## 2015 POLinSAR-Course, Frascati, Jan 19 - 23

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WMB Concluding Lecture, 2015 January 23, 1600 - 1730

The Vector Electromagnetic Spectrum with its strong polarization dependences across all spectral bands, and especially for POLinSAR sensing and imaging:

Future Perspectives of Radar Polarimetry and its Applications - Reduced Version -

**Wolfgang-Martin Boerner** 

UIC-ECE Communications, Sensing & Navigation Laboratory 900 W. Taylor St., SEL (607) W-4210, M/C 154, CHICAGO IL/USA-60607-7018 T&F: +[1] (312) 996-5480, Email: <u>wmb1uic@yahoo.com</u>

# Summary

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- With the un-abating global population increase our natural resources are stressed as never before, and the global day/night monitoring of the terrestrial covers from the mesosphere to the litho-sphere becomes all the more urgent.
- Microwave radar sensors are ideally suited for space imaging because those are almost weather independent, and microwaves propagate through the atmosphere with little deteriorating effects due to clouds, storms, rain, fog aerosol and haze: Globally humidity, haze and aerosols next to cloudiness are increasing at a rather rapid pace.
- Thus, optical remote sensing from space especially in the tropical and subtropical vegetated belts is already and will become ever more ineffective, and microwave remote sensing technology must now be advanced strongly and most rapidly hand in hand with digital communications technology.

# Summary continued

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- The basic radar technologies to do the job at day and night are the multimodal Synthetic Aperture Radar (SAR) sensors, first developed for airborne sensing implemented as for example in 1978 with the first spaceborne digital Sea-Sat L-Band SAR which had severe limitations in that it was of fixed wide swath-width at a single arbitrary polarization (HH) and of rather poor 25m resolution.
- In the meantime, fully polarimetric multi-modal high resolution SAR systems at multiple frequencies and incidence angles were introduced first with the multi-band AIRSAR of NASA-JPL culminating in the once-only pair of SIR-C/X-SAR shuttle missions of 1994 April & October. This resulted in true day/night space remote sensing of the terrestrial barren and vegetated land and ocean covers using multi-band polarimetric SAR.
- Thereafter, the Canadian CCRS, the German DLR and the Japanese NASDA & CRL {now JAXA & NICT} took over steadily advancing the Convair-580, the E-SAR (now F-SAR) and Pi-SAR airborne highly advanced fully polarimetric sensors platforms, respectively.

# Summary continued

- These separate international multi-modal fully polarimetric and also interferometric airborne SAR developmental efforts culminated in a well coordinated group effort of three independent teams eventually launching and operating Fully Polarimetric Satellite SAR Sensors :
- L-Band (ALOS-PALSAR-I launched by JAXA/Japan in 2006 January with ALOS-PALSAR-II launched by JAXA/Japan in 2014 May 24;
- C-Band (RADARSAT-2 launched by CSA-MDA in 2007 December

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- X-Band (TerraSAR-X launched by DLR-Astrium in 2007 July with the follow-on tandem mission TanDEM-X launched in June 2010).
- Thus, international collaboration on advancing day & night global monitoring of the terrestrial covers was demonstrated with the launch of the set of three fully polarimetric multi-modal SAR Satellites at L-, C-, X-Band and its first tandem satellite-pair update of the DLR TanDEM-X
- These efforts will be topped by the near-future joint DLR-JPL/JAXA DESDynl/Tandem-L wide-swath, high-resolution fully polarimetric sensor implementation for both polar and equatorially orbiting satellite sensors.



**Research Collaborators** Wolfgang-Martin Boerner & Jorge J. Morisaki ECE/CSN, University of Illinois at Chicago, USA Alberto Moreira, Gerhard Krieger, Andreas Reigber Kostas P. Papathanassiou, Irena Hainsek **Richard Bamler, Michael Eineder** DLR (German Aerospace Centre), Oberpfaffenhofen, Germany **Eric Pottier, Laurent Ferro-Famil** IETR, UMR CNRS-6164, PMOR, Campus de Beaulieu, Rennes, France **Ridha Touzi** Canadian Centre for Remote Sensing, CCRS, Ottawa, Canada Yoshio Yamaguchi, Hiroyoshi Yamada, Ryoichi Sato Niigata University, Info-Eng, Niigata, Japan Jakob J. van Zyl, Scott Hensley, Paul A. Rosen CALTEC=NASA/JPL, Pasadena, CA, USA Gopala Venkataraman, Gulab Singh IIT-Bombay, Powai, Maharashtra, India Jong-Sen Lee, Tom L. Ainsworth Naval Research Laboratory (NRL), Washington, DC, USA Kun-Shan Chen, Chih-Tien Wang, Chung-Pai Chang CSRSR, National Central University, Taiwan Wooil Moon & Duk-Jin Kim ESI3, Seoul National University, Kwanuk-ku, Seoul, Korea Joong-Sun Won & Lee Seung-Kuk ESS, Yonsei University, Seodaemun-ku, Korea Josaphat Tetuko Sri Sumanyo & Katsumi Hattori CEReS, Chiba University, Nishi-Chiba, Japan Tat-sun Yeo & Hong-bo Sun, Kye-Yak See National University Singapore & Nanyang Technological University

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Apollo 11: 1969 July 16 – 24: Neil Armstrong, Michael Collins, Edwin Aldrin, Jr.



