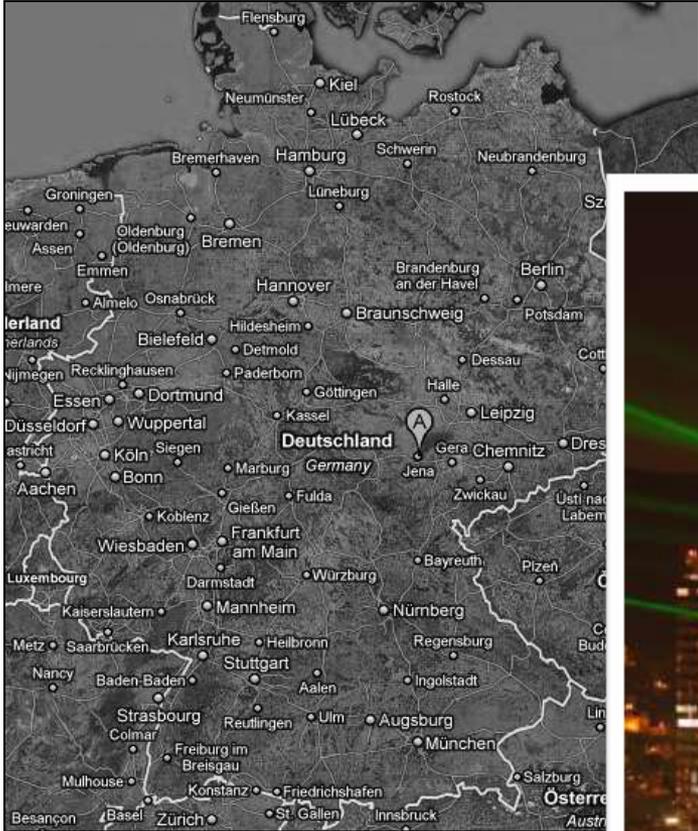


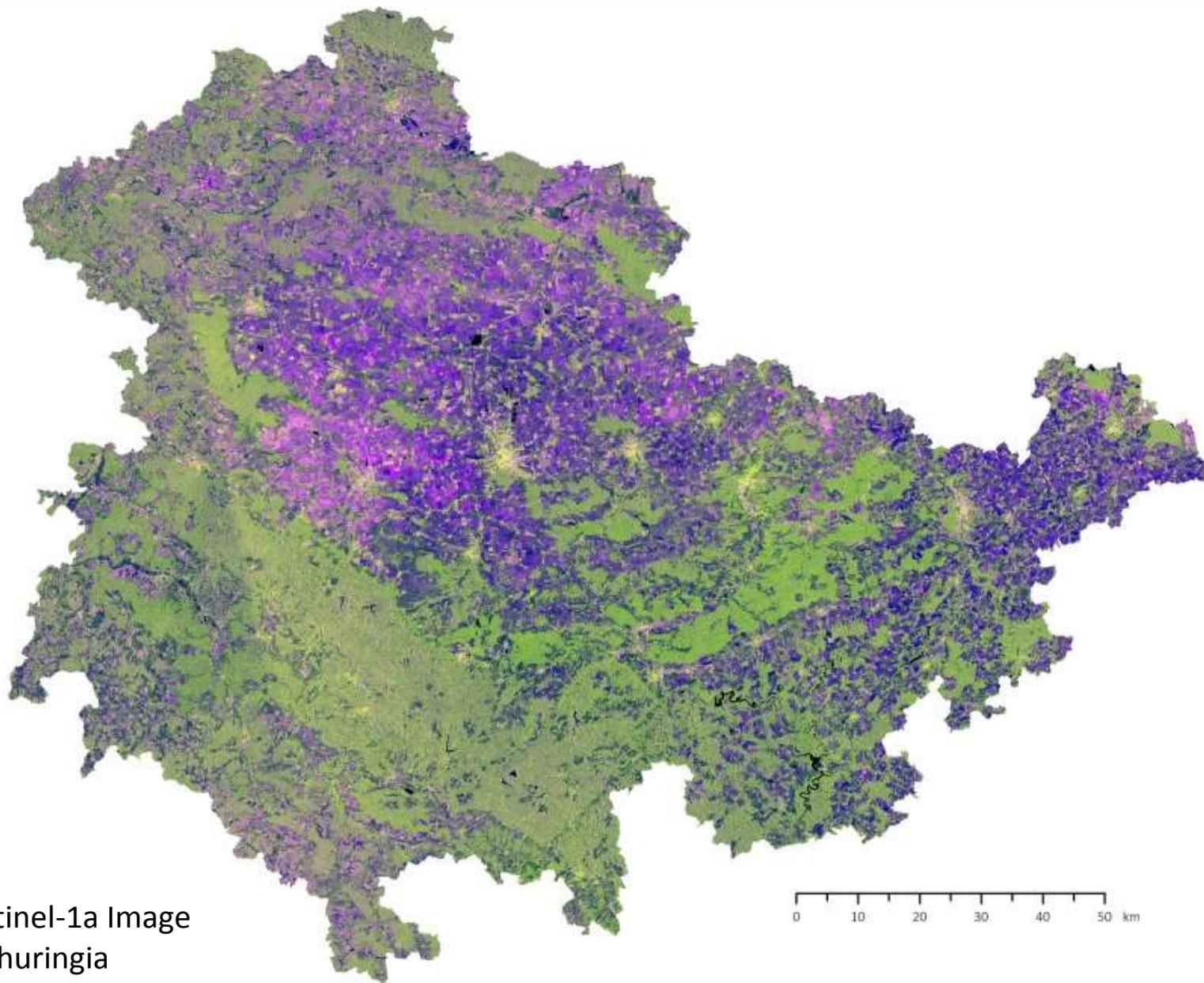
SAR Remote Sensing

Introduction into SAR. Data characteristics, challenges,
and applications.

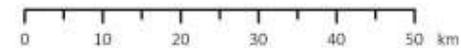
PD Dr. habil. Christian Thiel, Friedrich-Schiller-University Jena

Jena & Friedrich-Schiller-University





Sentinel-1a Image
of Thuringia





Jena & Friedrich-Schiller-Un





Jena & Friedrich-Schiller-Un



Dept. of Earth Observation

Basic Research

- E.g. SAR coherence & Forestry

Applied Earth Observation

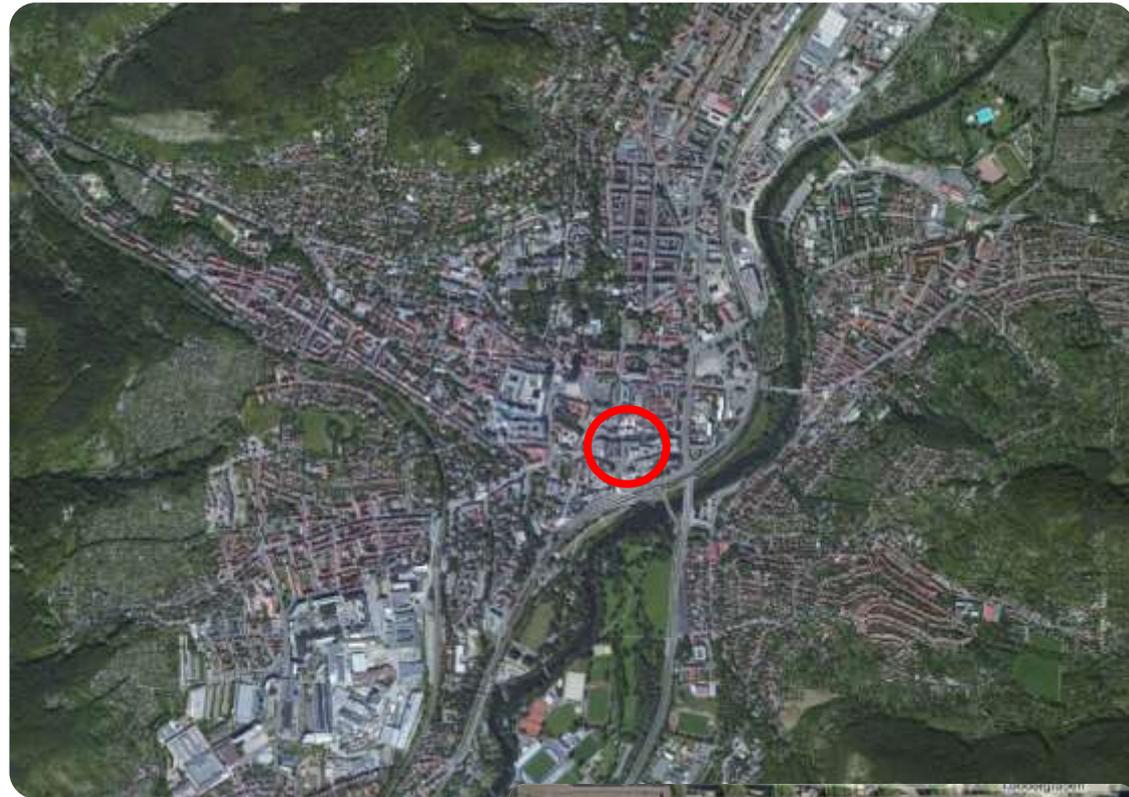
- E.g. landcover mapping using multitemporal SAR data

Project Coordination

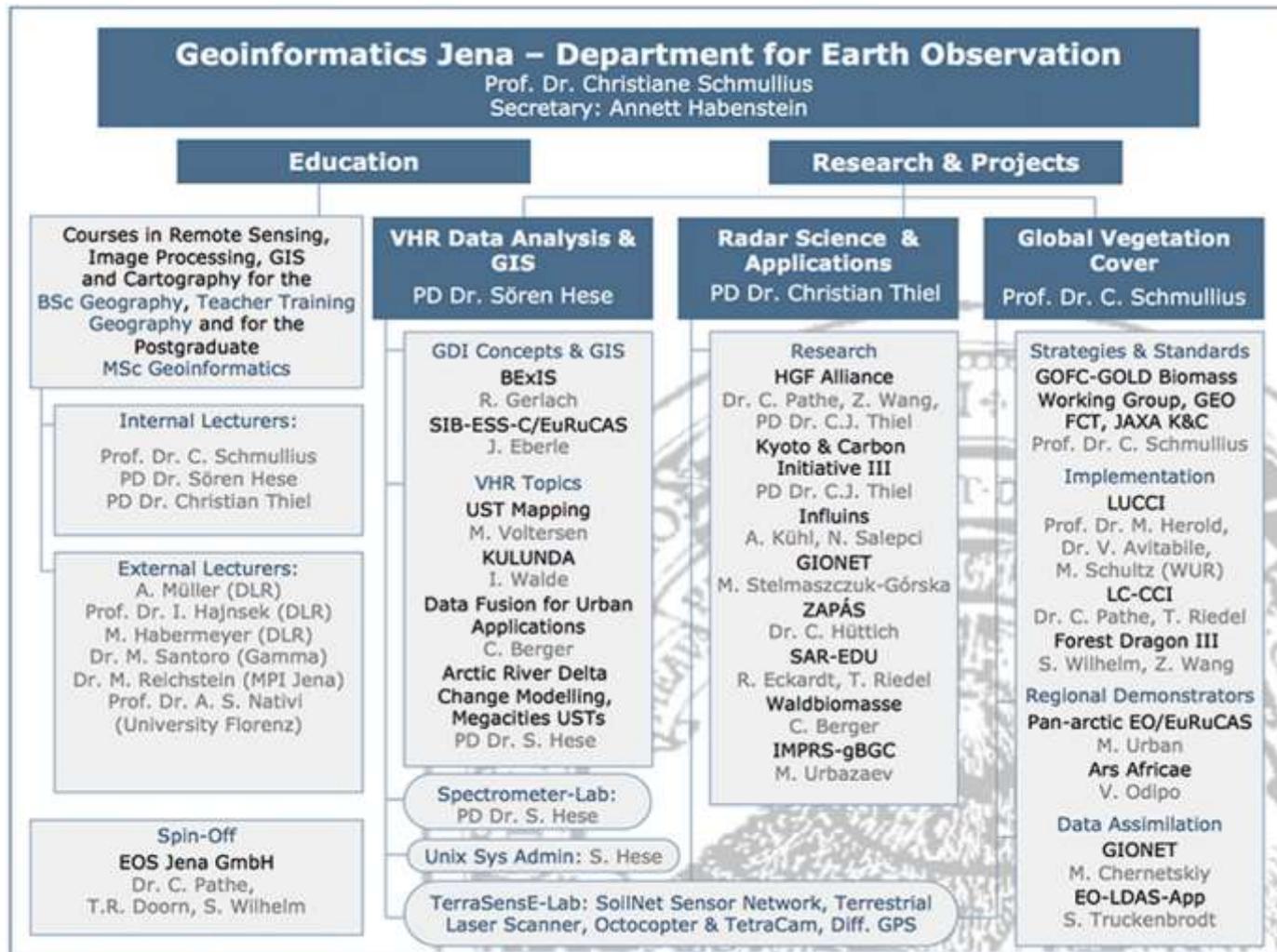
- Coordination of many international projects

Education

- BSc Geography
- MSc Geoinformatics
- Various PhD Projects
- SAR-EDU



Dept. of Earth Observation



Contents

- What is Remote Sensing/Earth Observation?
- Active Radar Remote Sensing
- Summary

What is Remote Sensing/Earth Observation?

- **Remote sensing (RS)**, also called **earth observation**, refers to **obtaining information** about objects or areas at the Earth's surface **without being in direct contact** with the object or area.

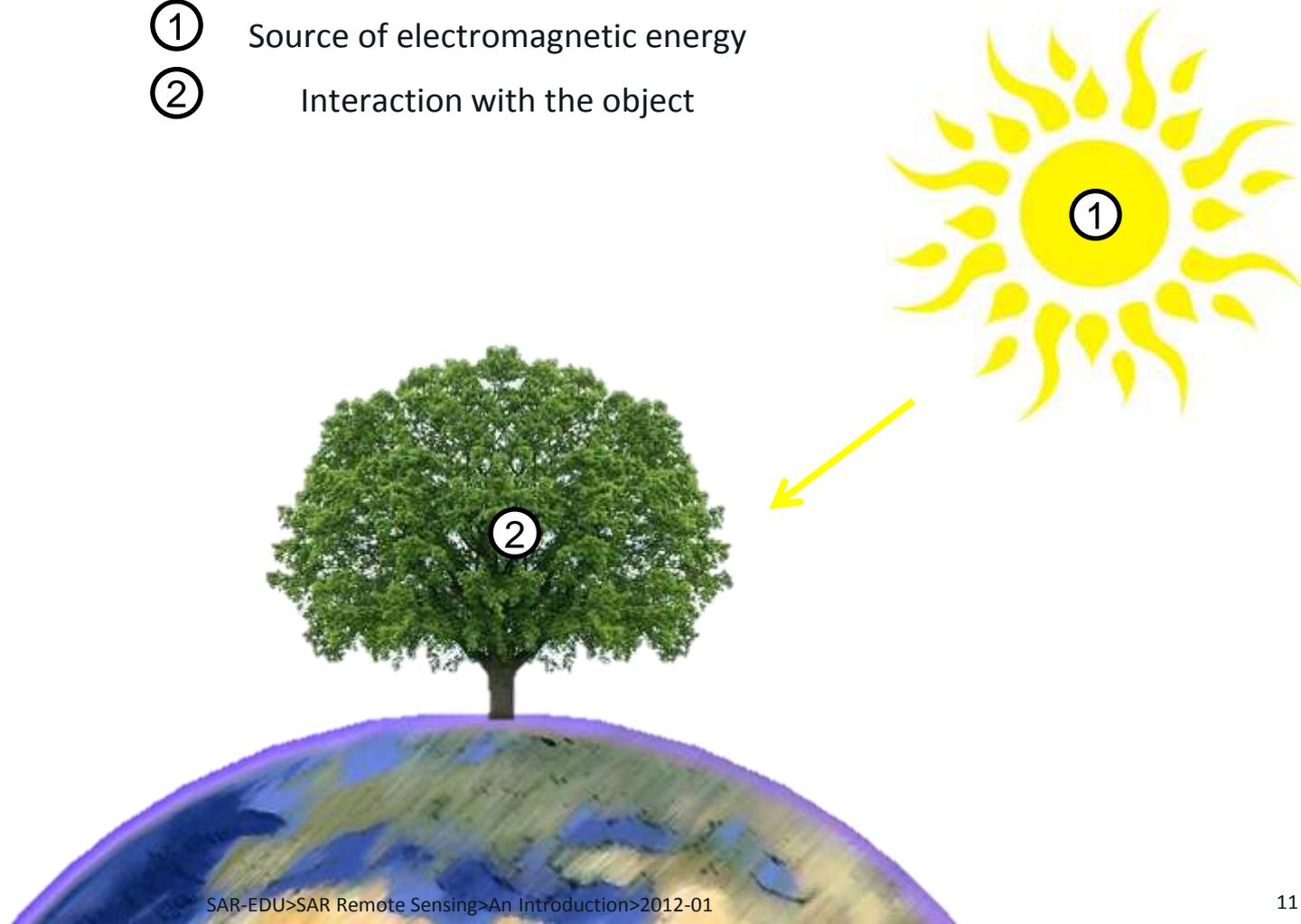


<http://freeda.files.wordpress.com/2007/10/sv003.jpg>

What is Remote Sensing/Earth Observation?

