

# SAR Marine Applications

## Currents and Objects

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Universität Hamburg  
DER FORSCHUNG | DER LEHRE | DER BILDUNG

**FAKULTÄT**

FÜR MATHEMATIK, INFORMATIK  
UND NATURWISSENSCHAFTEN



# SAR Maritime Applications

## Friday, 9 Sep, Morning:

### 1 - History & Basics

- Introduction
- Radar/SAR History
- Basics
- Scatterometer

### 2 - Wind and Waves

- SAR Wind Fields
- Storms, Tropical Cyclones
- Ocean Surface Waves
- Oceanic Internal Waves
- Marine Surface Films
- Rain

## Friday, 9 Sep, Afternoon:

### 3 - Currents and Objects

- Surface Currents
- Sea Bottom Topography
- Ship Detection
- Oil Pollution Monitoring
- Sea Ice

### 4 - Practicals

- Sentinel 1 Toolbox:
- Georeferencing, Mosaics
- Image Interpretation
- Wind Fields, Oil Pollution,
- Sea Ice, Objects





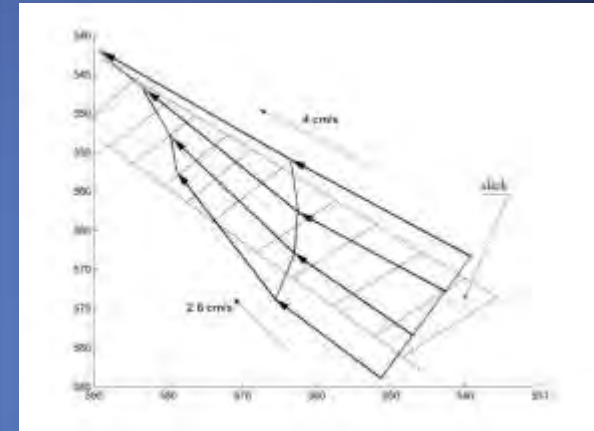
# Surface Currents



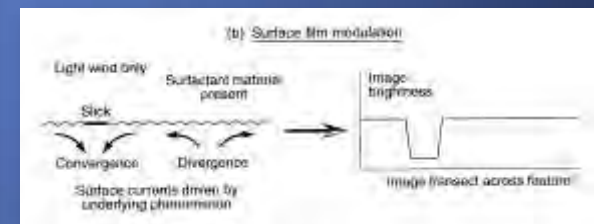
# SAR Imaging of Surface Currents



## Slicks & eddies



[Gade et al., 1998]



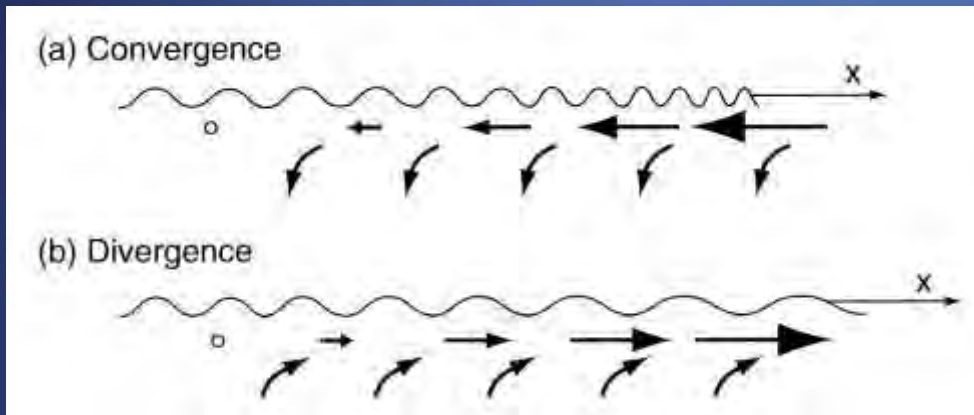
[Robinson, 2003]

ERS SAR Image (C-VV; 70 km × 70 km)  
Bering Strait  
(24 June 1997, 22:30 UTC, © ESA)

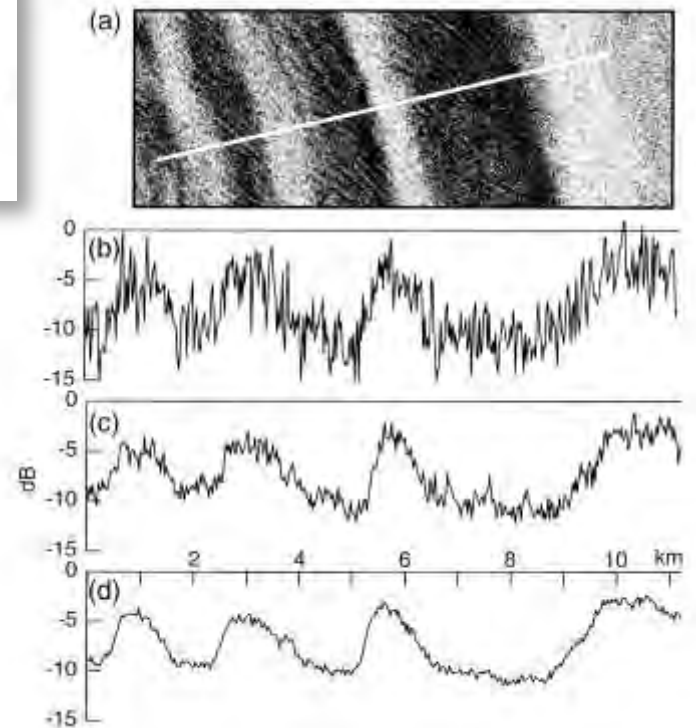


# Hydrodynamic Processes at the Sea Surface

## Imaging by SAR



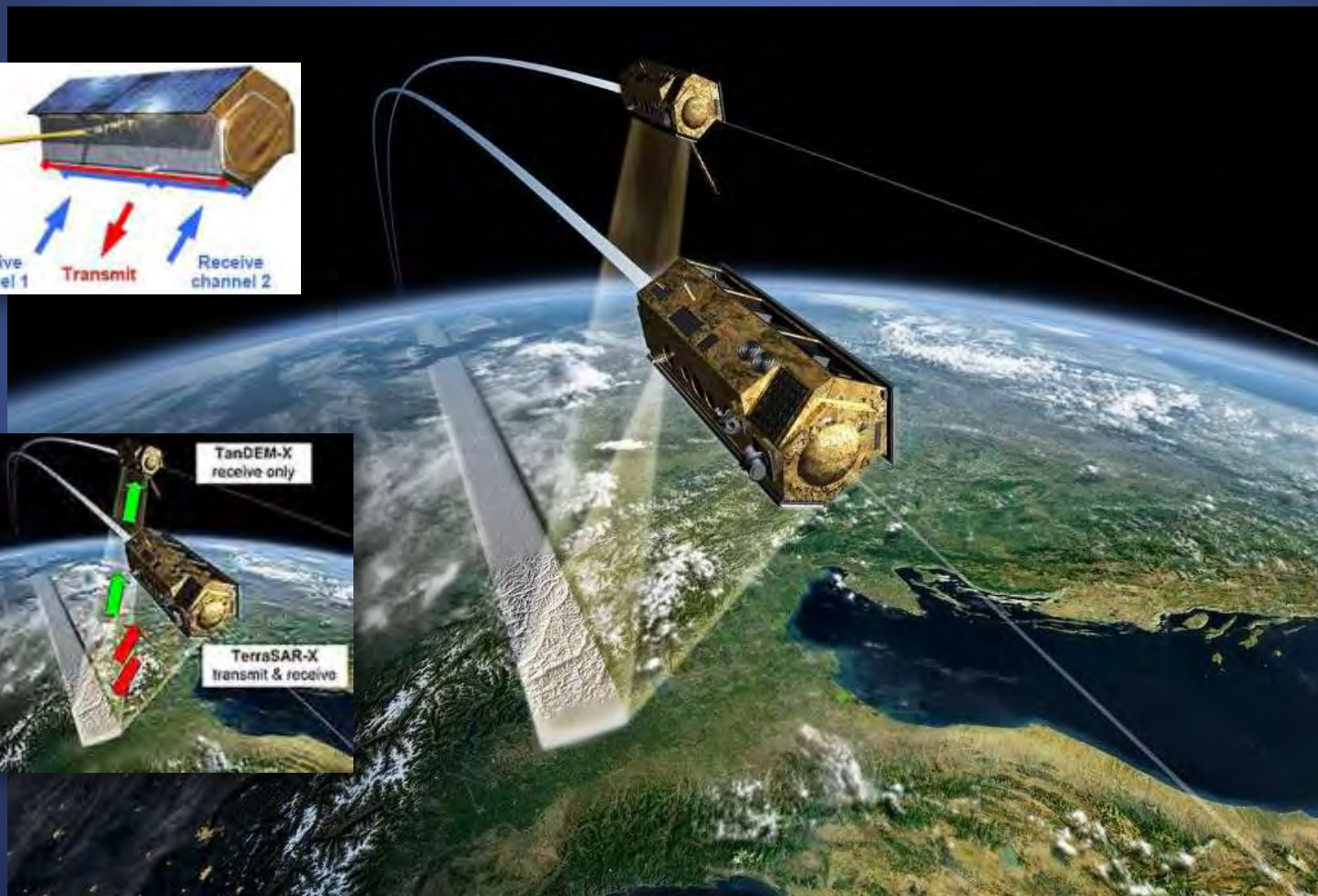
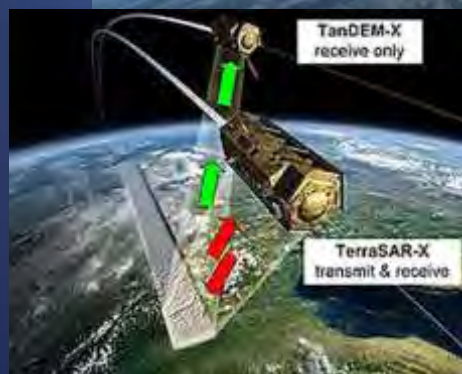
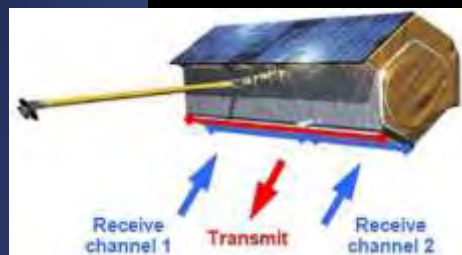
[Robinson, 2003]





# Along-Track Interferometric (ATI) SAR

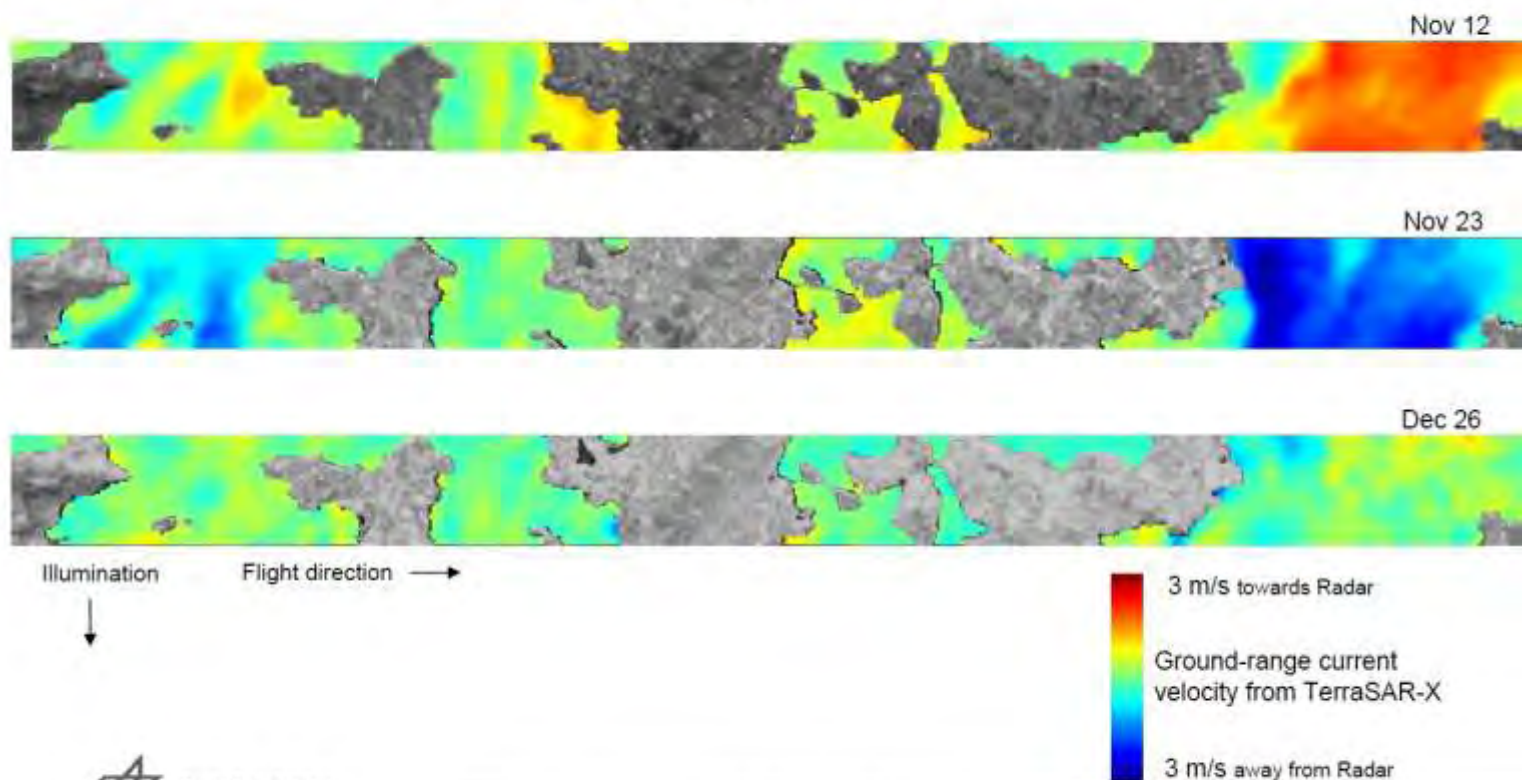
## TerraSAR-X / TanDEM-X





# Along-Track Interferometric (ATI) SAR

## Surface Current Velocities from TerraSAR-X ATI (AS-Mode) Orkney Islands, 2009



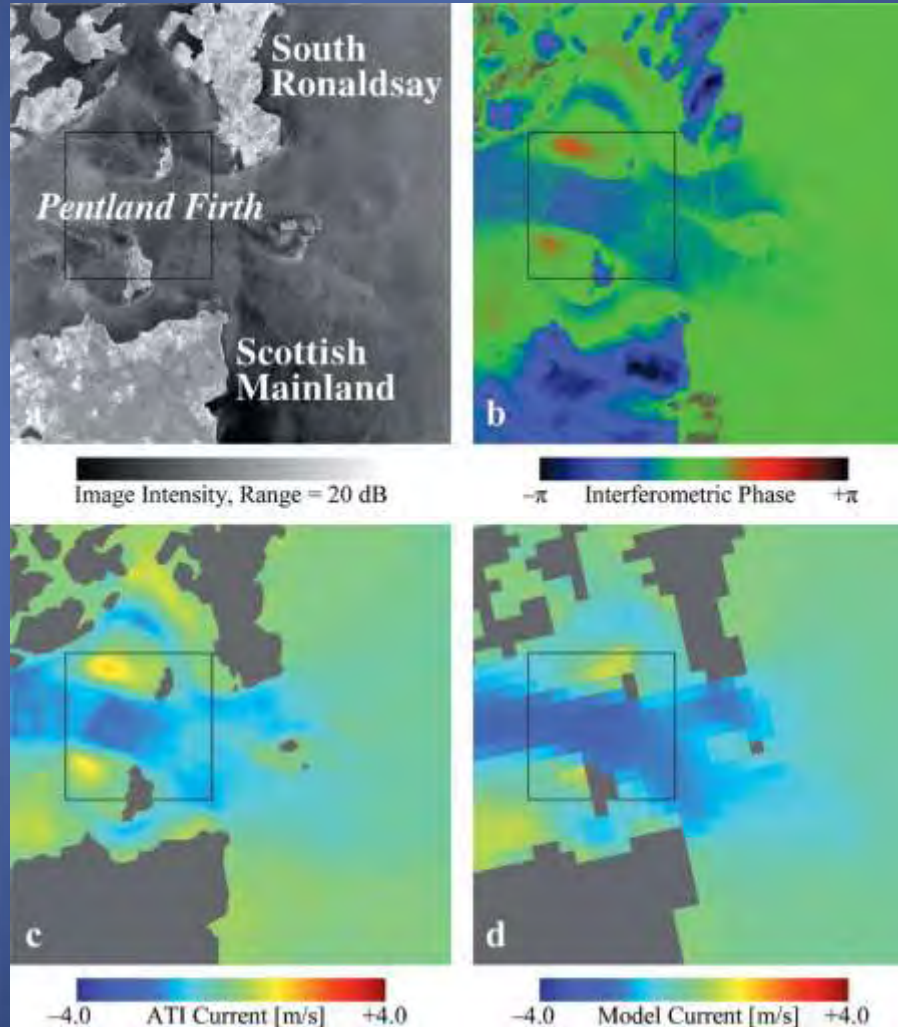
Deutsches Zentrum  
für Luft- und Raumfahrt e.V.  
in der Helmholtz-Gemeinschaft

Current estimation: R. Romeiser (University of Miami), Pre-Processing: S. Suchandt (DLR)



# Along-Track Interferometric (ATI) SAR

TanDEM-X SAR Image  
(X-VV; 30 km  $\times$  30 km)  
Pentland Firth (Scotland)  
(26 February 2012,  
06:41 UTC,   DLR)