Apollo 17: 1972 Dec. 7 – 19: Eugene Cernan, Ronald Evans, Harrison Schmitt







## **Evolutionary Development of Planet Earth:**

- Wegner 1912
  - Discovering Plate Tectonics

- Hilgenberg 1932
- Exploring Historical Planetary Evolution
- Of Planet Earth

## The terrestrial tectonology: Alfred Wegener's tectonic plate theory and the two major seismic belts



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The theory of plate tectonics was pioneered by Alfred Wegener in the early 20th C. He was originally drawn to the idea when he tried to explain the ancient climates.



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From BBC news site

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1932 HILGENBERG Model of Primondial Earth

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- O. C. Hilgenberg of Germany in 1933 showed that if the radius in a model of earth could be reduced to two-third of its length, all the continental blocks could be adjusted in a perfectly snug-fit manner. The concept of earth's expansion was revived in the 1960s by S. W. Carey of Australia.
- It can be noted that in the primordial small earth there were no oceans although epicontinental seas or lakes were present. The ocean-forming water at that stage must have been associated with the mantle. Under such condition, namely, association of large quantum of water under pressure, the mantle rock must have been considerably fluid (Sen, 1983-2003).
- This vital clue has been based on experimental studies conducted by Roy and Tuttle (1961) confirming depression of melting point of silicate rocks under hydrothermal and ultrahigh pressure condition.



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## **Fundamental Earth Parameters**

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The layering within the planet earth and its equatorial and polar radius

### Introduction: Why is "Air- and Space-borne Multimodal SAR Remote Sensing" of relevance to Applications in Geology and Tectonology

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### **Earth Layers**

### Introduction: Why is "Air- and Space-borne Multimodal SAR Remote Sensing" of relevance to Applications in Geology and Tectonology



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### Hawaii Hotspots



**Plate Actions** 



#### Oceanic-continental convergence

#### **Ocean-Continent Convergence**



Oceanic-oceanic convergence

### **Ocean-Ocean Convergence**



**Electromagnetic Spectrum from SLF - ULF – Visible - UV** 

- Ionospher & ULF/ELF Signatures: Green & Hattori postulates
- Vision in Optical Spectral Window: Planck's law
- HF Ground-Penetrating Radar and SAR

## **Vector (Polarization) Electromagnetic Spectrum**



# Vision in optical window

- Planck's Law
  - Max Planck \*

Discovered reason why terrestrial creatures make use of one and the same optical spectrum from interpretation of his radiation law:



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### **Transmission Spectrum of Atmosphere**

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## **Ionospher & ULF/ELF Signatures:**

Schumann resonances

• Green postulates

Hattori postulates





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#### WIDEBAND INTERFEROMETRIC SENSING AND IMAGING POLARIMETRY

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### Terrestrial Ionosphere & Magnetosphere

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### **ELF/ULF Electromagnetic Spectrum**



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## Schumann resonance

Basic Resonance of Earth-Ionosphere Cavity

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- Excited by Electric Storm Discharges: Lightening
- Approximate fundamental resonance length:
- Circumferential length at ~ 4km above earth surface  $L_s$
- Basic Resonance frequency:  $f_{so} = L_s / c \sim 8.6 Hz$
- Because Earth-Ionosphere cavity is not perfectly conspherical, a complete eigen-frequency calculation was developed by Winfried Otto Schumann (1988 – 1974) of which the first three eigen-frequencies are pertinent:

• 
$$f_{s_0} = 8.6 \text{ Hz}$$
,  $f_{s_1} = 14.1 \text{ Hz}$ ,  $f_{s_2} = 21.4 \text{ Hz}$ ,  $\vdots f_{s_3} = 28.7 \text{ Hz}$ , .....



### Schumann Spherics (Electric Storm) Signatures



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# Green postulate

 The 3 Hz minimum was suggested by Arthur William (Bill) Green, and utilized by Dr. Jack Dea at NOSC, Point Loma, San Diego first because man-made local disturbances had not been too prevalent, and the basic principles had been developed by him during early 1990ies at the sea-side USN-NOSC Laboratory

# **Green and Hattori postulates**

• The Terrestrial eigen-frequency spectrum

possess among others, two specific natural resonances at 0.1 Hz and at 3 Hz which are utilized for detecting natural and also man-induced disturbances, known as the Hattori and the Green resonances for earth-quake pre-cursor detection, as demonstrated next





Green's Tectonic Stress Electromagnetic Signatures

Recent electromagnetic signatures associated with the Chi-Chi and Chia-Yi earthquakes of 1999.

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ULF Magnetometric & Electrometric Measurement Arrangement

### Recent electromagnetic signatures associated with the Chi-Chi and Chia-Yi earthquakes of 1999.

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Simplified Schematic of the Site and Equipment Layout






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### **Discrimination of seismo-magnetic signals**



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$$P = \frac{\lambda}{2\pi} = \frac{1}{2\pi} \sqrt{10\rho_{(\Omega,m)} \cdot T_{(s)}}$$

# Signals with short periods have small skin depths.

For nightime energy study, we focused on the 100 s (0.01 Hz) period which was one of the most frequently reported periods in previous studies.

