI

Along-Track Interferometry (ATI)

- ✓ ATI phase ~ line-of-sight velocity
- ➤ No clutter suppression ⇒ erroneous velocity and position estimation

Displaced Phase Center Antenna (DPCA)

- ✓ Real clutter suppression
- ➤ Only two channels: No remaining ATI phase information for parameter estimation ⇒ additional channels required !





Along-Track Interferometry for GMTI



Additional Effects Caused by a Moving Vehicle



- \neg Moving vehicle \leftrightarrow stationary target
 - Doppler shift (caused by across-track velocity)
 - → Change of Doppler slope (← along-track velocity + across-track acceleration)

Rotation fringes (20 km ATI, 3 seconds, +- 0.005 deg)



Cesa 🕫

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Observation of Sea Ice Drift & Rotation: East Greenland

TanDEM-X interferogram (20 km formation):





Estimated ice rotation in 3 seconds:





Accuracy better than 10⁻⁵ deg/s !

Water Currents Measured With E-SAR



0 m/s

2 m/s

Overlay of SAR image and velocity of water currents Wadden Sea, Ameland, NL

Further Along-Track Interferometry Applications

Ocean Currents





Coastline Surveillance







Traffic Monitoring



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Dual Beam Interferometry (DBI) concept

- ATI provides direct estimation of radial velocity component 7
 - Thus 1 component out of 3 possible 7
 - → Assumption that targets have $v_z = 0$ is usually more or less valid
 → But at least 2 components are needed

Fore Beam

Aft Beam

DBI: $\overline{\mathbf{z}}$



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Dual Beam Interferometry (DBI) concept

- → ATI provides direct estimation of radial velocity component
 - Thus 1 component out of 3 possible 7

Aft Beam

→ Assumption that targets have $v_z = 0$ is usually more or less valid
→ But at least 2 components are needed???

Fore Beam

- DBI: 7









Effective line-of-sight Doppler velocity

Effective along-track Doppler velocity



US Dept of State Geographer © 2014 Google Image Landsat Data SIQ, NOAA, U.S. Navy, NGA, GEBCO

0

77°08'39:02" N 76°02'50.49" E elev -803 ft



Date	Sep. 13th, 2013
AT physical baseline	53 m → 73 m
AT lag	~ 4 ms
Height of ambiguity	> 300 m

G

© 2014 Google Image Landsat Image IBCAO

76°44'10.53" N 66°57'08.30" E elev -2 ft

L2 Product



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Amplitude

Coherence

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à

Phase

Effective Doppler velocities





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Interpretation

Wrong hypothesis 1: we are seeing only real horizontal surface velocities





Effective Doppler velocities



Orkney Island Currents (TSX & TDX)

Temporal baselines

- □ February (20120226): +6.6 ms
- □ March (20120319): -10.7 ms



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Applications: Differential SAR Interferometry (D-InSAR)

$$\Delta \phi = \phi_{topo} + \phi_{diff}$$





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Differential SAR Interferometry Principle (→ Repeat Pass)



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DInSAR

Main Errors

- → Residual topography. Small baselines are therefore better.
- → Atmospheric Phase Screen (APS).
 - → Spatially smooth
 - → Random in time
- Phase unwrapping
- → Decorrelation

Stacks

- \neg DInSAR processing chains use long time series (stacks) of images.
- Linear motion models allow estimation of deformation rates down to mm/year (in some cases, sub-millimetric precisions).
- ✓ In cities thermal dilation effects become visible.
- \neg Non-linear / fast motions are still a challenge.



Interferometric phase



Interferometric phase suffers from 2π ambiguity and (usually) from random offset.

 $\Delta \phi_{in}$

 $\Delta \phi_{int,B}$

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φ_{int,A}

......