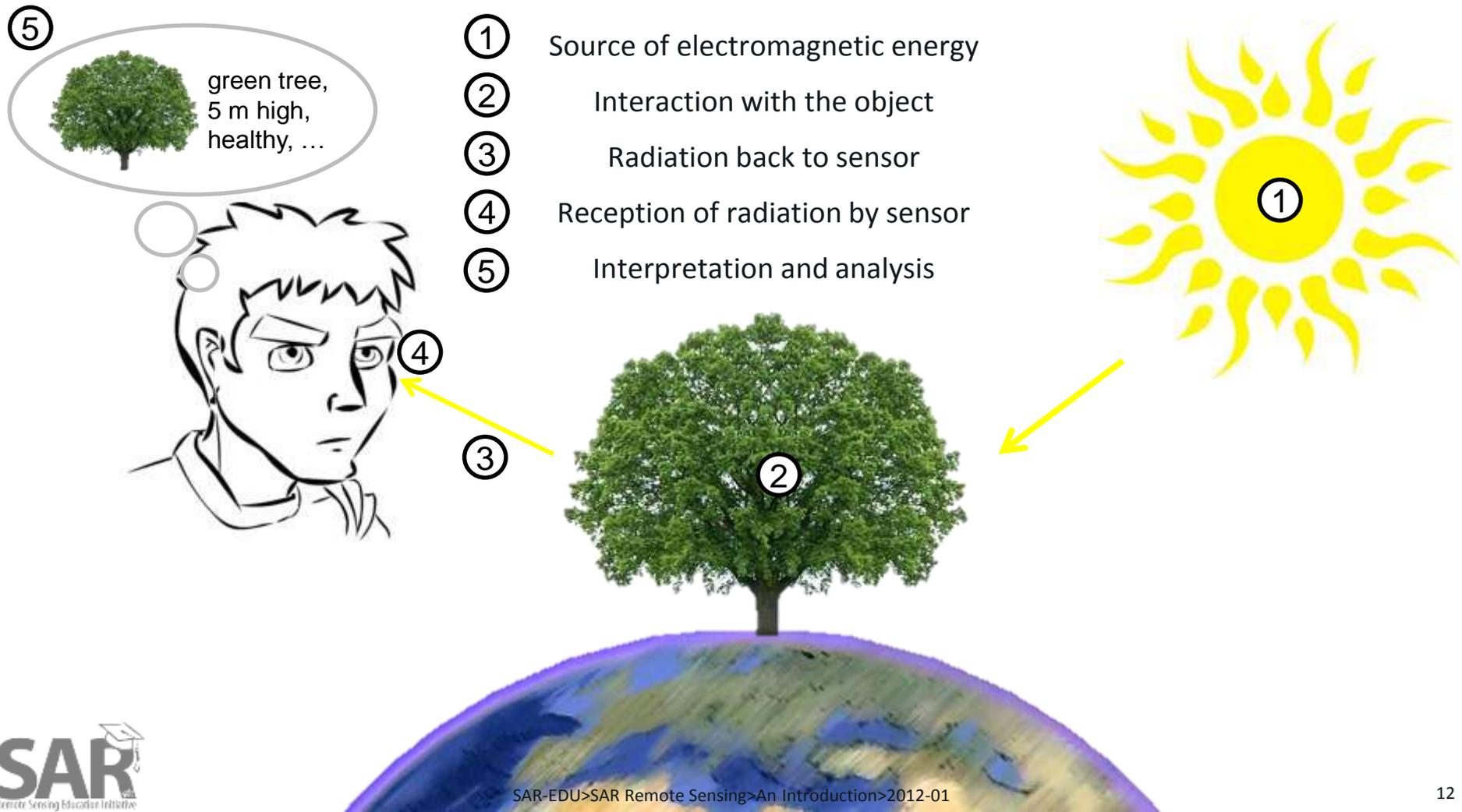
Components of the remote sensing process

- ① Source of electromagnetic energy
- ② Interaction with the object



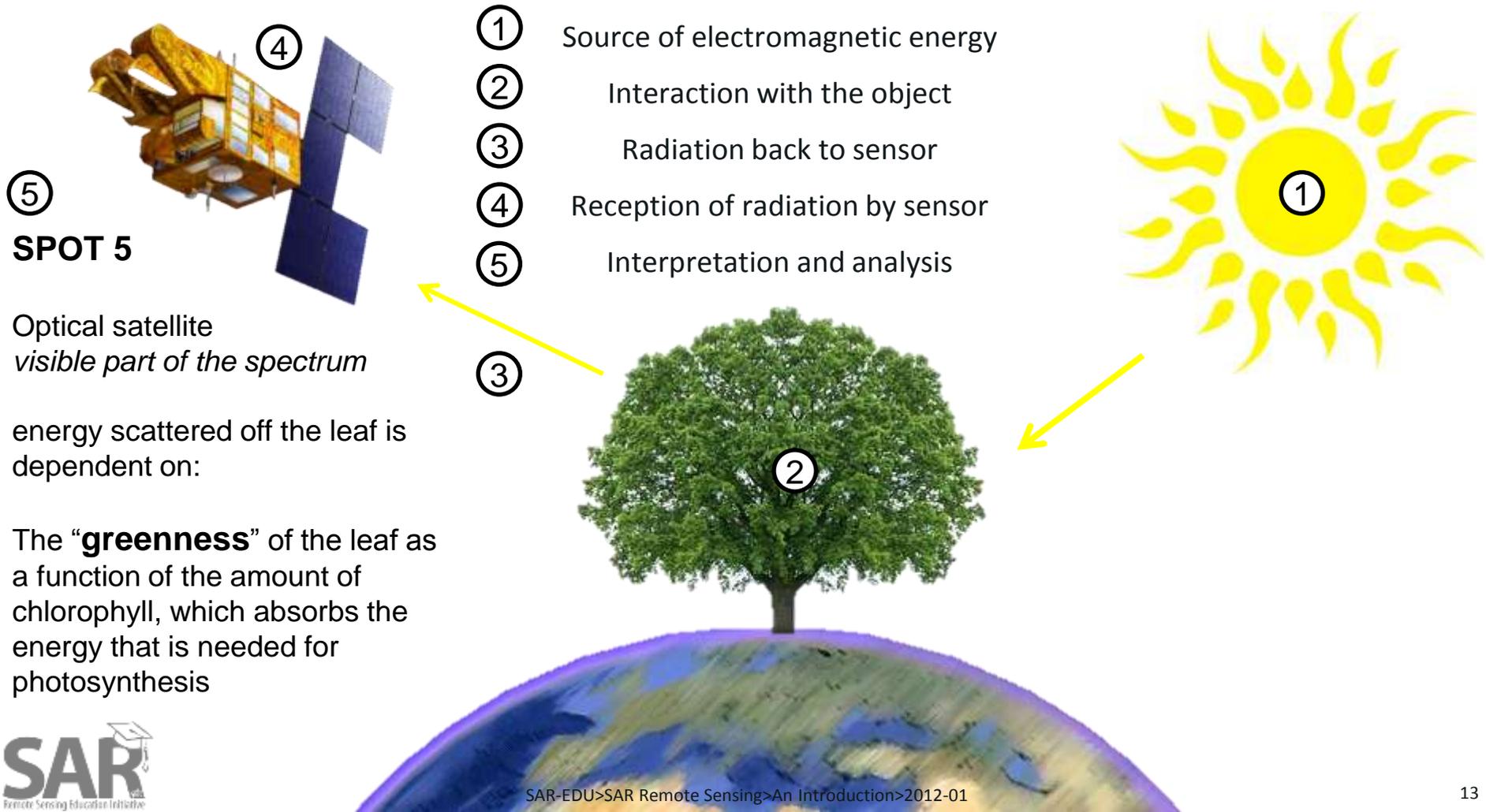
What is Remote Sensing/Earth Observation?

Components of the remote sensing process



What is Remote Sensing/Earth Observation?

Components of the remote sensing process



- ① Source of electromagnetic energy
- ② Interaction with the object
- ③ Radiation back to sensor
- ④ Reception of radiation by sensor
- ⑤ Interpretation and analysis

⑤
SPOT 5

Optical satellite
visible part of the spectrum

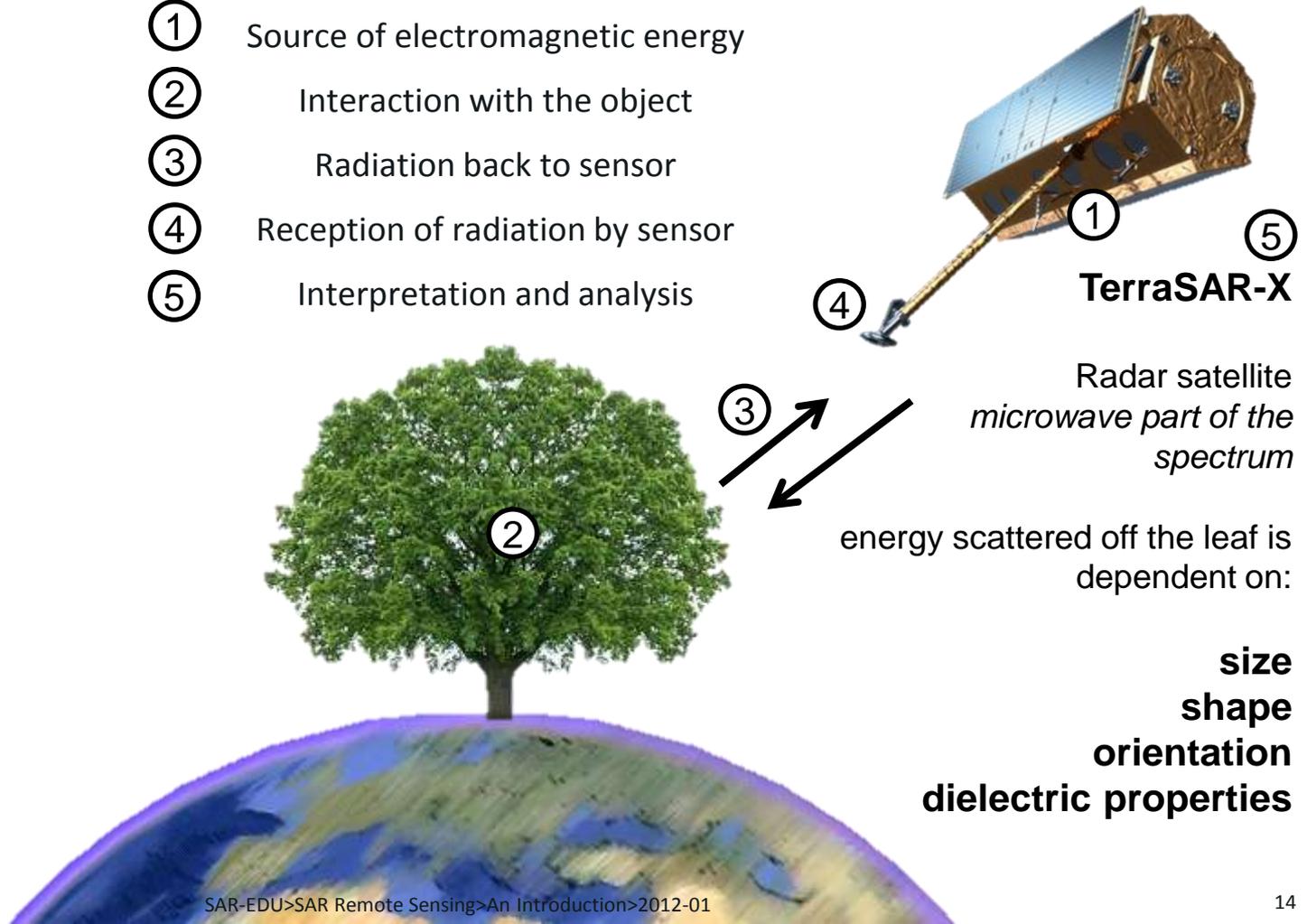
energy scattered off the leaf is dependent on:

The “**greenness**” of the leaf as a function of the amount of chlorophyll, which absorbs the energy that is needed for photosynthesis

What is Remote Sensing/Earth Observation?

Components of the remote sensing process

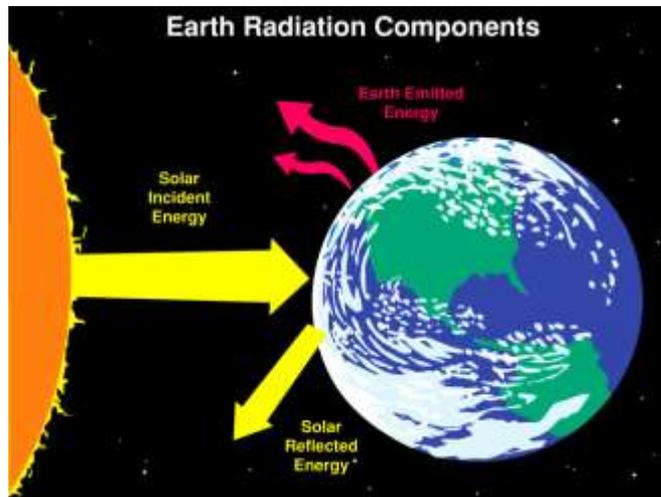
- ① Source of electromagnetic energy
- ② Interaction with the object
- ③ Radiation back to sensor
- ④ Reception of radiation by sensor
- ⑤ Interpretation and analysis



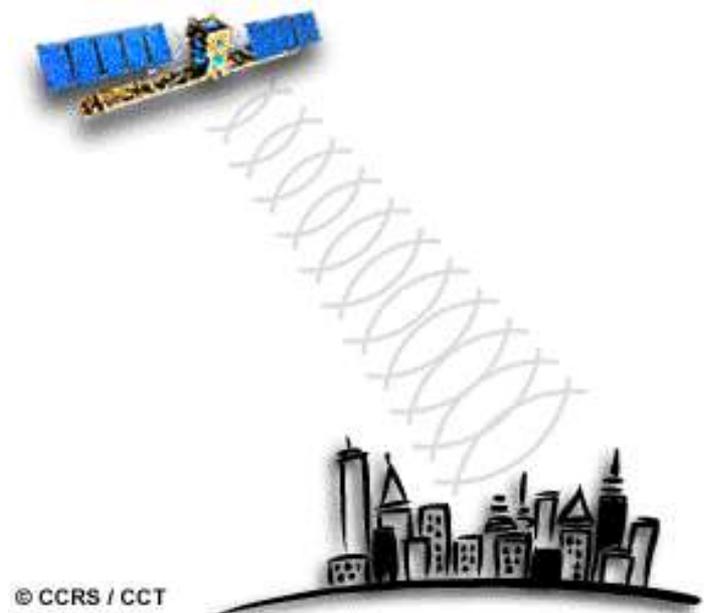
What is Remote Sensing/Earth Observation?

Source of electromagnetic energy

1. *Sun*
2. *Earth Emitted Energy*
3. *Active Source of Energy (e.g. Satellite Sensor)*

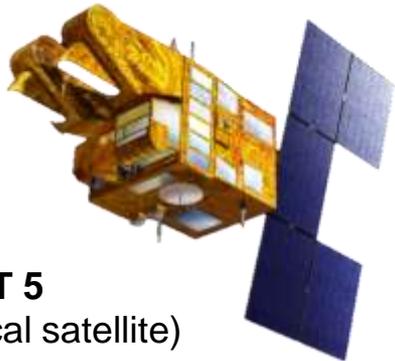


Source: <http://modis.gsfc.nasa.gov/gallery/>



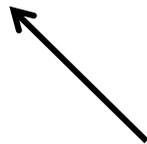
What is Remote Sensing/Earth Observation?

Source of electromagnetic energy

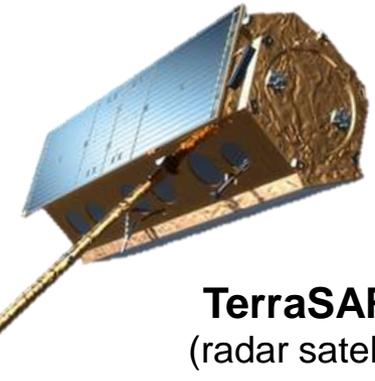


SPOT 5
(optical satellite)

passive



active



TerraSAR-X
(radar satellite)

Further Examples:

Non-imaging: radiometer, magnetic sensor

Imaging: cameras, optical mechanical scanner, spectrometer, radiometer



Further Examples:

Non-imaging: radiometer, altimeter, laser

Imaging: Real Aperture Radar, Synthetic Aperture Radar

What is Remote Sensing/Earth Observation?

Source of electromagnetic energy

Passive remote sensing systems:

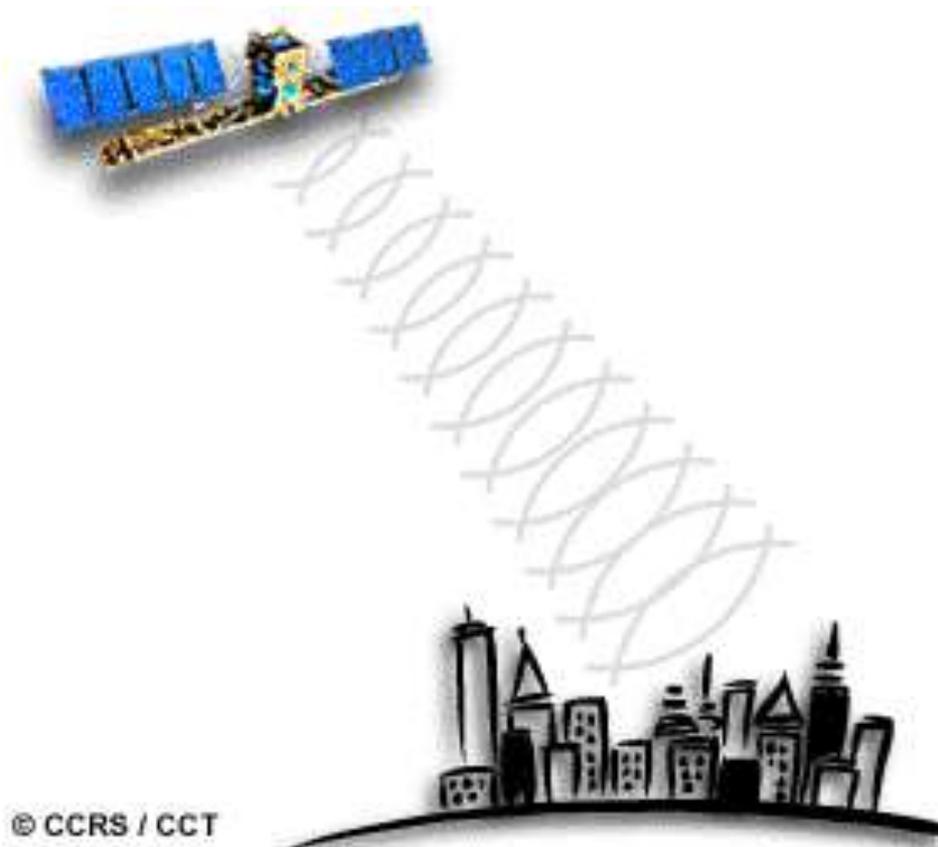
- Detect the **reflected** or **emitted** EM radiation from natural sources
- **Some of the images represent reflected solar radiation in the visible and the near infrared regions of the EM spectrum**
- **others are the measurements of the energy emitted by the earth surface itself i.e. in the thermal infrared wavelength region**

Active remote sensing systems:

- Detect **reflected responses** from objects irradiated by **artificially-generated energy** sources
- **energy is transmitted from the remote sensing platform**
- **measurement of relative return from the earth's surface**

What is Remote Sensing/Earth Observation?