#### Monitoring of ongoing surface deformation along Cheleng-Pu fault



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### Earthquakes

- Blue circle: Radius 50 Km
- Red line: Chelungpu fault
- Star mark: Three sample earthquakes
- Black circle: Earthquakes M >= 5.0

Earthquakes occurred in six blue circles (except HC, LP) were compared with the anomaly

#### The Western Pacific Rim of the Circum Pacific Rim and Taiwan

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#### The Western Pacific Rim of the Circum Pacific Rim and Taiwan

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From gis.geo.ncu.edu.tw/921

#### The Western Pacific Rim of the Circum Pacific Rim and Taiwan

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### The destruction along the Cheleng-Pu fault caused by the Chi-Chi earthquake of 1999 September 21

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#### Recent electromagnetic signatures associated with the Chi-Chi and Chia-Yi earthquakes of 1999, May to December in Taiwan

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The raw data in LY station in March, April May, August, September, October, November and December, 1999.

#### Recent electromagnetic signatures associated with the Chi-Chi and Chia-Yi earthquakes of 1999.

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The raw data in HL and LP in March, April May, August, September, October, November and December, 1999.

#### Monitoring of ongoing surface deformation along Cheleng-Pu fault

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### The destruction along the Cheleng-Pu fault caused by the Chi-Chi earthquake of 1999 September 21



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### The destruction along the Cheleng-Pu fault caused by the Chi-Chi earthquake of 1999 September 21



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#### PART-II, Microwave Polarization Radar & Polarimetric SAR

- 4. The Electromagnetic Microwave Spectrum & Signatures over land & ocean
- 5. Background on Polarization Radar Theory & Scattering Matrix Acquisition
- 6. Polarimetric Airborne SAR Sensors
- 7. Satellite POLSAR sensors

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8. Scattering matrix decomposition theories and algorithms\



### The Microwave Spectrum & Signatures

Electromagnetic Environment

Resonant signatures over land & ocean

#### Multi-Altitude Near-Range and Remote Sensing in Wide-Area Environmental Surveillance for Real Time Monitoring of the Earth's Biosphere

for an ecological investigation of the Earth through observation and identification of harmful anthropogenic influences due to the interaction of:



• for an early warning system of natural and man-made environmental catastrophes and to take quick actions to buffer the impact to the catastrophe under the increasing pressures of a relentlessly unabating population explosion:



#### Hydrologic cycle with volcanologic & seismic activity

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## Background on Polarization Radar Theory & Polarimetric SAR Scattering Matrix Acquisition

- Kennaugh's basic Polarization radar concept: The radar target operator
- Kennaugh-Huynen Polarization Fork
- Characteristic Coherent Radar Co/Cross-Polarization Signatures
- The completely coherent case
- The partially coherent case

### Kennaugh's Polarization Radar formulation

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$$\mathbf{E}^{s}(\mathbf{r}) = [S] \mathbf{E}^{i^{*}}(\mathbf{r})$$
(4.1)



### Huynen's Polarization Fork and its Significance to (Radar)-Polarimetry

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Xi-Boerner<sup>1</sup> Solution of the Polarization Fork



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#### Pauli Spin-Matrices: SU(2) Unitary Rotation Group

$$\begin{bmatrix} I \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \quad \begin{bmatrix} J \end{bmatrix} = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}, \quad \begin{bmatrix} K \end{bmatrix} = \begin{bmatrix} 0 & j \\ j & 0 \end{bmatrix}, \quad \begin{bmatrix} L \end{bmatrix} = \begin{bmatrix} -j & 0 \\ 0 & j \end{bmatrix}.$$

**Rotation on the Polarization Unit Sphere** 

 $m = |\lambda_1| = (\sigma_K = Span\{[S]\} + 2Det\{[S]\})$ : Target Strength

 $\phi$  Orientation Angle,  $\nu$  Target-Reflection Angle,  $\tau$  Target-Ellipticity

γ Characteristic Target Angle

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 $\sigma_{K}$  Kennaugh's Polarimetric Excess

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**Representations of Optimal Polarization States** 

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#### I-2 SAR Polarimetry and SAR Interferometry.



#### **A General Example**

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(a) Target Shape
(b) Polarization Fork
(c) Co-Polarization Spectrum
(c) Co-Polarization Spectrum
(c) Relative Co-Polarization Phase
(c) Co-Polarization Spectrum
(c) Relative Co-Polarization Phase

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The Kennaugh Spinorial (Huynen) Polarization Fork and Polarization Power and Phase Plots

#### I-2 SAR Polarimetry and SAR Interferometry.

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Optimization of the Kennaugh Matrix by means of the Lagrange Method (Boerner with Chan, Tanaka, Kostinski, Yan, Liu, Lüneburg,...)

Principle: Separation of the received Stokes Vector into a fully polarized and an unpolarized Component Vector:  $\vec{g}_s = \vec{g}_{pol} + \vec{g}_{unp}$ 

$$\vec{g}_{s} = \begin{pmatrix} g_{s0} \\ g_{sl} \\ g_{s2} \\ g_{s3} \end{pmatrix} = \begin{pmatrix} pg_{0} \\ g_{1} \\ g_{2} \\ g_{3} \end{pmatrix} + \begin{pmatrix} (1-p)g_{0} \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

p = Degree of Polarization

g <sub>so</sub>	entire power density of the scattered field at the receiver					
Max pg <sub>so</sub>	fully polarized part of the scattered intensity ( i.e., the useful "coherent partial component" in Polarimetry )					
Min (1-p)g <sub>so</sub>	unpolarized noise component, where $1/2(1-p)g_{so}$ is always received					
½(1+p)g <sub>so</sub>	maximum total intensity of the coherent component: pgso					