Las Vegas Convention Center



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by Remote Sensing Technology Institute

Persistent scatterers (PS-Interferometry, PSI)

- Accurate deformation measurements rely on the identification of "stable" targets.
 - Targets with no temporal decorrelation so that phase variations can be attributed to position changes.
 - ✓ Many features in urban environment result in persistent scatterers.
 - Typically identified in time-series by their constant amplitude (good calibration required).
 - → PS density increases rapidly with improved spatial resolution.
 - → PS are much less frequent in natural environment.
 - \neg We can use coherent pixels (for example due to areas with rocks).
- → Good PS density required to avoid phase unwrapping problems.
- → We should be seeing improvement now with Sentinel-1



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Subsidence Monitoring over Urban Areas



Glacier Movements

(CSA/NASA/JPL/ASF, Antarctic Mapping Mission)

Le Charles a

0 m/

Subsidence ERS-1/2

Subsidence [cm]

10

20

0

(F. Amelung, Stanford)

Las Vegas, Nevada Subsidence 1992-1997



Acquisition Geometry of a TerraSAR-X cross-orbit experiment



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 Shorter revisit times than the repeat pass cycle of 11 days

- Close orbit constellation possible after 1 and 5(6) days at high and low latitudes:
 - → North: 84.5° to 88°, South: -75° to -80°
- Squinted azimuth beams necessary to compensate crossing angles
 - → Crossing angles: 2.1° (5d/6d) and 4.2° (1d)

Courtesy of Steffen Wollstadt85







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Everything is relative!

Courtesy of Steffen Wollstadt

Multi-angle geometries

- Projection of motion along LoS
- → 2 LoS -> 2D motion (e.g. Ascending/Descending)
- → 3 LoS -> 3D motion (Ascending/Descending/Squinted or Left/Right)
- → Geological models (subsidence, etc.)
- Along-track displacements with reduced sensitivity





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Interferometric processing





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Interferometric processing

- → (Acquisition)
- → (Focusing)
- Coregistration (phase robustness to mis-registration)
- → Filtering (Spectral shift)
- → Interferogram generation
- → Flattening (to simplify the next steps)
- → Multilooking (Averaging, Filtering)
 - → Output: Phase and Coherence
- Phase unwrapping
- Specific processing
- → Geocoding (a change of coordinates)





Co-registration: master and slave have different geometries







Deutsches Zentrum IR für Luft- und Raumfahrt e.V. in der Helmholtz-Gemeinschaft Interferogram generation (pixel by pixel)

$$u_{1} = x_{1} + jy_{1} = |G_{1}| \cdot \exp(j\varphi_{1})$$

$$u_{2} = x_{2} + jy_{2} = |G_{2}| \cdot \exp(j\varphi_{2})$$
real part imaginary part
$$u_{1} \cdot u_{2}^{*} = (x_{1} + jy_{1}) \cdot (x_{2} - jy_{2}) = (x_{1}x_{2} + y_{1}y_{2}) + j(x_{2}y_{1} - x_{1}y_{2})$$

$$= |G_{1}| \cdot |G_{2}| \cdot \exp[j(\varphi_{1} - \varphi_{2})]$$

SAR Image 1



Slopes correspond to frequencies in the interferogram



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Flath-Earth phase



Interferogram flattening (ellipsoid)

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Interferogram flattening (ellipsoid)



Mt. Etna, JAXA (PALSAR)

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Interferogram flattening: ellipsoid + available DEM (e.g. SRTM)



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Contraction of the Contraction

Averaging or Filtering or Multilooking

→ Complex numbers are averaged before extracting the phase



Phase unwrapping

 \neg Assigning each phase the correct number of "missing" 2 π

$$\varphi_{\text{unwrapped}} = \varphi_{\text{wrapped}} + k \cdot 2\pi$$

→ 1-D phase unwrapping





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Phase Unwrapping

- Interferometric phase values belong to the interval $\varphi_{int} \in [0, 2\pi[$
- The range differences Δr will be mapped to this interrval

 $\varphi_{int} = (2\pi/\lambda) \cdot \Delta r \mod 2\pi$

• The information on the distance is thus ambiguous

 $\Delta r = (\lambda/2\pi) \cdot \varphi_{int} + k \cdot \lambda/2 \quad k \in \mathbb{Z}$

- If the sampling is fine enough and there are enough well behaved regions, the ambiguities can be solved
 - → "phase unwrapping"



Geocoding

→ From SAR coordinates to "usual" coordinates (UTM or Lat/Lon)



→ Geocoding is essentially a change of coordinates & resampling



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