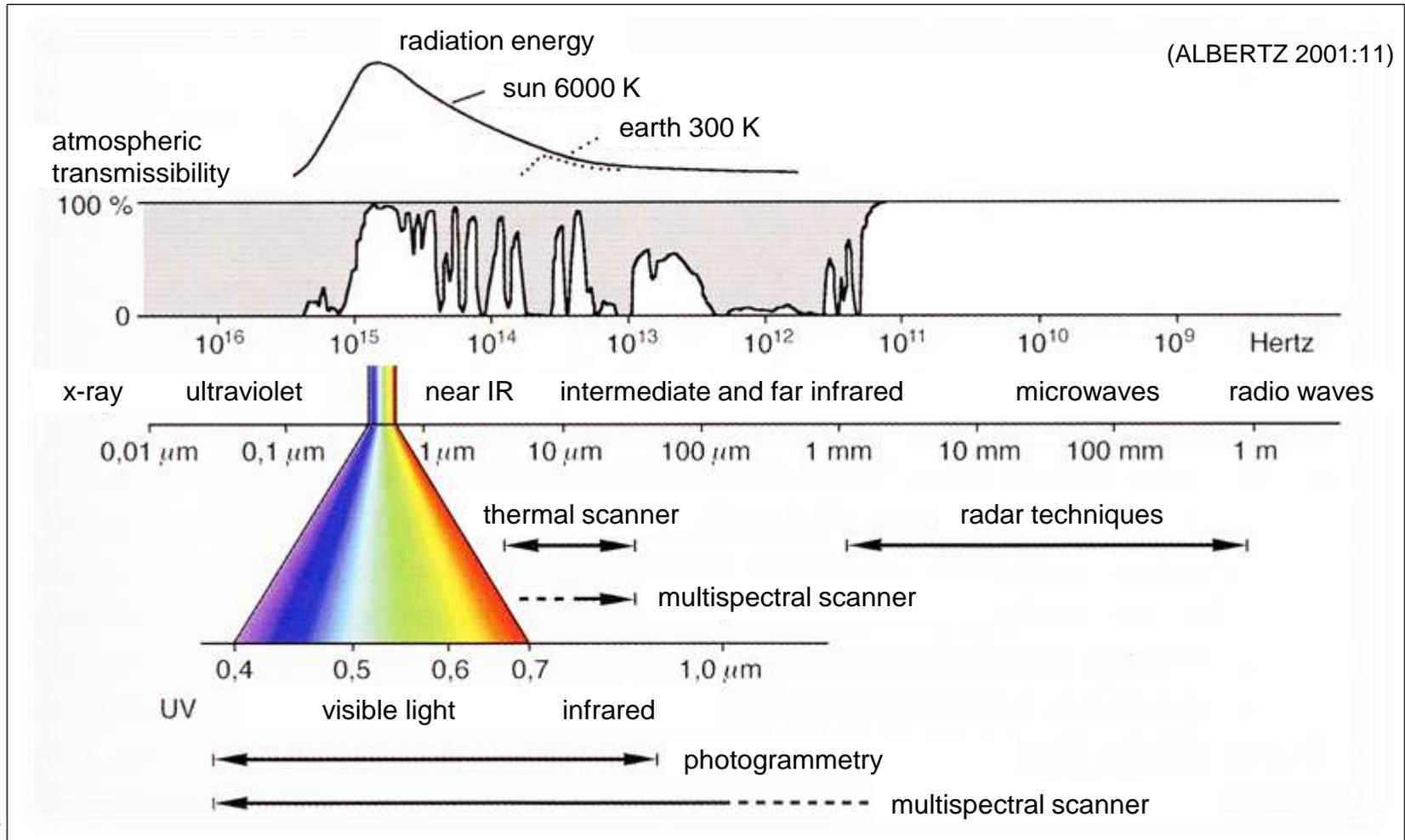
Source of electromagnetic energy - active



© CCRS / CCT

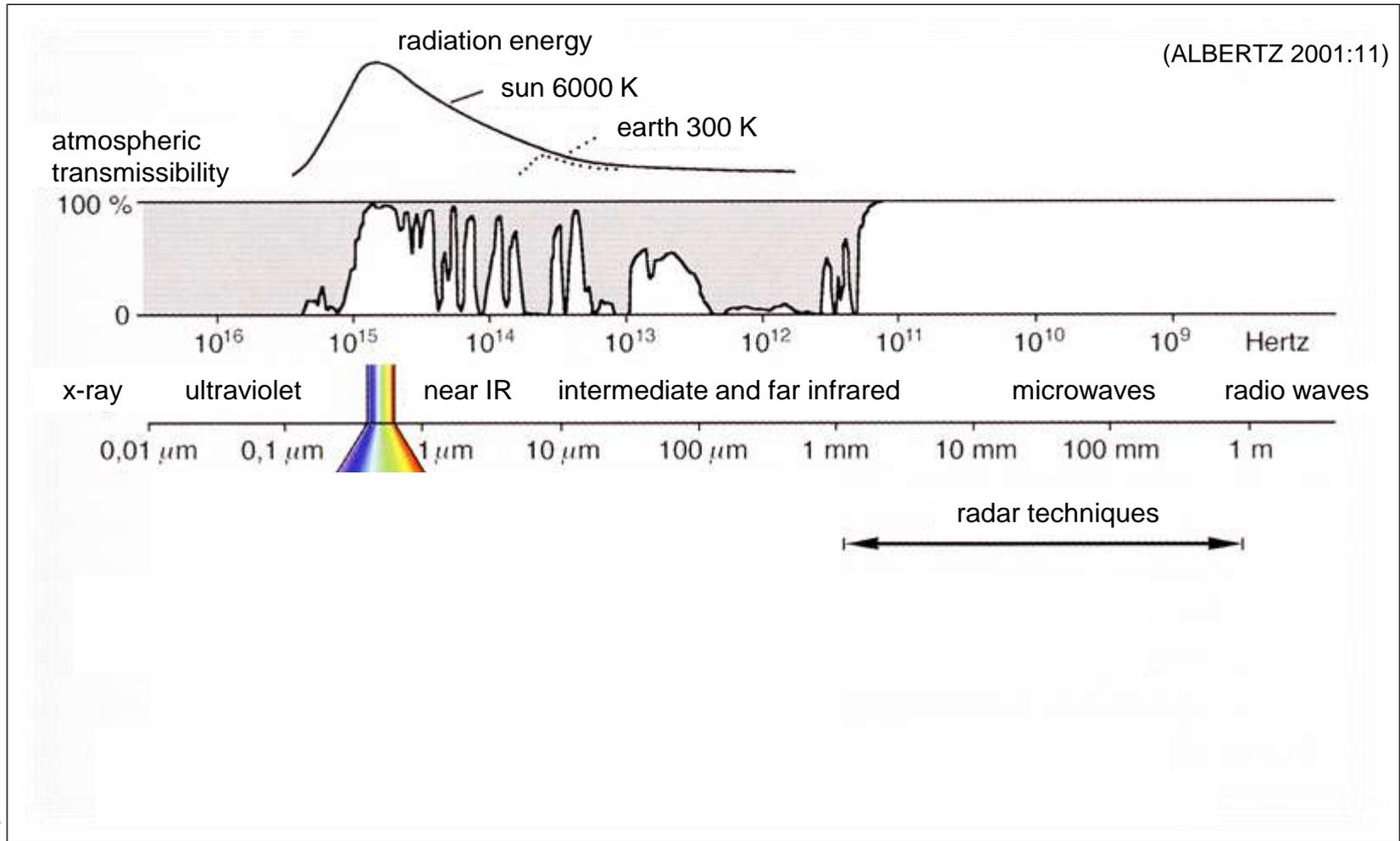
What is Remote Sensing/Earth Observation?

Source of electromagnetic energy



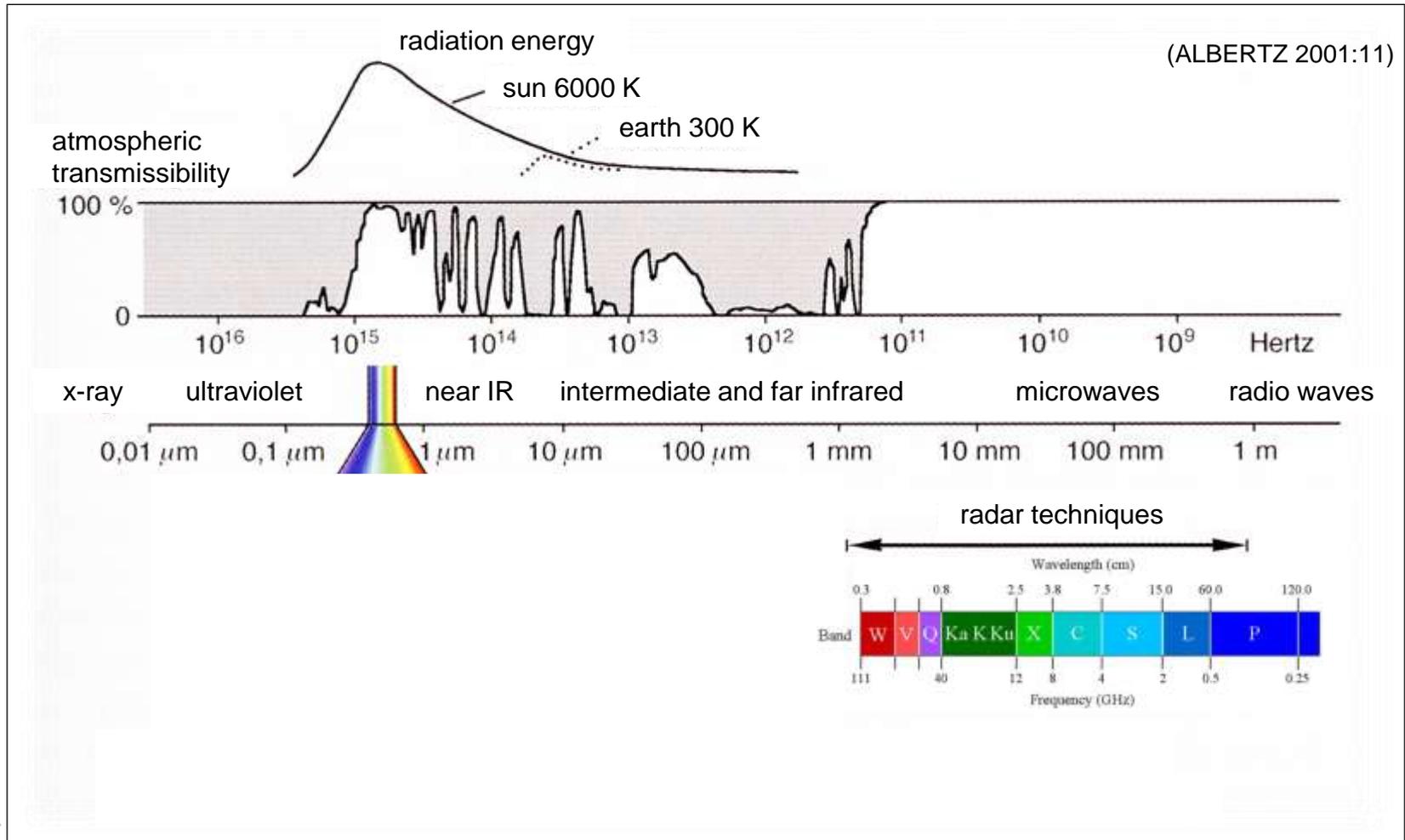
What is Remote Sensing/Earth Observation?

Source of electromagnetic energy

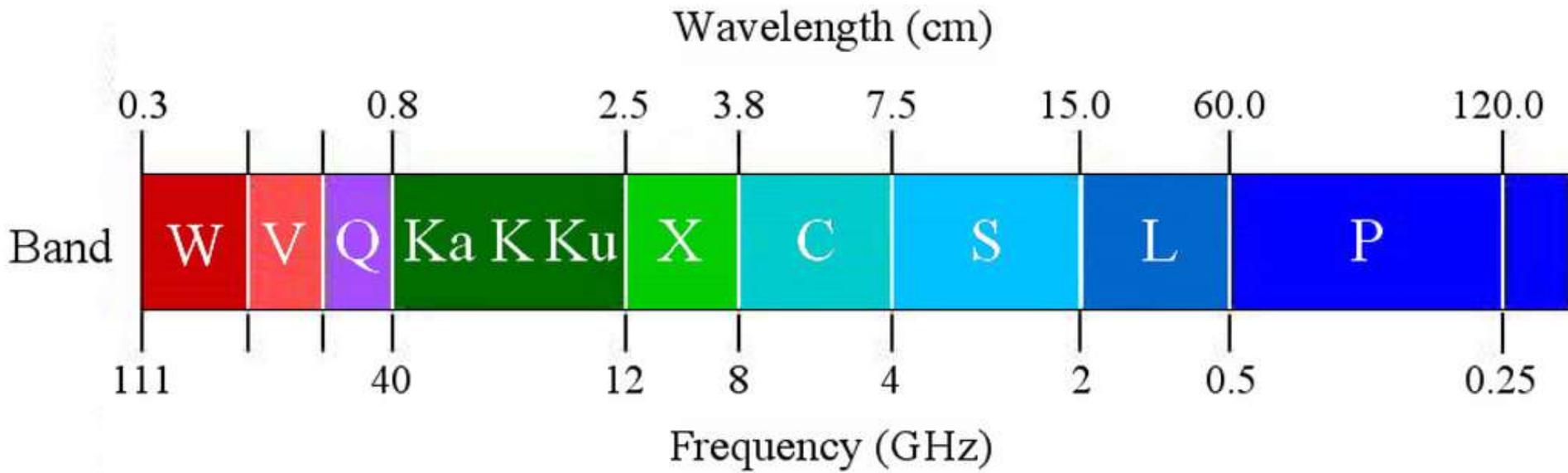


What is Remote Sensing/Earth Observation?

Source of electromagnetic energy

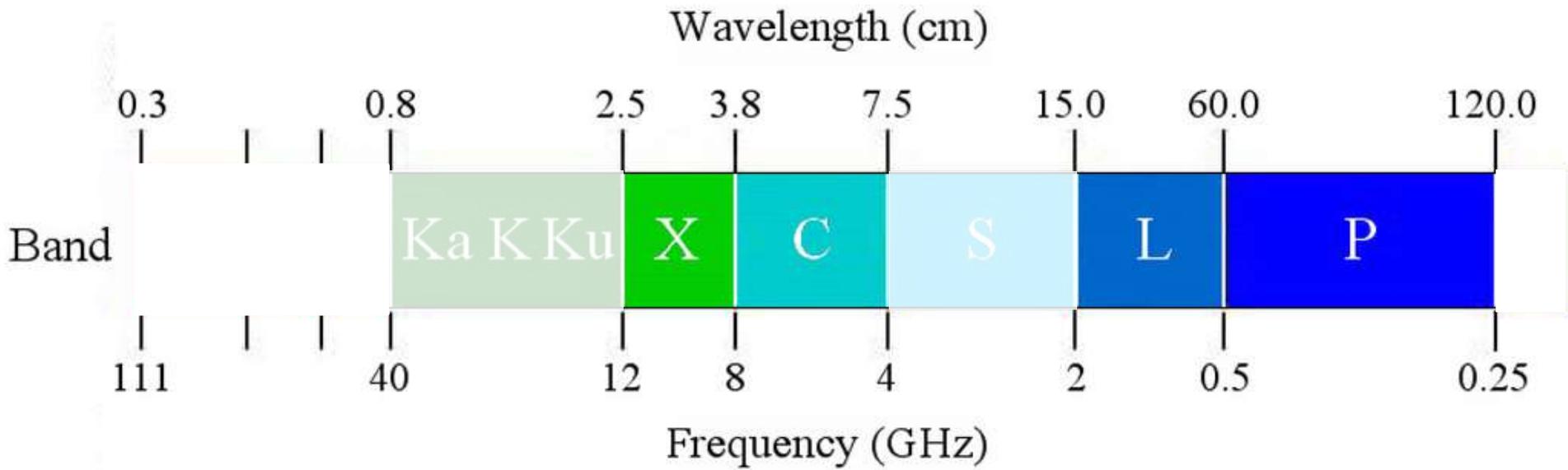


Synthetic Aperture Radar - SAR



Kazuo Ouchi (2013): Recent Trend and Advance of Synthetic Aperture Radar with Selected Topics, *Remote Sensing* **2013**, 5(2), 716-807; doi:[10.3390/rs5020716](https://doi.org/10.3390/rs5020716)