#### I-2 SAR Polarimetry and SAR Interferometry.

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p=1p = .8p = 0coherentdistributed partiallytotal polarizationpoint scatterercoherent scatterernoise

PARTIALLY POLARIZED:

b) Dependence on the received power density from the degree of polarization p

**Optimal Polarization States for the Partially Polarized Case** 

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#### SCATTERING MATRIX BISTATIC CASE

**SCATTERING MATRIX or JONES MATRIX** 

$$\begin{bmatrix} E_X^s \\ E_Y^s \end{bmatrix} = \frac{e^{jkr}}{r} \begin{bmatrix} S_{XX} & S_{XY} \\ S_{YX} & S_{YY} \end{bmatrix} \begin{bmatrix} E_X^i \\ E_Y^i \end{bmatrix}$$

DEFINED IN THE LOCAL COORDINATES SYSTEM

[S] IS INDEPENDENT OF THE POLARISATION STATE OF THE INCIDENCE WAVE

[S] is dependent on the frequency and the geometrical and electrical properties of the scatterer

**TOTAL SCATTERED POWER** 

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$$Span([S]) = Trace([S][S]^{T*}) = /S_{XX} /^{2} + /S_{XY} /^{2} + /S_{YX} /^{2} + /S_{YY} /^{2}$$



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 $Span([S]) = Trace([S][S]^{T*}) = /S_{XX} /^{2} + 2/S_{XY} /^{2} + /S_{YY} /^{2}$ 



### **Polarimetric airborne SAR sensors**

- There exist several airborne multi-modal SAR Imaging platforms that support fully polarimetric POLSAR systems – such as
- the NASA-JPL AIR/TOP-SAR
- the DLR ESAR replaced by FSAR
- the ONERA RAMSES SAR
- And by today more than 12 airborne platforms, of which the FSAR is used here

#### POLARIMETRIC AIRBORNE SAR SENSORS



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AES1 AeroSensing (D) GulfStream Commander X-Band (HH), P-Band (Quad)



ESAR DLR (D) DO 228 P, L, S-Band (Quad) C, X-Band (Sngl)



AIRSAR NASA / JPL (USA) DC8 P, L, C-Band (Quad)



EMISAR DCRS (DK) G3 Aircraft L, C-Band (Quad)



DOSAR EADS / Dornier GmbH (D) DO 228 (1989), C160 (1998), G222 (2000) S, C, X-Band (Quad), Ka-Band (VV)



RENE UVSQ / CETP (F) Écureuil AS350 S, X-Band (Quad)



MEMPHIS / AER II-PAMIR FGAN (D) Transal C160 Ka, W-Band (Quad) / X-Band (Quad)



STORM UVSQ / CETP (F) Merlin IV C-Band (Quad)



PHARUS TNO - FEL (NL) CESSNA - Citation II C-Band (Quad)



PISAR NASDA / CRL (J) GulfStream L, X-Band (Quad)



RAMSES ONERA (F) Transal C160 P, L, S, C, X, Ku, Ka, W-Band (Quad)



SAR580 CCRS (CA) Convair CV-580 C, X-Band (Quad)

+ CASSAR (China), MIT/Lincoln Lab (USA), P3-SAR (NADC / ERIM -USA), Military Systems ...

#### New features:

- significantly enhanced resolution and image quality
- simultaneous data recording in up to four frequency bands
- modular design for easy reconfiguration
- single-pass polarimetric interferometry in X- and S-band
- fully polarimetric capability in all frequencies



#### **E-SAR technical characteristics**

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	Χ	С	L	Ρ	_
RF [GHz]	9.6	5.3	1.3	0.35	
BW [MHz]	50				
PRF [kHz]		up t	o 2		
<b>Rg res.</b> [m]	1.5	1.5	2.0	3.0	
<b>Az res.</b> [m]	0.2	0.3	0.4	1.5	
Pol/InSAR	-/+	-/-	+/o	+/o	
<b>Rg cov</b> [km]		3-	5		
Sampling	6-8 Bit complex; 100MHz;				łz;
	max number of samples 4 K per range line; 1 recording channel.				

#### **F-SAR technical characteristics**

	Χ	С	S	L	Р	
RF [GHz]	9.6	5.3	3.2	1.3	0.35	
BW [MHz]	800	400	300	150	100	
PRF [kHz]	up to 12					
Rg res. [m]	0.3	0.6	0.75	1.5	2.25	
Az res. [m]	0.2	0.3	0.35	0.4	1.5	
Pol/InSAR	+/+	+/o	+/+	+/o	+/o	
Rg cov [km]	12.5	(at m	nax.ba	ndwit	h)	
Sampling	8 Bit real; 1000MHz;					
	range line; 4 recording channels.					