Interferometric  
phase image

radar look direction

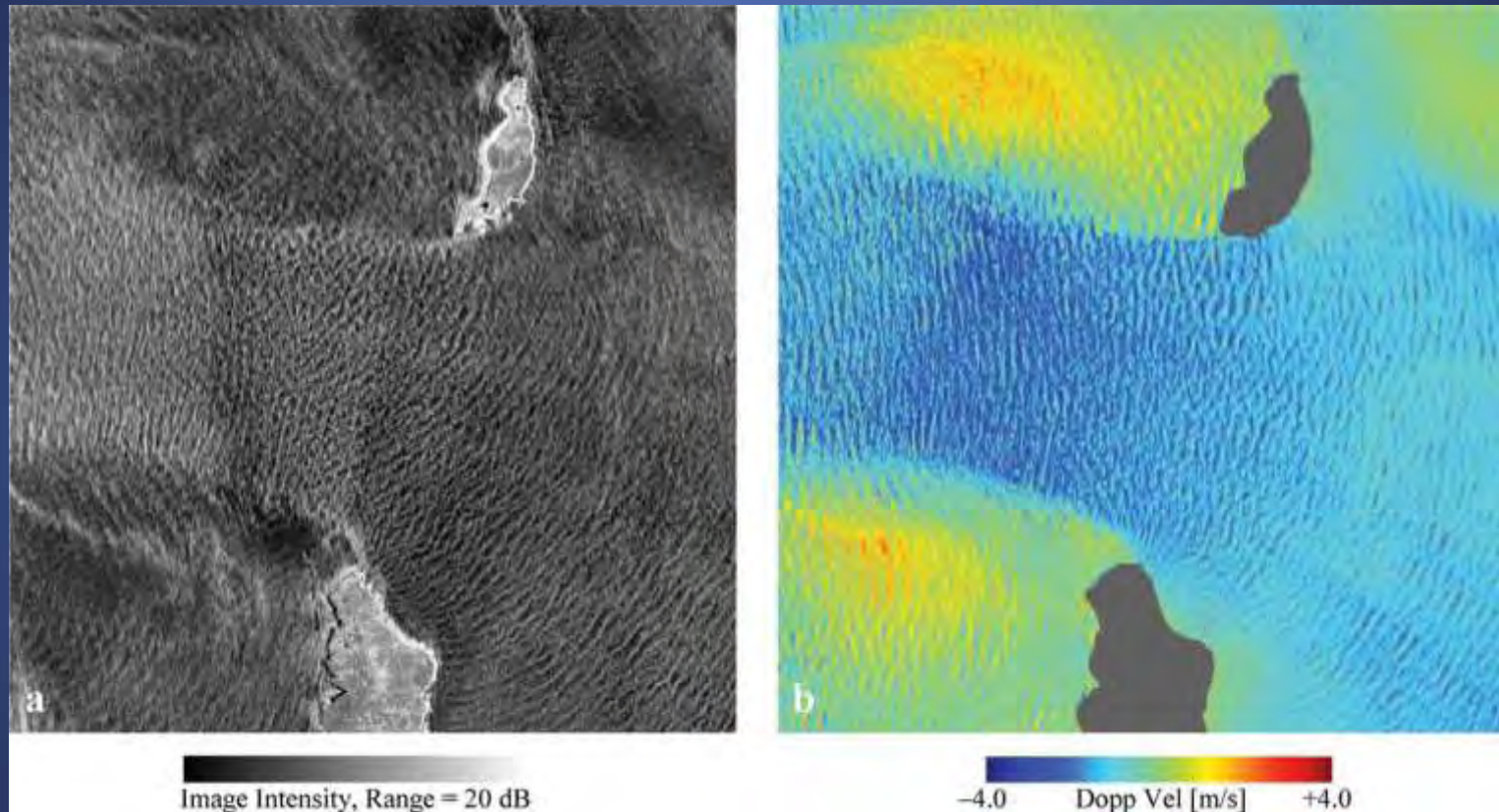
Derived line-of-sight  
current field

Reference current field  
from numerical tide  
computation system

[Romeiser, 2013]



# Along-Track Interferometric (ATI) SAR



TanDEM-X SAR Image (X-VV; 10 km × 10 km)  
Pentland Firth (Scotland)  
(26 February 2012, 06:41 UTC, © DLR)

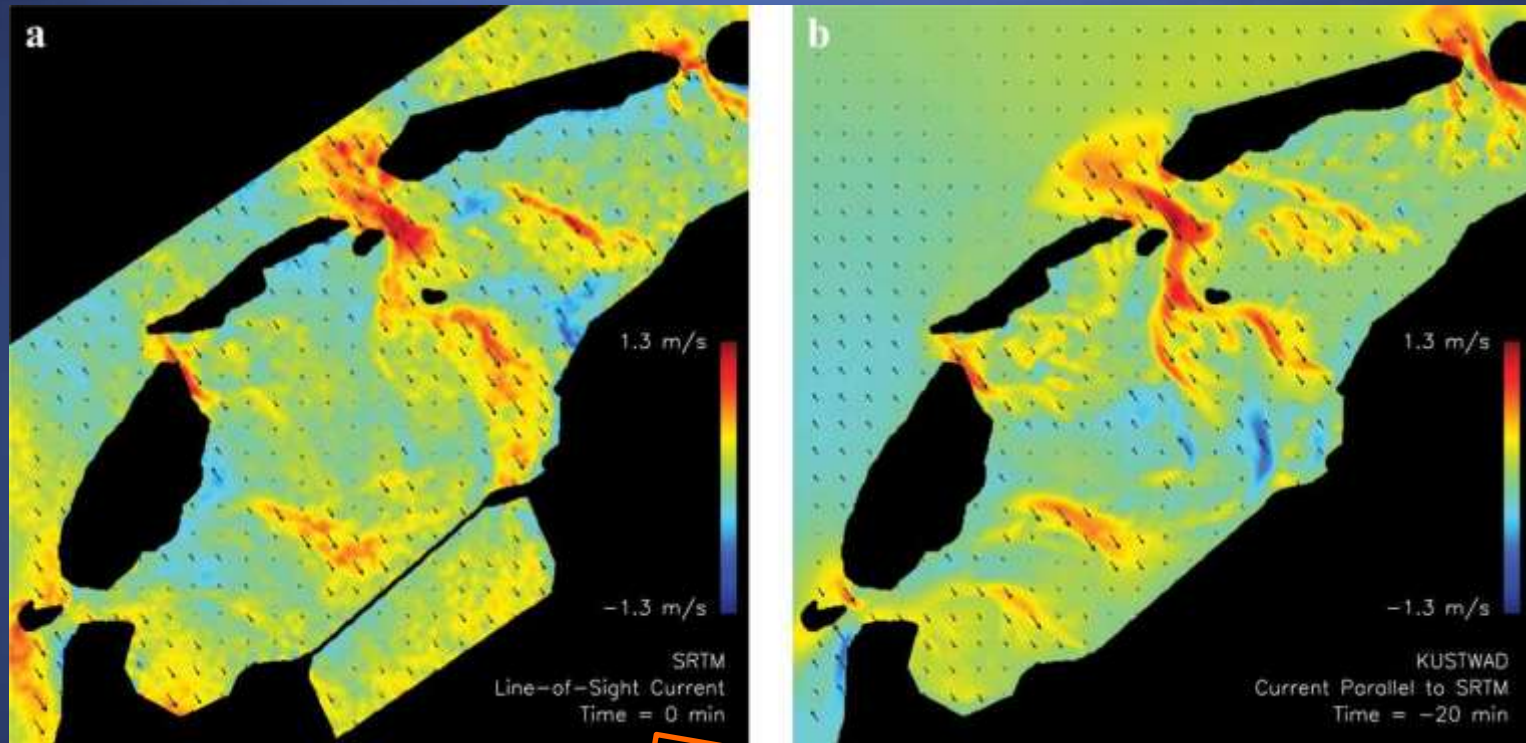
Derived line-of-sight current field:  
full-resolution Doppler velocity image

radar look direction

[Romeiser, 2013]



## Along-Track Interferometric (ATI) SAR



SRTM (X-VV; 70 km × 70 km)  
Dutch Wadden Sea  
(15 February 2000, 12:34 UTC)

Reference current field from a  
numerical circulation model

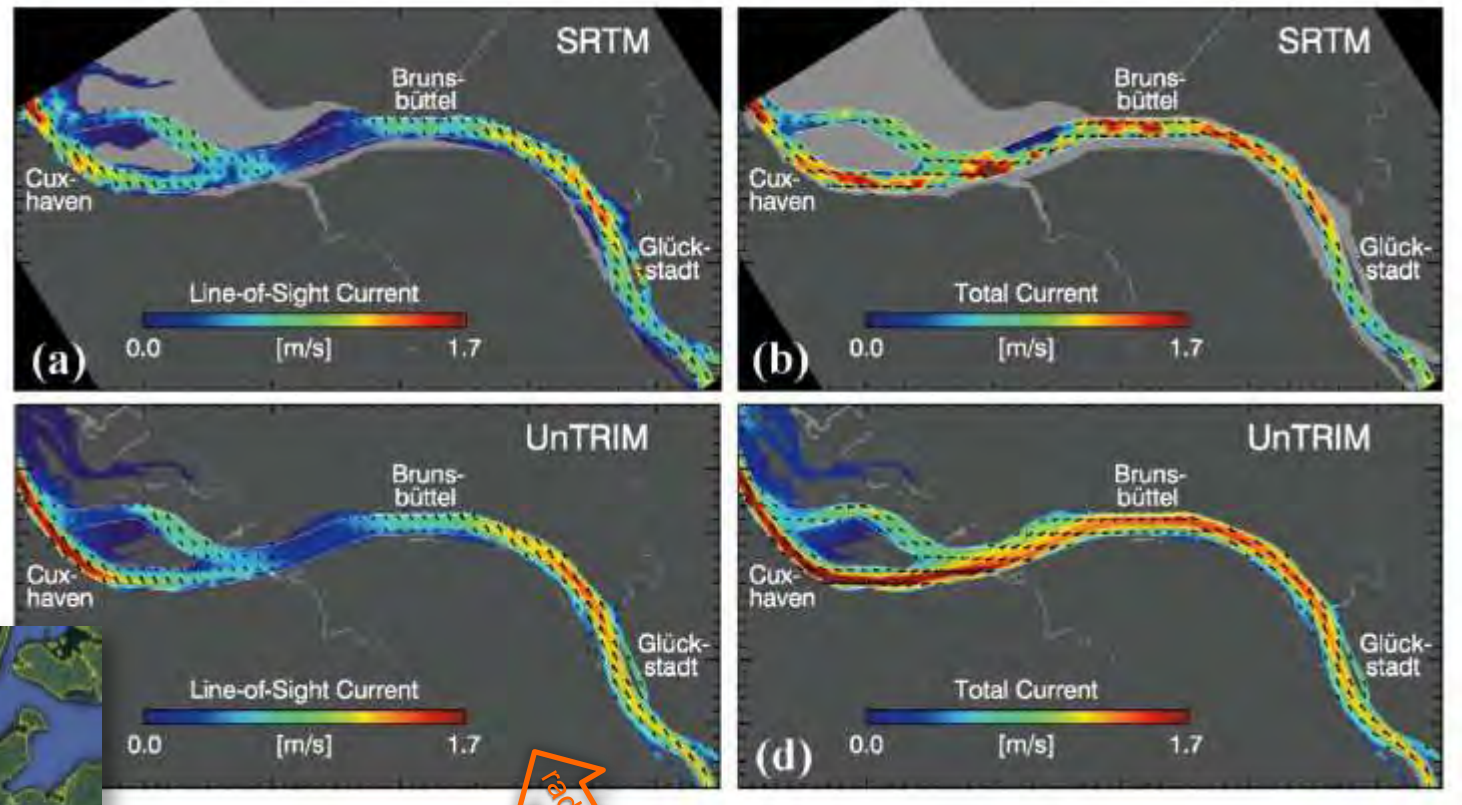
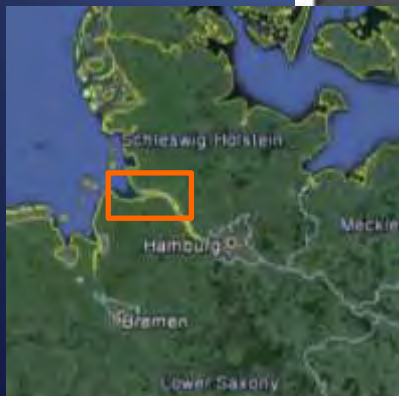
[Romeiser, 2013]



# Along-Track Interferometric (ATI) SAR

SRTM (X-VV;  
55 km  $\times$  30 km)  
Elbe river

Current field  
from a  
numerical model

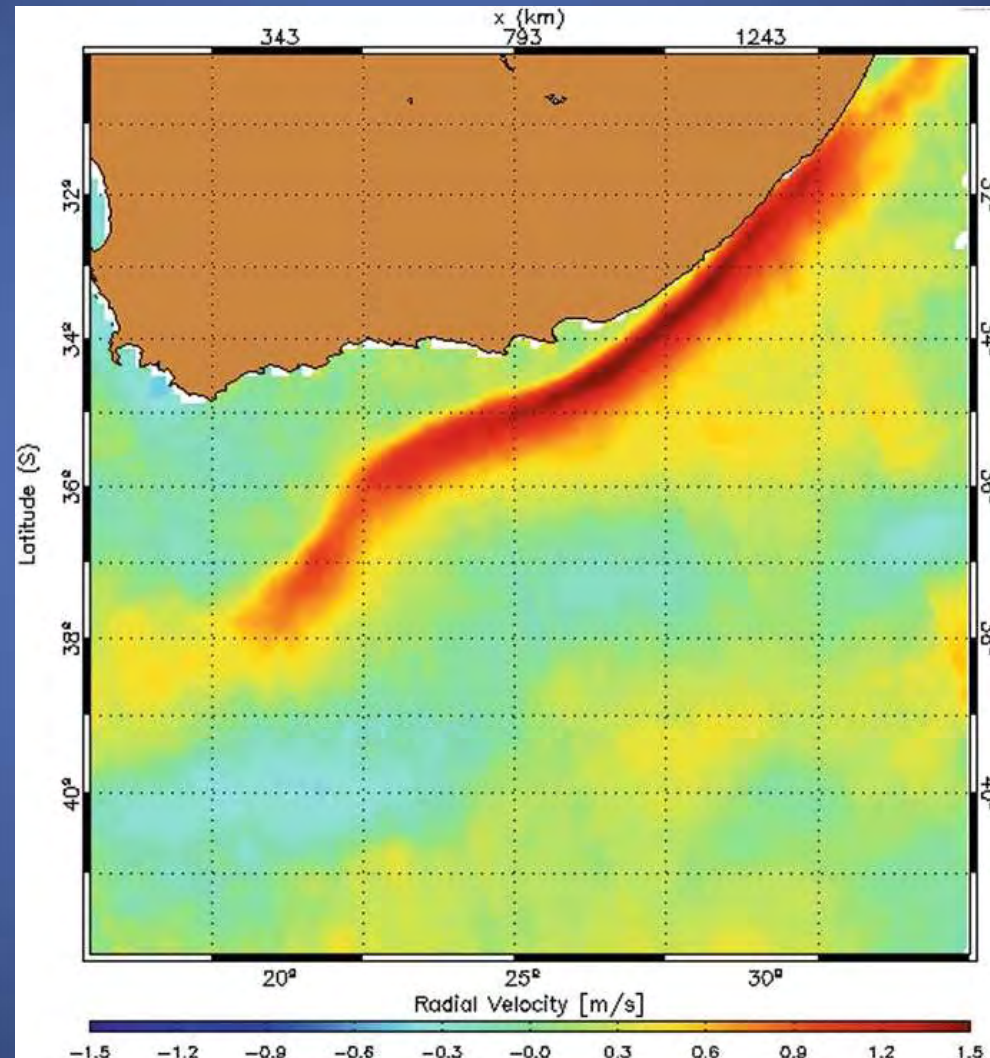


[Barale & Gade, 2008]



# Radial Doppler Velocities from SAR Imagery

Mean radial Doppler velocities derived from 4 years ('07-'11) ASAR data



Mean westward current;  
Agulhas Current (S Africa)

[Barale & Gade, 2014]





## Surface Currents Take-Home Messages

Derivation of sea-surface currents:  
tracking of features  
Along-Track Interferometry  
Doppler velocities