Synthetic Aperture Radar - SAR



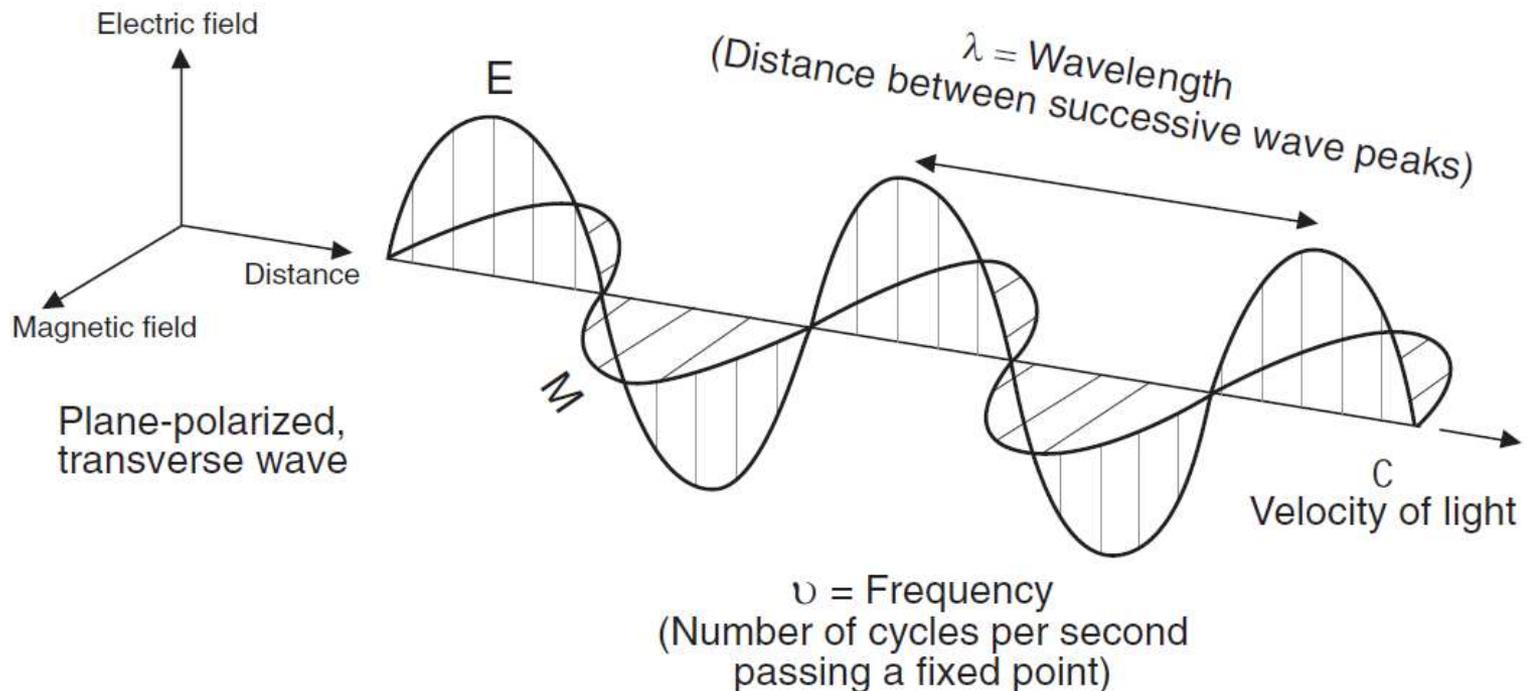
Kazuo Ouchi (2013): Recent Trend and Advance of Synthetic Aperture Radar with Selected Topics, *Remote Sensing* **2013**, 5(2), 716-807; doi:[10.3390/rs5020716](https://doi.org/10.3390/rs5020716)

Active Radar Remote Sensing

Interaction with the object

$$c = \lambda \nu$$

$$c = 3 \times 10^8 \text{ m s}^{-1}$$



Wave Theory and Polarization
(David P. Lusch, 1999).

Active Radar Remote Sensing

Interaction with the object



The Radar Concept (after ROSEN 2004:o.S.).

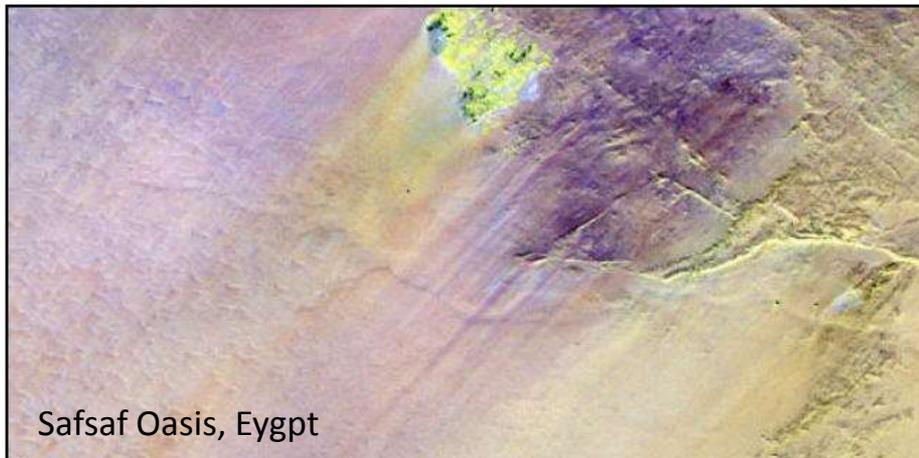
Active Radar Remote Sensing

Characteristics of microwaves/SAR sensors

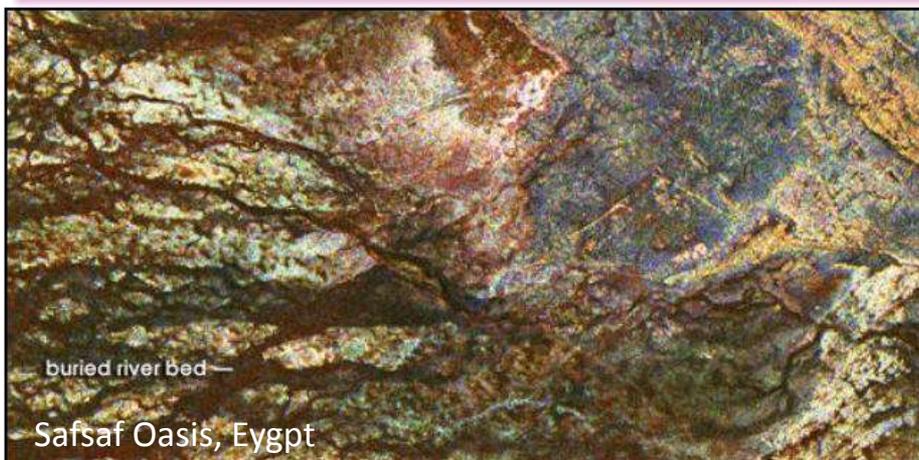
1. Active remote sensing sensors generate EM-waves → **no sunlight required (night time acquisitions possible), no problems due to bad illumination**
2. Microwaves are capable to penetrate into/through objects. This effect is depending on wavelength and dielectric characteristics of objects → **(almost) no problems with clouds, dust, fog. Sensing of „hidden“ objects**
3. Magnitude and characteristics of backscatter depend on **geometric** and **dielectric** properties of objects

Active Radar Remote Sensing

Advantages / Example subsurface penetration



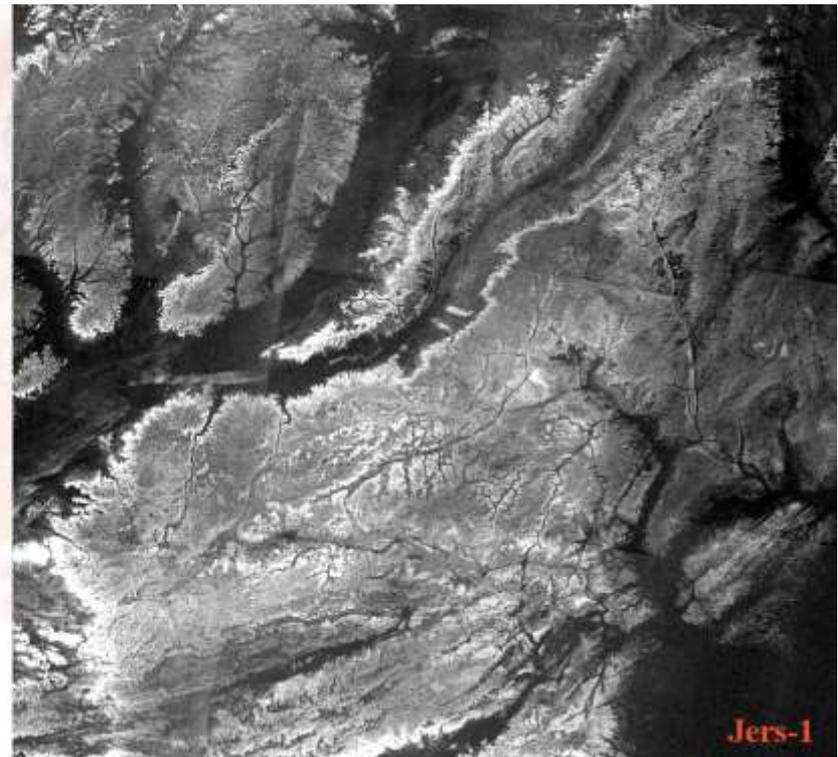
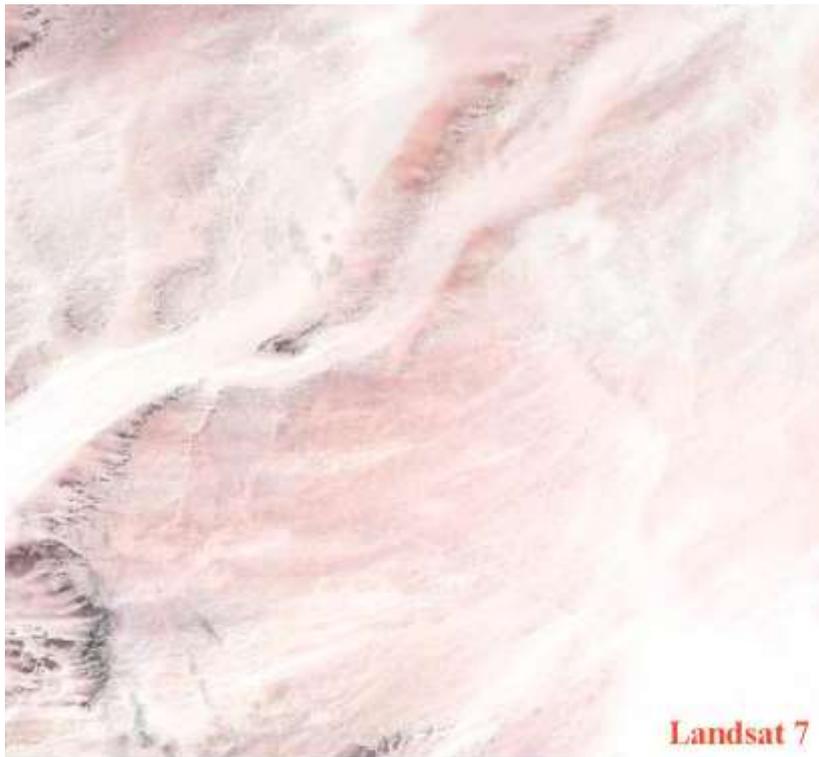
Landsat Thematic Mapper
shows the desert's surface



SIR-C/X-SAR
shows what the landscape might look
like if stripped bare of sand

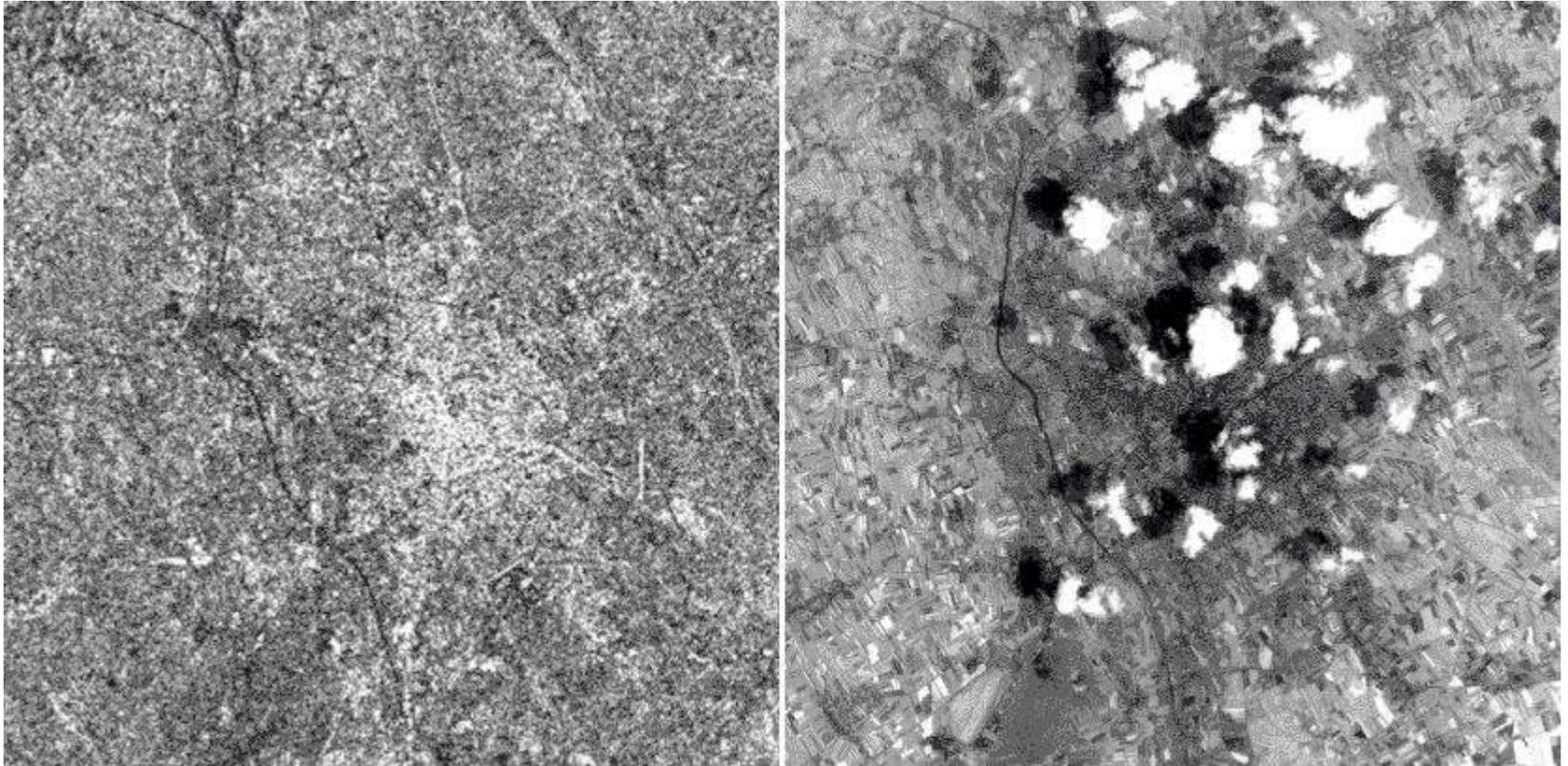
Active Radar Remote Sensing

Advantages / Example subsurface penetration



Active Radar Remote Sensing

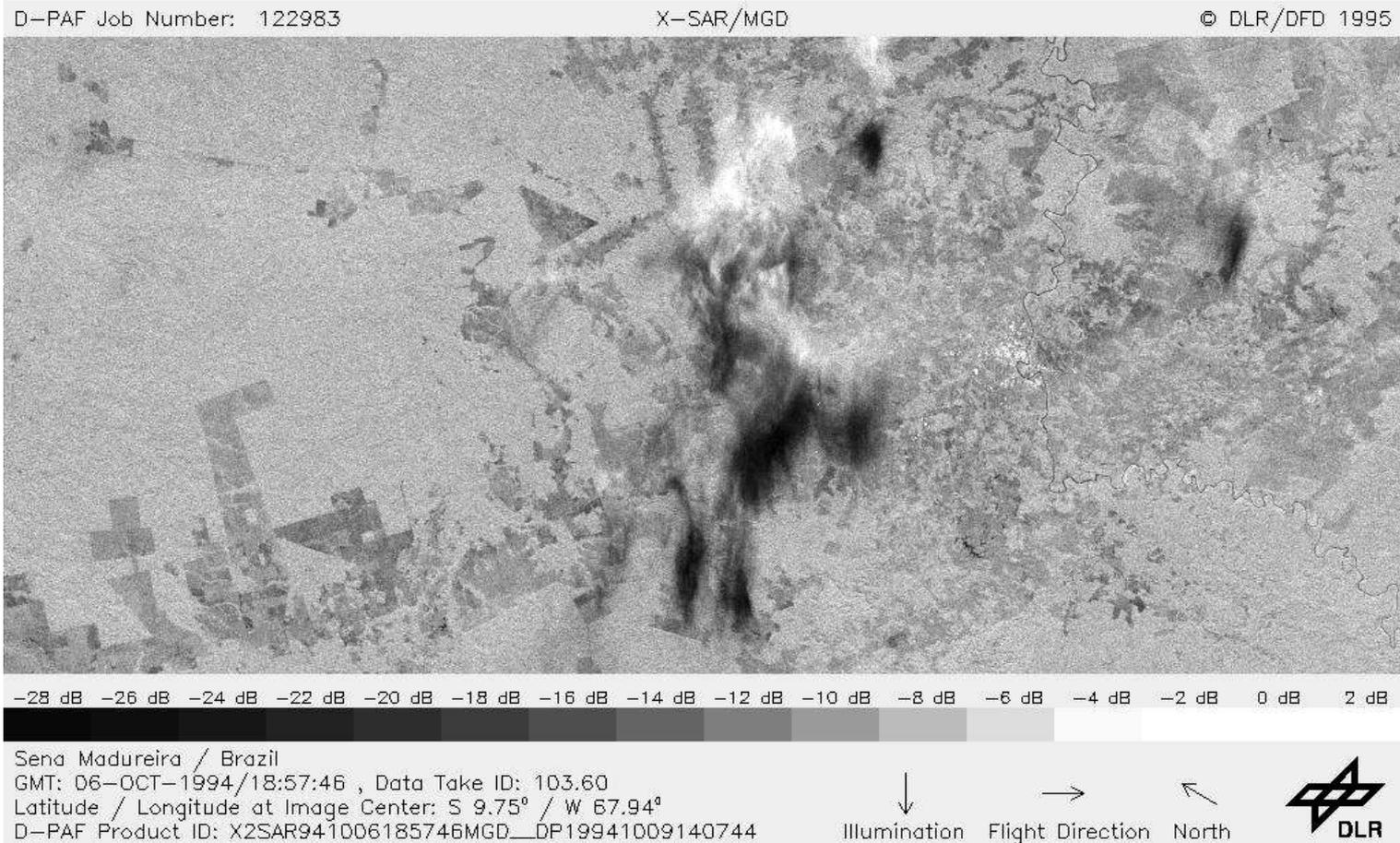
Advantages / Example all weather



These images were acquired over the city of Udine (I), by ERS-1 on the 4th of July 1993 at 9.59 a.m. (GMT) and Landsat-5 on the same date at 9.14 a.m. (GMT) respectively. The clouds that are clearly visible in the optical image, are not appearing in the SAR image.