### **E-SAR and F-SAR**



• The E-SAR and F-SAR are operated onboard DLR's DO228-212 D-CFFU by the Microwaves and Radar Institute in cooperation with DLR's Flight Facilities based in Oberpfaffenhofen

The F-SAR is currently in development and is planned to fully replace the E-SAR until middle of 2011

### **F-SAR X-Band Quad-Pol**

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### Main Satellite POLSAR sensors

- L-Band: ALOS-PALSAR JAXA/Japan in Jan 2006 followed by ALOS-PALSAR-2
- **C-Band:** RADARSAT-2 CSA-MDA in Dec 2007 followed by RADARSAT-3 & 4
- X-Band: TerraSAR-X DLR-Astrium in July 2007 with follow-on tandem mission Tan-DEM-X (Fig. 2) launched in June 2010
- **Tandem L-Band:** All of these efforts will be topped by joint DLR-JAXA TanDEM-L wide-swath, high-resolution fully polarimetric sensor implementation,

Table 1. Comparison of High-Level Parameters					
Parameter	PALSAR	RADARSAT-2	TerraSAR-X		
Orbit: LEO, circular	Sun-synchronous	Sun-synchronous	Sun-synchronous		
Repeat Period (days)	46	24	11		
Equatorial Crossing time (hrs)	22:30 (ascending)	18:00 (ascending)	18.00 (ascending)		
Inclination (degrees)	98.16	98.6	97.44		
Equatorial Altitude (km)	692	798	515		
Wavelength (Band)	23 cm ( <i>L</i> )	5.6 cm ( <i>C</i> )	3 cm (X)		
Fully polarimetric mode	Yes	Yes	Yes		



ALOS / PALSAR Japanese Space Agency (JAXA) L-Band (quad), 2006



RadarSAT-II Canadian Space Agency (CSA) C-Band (quad), 2007



TerraSAR-X German Aerospace Center (DLR) / Astirum X-Band (quad), 2007





### TerraSAR – X (1 & 2) (2010) Pol – InSAR Sensors TanDEM-X









The TerraSAR-X satellite bus claims heritage from the successful Champ and Grace Missions. The spacecraft bus features a primary structure with a hexagonal cross section. The active phased array SAR antenna is attached on the Earth-facing panel in the figure. The solar array is body-mounted, a satisfactory scheme for the sun-synchronous orbit plan. The X-Band down link antenna is mounted on a 3.3 m long deployable boom in order to prevent interference with the X-Band SAR instrument. This concept enables simultaneous data acquisition and data down link.

Table 1. Selected Mode Parameters					
Mode (selected)	<b>Resolution</b> (m)	Swath (km)	Looks	Polarization	
Standard, stripmap	3	30	1	HH or VV	
High-resolution Spotlight	1	10	1	HH or VV	
ScanSAR	16	100	1	HH or VV	
Quad-pol (experimental)	3	15	1	Full polarization	





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	ALOS	ALOS-2	
Launch	Jan. 24, 2006	May 24, 2014	
Orbit type	Sun-synchronous		
Altitude	690 km	628 km +/- 500 m (for reference orbit)	
Revisit time	46 days	14 days	
LSDN	10:30	12:00 +/- 15 min	
Sensor	PALSAR, PRISM, AVNIR-2	PALSAR-2	





	PALSAR	PALSAR-2			
Band	L-band Synthetic Aperture Radar				
Antenna	Active Phased Array Antenna type <b>one</b> dimensions scan (range)	Active Phased Array Antenna type <b>two</b> dimensions scan (range and azimuth)			
Antenna size	3m(El) x 9m(Az)	3m(El) x 10m(Az)			
Bandwidth	14/ <b>28</b> MHz	14 – <b>84</b> MHz			
Peak transmit Power	≥ 2000 W	5100W			
Observation swath	35– <b>350</b> km	25 – <b>490</b> km			
Resolution	Range : 10 m to 100 m Azimuth: 10 m to 100 m	Range : 3 m to 100 m Azimuth: 1 m to 100 m			



### **PALSAR-2** Specifications

		Spotlight	Ultra Fine	High sensitive	Fine	Scan nom	SAR inal	ScanSAR wide
Bandy	width	84MHz	84MHz	42MHz	28MHz	14MHz	28MHz	14MHz
Resol	ution	Rg×Az: 3×1m	3m	6m	10m	100m		60m
Swa	ath	Rg × Az : 25 × 25km	50km	50km	70km	350km 490km (5-scan) (7-scan		490km (7-scan)
Polariz	zation	SP	SP/DP	SP/DP/QP/CP		SP/DP		
NE	SZ	-24dB	-24dB	-28dB	-26dB	-26dB -23dB -23		-23dB
S/A	Rg	25dB	25dB	23dB	25dB	25dB		20dB
	Az	20dB	25dB	20dB	23dB	200	зB	20dB

SP: HH or VV or HV, DP: HH+HV or VV+VH, FP: HH+HV+VH+VV, CP: Compact pol (Experimental mode)

#### Main applications:

- Fine beam (DP) : Forest and land cover monitoring / DinSAR
- ScanSAR (DP) : Rapid deforestation / wetlands / (ScanSAR)InSAR
- Spotlight (SP) : Emergency observations
- Ultra Fine (SP) : Global map, InSAR base mapping

High sensitive (QP) : Global map

ScanSAR wide (SP) : Polar ice



ALOS is one of the largest Earth observing satellites ever developed, at 3850 kg. It is in a near-exact 45day repeat sun-synchronous orbit, 690 km altitude above the equator. The active phased array SAR antenna is obliquely Earth-facing, aligned with the spacecraft velocity vector. The solar array is arranged at right angles to the orbit plane, consistent with the near-mid-day orbit phasing. The X-band down-link must be shared with optical instruments, which constrains SAR operation times.