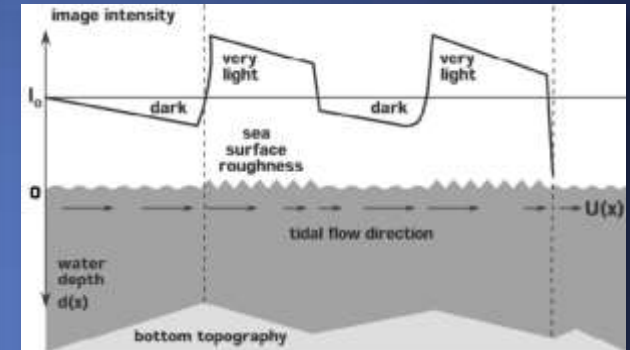
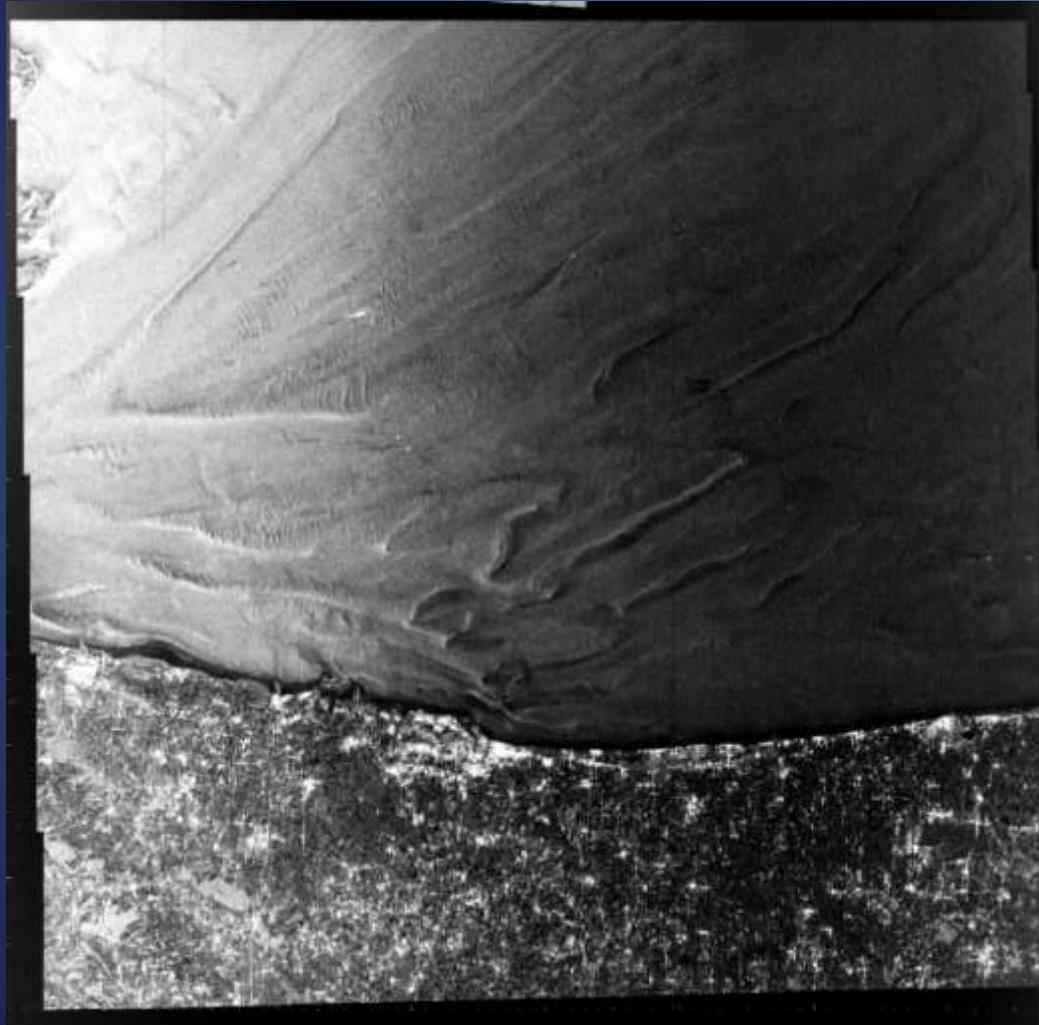




# Sea Bottom Topography



# SAR Imaging of Sea Bottom Topography

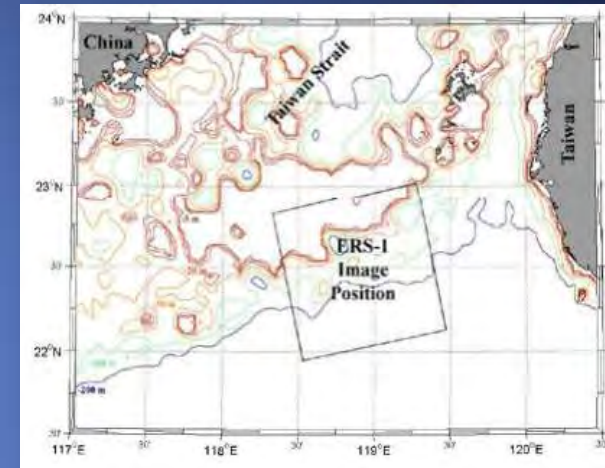
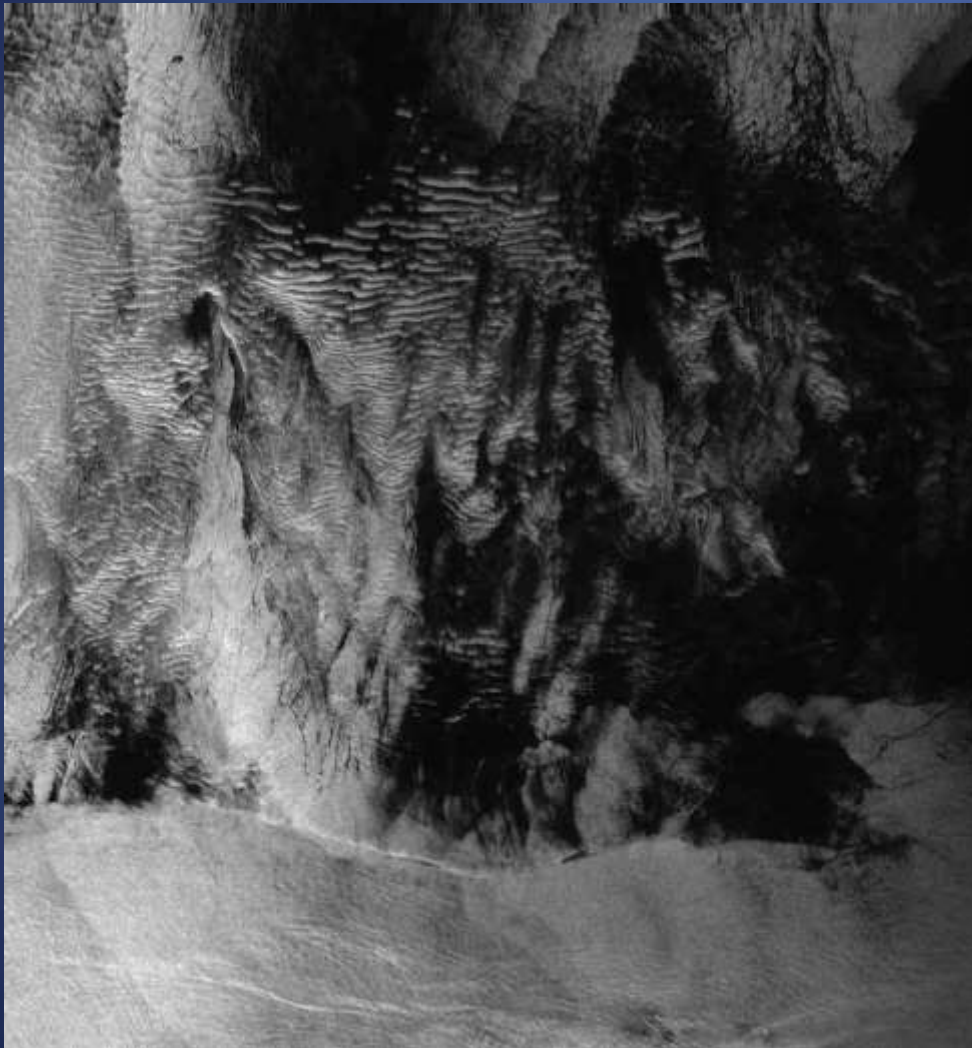


[Jackson & Apel, 2004]

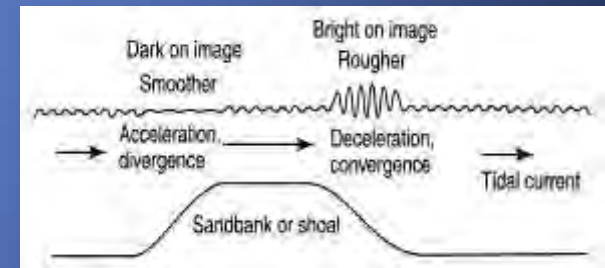
Seasat SAR Image (L-HH, 100 km × 100 km)  
English Channel  
(August 1978, © NASA)



# SAR Imaging of Sea Bottom Topography



[Jackson & Apel, 2004]



[Robinson, 2003]

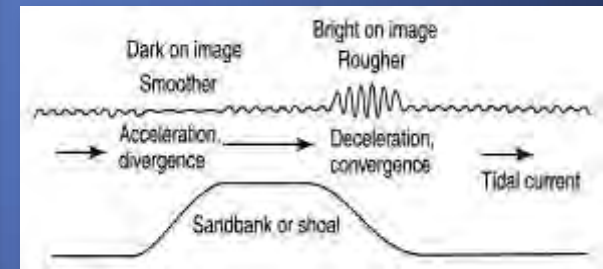
ERS-1 SAR Image (C-VV, 100 km × 100 km)  
Taiwan Tan Shoals  
(27 July 1994, 14:31 UTC, © ESA)



# SAR Imaging of Sea Bottom Topography



[Jackson & Apel, 2004]



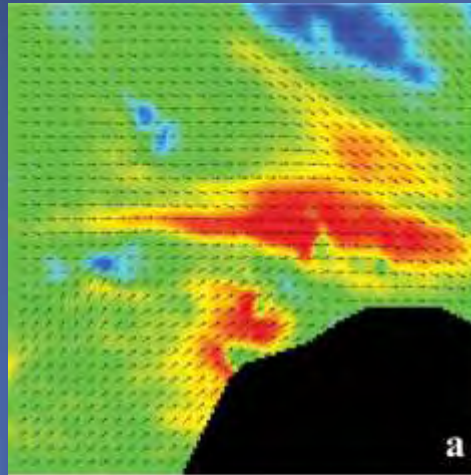
[Robinson, 2003]

ERS-1 SAR Image (C-VV, 100 km × 100 km)  
Chinese Coast  
(8 July 1995, 0234 UTC, © ESA)

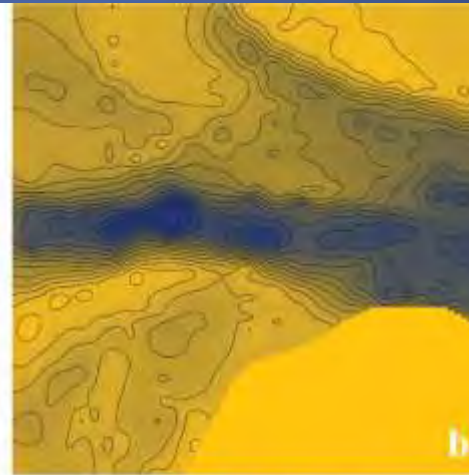


# Bathymetry Maps from ATI Measurements

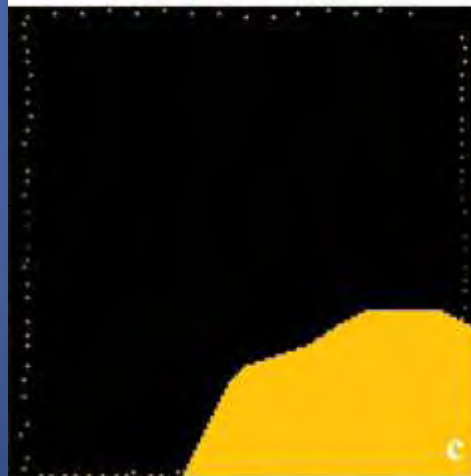
Airborne ATI-derived  
current vector field  
(German island of Sylt,  
experiments May 2001,  
3.5 km  $\times$  3.5 km)



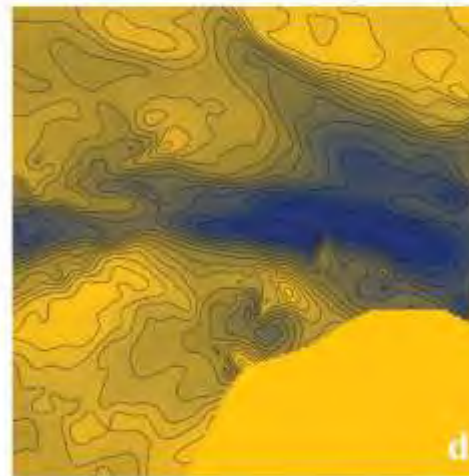
Depth map from  
echosoundings



Selected reference  
depth points



Depth map derived  
from reference points



0.0 Current [m/s]  $\geq 1.2$

30 Depth [m] 0

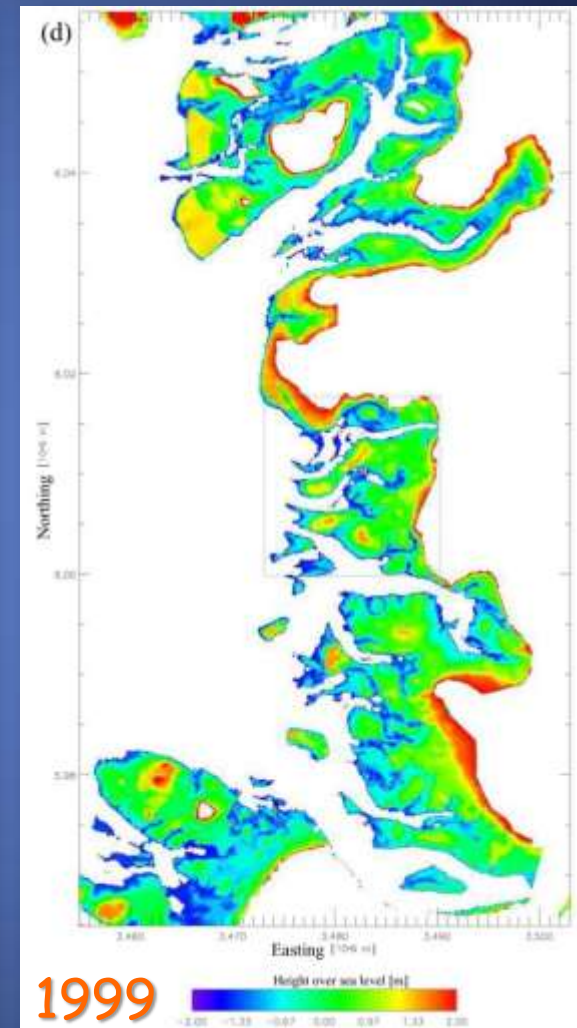
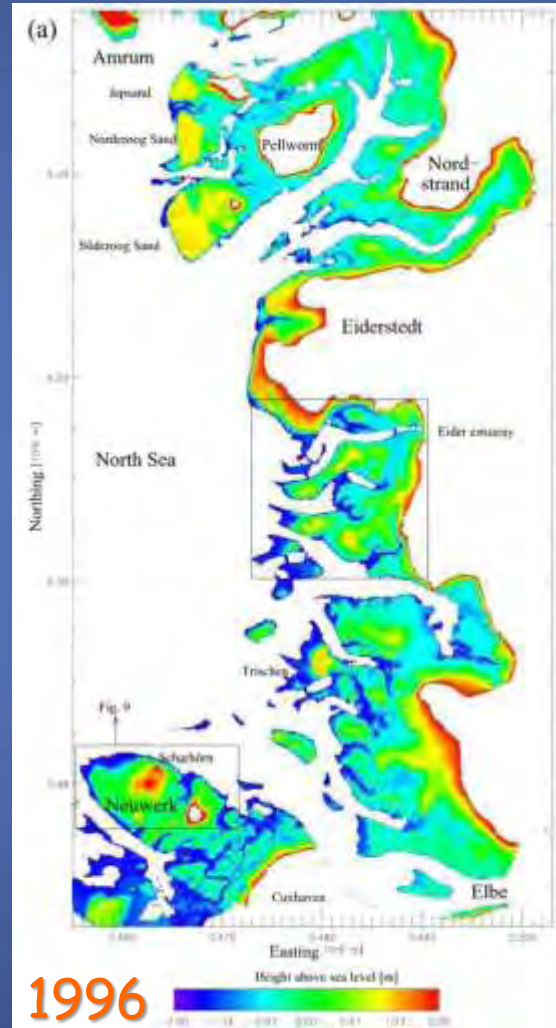
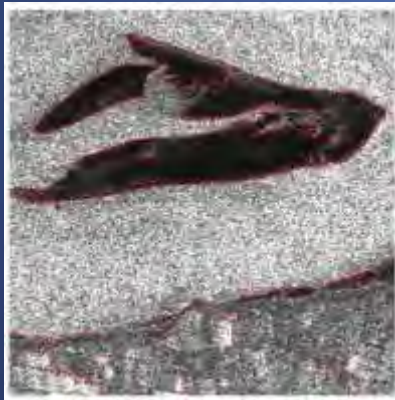
[Romeiser, 2013]



# Bathymetry Maps from Multiple SAR Imagery

## Waterline Method

Extract waterlines from multiple SAR images acquired at different tidal phases (precise water levels needed)



[Heygster et al., 2010]



# Bathymetry Maps from Wave Statistics

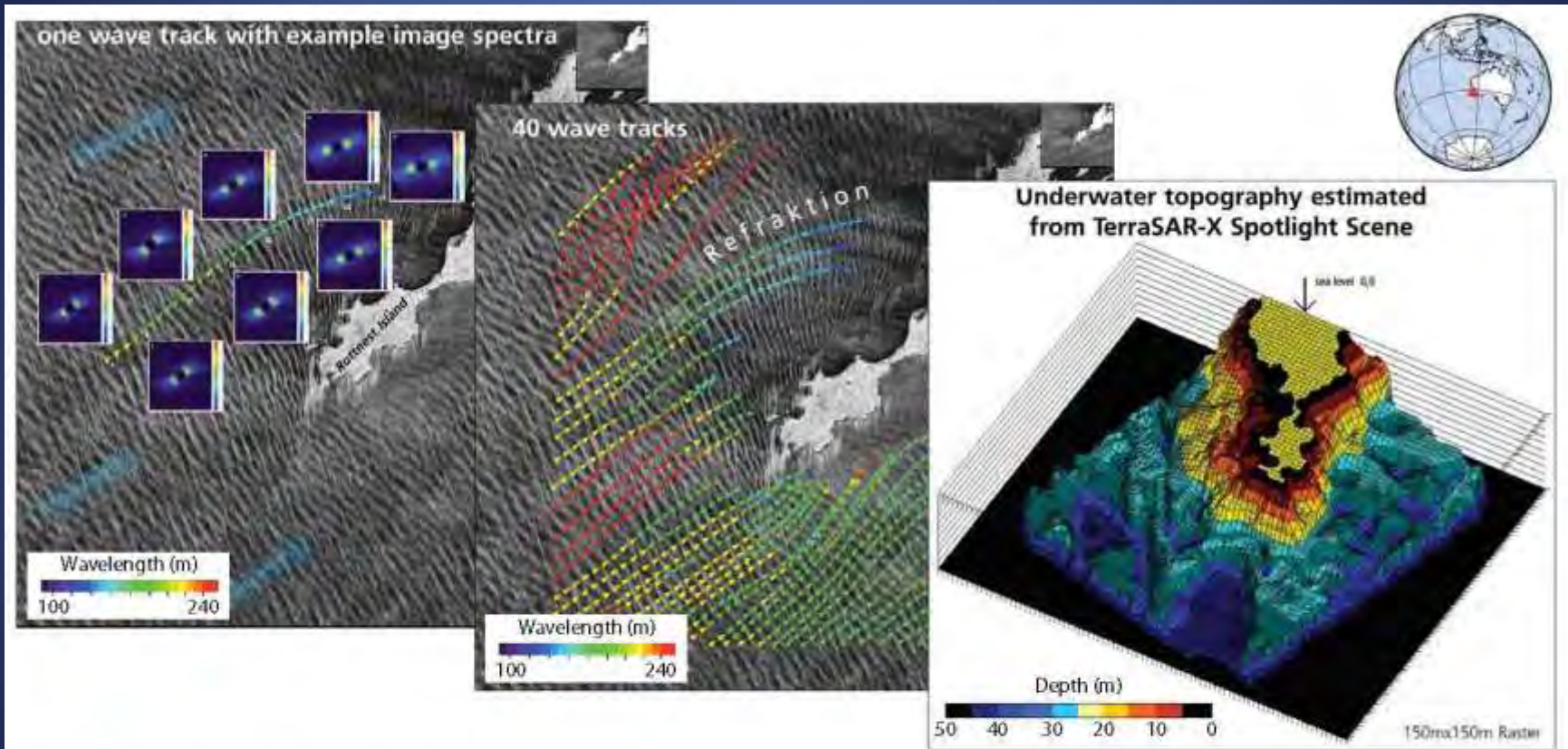


Figure 3. TerraSAR-X spotlight image (left) with dimensions of 10 km  $\times$  10 km and resolution of 1 m acquired over Rottenest Island, Australia, on October 20, 2009. (left) Normalized radar cross section (NRCS) and one wave track with example Image spectra. (center) Forty wave rays (colored lines) tracked on the image. (right) Bathymetry (uniform raster, 150 m resolution) estimated from the TerraSAR-X Image data. To complete the bathymetric maps in the shallowest areas ( $< 10$  m water depth) near the coastline, optical data from the QuickBird satellite were used.