Heavy Clouds and Rain Cells in X-Band SAR Images

→ Only visible at short wavelengths and extreme conditions

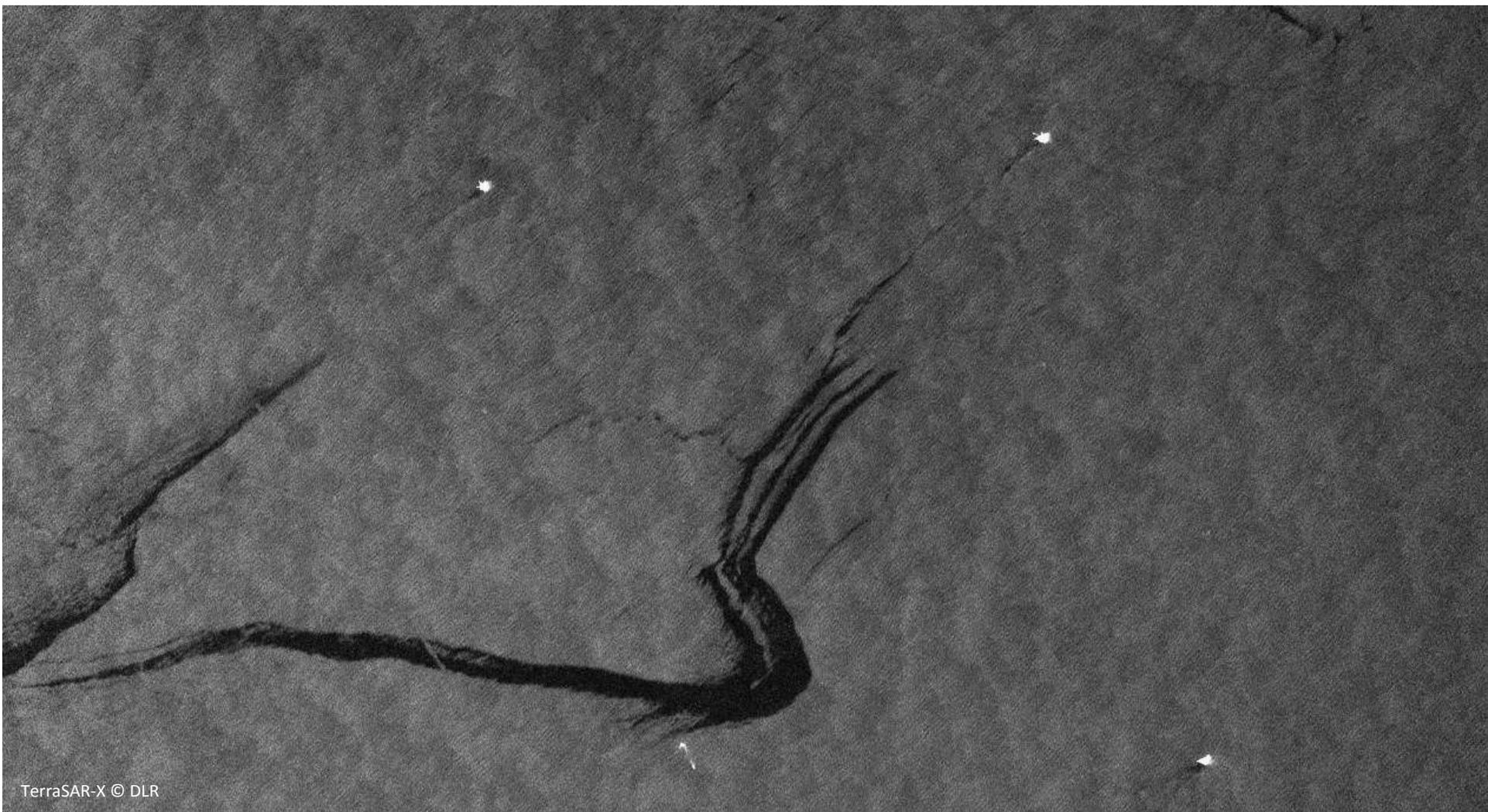


Active Radar Remote Sensing

Characteristics of microwaves/SAR sensors

1. Active remote sensing sensors generate EM-waves → no sunlight required (night time acquisitions), no problems caused by weak illumination
2. Microwaves are capable to penetrate into/through objects depending on wavelength and dielectric characteristics of objects → (almost) no problems with clouds, dust, fog; sensing of „hidden“ objects
3. Magnitude and characteristics of backscatter depend on **geometric** and **dielectric** properties of objects

SAR Data Examples



TerraSAR-X © DLR



TerraSAR-X, 9. July 2010, Mediterranean Sea

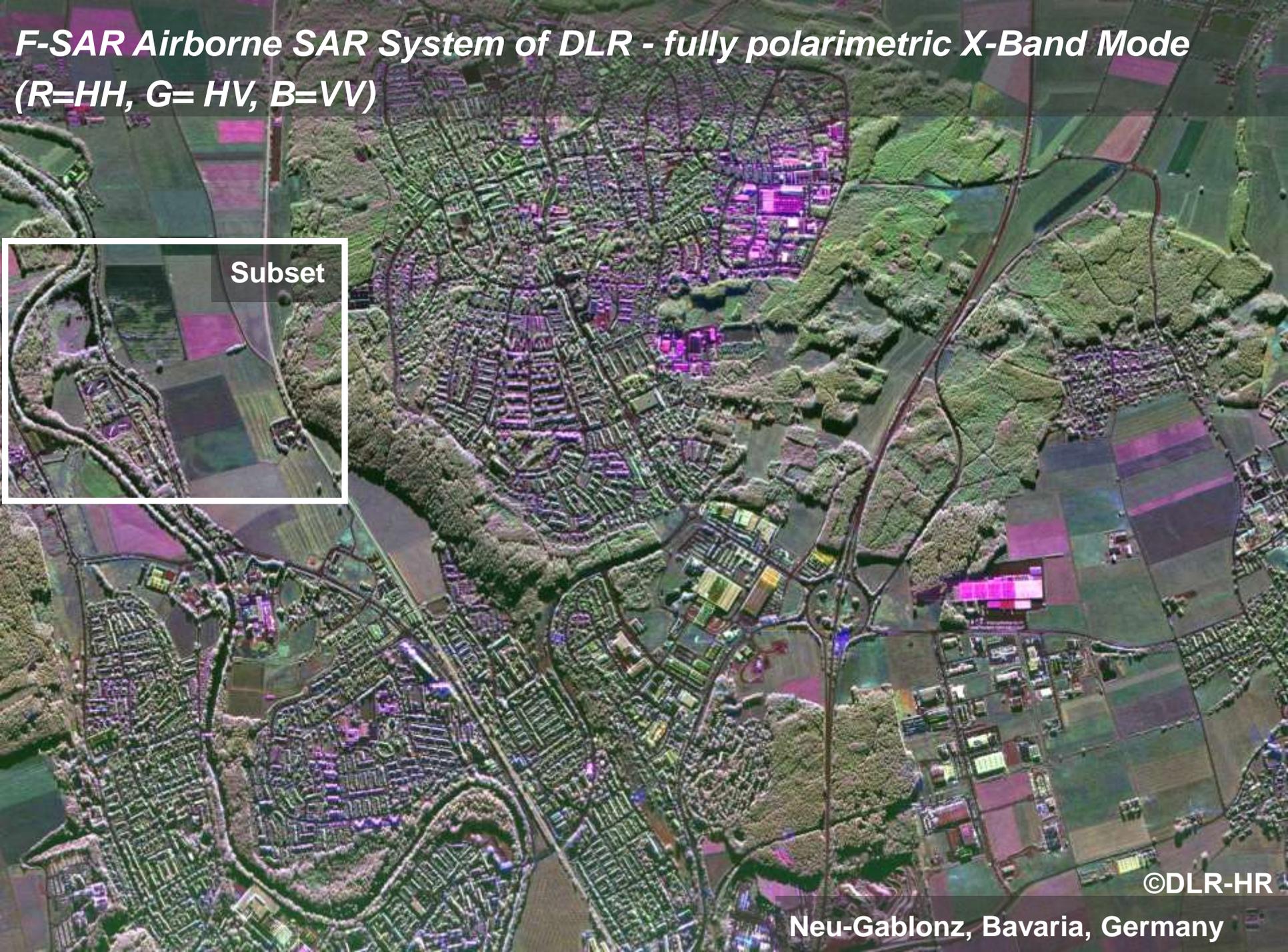
Active Radar Remote Sensing

Advantages / Example dielectric properties



Irrigated fields:
Higher backscatter

**F-SAR Airborne SAR System of DLR - fully polarimetric X-Band Mode
(R=HH, G=HV, B=VV)**



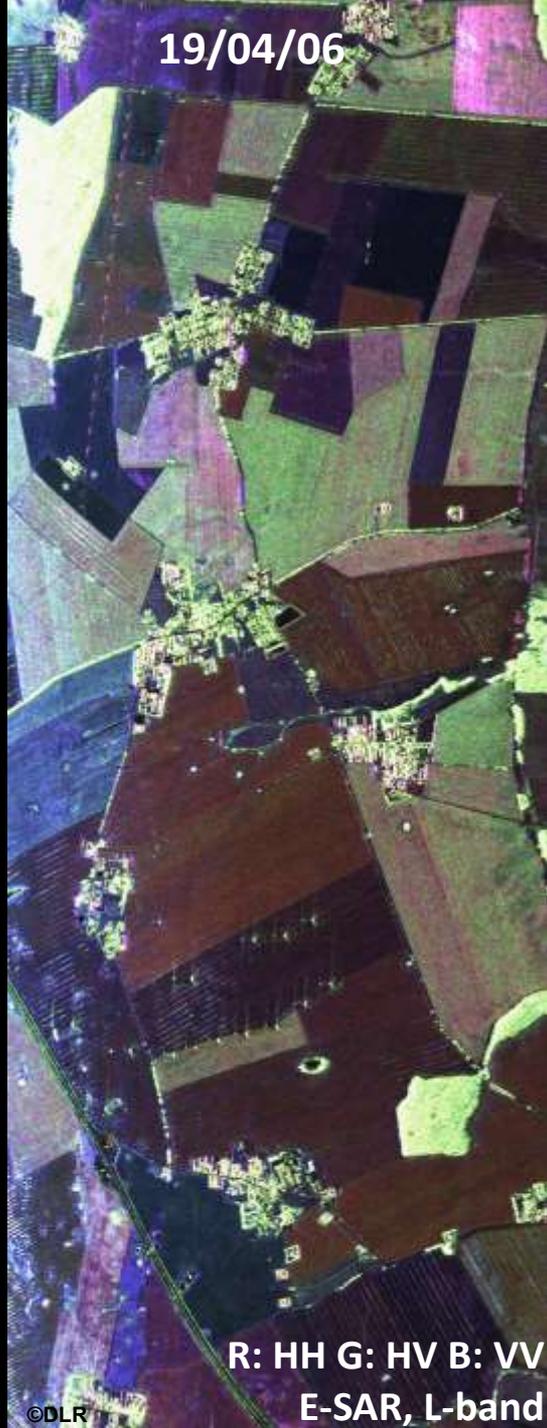
Subset of Neu-Gablonz Area - River, Fields and ...
(R=HH, G=HV, B=VV)



... a purification plant

©DLR-HR

Crop monitoring with several observations



Kalimantan - Indonesia



E-SAR, C-band
R: HH G: HV B: VV



E-SAR, L-band
R: HH G: HV B: VV



E-SAR, P-band
R: HH G: HV B: VV

What is Remote Sensing/Earth Observation?

Interaction with the object



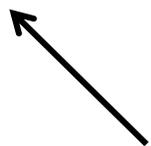
SPOT 5

Optical satellite
visible part of the spectrum

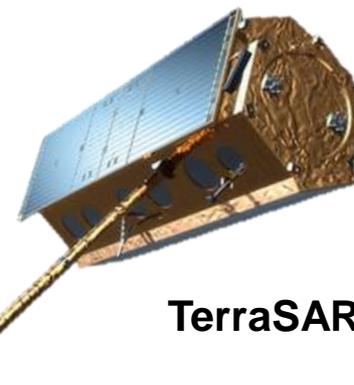
energy scattered off the leaf is dependent on:

The “greenness” of the leaf as a function of the amount of chlorophyll, which absorbs the energy that is needed for photosynthesis

optical



radar

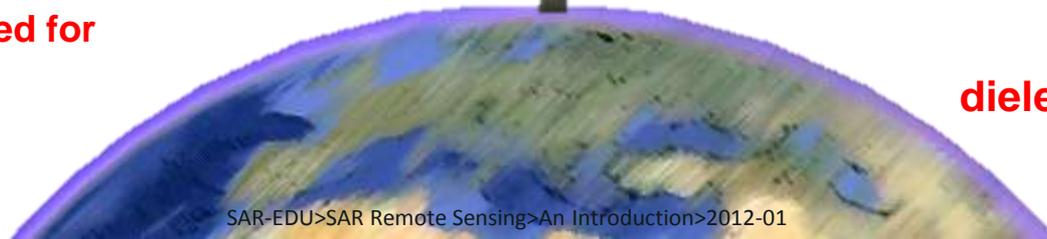


TerraSAR-X

Radar satellite
microwave part of the spectrum

energy scattered off the leaf is dependent on:

**size
shape
orientation
dielectric properties**



What is Remote Sensing/Earth Observation?

Interaction with the object



SPOT 5

Optical satellite
visible part of the spectrum

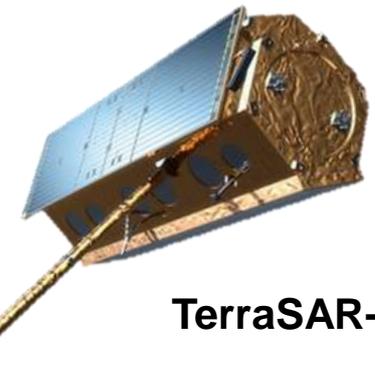
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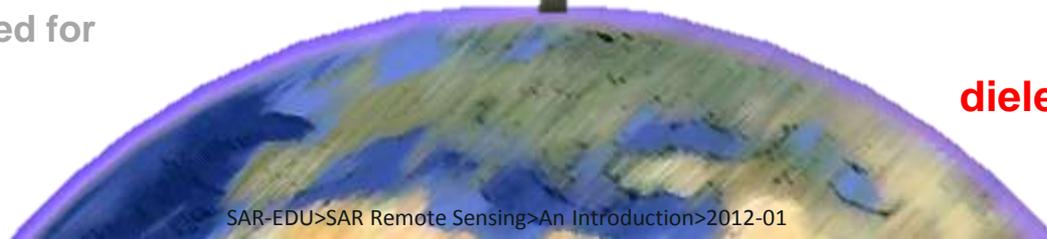


TerraSAR-X

Radar satellite
microwave part of the spectrum

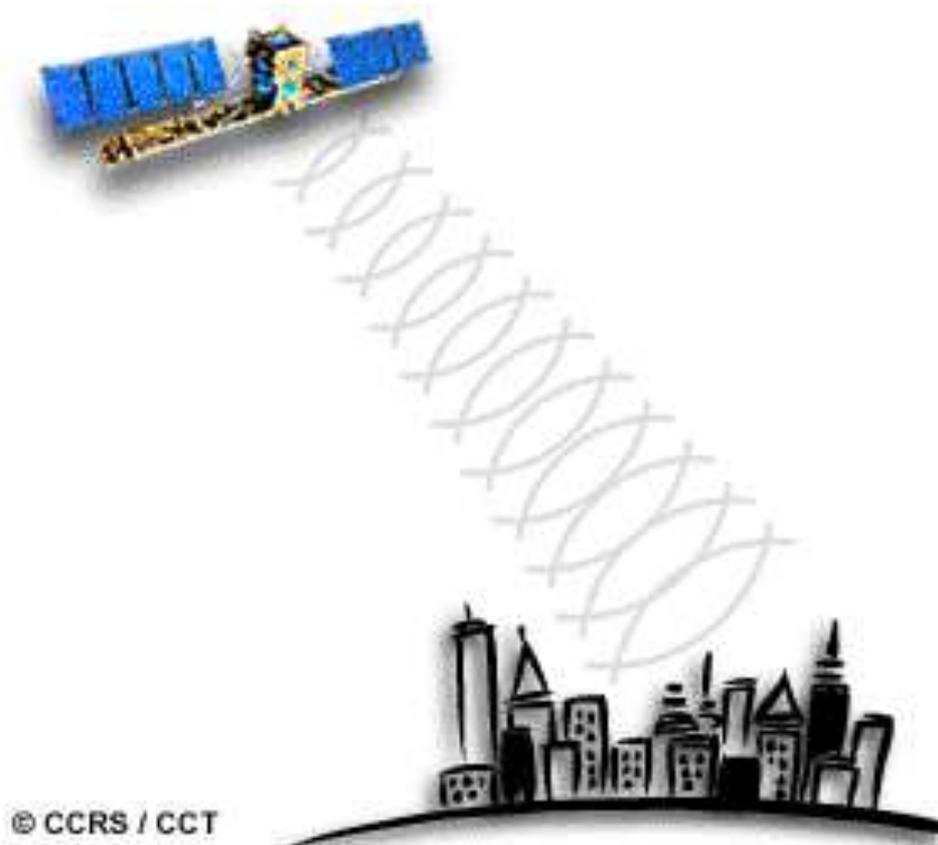
energy scattered off the leaf is dependent on:

- size**
- shape**
- orientation**
- dielectric properties**



What is Remote Sensing/Earth Observation?