Table 1. Selected PALSAR Mode Parameters					
Mode (selected)	Resolution (m)	Swath (km)	Looks	Polarization	
Standard, stripmap	20 x 10	70	2	HH or VV	
Fine	10	70	1	HH or VV	
ScanSAR (5-beam)	~ 100	350	8	HH or VV	
Dual polarization	(as above)	(as above)	(as above)	(HH, HV), (VV, VH)	
Quad-pol	30 x 10	30	2	Full polarization	

# Scattering matrix decomposition theories and algorithms

- In order to relate polarimetric properties to scatterer characteristics, attempts were made first by Kennaugh, then Huynen to make use of the coherent Kennaugh matrix decomposition, which for the partially coherent case was then explored among others by Barnes & Holm, Durden & Freeman, and more recently by Yamaguchi & Singh.
- However, the full decompositions of Huynen & Kennaugh may still be superior (Touzi)

#### **VECTORIAL FORMULATION OF THE SCATTERING PROBLEM**

PAULI SCATTERING VECTOR 
$$\underline{k} = V([S]) = \frac{1}{2}Trace([S][\psi_P])$$

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#### SET OF 2x2 COMPLEX MATRICES FROM THE PAULI MATRICES GROUP

$$\begin{bmatrix} \psi_P \end{bmatrix} = \left\{ \sqrt{2} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \sqrt{2} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}, \sqrt{2} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \sqrt{2} \begin{bmatrix} 0 & -j \\ j & 0 \end{bmatrix} \right\}$$
$$\underbrace{k} = \frac{1}{\sqrt{2}} \begin{bmatrix} S_{XX} + S_{YY} & S_{XX} - S_{YY} & S_{XY} + S_{YX} & j(S_{XY} - S_{YX}) \end{bmatrix}^T$$

#### Advantage: Closer related to physical properties of the scatterer



LEXICOGRAPHIC SCATTERING VECTOR  $\underline{\Omega} = V([S]) = \frac{1}{2}Trace([S][\psi_L])$ 

Advantage: Directly related to the system measurables

#### **SCATTERING VECTOR TRANSFORMATIONS**



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Lexicographic Scattering Vector:





versity of Illinois Communications, Sensing & Navigation Lab Incoherent decomposition of FULL-POL-SAR data **Eigenvalue** analysis H/alpha/Anisotropy (1997) Model-based scattering power decomposition FDD: Freeman & Durden: 3-component (1998) Y40: 3-comp. + helix scattering = 4-comp. (2005) Y4R: 4-comp. with rotation (2011) S4R: Y4R + extended volume scattering (2012) G4U: S4R with additional unitary transform (2013) Hybrid Model-based/Eigenvalue decomposition Hybrid Freeman/Eigenvalue Decomposition (2009) General Hybrid Decomposition (2013)

Polarization matrices and their relations

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## Full-Pol-SAR Data

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The four-component decomposition of scattering powers Ps, Pd, Pv, and Pc

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under the condition of mono-static radar  $S_{HV} = S_{VH}$ 



Advantages of Coherency Matrix

$$\left\langle \begin{bmatrix} T \end{bmatrix} \right\rangle = \begin{bmatrix} T_{11} & T_{12} & T_{13} \\ T_{12}^{*} & T_{22} & T_{23} \\ T_{13}^{*} & T_{23}^{*} & T_{33} \end{bmatrix}$$

Г

п

Elements are related to physical scattering nature

The second order statistics of polarimetric information

All the information contained is the same as those of 3x3 covariance matrix

Easy to formulate and unitary transform

## FDD

Freeman and Durden 3-Component Scattering Power Decomposition<sup>[1]</sup>

$$\begin{bmatrix} T \end{bmatrix} = f_{S}[T_{S}] + f_{d}[T_{d}] + f_{v}[T_{v}]$$
$$\begin{bmatrix} T \end{bmatrix} = f_{s}\begin{bmatrix} 1 & \beta^{*} & 0 \\ \beta & |\beta|^{2} & 0 \\ 0 & 0 & 0 \end{bmatrix} + f_{d}\begin{bmatrix} |\alpha|^{2} & \alpha & 0 \\ \alpha^{*} & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix} + f_{v} \cdot \frac{1}{4}\begin{bmatrix} 2 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

with reflection symmetry  $\langle S_{HH}S_{HV}^* \rangle \approx 0$ ,  $\langle S_{VV}S_{HV}^* \rangle \approx 0$  for natural distributed targets



*Total P*ower *(TP)=* 

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[1] A. Freeman and S. L. Durden, ``A Three-component scattering model for polarimetric SAR data,`` IEEE TGRS, Vol.36, No. 3, pp. 963-973, May 1998.