[Lehner et al., 2013]





## Sea Bottom Topography Take-Home Messages

Current variations: roughness variations  
Current models (shallow waters)  
Waterline method (intertidal flats)  
Wave refraction (coasts)

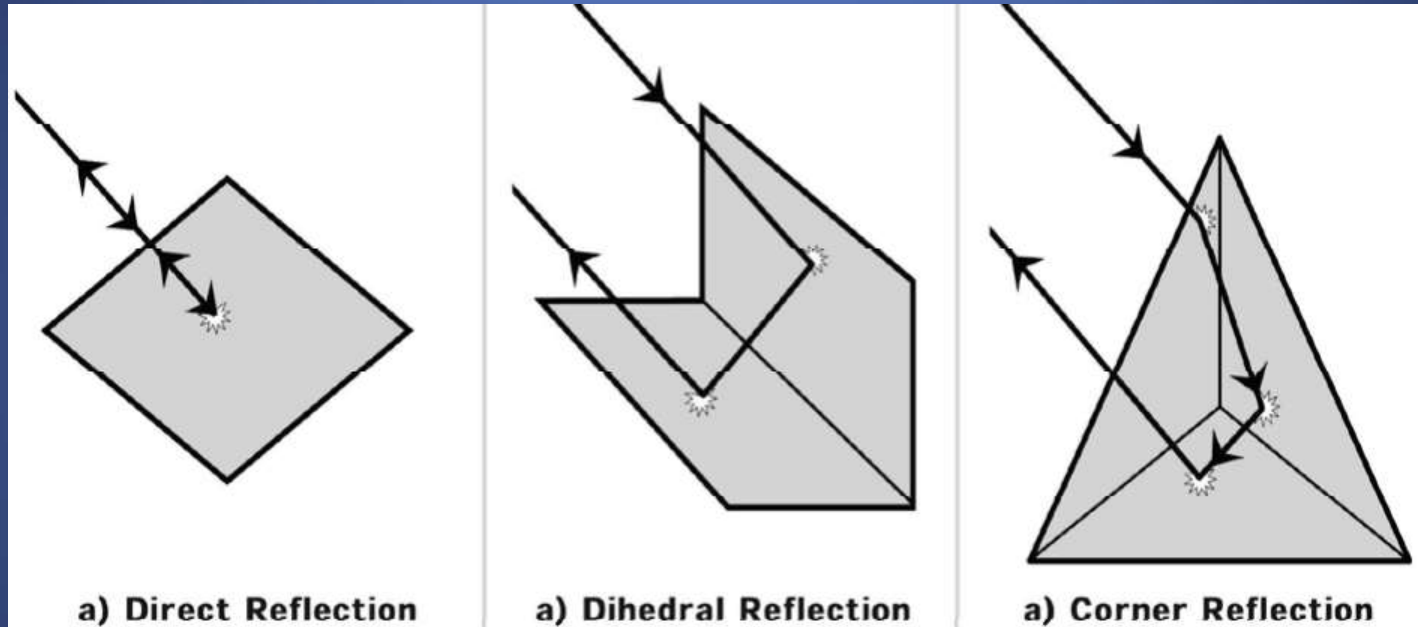




# Ship Detection



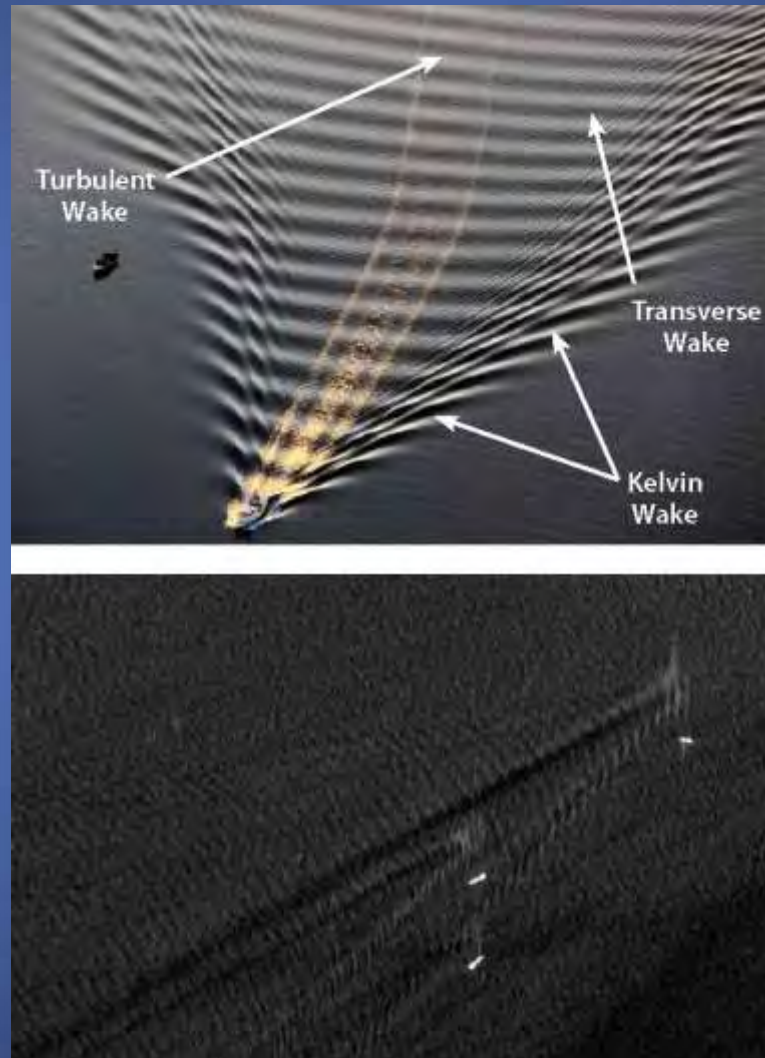
# Radar Backscattering from Metal Constructions



[Jackson & Apel, 2004]



# Ship Wakes



[Mallas & Graber, 2013]



# Multi-Polarization SAR Imaging of Vessels

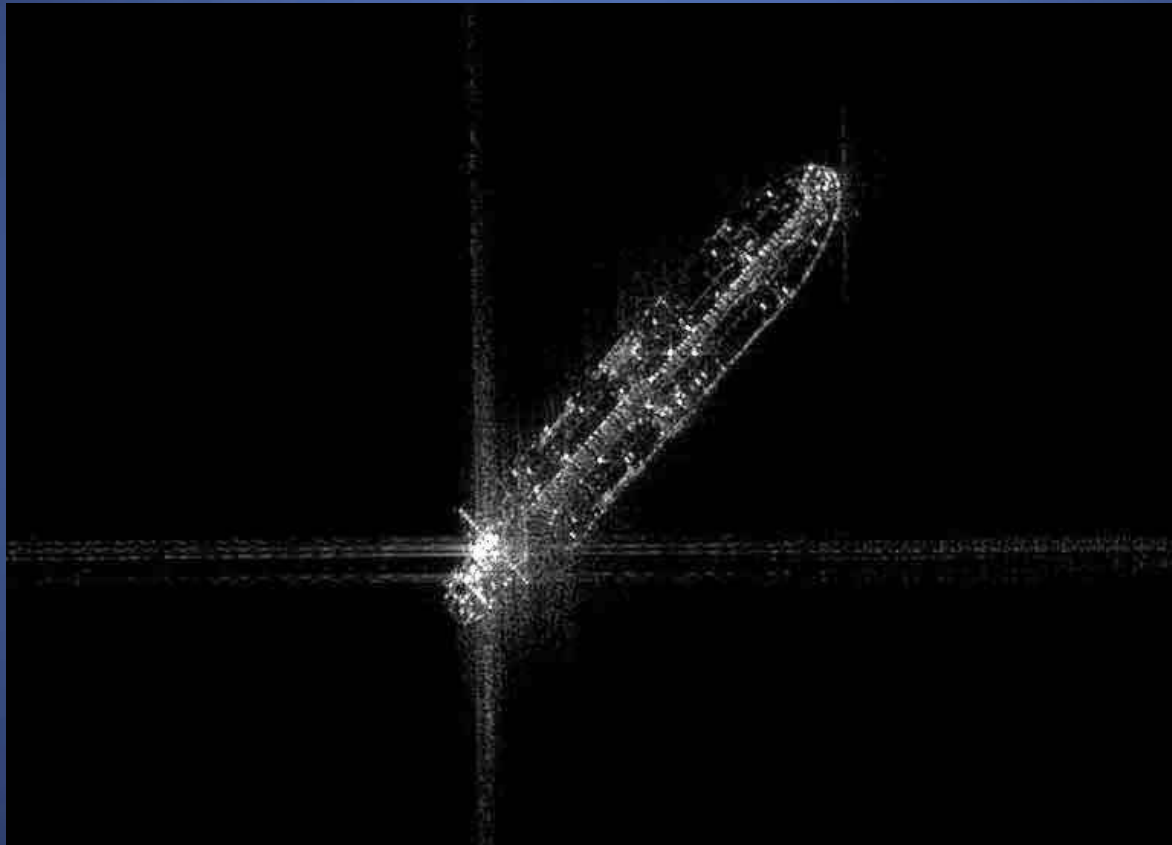


Radarsat-2 SAR  
Imagery (C), © CSA

[Mallas & Graber, 2013]



# High-Resolution SAR Imaging of Large Vessels



COSMO-SkyMed  
SAR Image (X-VV)  
© COSMO-SkyMed

[Mallas & Graber, 2013]



# SAR Imaging of Hijacked Vessels



Izumi (1)  
Golden Wave (2)  
MV York (3)  
are detected



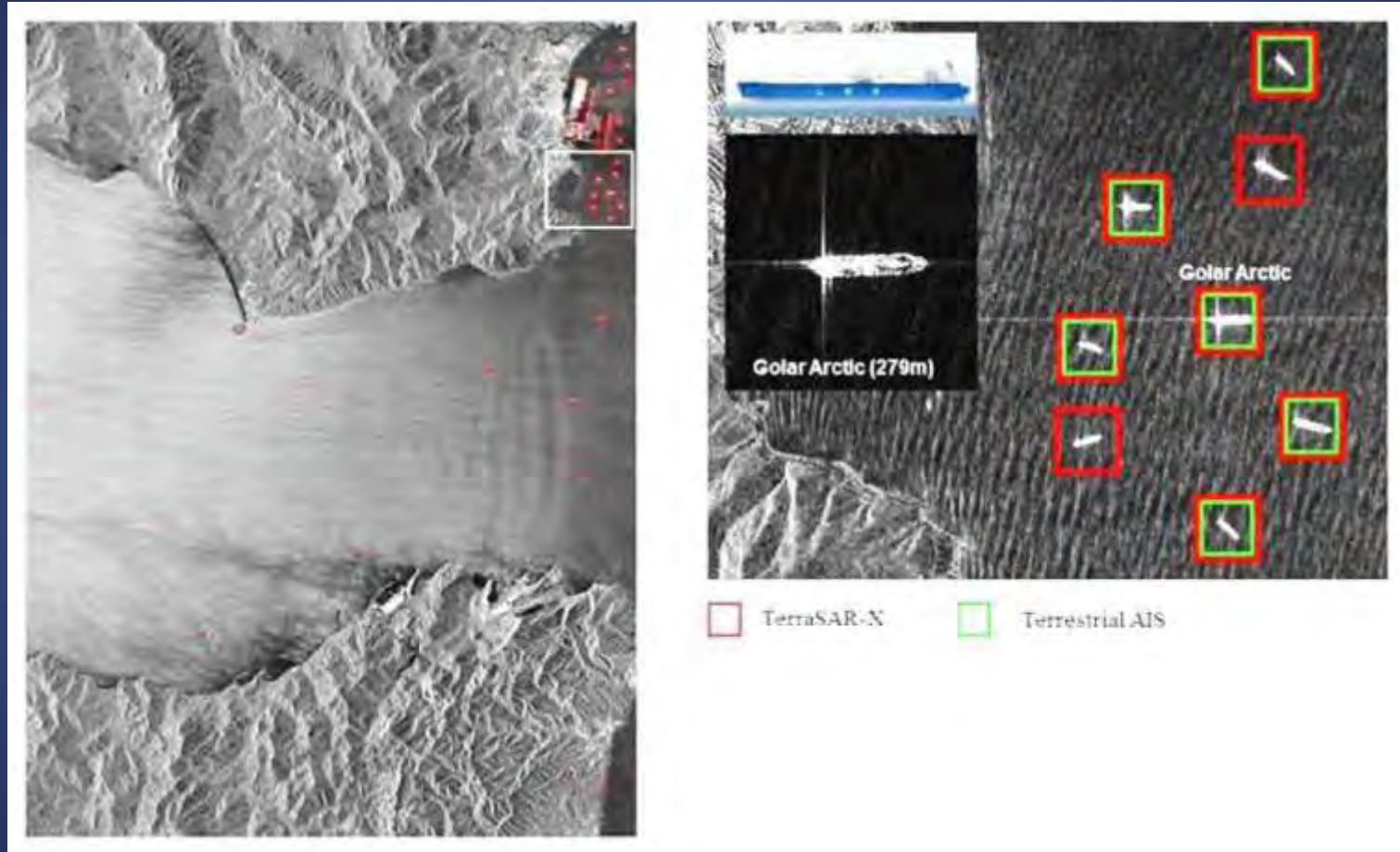
Izumi (1), Golden Wave  
and (2) and MV York (3)  
are detected and 2 more  
Objects (small boats) have  
been detected:  
(4) 23m length,  
(5) 9m length



[Barale & Gade, 2014]



## SAR Imaging of Vessels vs. AIS Info

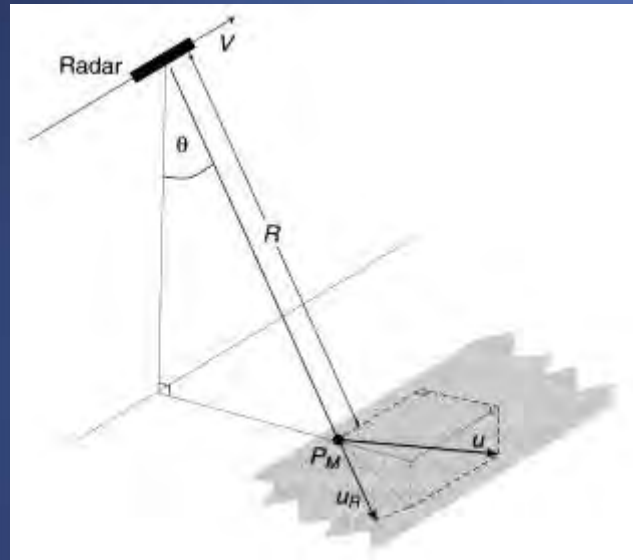


[Barale & Gade, 2014]