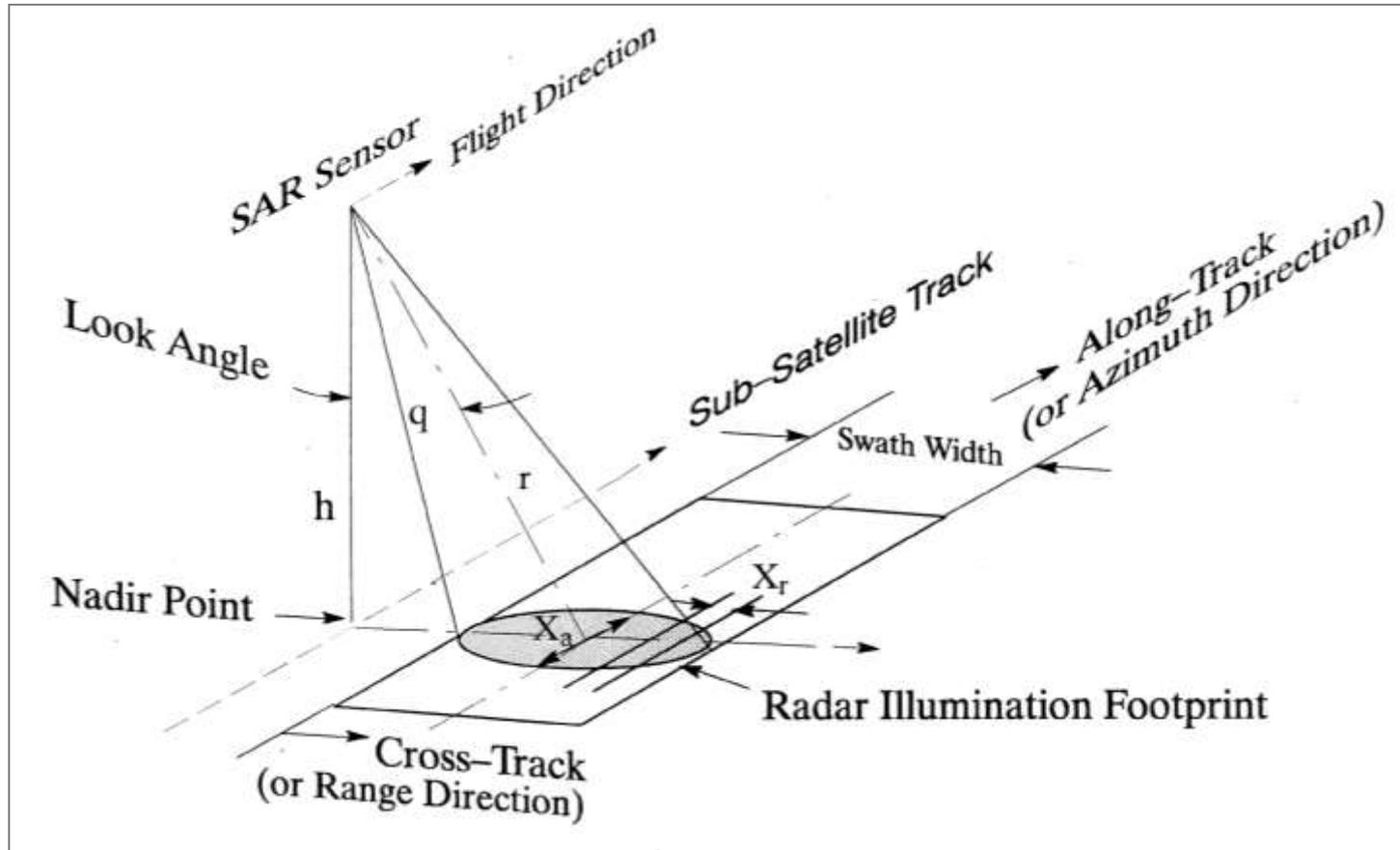
Source of electromagnetic energy - active



© CCRS / CCT

Active Radar Remote Sensing

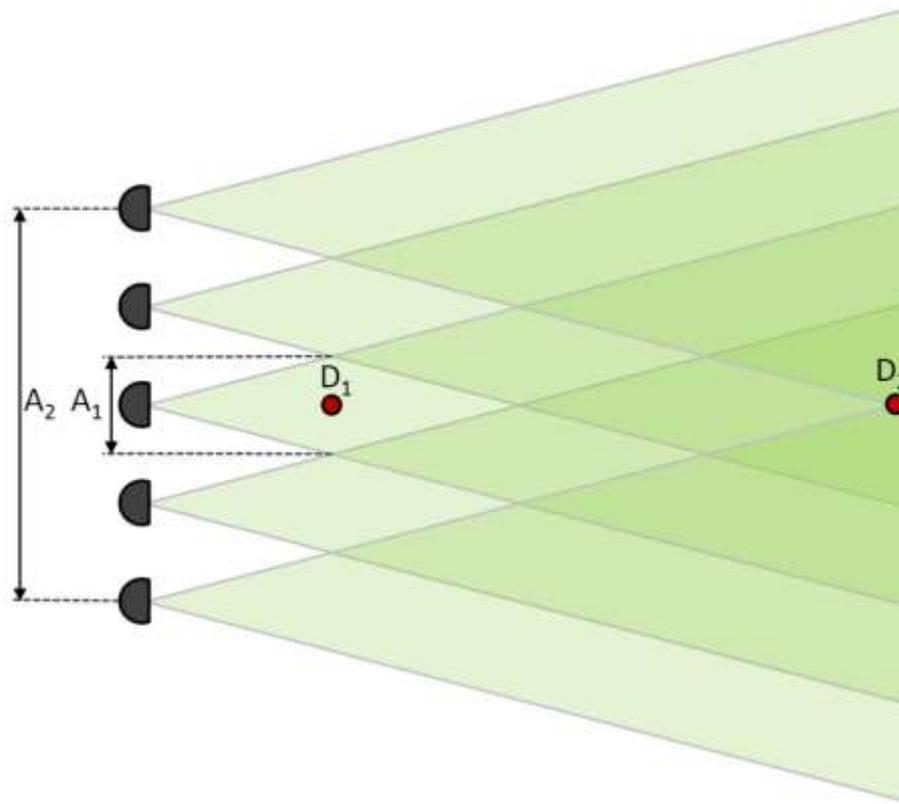
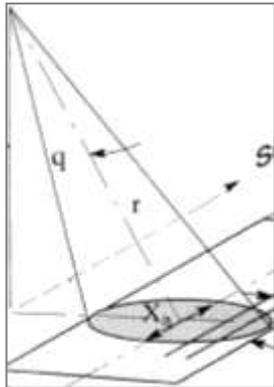
Interaction with the object



Side-looking SAR geometry.

What is Remote Sensing/Earth Observation?

Synthetic Aperture Radar



Length of synthetic aperture depending on distance between antenna and target

→ Azimuth resolution independent on range distance

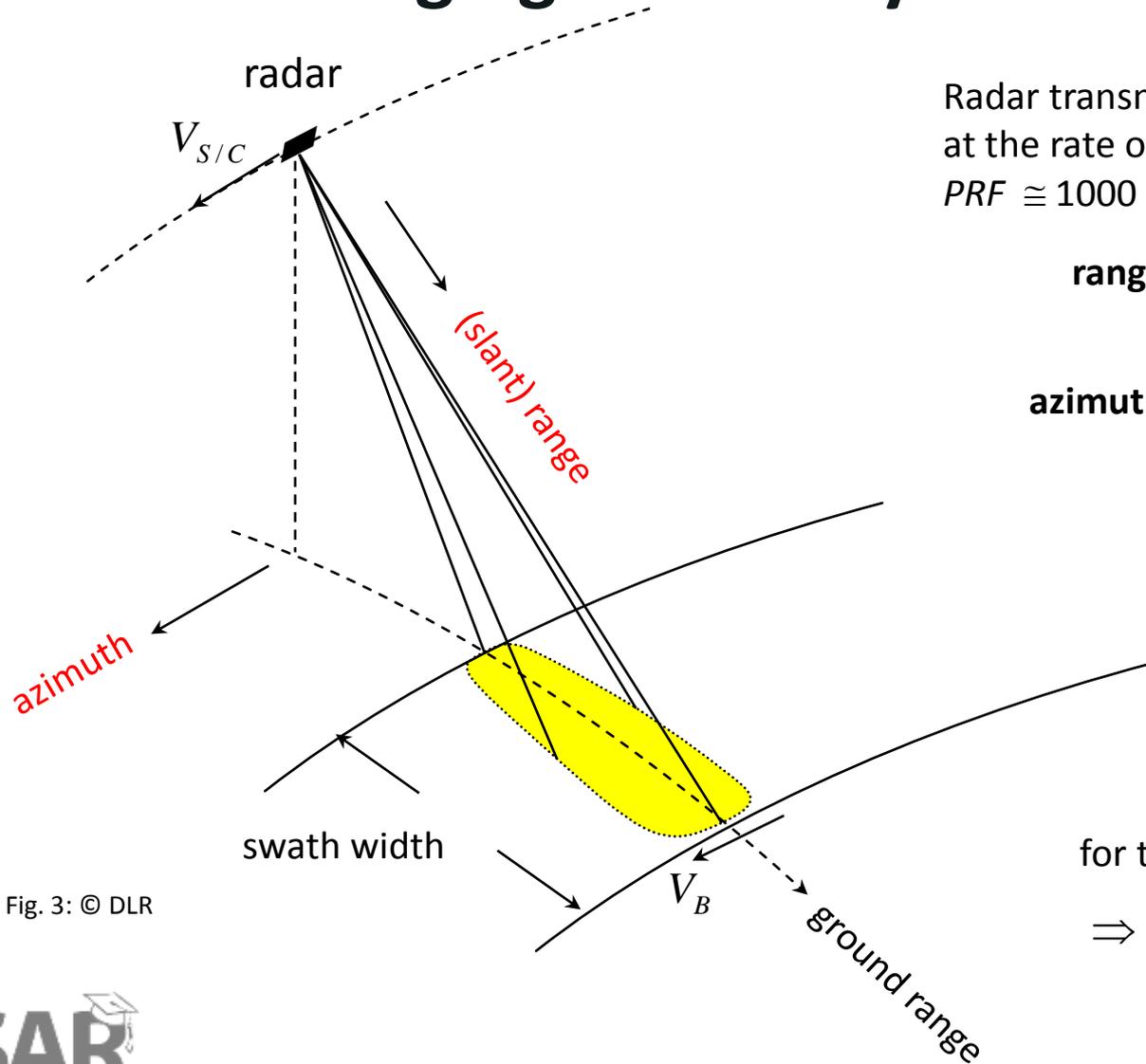
What is Remote Sensing/Earth Observation?

Synthetic Aperture Radar

Is side looking really necessary?

<http://www.geos.ed.ac.uk/~ihw/hype/radar/intro2radar.html>

SAR Imaging Geometry



Radar transmits pulses and receives echoes at the rate of the pulse repetition frequency:
 $PRF \cong 1000 - 4000 \text{ Hz}$