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## 4-component scattering power decomposition algorithm using rotated coherency matrix

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Rotation of imsge



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How can the complete Full-POLSAR information be utilised in decomposition models?



unitary transformation of coherency matrix," IEEE Trans. Geoscie. Remote Sens., vol., no., pp., Sept. 2012. online available







Four-component decomposition

New rotated decomposition

Scattering power decomposition by rotation of coherency matrix for Niigata City area in Niigata Prefecture of Japan





Quad-Pol G4U

Yoshio Yamaguchi & Gulab Singh

2014 Dec. 10





G4U Rotated four component decomposition GU4/Yamaguchi
# PART-III POLSAR Applications for environmental remote sensing and geophysical hazard detection and subsequent disaster assessment

- 9. Application to environmental remote sensing in agriculture, forestry & aquaculture\
- 10. Application to earthquake detection and damage assessment
- 11. Application to volcano eruption assessment

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- 12. Application to Tsunami detection and disaster assessment
- 13. Application to Mega-cyclone disaster assessment

# Monitoring of surface deformations using differential SAR interferometry and Polarimetry

- Application to Earthquake Detection
- Application to Volcano Eruption
- Application to Tsunami Detection and Disaster Assessment
- Application to Mega-Cyclone Disaster Assessment



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## Off-Tohoku 9.0 Earthquake with Super-Tsunami









# Ishinomaki harbor 38\*25'N, 141\*18'E

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Destruction of City and Harbor of Ishinomaki by 110311 Tsu-nami (Harbor-Wave)





# Off-Tohoku M9 Seaquake & Tsunami 110311

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# Off-Tohoku M9 Seaquake & Tsunami 110311

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# Off-Tohoku M9 Seaquake & Tsunami 110311

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WIDEBAND INTERFEROMETRIC SENSING AND IMAGING POLARIMETRY



# ALOS-PALSAR Polarimteric Mode

# Ascending Indonesia

# 2007/3/10

Data no. ALPSRP059887030 ALPSRP059887040 **2009/3/15** 

Data no. ALPSRP167247030 ALPSRP167247040

©JAXA, METI

# Yoshio Yamaguchi



Indonesia -7.942N 112.870E

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2007/3/10

ALPSRP059887030-P1.1\_\_A

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Scattering power Decomposition





Google earth optical image



Decomposed image (Ps, Pd, Pv)





Mount Semeru puffs steam behind a cloud of sulphur gas from Mount Bromo in the Tengger caldera on Java.













G4U

Y4R



Y40

Y4R4C

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#### **Comparison of YAMAGUCHI & Gulab SINGH Decompositions**

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#### ALPSRP262863760-P1.1\_\_D © JAXA, METI

Google Earth Optical Image

← N

2010/12/31

UI

Polarimetric scattering power decomposition

Niigata University









MERAPI Volcano viewed from Main Boulevard of UGM in YOGYAKARTA, JAVA/INDONESIA

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Gunung Merbabu & Gunung Merapi

University of Illinois at Chicago

WMB viewing Merapi activation on 2013-07-15

Y4R















## **Tsunami Wave Appearance**

Source: www.waveofdestruction.org

- A tsunami wave crest has three general appearances from shore:
  - Fast-rising tide

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- Cresting wave
- A step-like change in the water level that advances rapidly (called a bore)



- Series of waves
  - Most tsunamis come in a series of waves that may last for several hours
  - The outflow of water back to the sea between waves can cause more damage than the original incoming wave fronts
  - The first wave is rarely the largest



#### North-East Indian Ocean Tsunami, 2004 December 26







# "Natural hazards are inevitable. Natural disasters are not."

# ALOS-PALSAR Polarimteric Mode: 2007 ~ 2010

Ascending

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# Indonesia



# 2007/3/10

Data no. ALPSRP059887030 ALPSRP059887040 **2009/3/15** 

Data no. ALPSRP167247030 ALPSRP167247040

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#### Indian Ocean Tsunamis: 1833 & 2004

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Hannah Fairfield/The New York Times, Science Section, January 4, 2005



## The 26-Dec-2004 Tsunami





Maximum water elevation meters 20° 10.00 2.00 - 1.75 1.50 10° 1.25 1.00 0.75 -0.50 0° 0.25 0.00 -10° 🗧 100° 70° 80° 90°

A.Piatanesi - INGV



#### **Banda Aceh Overview**



**(Before Tsunami)** Imagery collected April 12, 2004 DigitalGlobe (After Tsunami) Imagery collected January 2, 2005 DigitalGlobe



#### **South-East Asia**





# A flurry of ruptures have occurred since 2000





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Krakatau PiSAR-2 L-band 2013



# Krakatau

# L-band SAR image by Pi-SAR-L2



Ν
# SAR Meteorology

- Although various airborne & satellite weather radars had been developed, the need for meteorological SAR is in urgent need because of detecting close to surface phenomena over land and ocean:
  - The first positive implementation resulted from TerraSAR-X, being demonstrated for both cloud cover and volcano plume assessment; and the Addition of a K<sub>a</sub> Band fully polarimetric POLSAR Satellite sensor would be of great use.



The TerraSAR-X Satellite

#### Physical interpretation of rain cell signatures

- Partial backscattering at hydrometeors (precipitation volume)
- Attenuation of incident wave

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# **Overview of effects**

#### Effects:

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- delay
- attenuation
- noise
- scintillation

#### caused by:

- atmospheric gases
- rain, precipitation
- clouds, fog
- ionosphere





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u 40 60 80 Rainrate [mm/h]

# Slant range reflectivity profile ("A-scope") for the rain cell cut from a very recent TerraSAR-X measurement

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#### **Recent examples of propagation effects recorded withTerraSAR-X**

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WIDEBAND INTERFEROMETRIC SENSING AND IMAGING POLARIMETRY

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#### Iceland, Eyjafjallajökull Volcano









#### WIDEBAND INTERFEROMETRIC SENSING AND IMAGING POLARIMETRY

# **NASA-JPL UAVSAR on Global Hawk**

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#### **Most affected regions**