# SAR Imaging of Marine Vessels

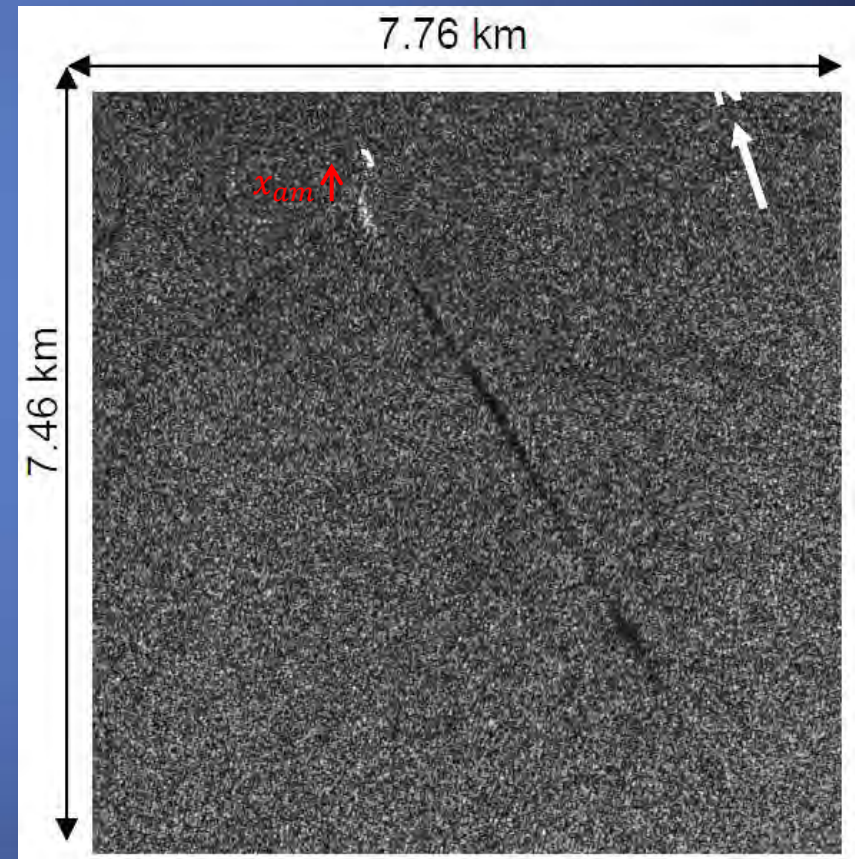
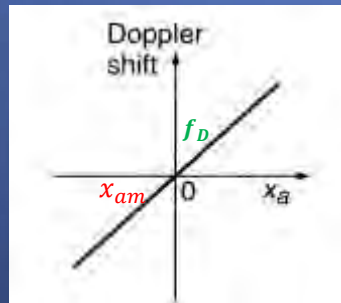
## Speed estimate from azimuthal shift



[Robinson, 2003]

$$f_D = -\frac{2u_R}{\lambda}$$

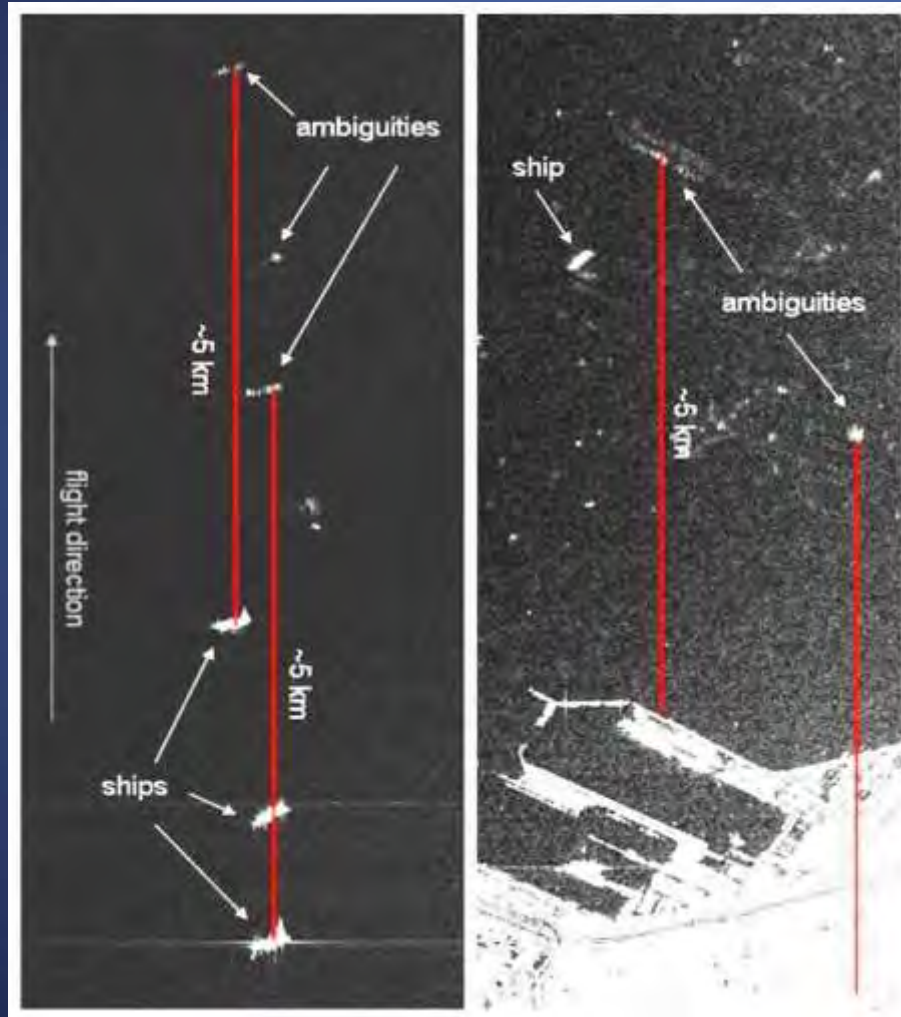
$$x_{am} = -\frac{u_R R}{V}$$



[Soloviev et al., 2008]



# SAR Ambiguities ('Ghost Images')



Ambiguity distance:

$$\Delta x = \Delta t_{AMBI} \cdot v_0 = \frac{PRF}{FM} \cdot v_0$$

*PRF* : pulse repetition frequency

*FM* : temporal derivative of Doppler frequency

$v_0$  : zero Doppler velocity

TerraSAR-X:  $\Delta x \approx 5.2$  km

[Barale & Gade, 2014]





# Ship Detection Take-Home Messages

High-resolution SAR used  
Vessel monitoring (SAR vs. AIS)  
Vessel speeds ("ship-off-the-track")



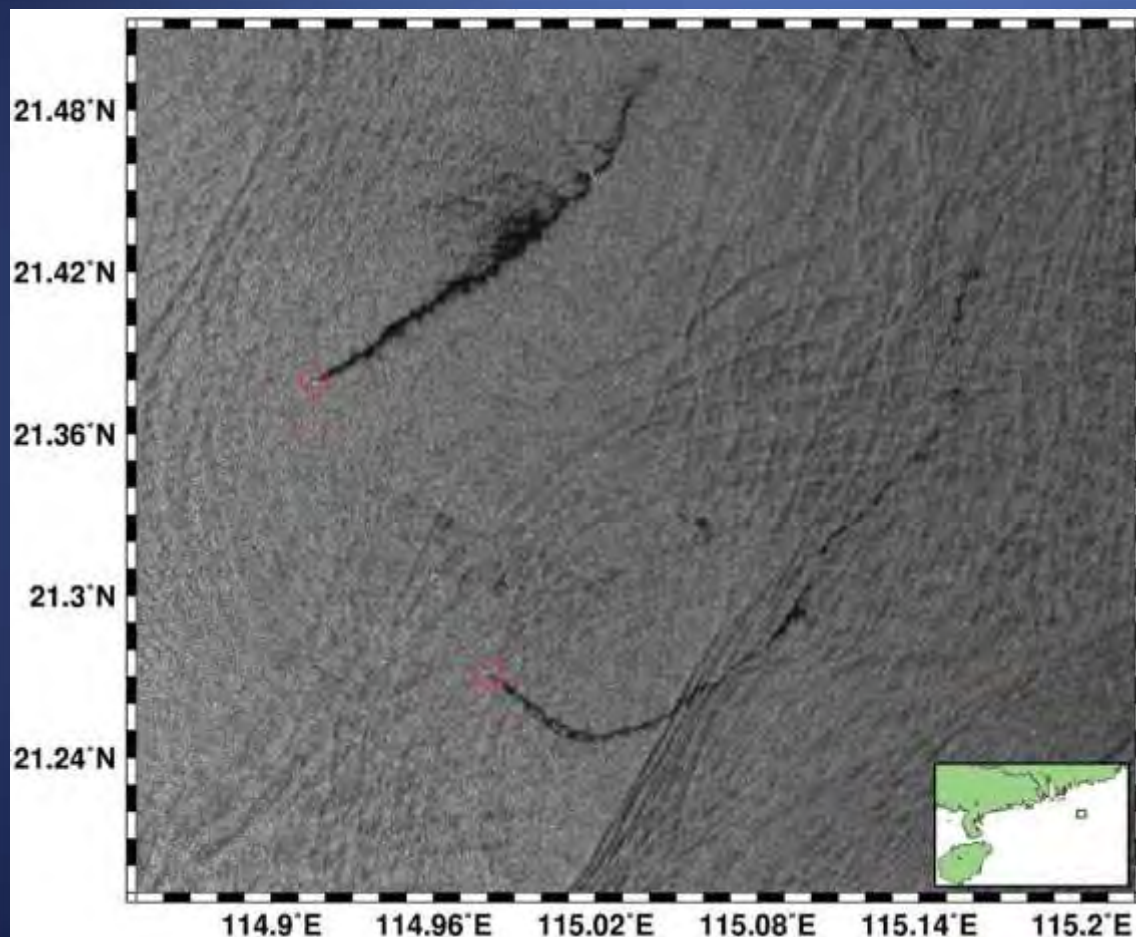


# Oil Pollution Monitoring



# SAR Monitoring of Marine Oil Pollution

## Operation Oil Pollution



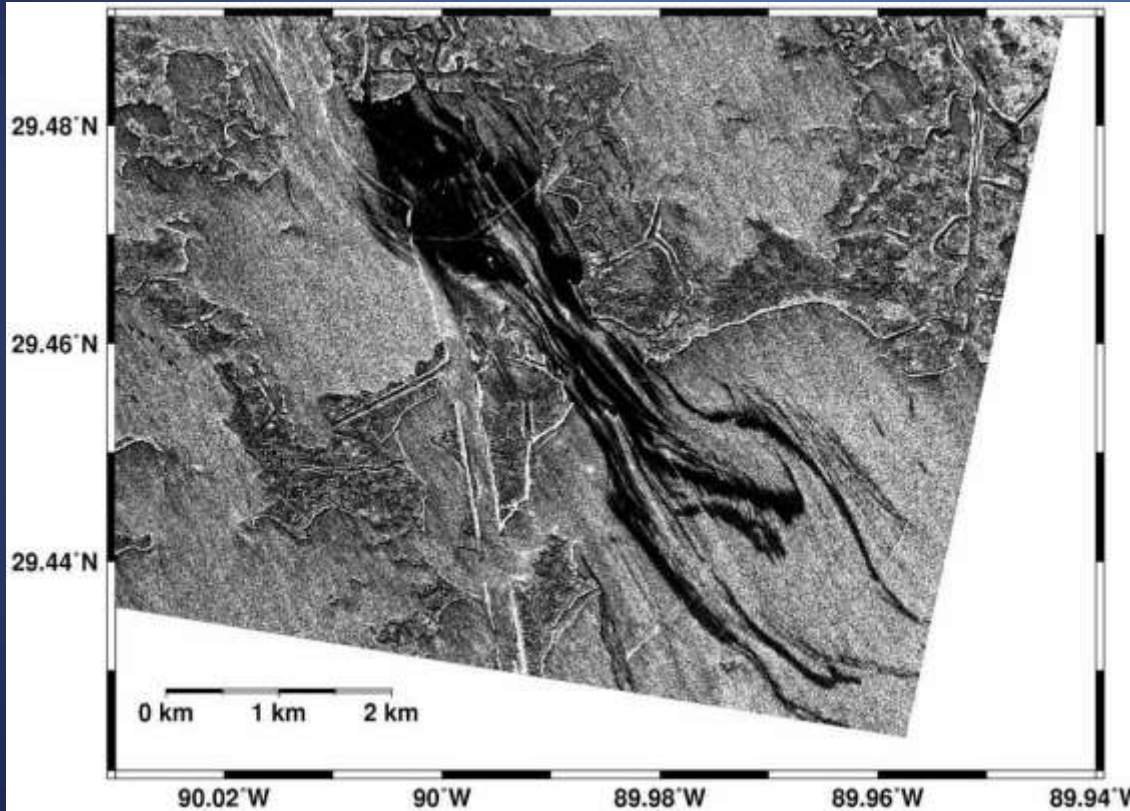
ERS SAR Image (C-VV, 50 km × 50 km)  
South China Sea  
(25 May 2007, 14:44 UTC, © ESA)

[Caruso et al., 2013]



# SAR Monitoring of Marine Oil Pollution

## Accidental Oil Pollution



Wellhead struck by tugboat *Pere Ana C.*

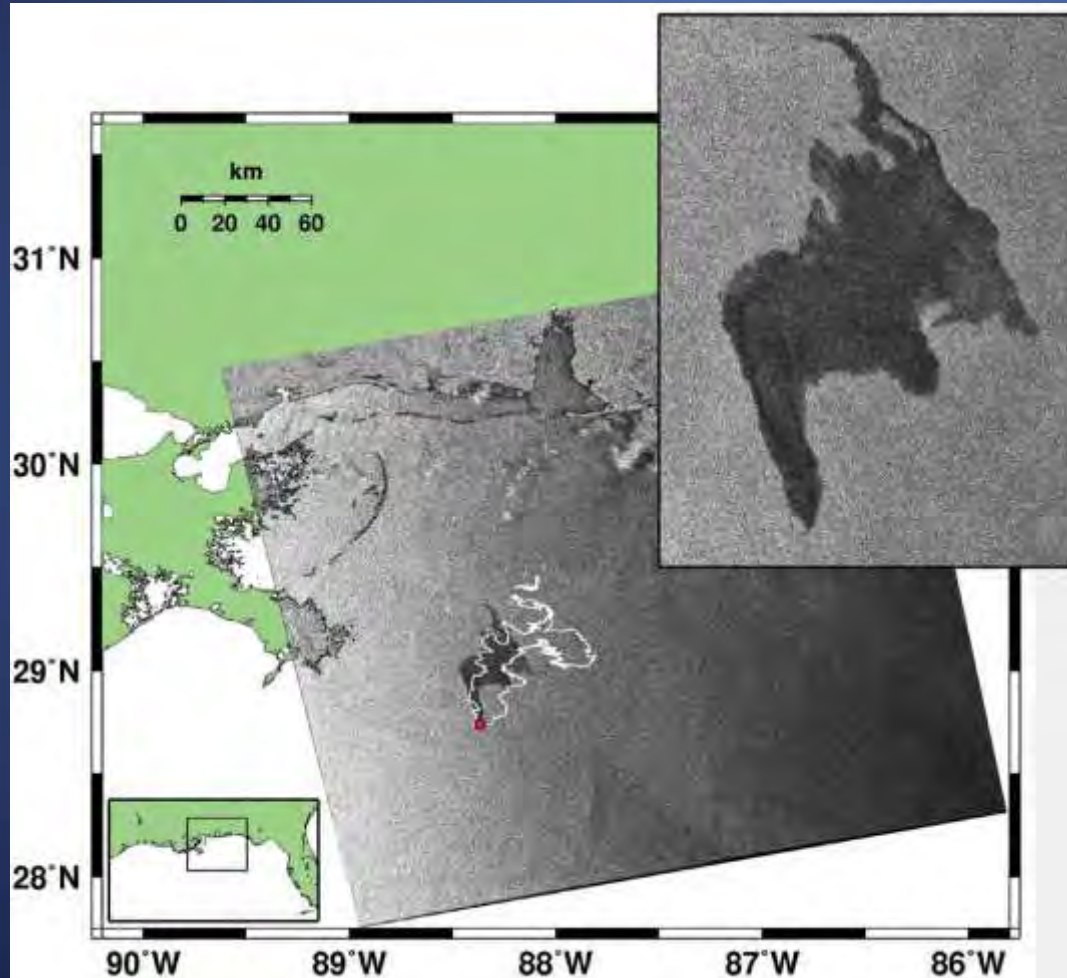
TerraSAR-X Image (X-VV)  
Mud Lake, LA, USA  
(1 August 2010, 12:08 UTC,   DLR)

[Caruso et al., 2013]



# SAR Monitoring of Marine Oil Pollution

## Accidental Oil Pollution



*Deepwater Horizon* (●) incident

TerraSAR-X Image (X-VV)  
Gulf of Mexico  
(23 April 2010, 12:08 UTC, © DLR)

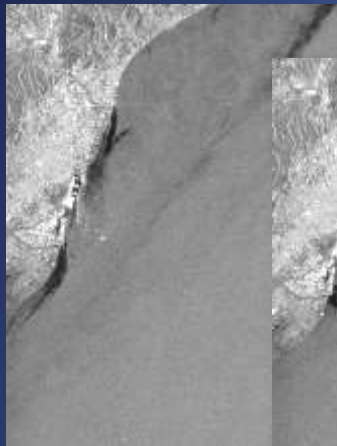
Extent on 25 April 2010:



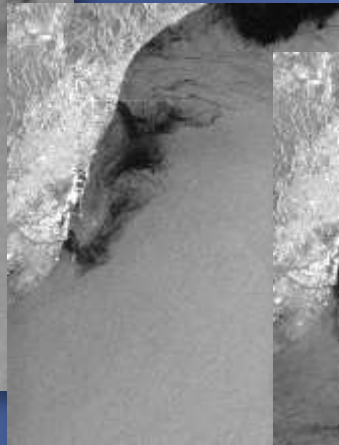
[Caruso et al., 2013]