range: radar principle = scanning at speed of light

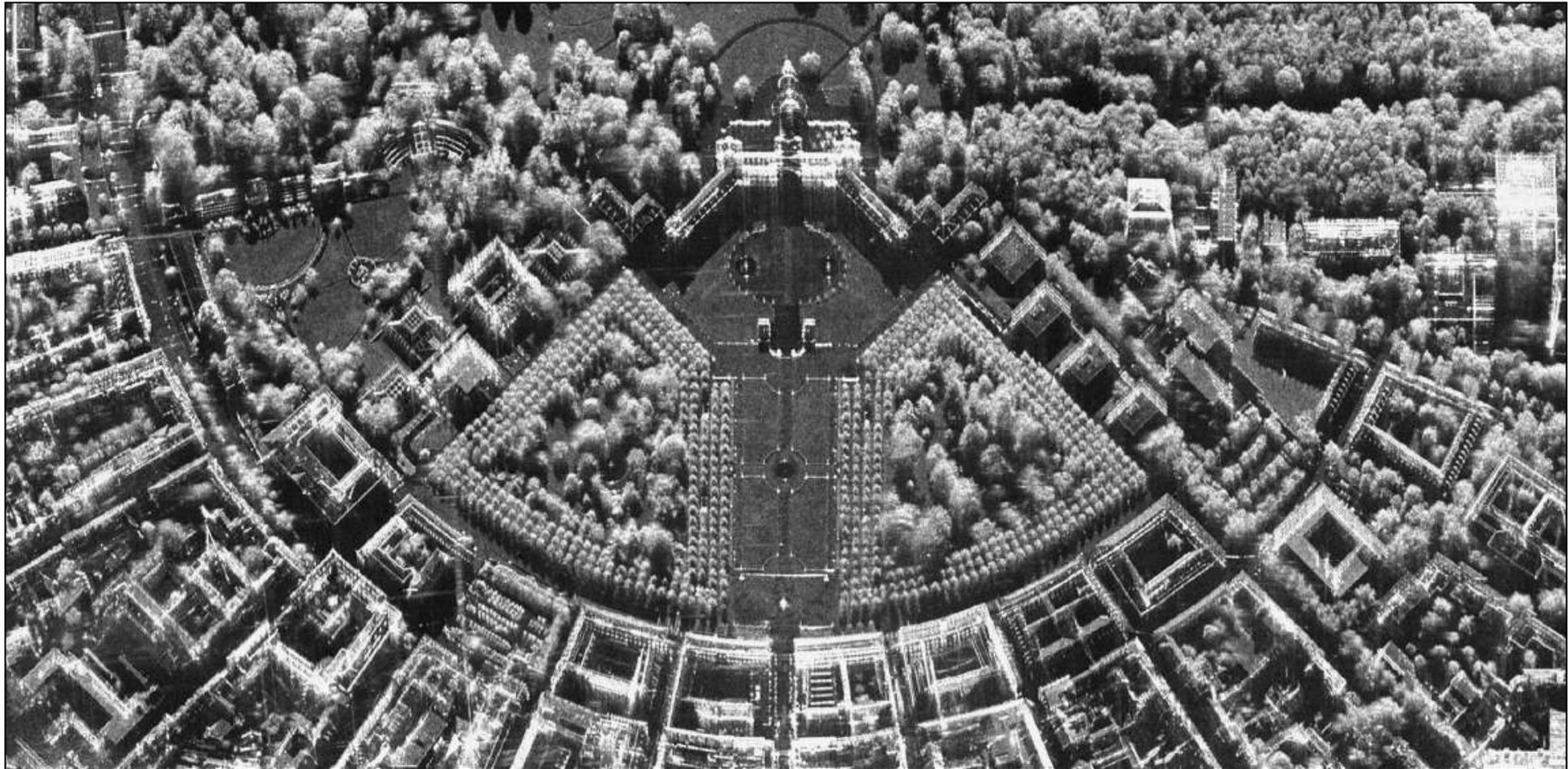
azimuth: scanning in flight direction

for this lecture: straight flight path

$$\Rightarrow V_{S/C} = V_B = V$$

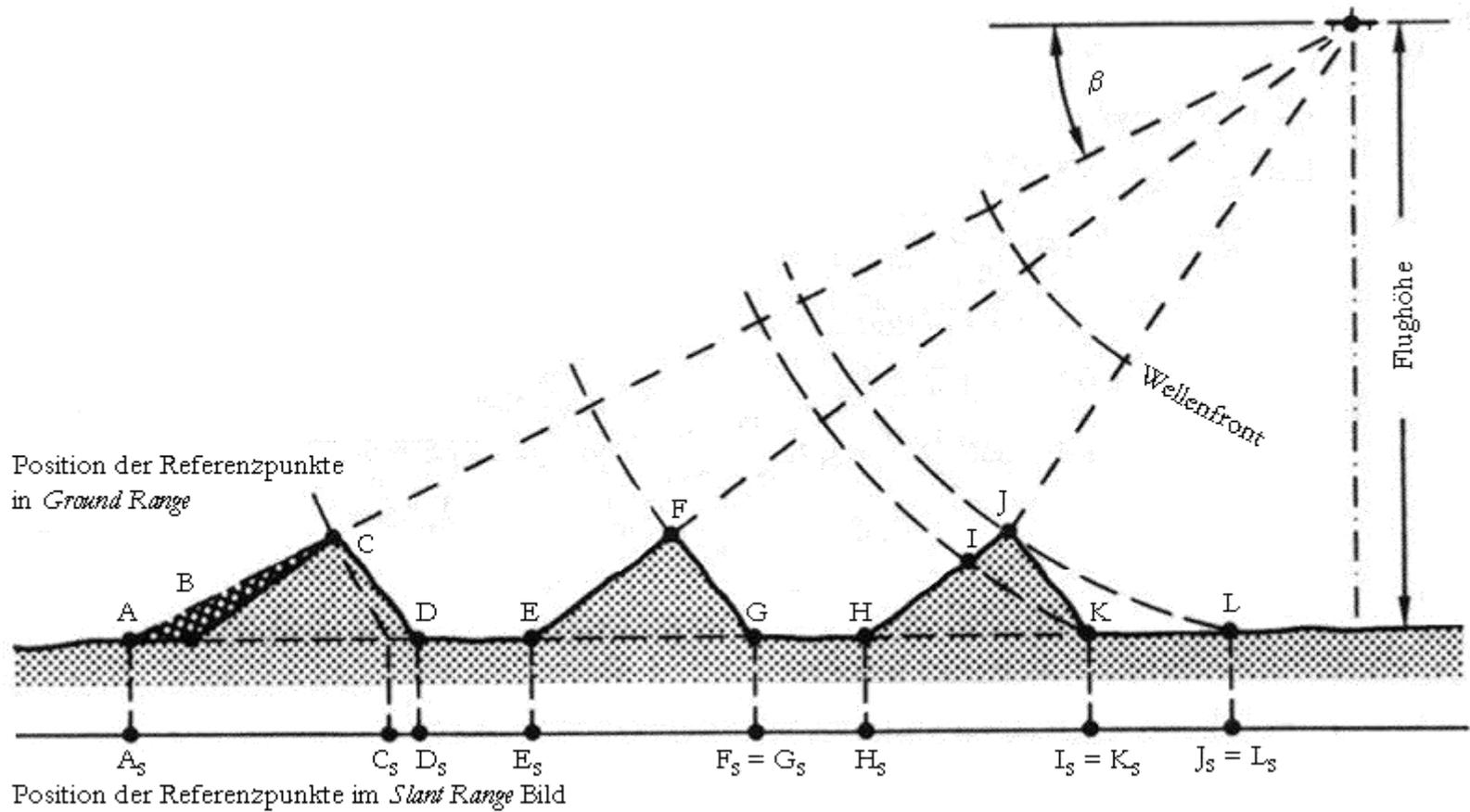
Fig. 3: © DLR

SAR Data Examples



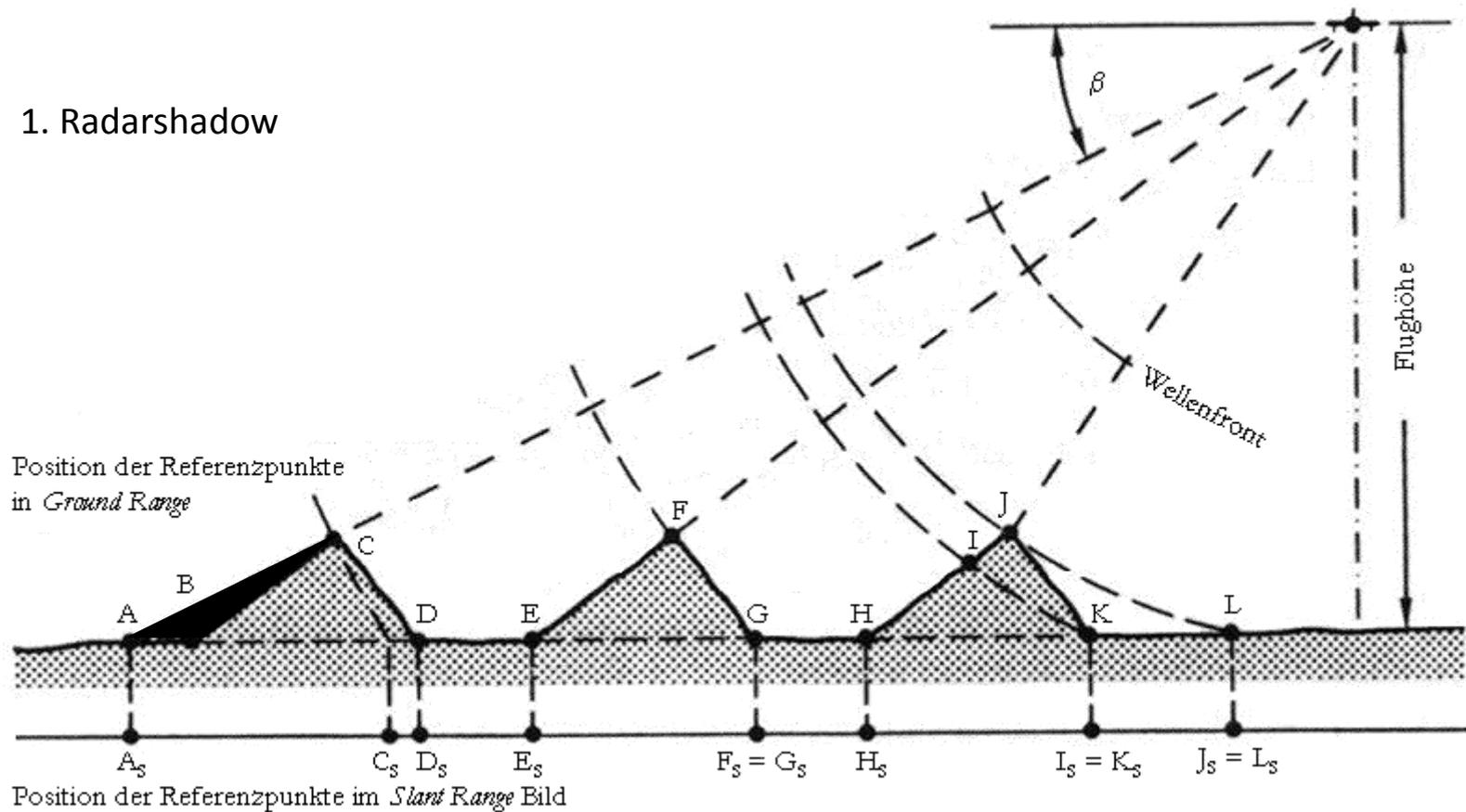
Andreas R. Brenner and Ludwig Roessing, Radar Imaging of Urban Areas by Means of Very High-Resolution SAR and Interferometric SAR, IEEE TRANSACTIONS ON GEOSCIENCE AND REMOTE SENSING, VOL. 46, NO. 10, OCTOBER 2008 (X-band)