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WIDEBAND INTERFEROMETRIC SENSING AND IMAGING POLARIMETRY

# **Upcoming High-altitude PolSAR Sensors**



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(HH-VV, HV, HH+VV)



#### **Prediction of Future Megastorms: California & East Coast**



#### PART-IV, Needs for Multi-Band & Tandem Satellites & Future Outlook

- 14. New space borne Tandem SAR satellite sensors, P, L, S, C, X, K, V, W-bands
- 15. Additional Back-up Airborne FSAR Test measurements in all pertinent bands
- 16. Development of additional UAW Multi-Band POLSAR sensors
- 17. Development of Equator-orbiting wide-swath, multi-band POLSAR Satellite sensors
- 18. Identification of regional needs for Equator-orbiting sensors: Pacific ocean & islands,

Africa & Atlantic, South & Central America

- 19. Natural and Man-made Interferences and its reduction
- 20. Acquisition of Natural Background Terrestrial Radiation
- 21. Textbooks and Tutorials

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# **Outlook & Future Needs**

- New Sensors, space-borne: TandemSAR-X, TandemSAR-L (Destiny), ...
- -New Sensors, air-borne: F-SAR (P, L, S, C, X, K, V, W) , ...
- New Sensors, ultrahigh air-borne: JPL-UAV (Global Hawks), .....
- Algorithm Developments: Fully Polarimetric RP-POLinSAR assessment
- Applications: Focused increase providing clear-cut successes

POLinSAR 2011 Single & Tandem Spaceborne POL-SAR Sensors, Increase number of Text books & Training Workshops

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Global Monitoring of Bio-, Geo-, Cryo- and Hydrosphere processes with hith temporal and spatial resolution. (Prof. A. Moreira – POLINSAR09)

# **Radar Interferometry**









### TerraSAR – X (1 & 2) (2010) Pol – InSAR Sensors TanDEM-X



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# Microwaves and Radar Institute





# Tandem-L: Imaging Capacity and Coverage Analysis for a Nearly Eqautorial Orbit

#### **German Aerospace Center - DLR**

Microwaves and Radar Institute

Oberpfaffenhofen







# Digital Beamforming with Reflector Antennas



WIDEBAND INTERFEROMETRIC SENSING AND IMAGING POLARIMETRY





WIDEBAND INTERFEROMETRIC SENSING AND IMAGING POLARIMETRY



# **Digital Beamforming with Reflector Antennas**







# Simulation Parameters for a Tandem-L Satellite with a nearly Equatorial Orbit

Repeat Periode	3 days
Repeat Cycles	44
Near Range	180 km
Swath Width	547 km
Orbit Inclination	20 degrees

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# University of Illinois Communications: Sensing & Navigation Hab a Orbit Engineering



# Development of equatorially orbiting wide-Swath, multi-band POLSAR sensor technology

- The challenge is to develop equatorially orbiting SAR, preferably POL-SAR satellite sensors, within the desirable P/L/S/C/X/Ka multibands, which does pose severe technological problems due to the steep incidence-angle illumination on one hand, and because of the fact that the major SAR Technology Designers reside far outside the equatorial belt not being excited about SAR sensor development for the tropical belt anywhere.
- Once this urgent goal is achieved, local regions could be observed daily up to 12 to 14 times well suited for equatorial monitoring within orbits of +/- 20\* latitude, covering both the land and ocean regions essential for environmental protection and meteorological forecasting, respectively, on a hitherto unprecedented global level.

#### **Satellite Image of Terrestrial Vegetation Cover**





#### Simulation Parameters for a Tandem-L Satellite

# with a nearly Equatorial Orbit covering total

Equatorial belt, +/-23.5°

Repeat Periode	3 days
Repeat Cycles	44
Near Range	180 km
Swath Width	547 km
Orbit Inclination	20 degrees



Change of Inclination Angle from 35 ° to 20°



#### Simulation Parameters for a Satellite with a

# 500 km Swath (Access Range) and a

### nearly Equatorial Orbit, +/-23.5°

Repeat Periode	3 days
Repeat Cycles	46
Near Range	180 km
Swath Width	680 km
Orbit Inclination	35 degrees



Change of Inclination Angle from 20 ° to 35 °

# Daily Coverage for SAR in Nearly Equatorial Orbit covering +/- 18°

Repeat Periode	1 day
Repeat Cycles	15
Swath Width	370 km
Near Range	180 km
Far Range	550 km
Looking Direction	Right
Orbit Altitude	554 km
Orbit Inclination	8 degrees

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Change of Inclination Angle from 12° to 8 °

## Daily Coverage for SAR in Nearly Equatorial Orbit

Repeat Periode	1 day
Repeat Cycles	14
Swath Width	600 km
Near Range	180 km
Far Range	780 km
Looking Direction	Left
Orbit Altitude	880 km
Orbit Inclination	12 degrees



#### Change of Inclination Angle from 8° to 12°

# **Tandem-L**



#### LAPAN-A2 ORBIT PROFILE

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(14 pass per 24 hr / orbit time 100 minutes and stay above horizon at about 10 minutes)



## Recent Advances in Fully Polarimetric Space SAR Development and Its Applications

**Conclusions:** 

The Vector (Polarization) Electromagnetic Spectrum: A Natural Global Treasure

Terrestrial Remote Sensing with PoISAR : The Radiology of the Health of the Earth at all weather and volcanic conditions and at day and night ⇔ leading to the Diagnostics of natural and man-made diasters