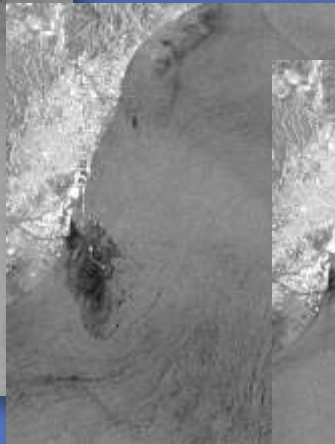
# SAR Image Examples



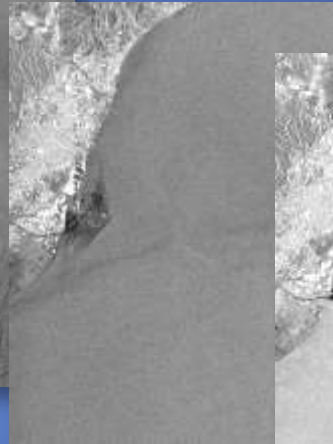
11 January 1998



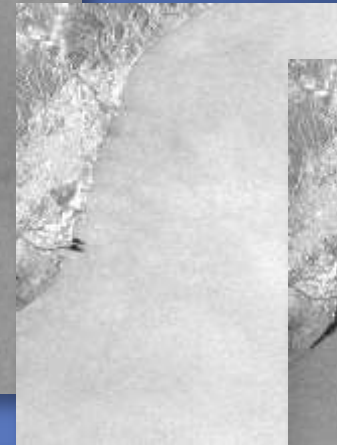
15 February 1998



31 May 1998



5 July 1998



2 October 1998



18 October 1998

( 30 km × 40 km )

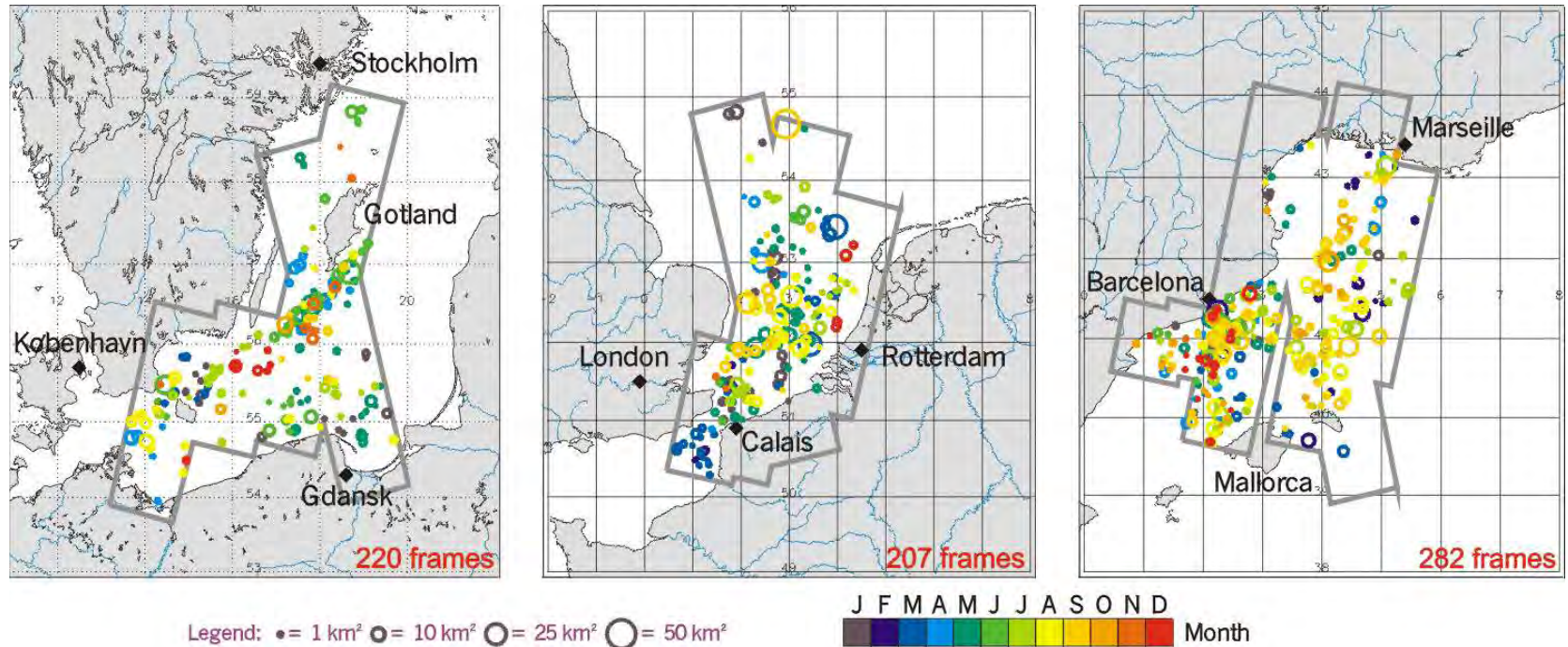


ERS-2 SAR Images (C-VV, 30 km × 40 km)  
Northwestern Mediterranean Sea  
(© ESA)



# SAR Applications

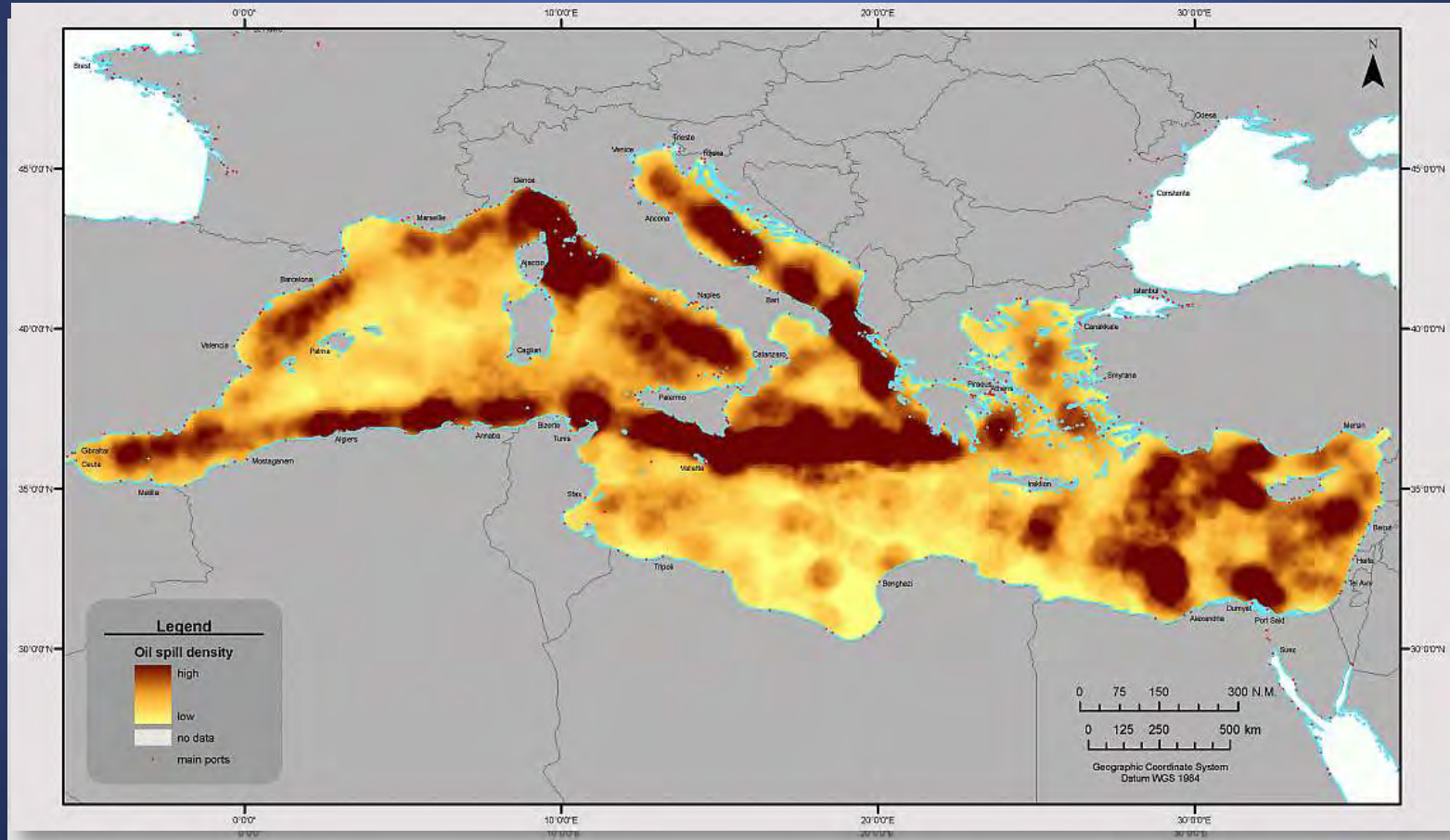
## Oil pollution in European marginal seas (1996-99)





# SAR Applications

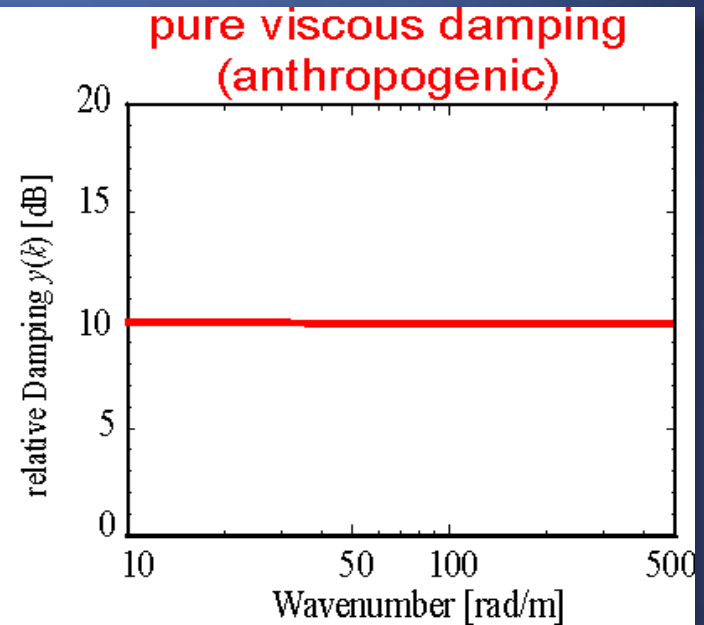
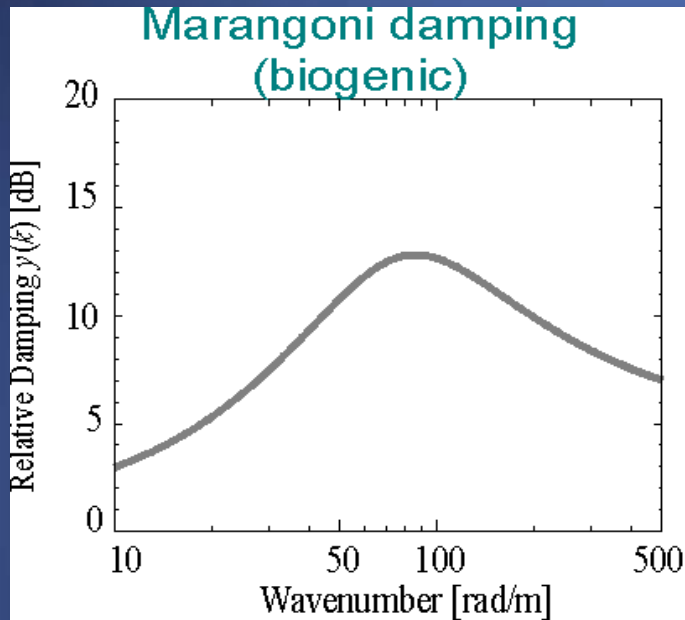
## Mean oil pollution of the Mediterranean Sea 1999-2004



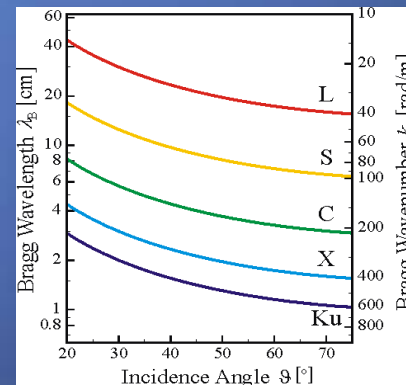
[Barale & Gade 2008]



# Wave Damping by Surface Films



Use multi-frequency radar techniques to discriminate between biogenic and anthropogenic surface films





# Scatterometer Experiments

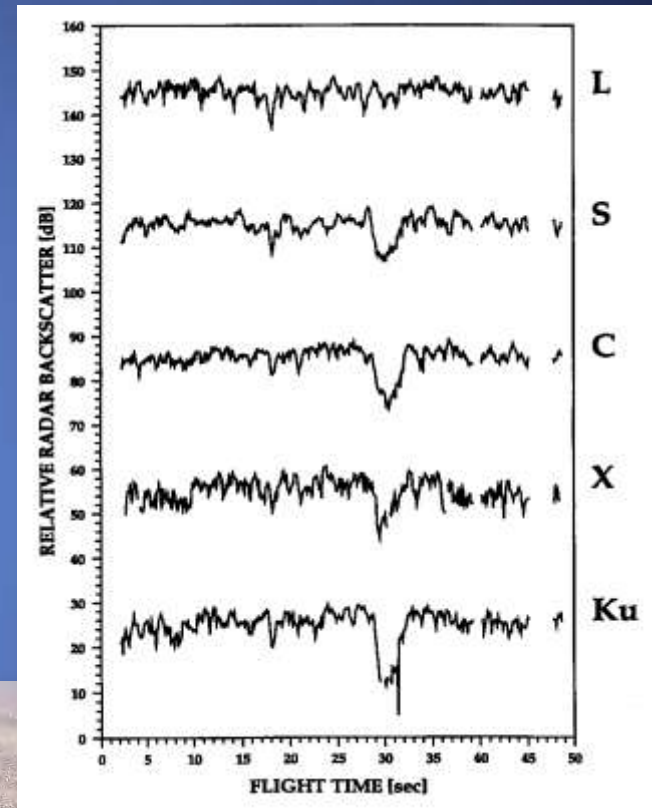
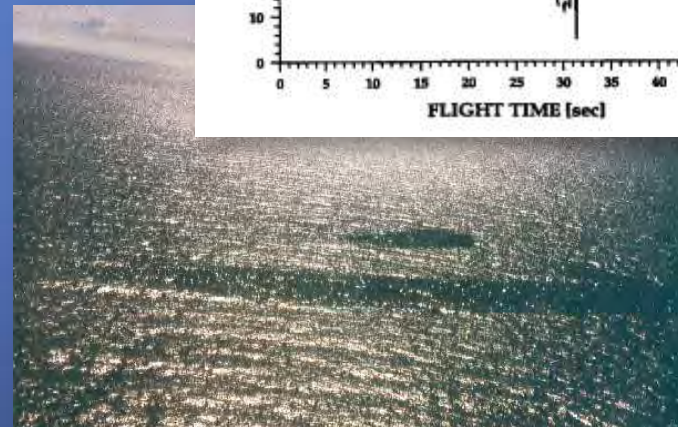


## MULTI<sup>3</sup>SCAT of Uni Hamburg

- flown on BO 105
- 5 frequencies: (L, S, C, X, Ku band)
- 4 polarisations (HH, HV, VV, VH)
- incidence angle: 23° ... 65°
- nominal flight height: 150 m
- Ø footprint: 1.6 m ... 128.9 m
- transmit power: 10 mW ... 150 mW

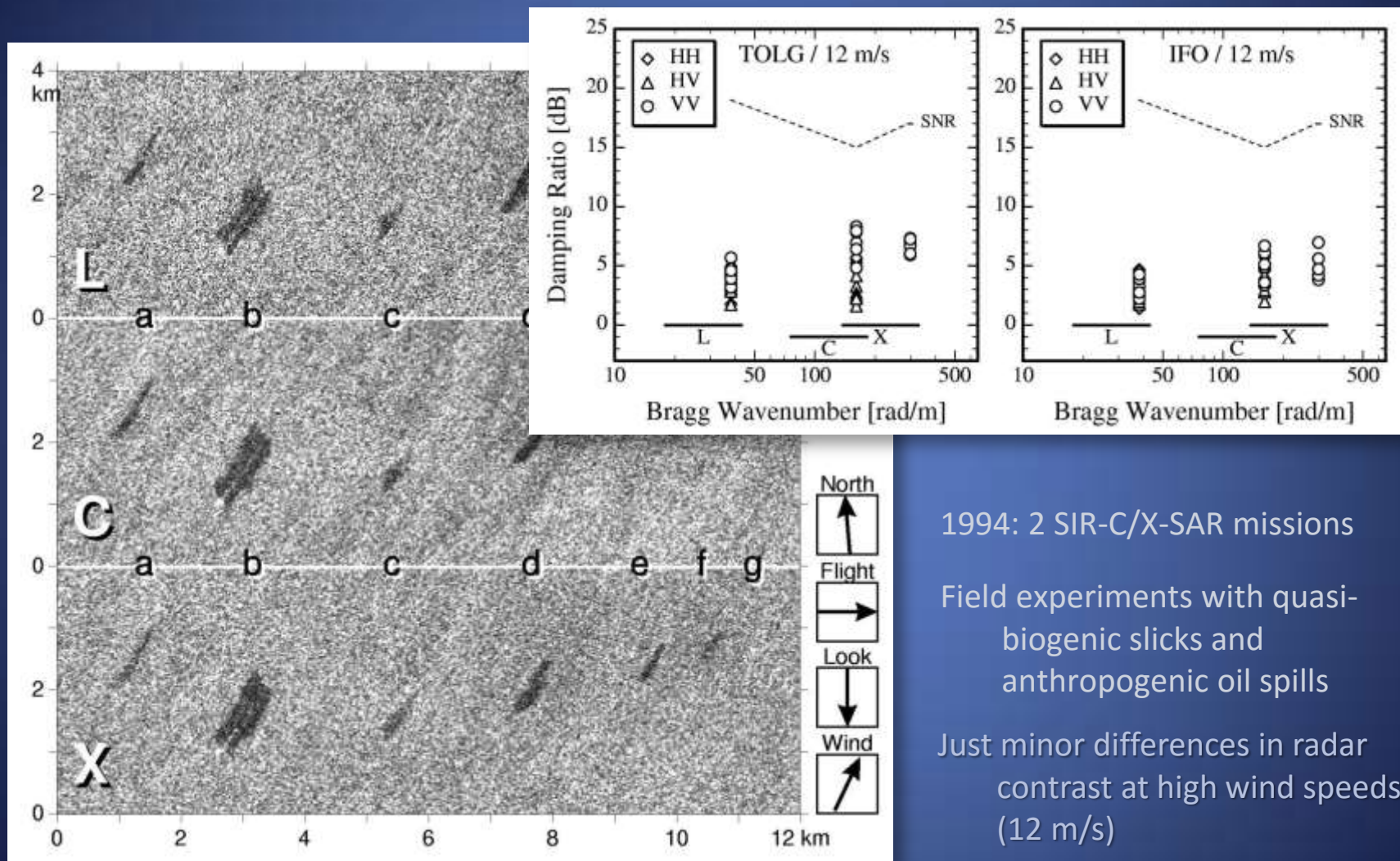


# Field Experiments With Marine Surface Films





# Field Experiments With Marine Surface Films



1994: 2 SIR-C/X-SAR missions

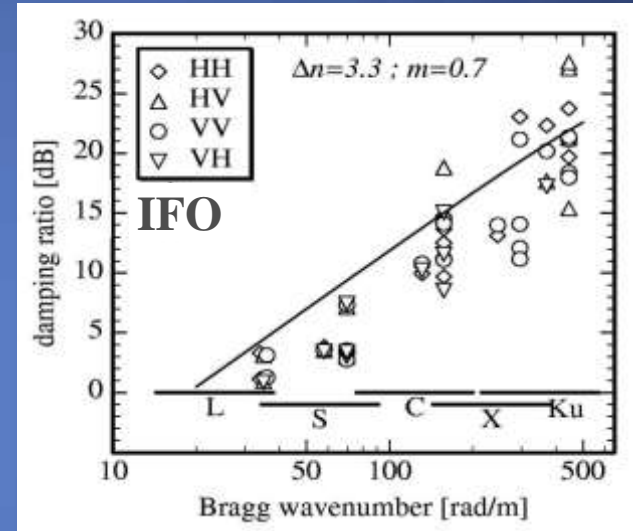
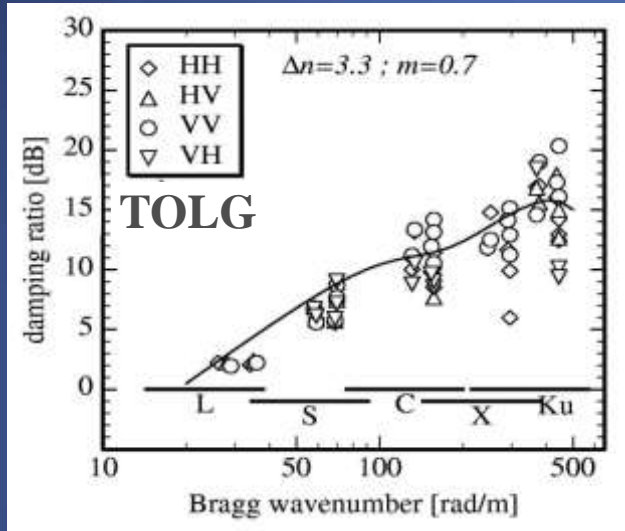
Field experiments with quasi-biogenic slicks and anthropogenic oil spills

Just minor differences in radar contrast at high wind speeds (12 m/s)



# Field Experiments With Marine Surface Films

## Modeling damping ratios at high wind speeds



[Gade, 1996]

$$\frac{\sigma^{(0)}}{\sigma^{(s)}} = \frac{\Psi_0(k)}{\Psi_s(k)} \approx \frac{\beta_s - 2\Delta_s c_g}{\beta_0 - 2\Delta_0 c_g} \cdot m^{\Delta n - 4} \left( 2u_* \sqrt{\frac{|\cos \varphi| k}{g}} \right)^{\Delta n}$$

$m$  : parameter describing reduction of friction velocity  
 $\Delta n$  : parameter describing reduction of wave breaking

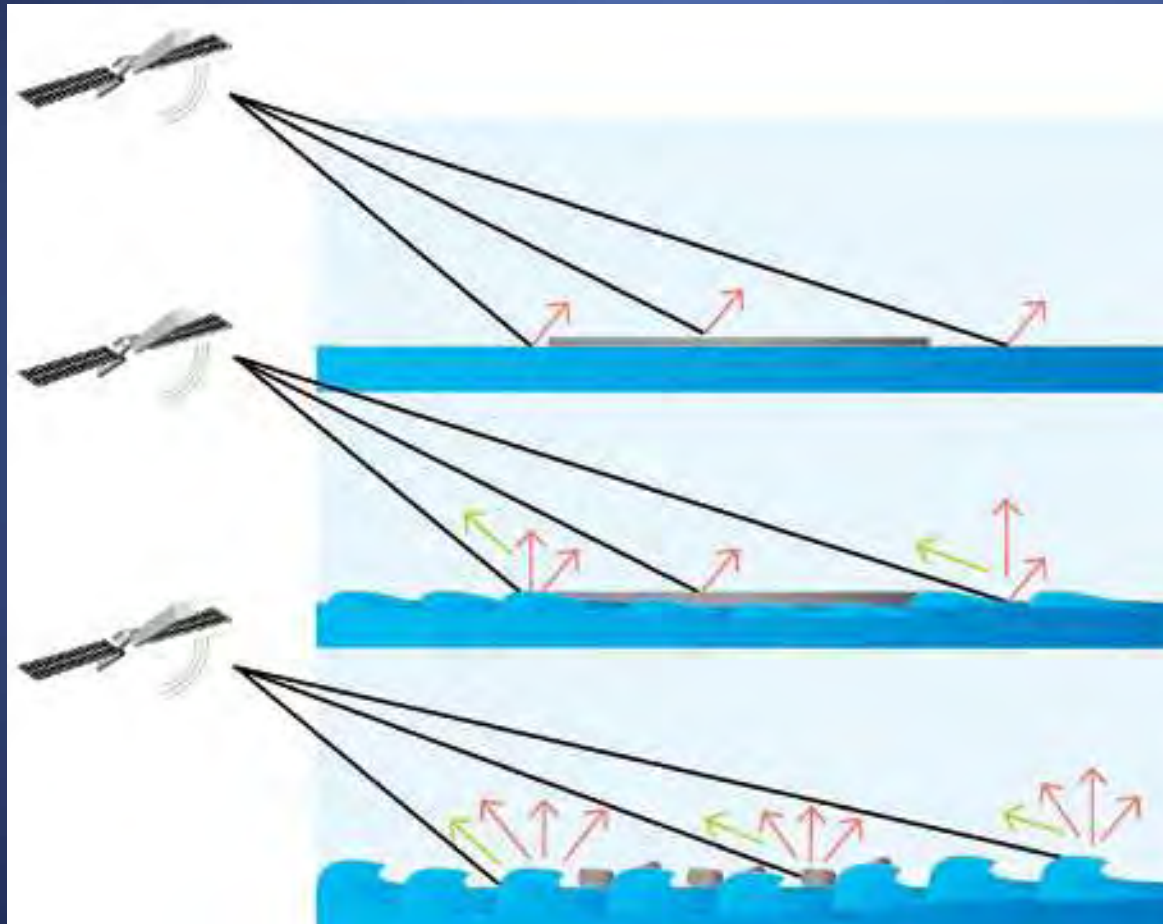
Model can explain

monotonous increase of  
 damping curves (no Marangoni  
 maximum!)

similar damping behavior  
 of biogenic and anthropogenic  
 films



# SAR Oil Detection System



Low wind

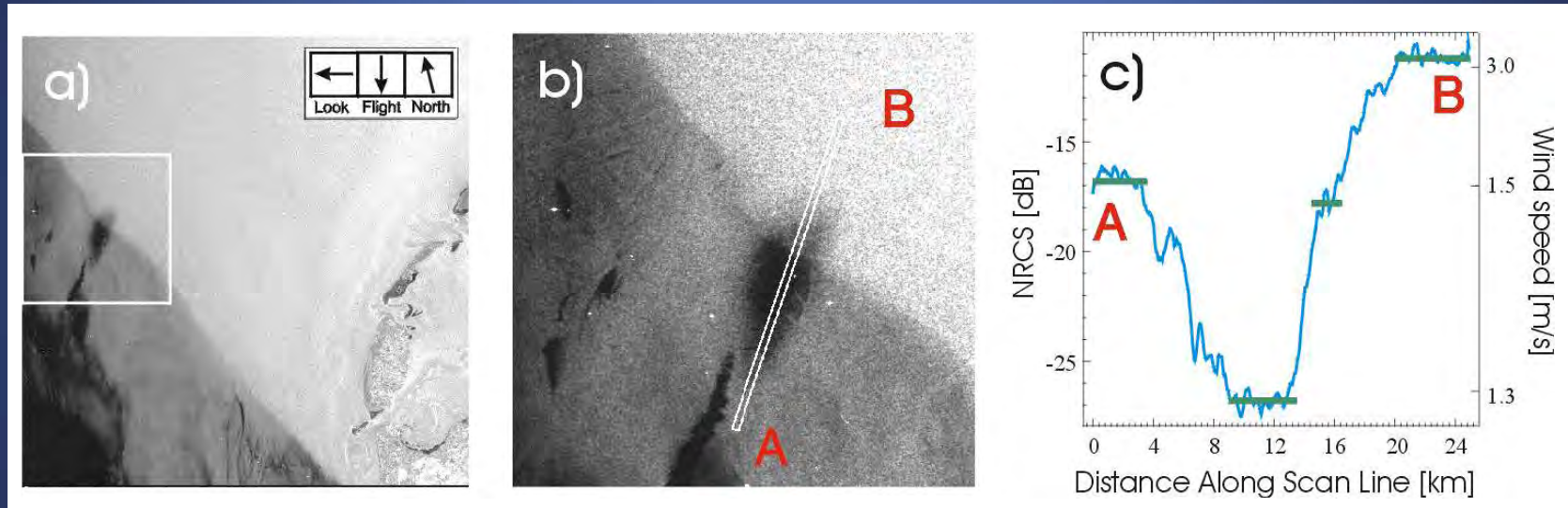
Moderate wind

High wind

[EMSA, 2014]



# Wind-Speed Dependence of Radar Contrast



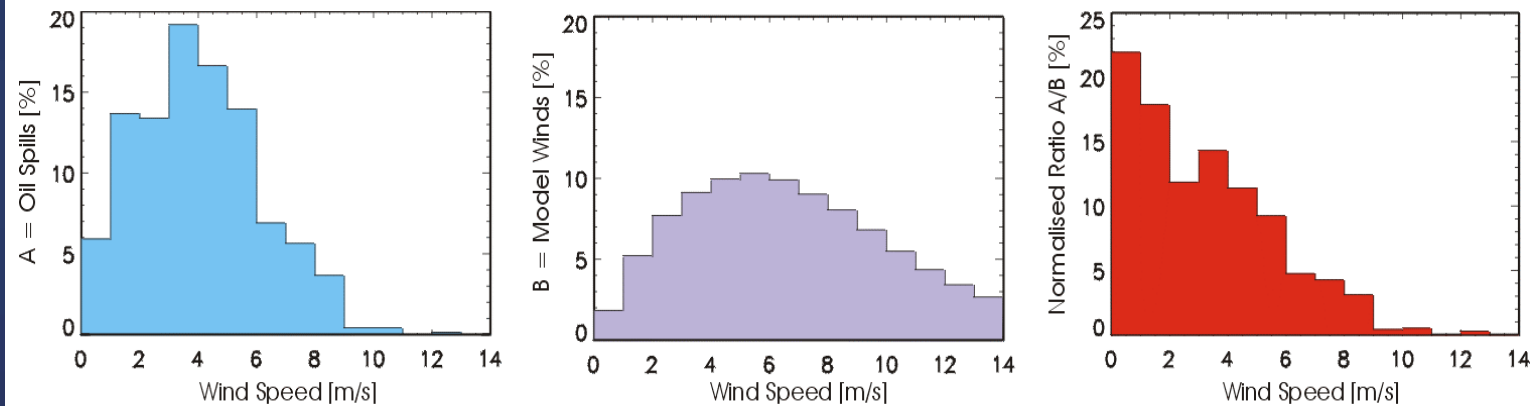
ERS-2 SAR image (C-VV, 100 km 100 km)  
North Sea  
24 March 1997, 10:40 UTC, © ESA



# Statistical Analyses

## Normalized Visibility

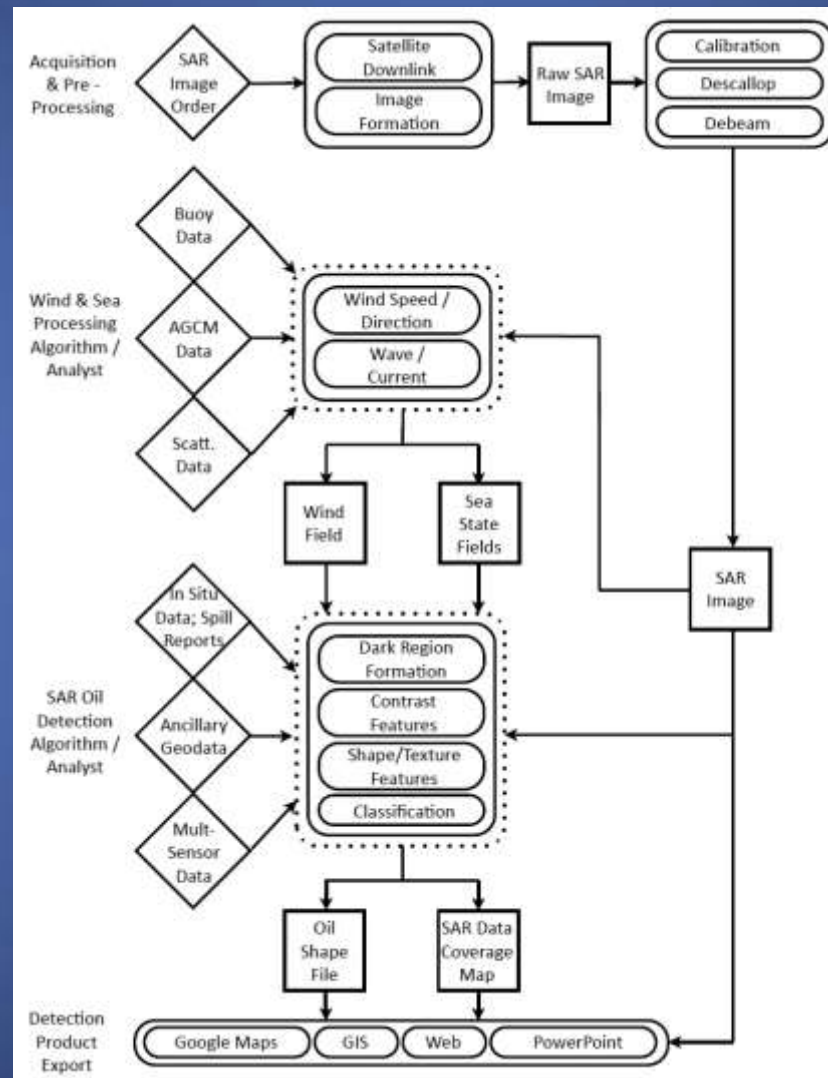
Defined as ratio A/B of the wind speed distribution of detected oil spills (A) and model winds (B)



Any oil pollution in the three 'Clean Seas' test sites is visible only at wind speeds up to 9-10 m/s !



# SAR Oil Detection System



[Caruso et al., 2013]





# Oil Pollution Monitoring Take-Home Messages

Routine monitoring, pollution statistics  
Oil-cleanup support  
Detection @ 2 m/s - 10 m/s



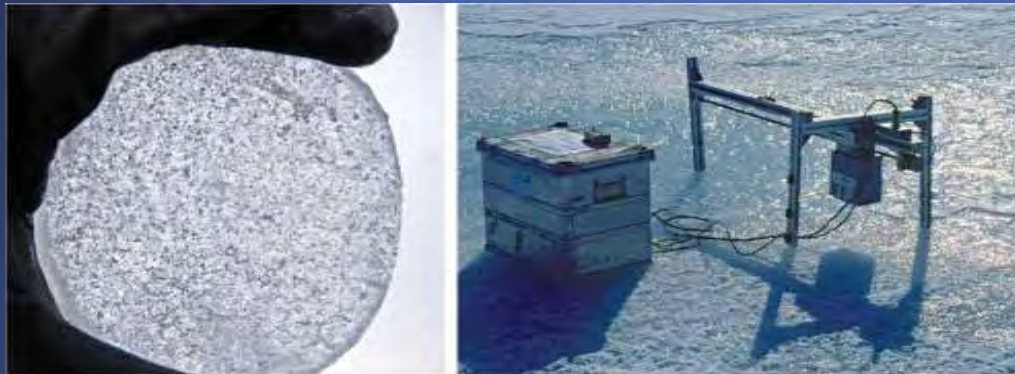


# Sea Ice



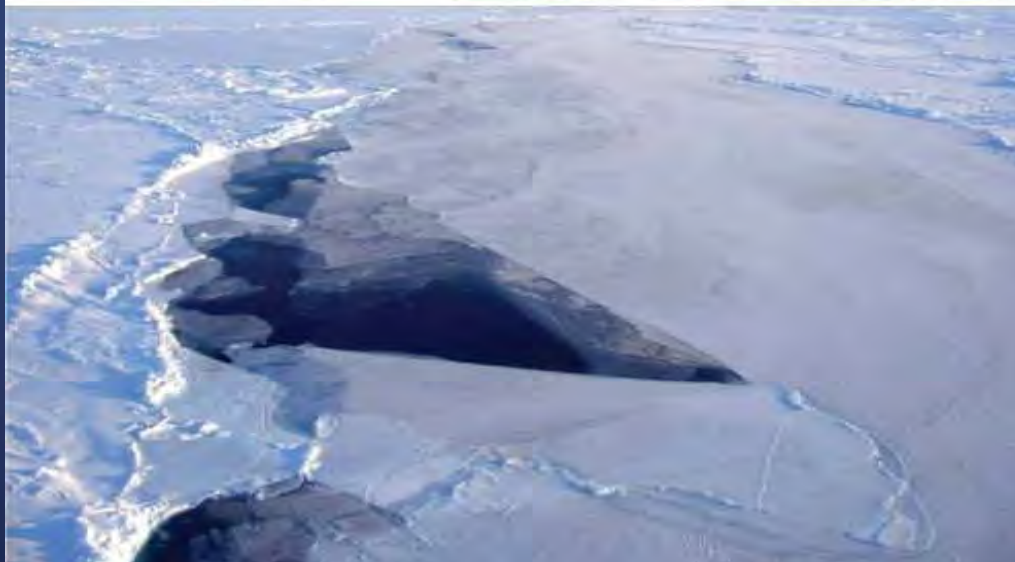
# Sea Ice

## Roughness scales and volume inclusion



Left: Air bubbles in Baltic first-year ice (ice slab diameter 8 cm)

Right: Small-scale ripples on sea ice (laser measurements in the Baltic)



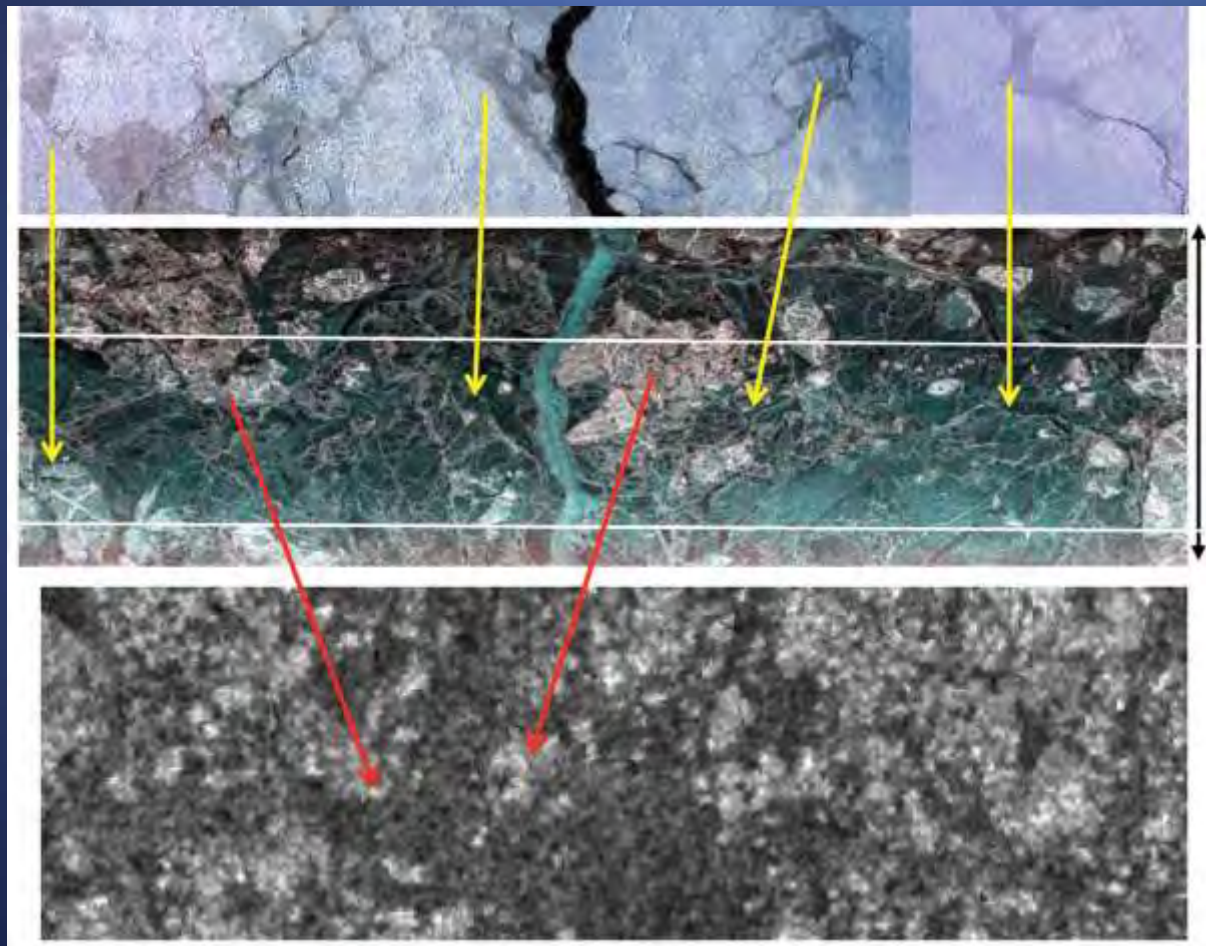
Left: Deformed sea ice in the Fram Strait (refrozen lead with rafted thin ice; thicker, ridged ice)

[Dierking 2013]



# Remote Sensing of Sea Ice

## Comparison of optical and SAR imagery (N Svålbard)



Airborne optical imager  
19 March 2007, 12:20 UTC  
spatial resolution < 1.3 m

Airborne SAR sensor  
ESAR (DLR)  
19 March 2007, 12:26 UTC  
spatial resolution 2 m

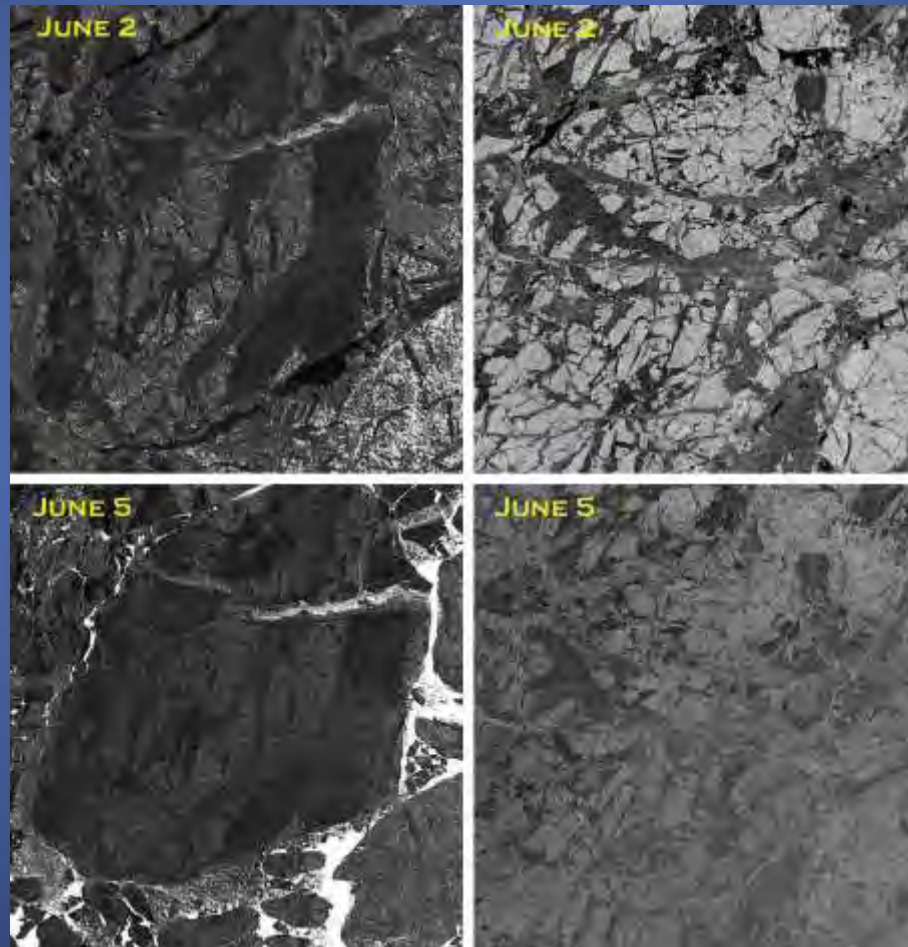
Spaceborne SAR sensor  
ENVISAT ASAR WS (C-HH)  
19 March 2007, 11:22 UTC  
spatial resolution 150 m

[Dierking 2013]



# Remote Sensing of Sea Ice

## Influence of melting conditions (Beaufort Sea)



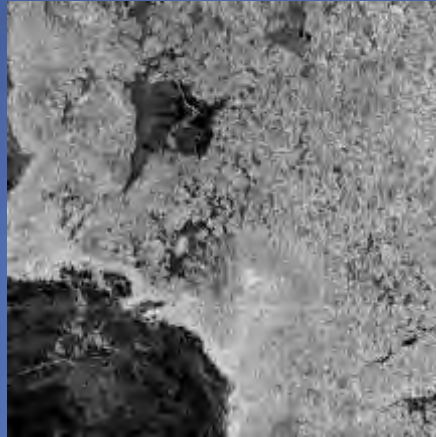
ENVISAT ASAR images  
(C-HH, 90 km  $\times$  90 km,  
 $19^\circ < \theta < 28^\circ$ ),   ESA

ENVISAT ASAR images  
(C-HH, 90 km  $\times$  90 km,  
 $35^\circ < \theta < 43^\circ$ ),   ESA

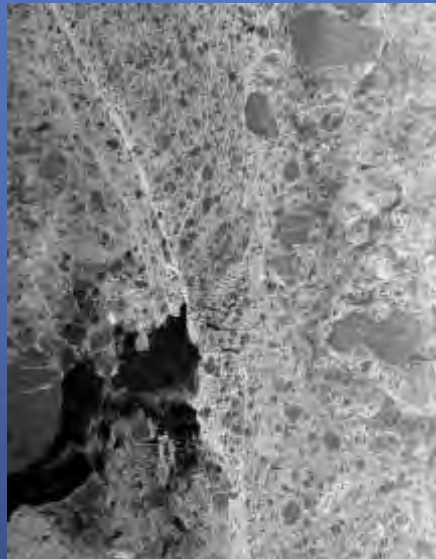
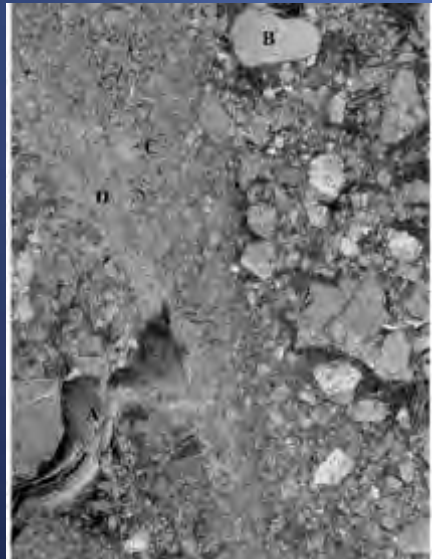
[Dierking 2013]



## SAR Imaging of Sea Ice



SAR images (80 km × 80 km)  
Arctic sea ice at 82°N 12°E  
(19 September 1996, © ESA, CSA)  
**left: ERS (C Band, VV pol)**  
**right: Radarsat (C Band, HH pol)**



ERS SAR image (50 km × 65 km)  
Arctic sea ice  
(© ESA, NASDA)  
**left: ERS (C Band, VV pol)**  
**right: JERS-1 (L Band, HH pol)**

[Barale & Gade 2008]



# Remote Sensing of Sea Ice

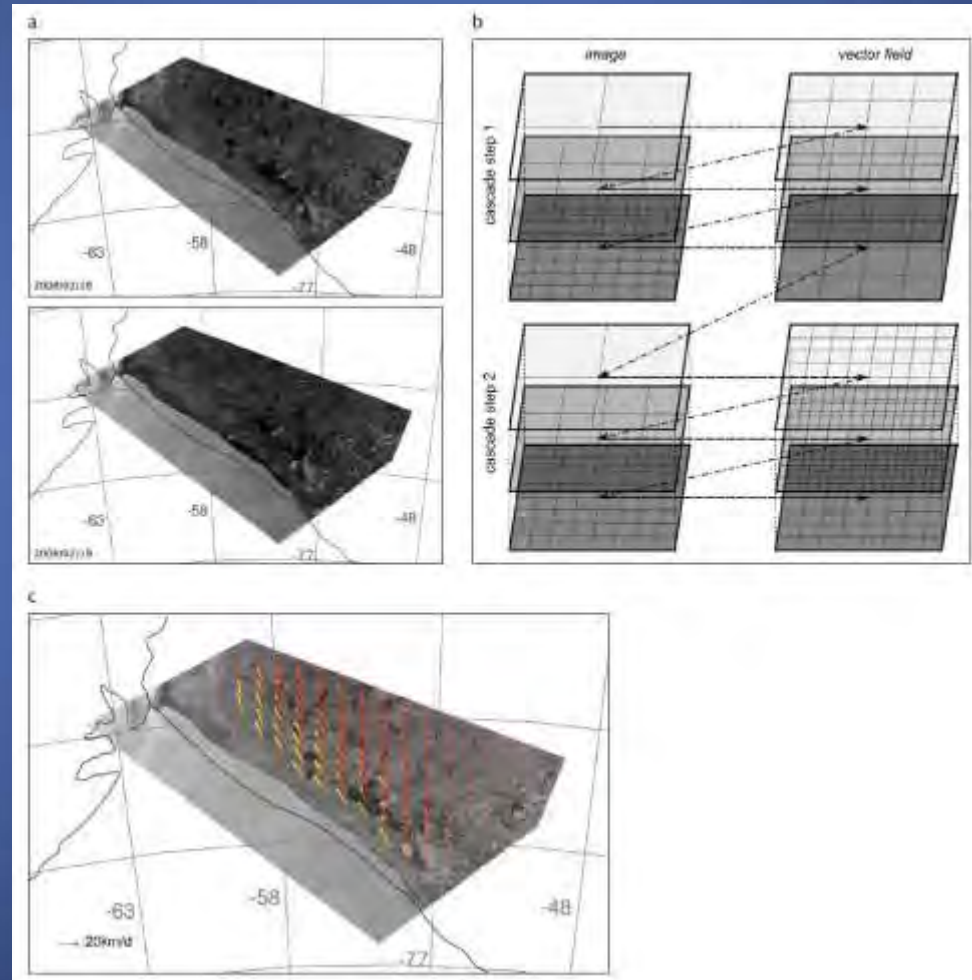
## Ice-drift vectors derived from SAR imagery

ENVISAT ASAR images  
(C-HH)  
Ronne Polynya, Wedell Sea  
© ESA

18 February 2008, 06:09 UTC

19 February 2008, 05:38 UTC

Drift vectors from  
SAR image analysis (red) and  
visual inspection (yellow)



Drift analysis:  
resolution pyramids  
linked in a cascade

[Dierking 2013]

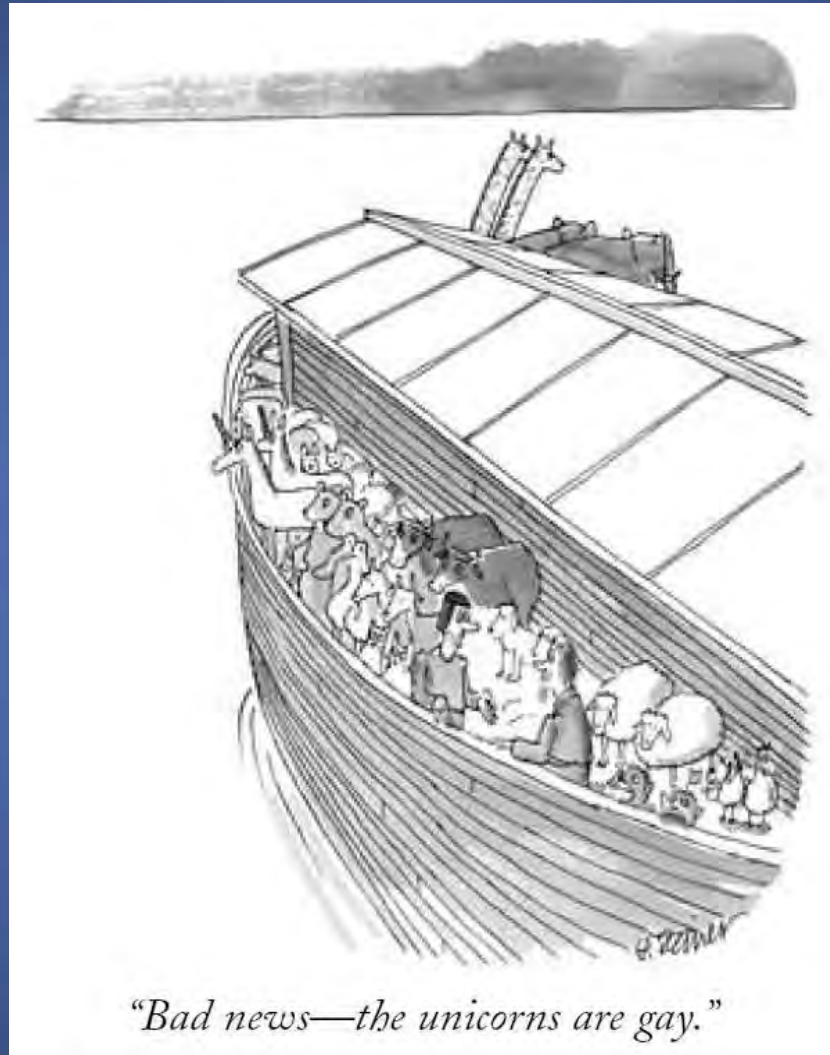




## Sea Ice Take-Home Messages

Ice detection (icebergs)  
Classification through multi-pol SAR  
Ice drift









it's time for practicals...