Effects of side-looking geometry



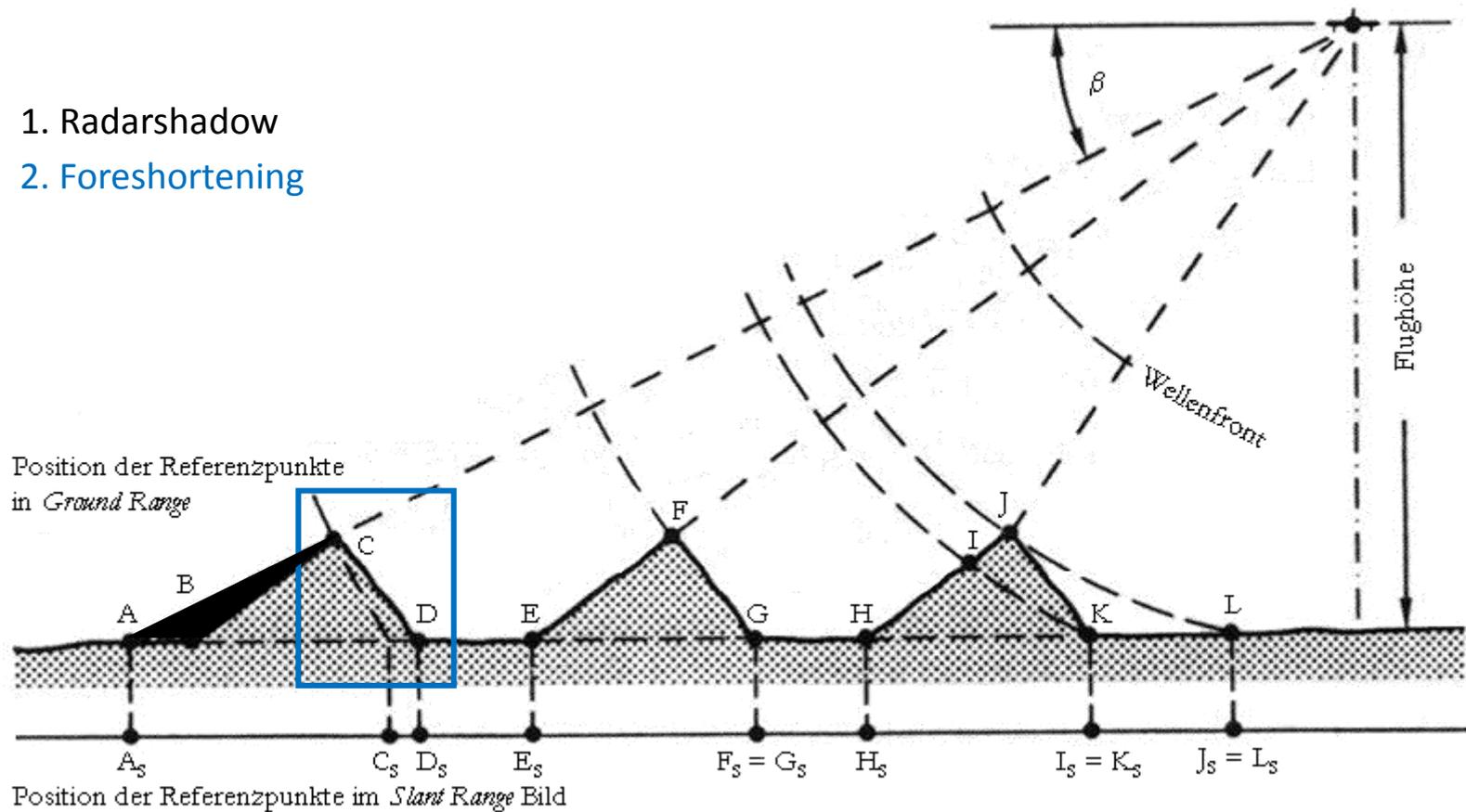
Effects of side-looking geometry

1. Radarshadow



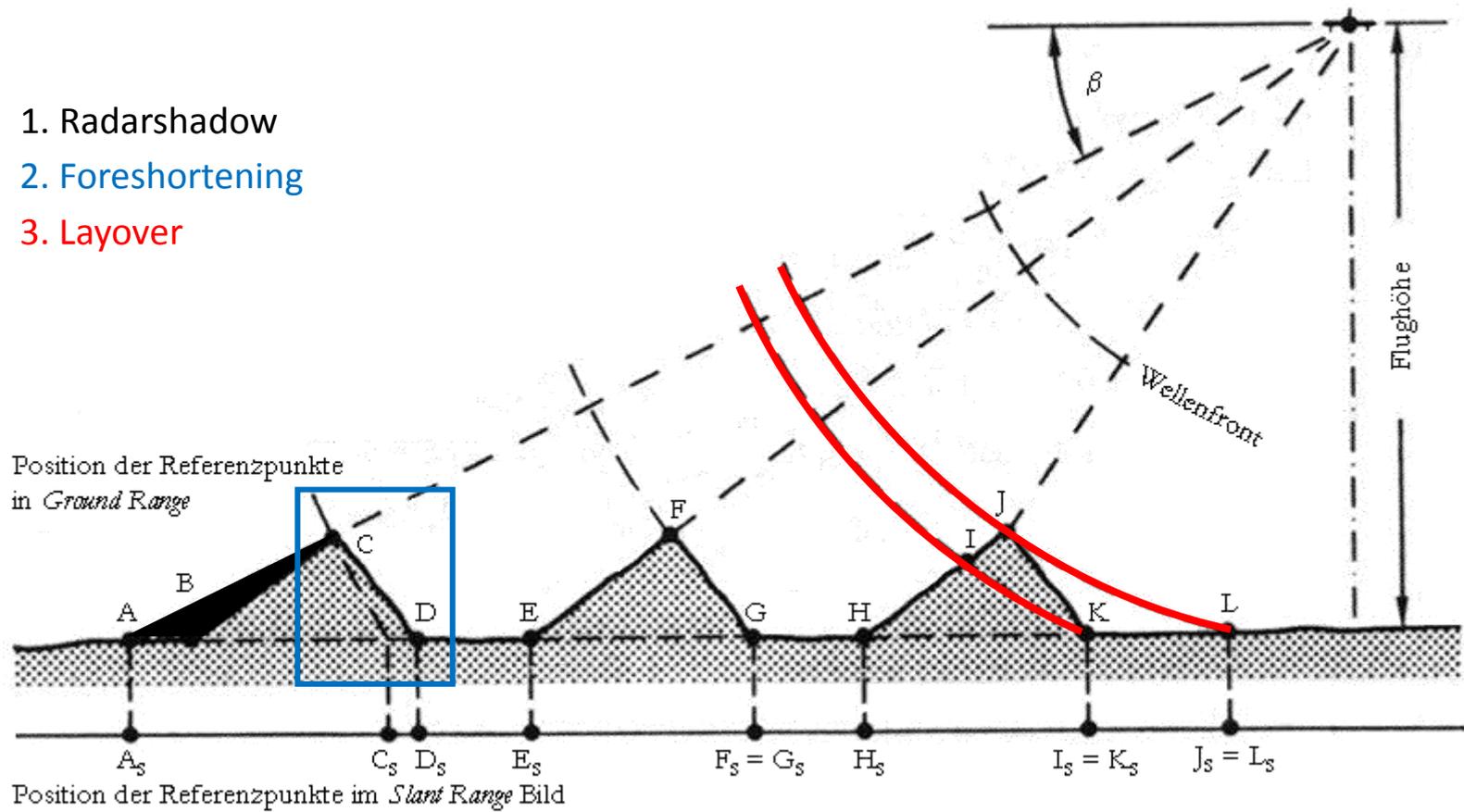
Effects of side-looking geometry

1. Radarshadow
2. Foreshortening



Effects of side-looking geometry

1. Radarshadow
2. Foreshortening
3. Layover



SAR Image Examples

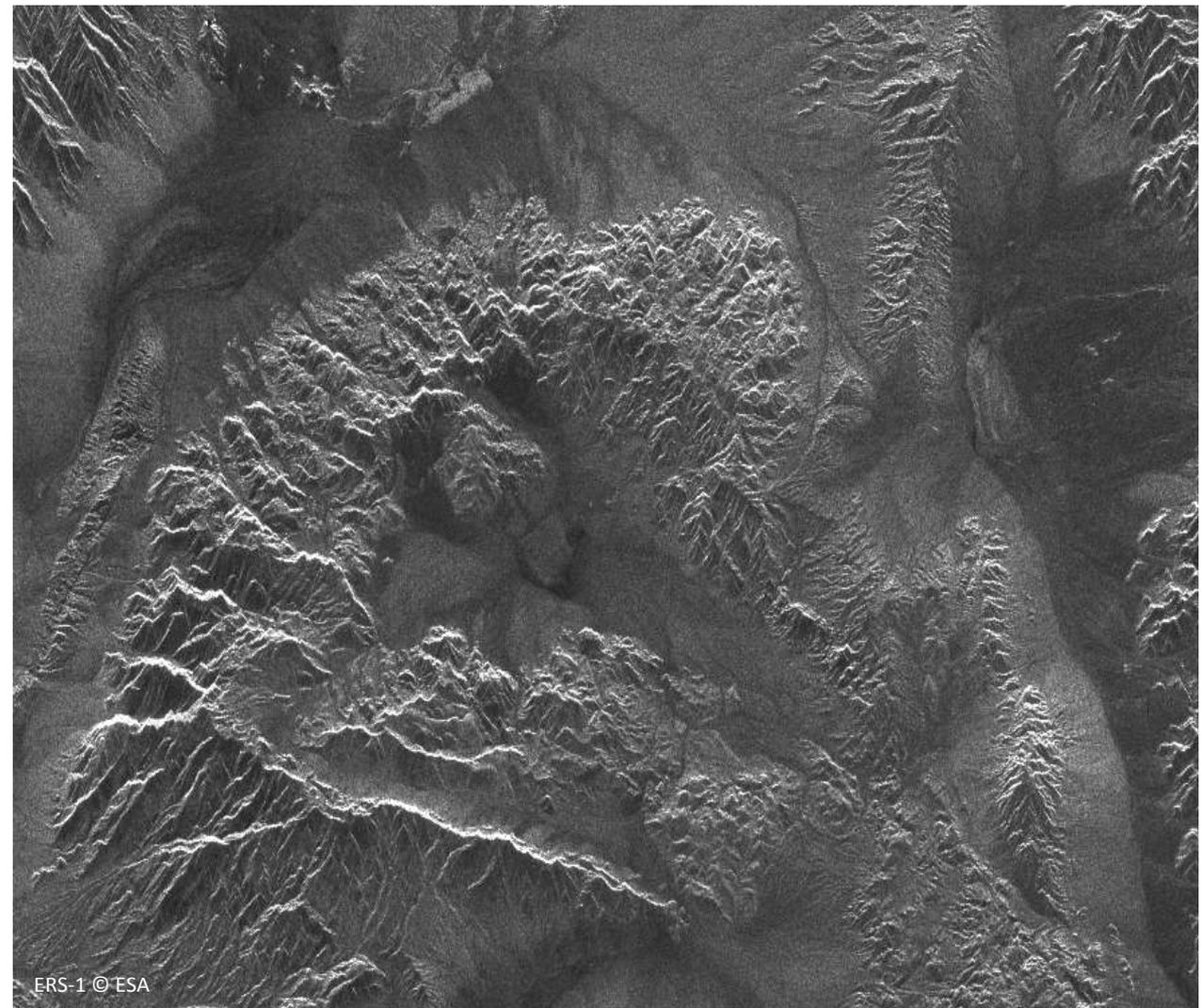
→ azimuth

↓ range

Sensor: ERS-1

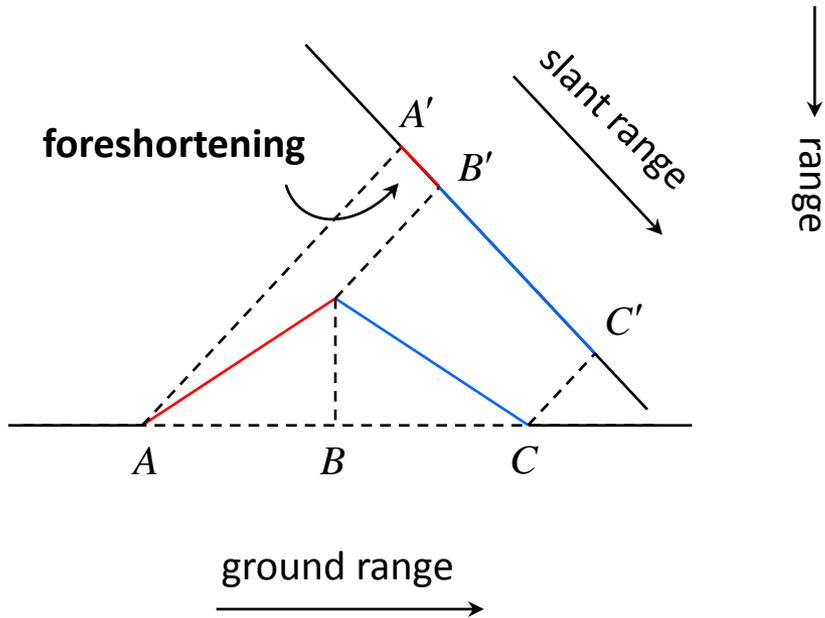
Mojave Desert
CA, USA

Size \approx 40 km x 40 km



ERS-1 © ESA

Geometry of SAR Images - Foreshortening



→ Slopes oriented to the SAR appear compressed

$\theta = 23 \text{ deg}$

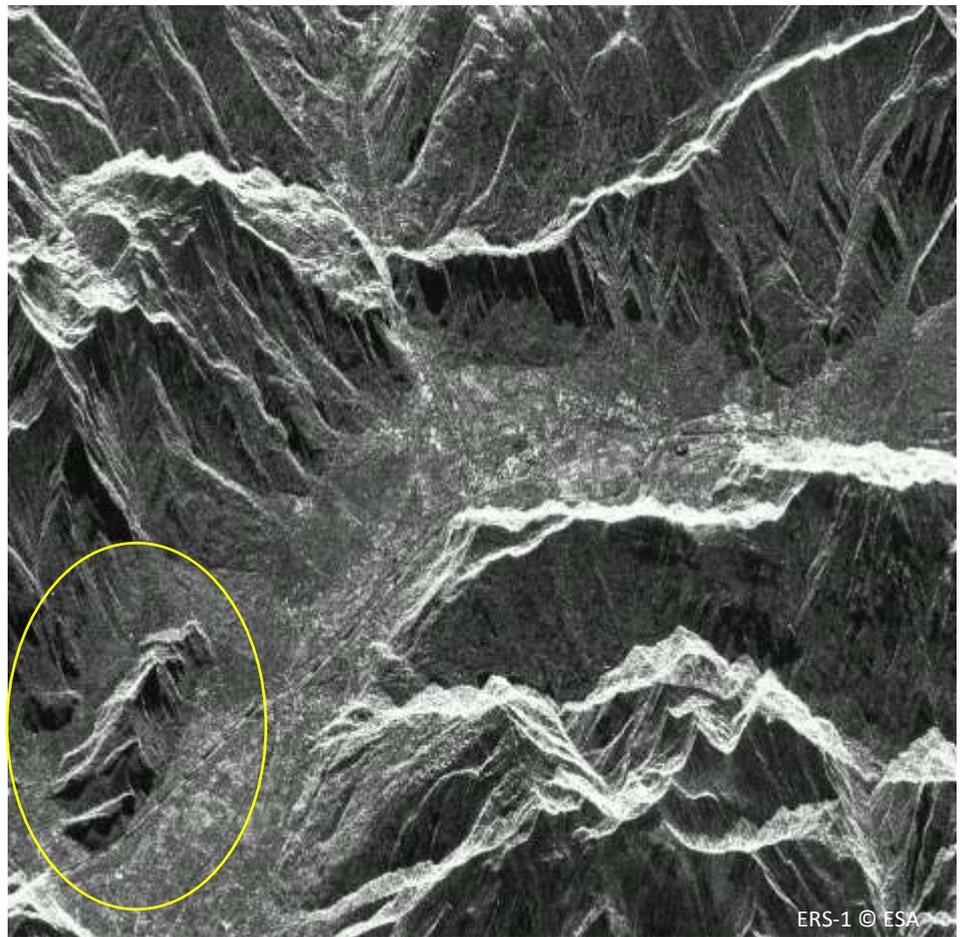
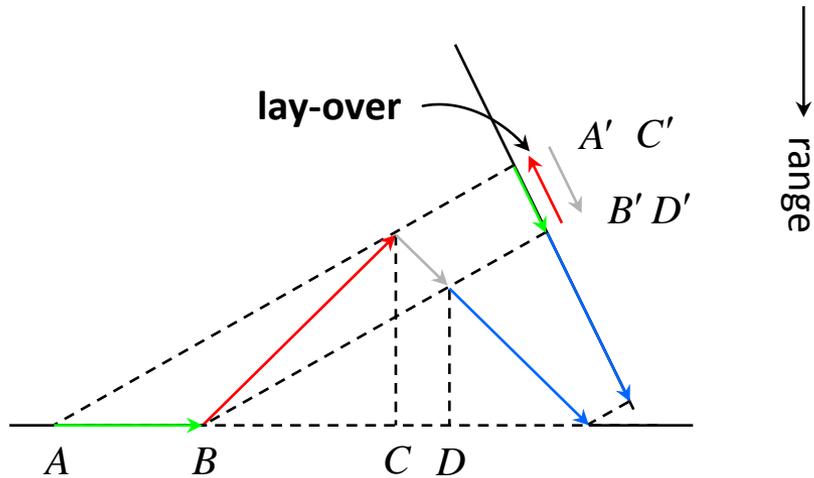


Fig. 33: © DLR

Geometry of SAR Images - Layover



→ Steep slopes oriented to the SAR lead to ghost images

$\theta = 23 \text{ deg}$

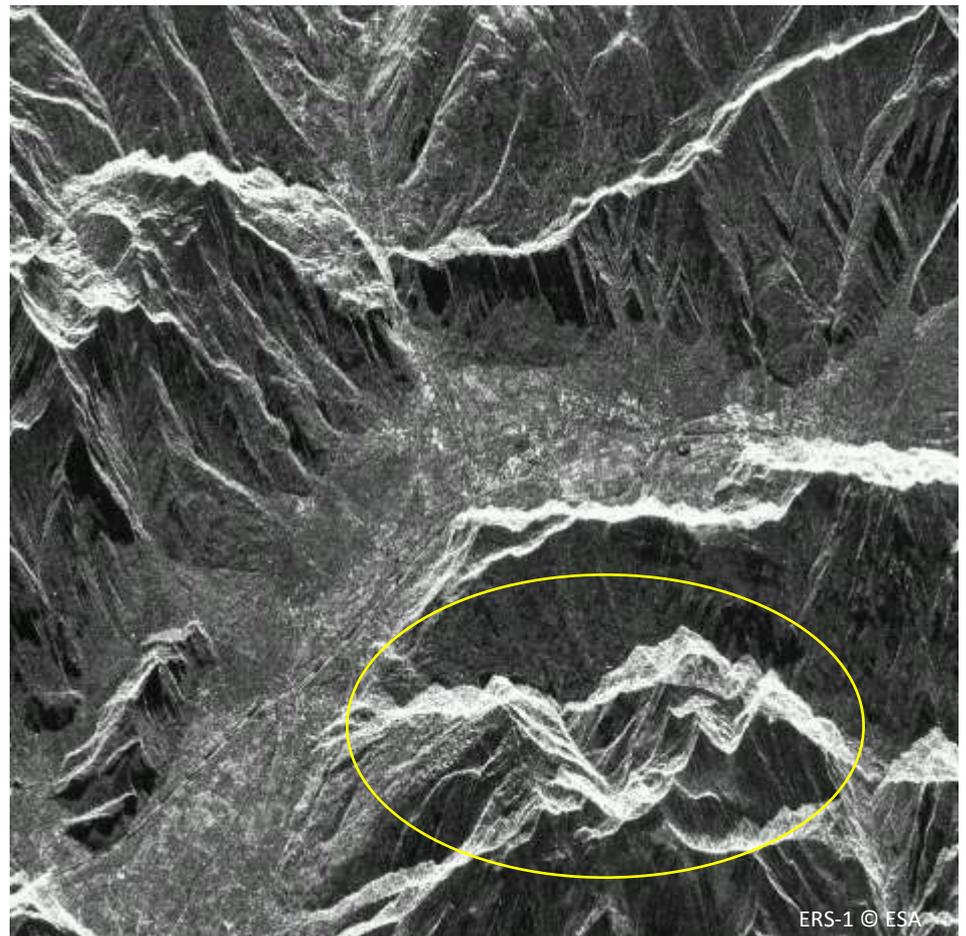
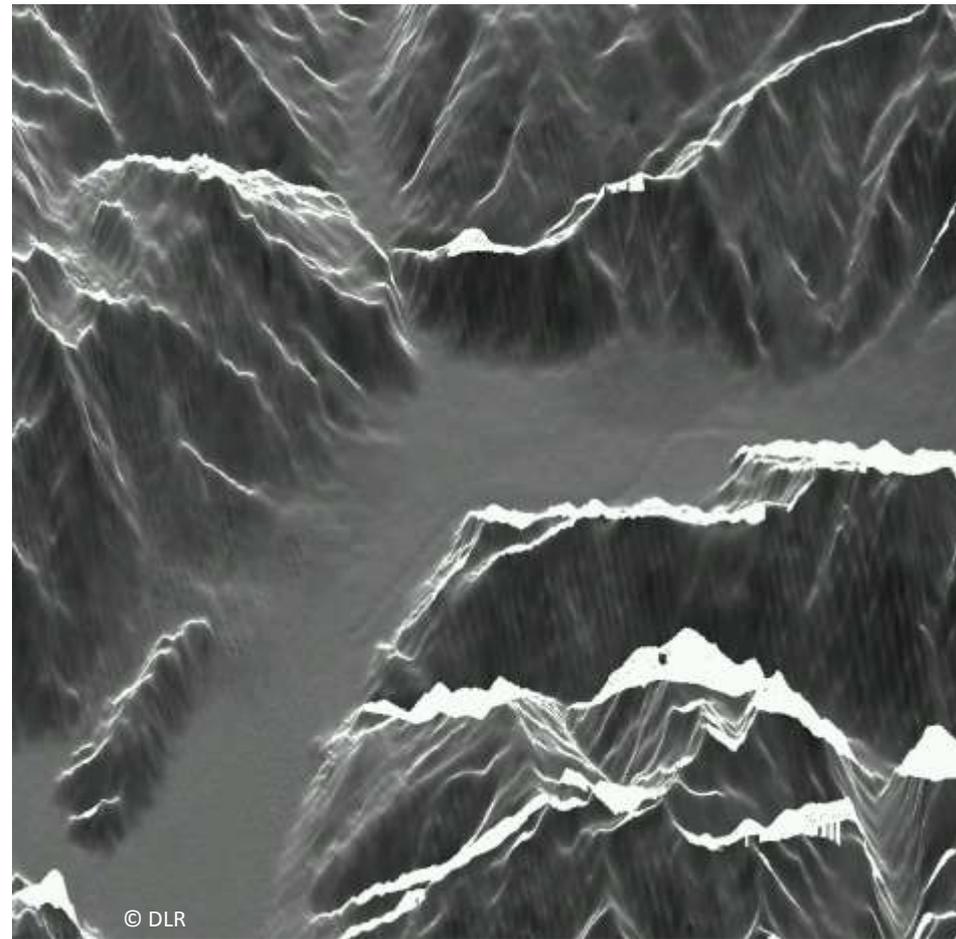


Fig. 34: © DLR

Layover Mask Computed from DEM



100m DEM



simulated ERS-Image
white: lay-over

Geometry of SAR Images - Shadow

→ Steep slopes oriented away from the SAR return no signal

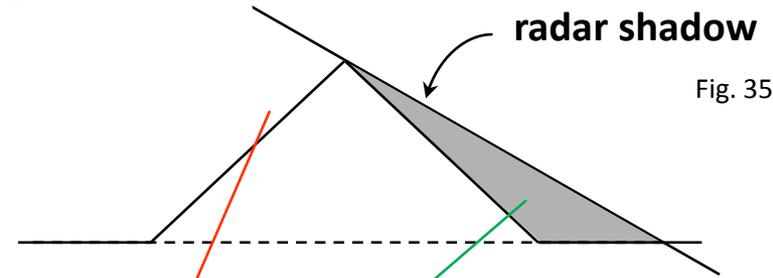
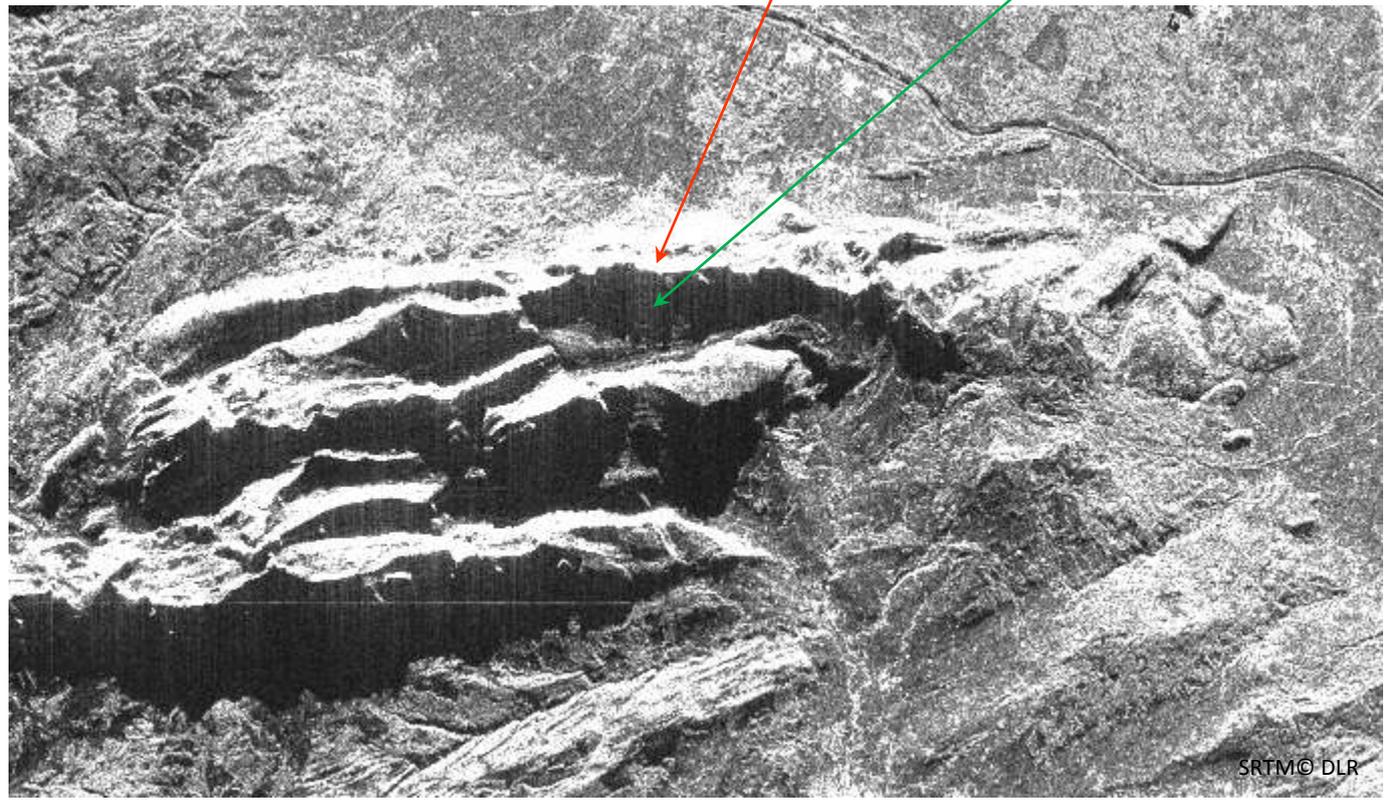


Fig. 35: © DLR

→ azimuth
↓ range



SRTM/X-SAR
 $\theta = 54 \text{ deg}$

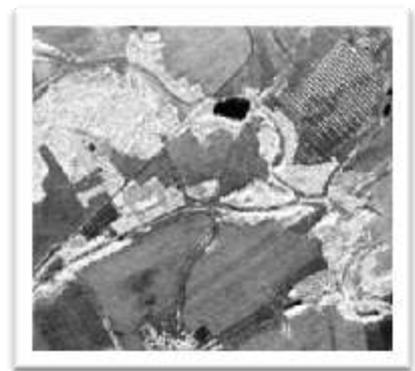
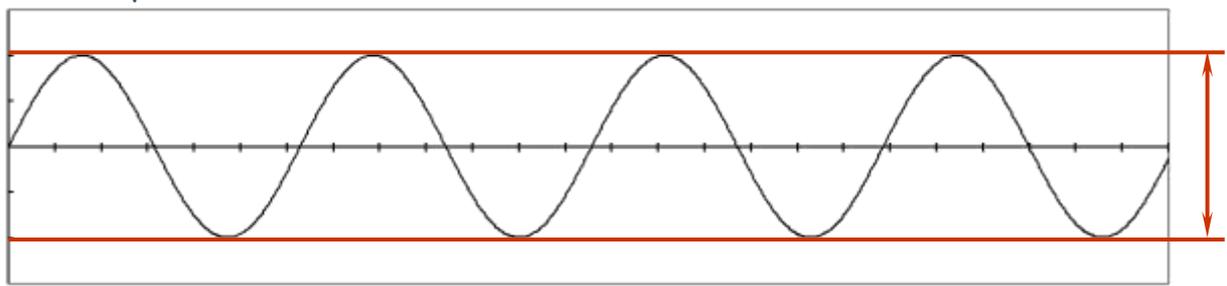
Active Radar Remote Sensing

Parameters measured by SAR

Active Radar Remote Sensing

Parameters measured by SAR

1. Amplitude



Parameters Influencing Radar Brightness

➤ Sensor Parameters

- wavelength (e.g. penetration through canopy)
- polarization
- look angle
- resolution (texture)

➤ Scene Parameters

- surface roughness (e.g. Bragg scattering at ocean surfaces)
- local slope and orientation \Leftarrow geomorphology
- scatterer density, e.g. biomass, leaf density
- 3-D distribution of scatterers and scattering mechanism, e.g. surface, volume, or double bounce (canopy, trunks, buildings)
- dielectric constant ϵ \Leftarrow scattering material
 - soil moisture
 - vegetation status

Backscattering Coefficient σ_0

<i>Levels of Radar backscatter</i>	<i>Typical scenario</i>
<i>Very high backscatter (above -5 dB)</i>	<ul style="list-style-type: none"> ➤ <i>Man-Made objects (urban)</i> ➤ <i>Terrain Slopes towards radar</i> ➤ <i>very rough surface</i> ➤ <i>radar looking very steep</i>
<i>High backscatter (-10 dB to 0 dB)</i>	<ul style="list-style-type: none"> ➤ <i>rough surface</i> ➤ <i>dense vegetation (forest)</i>
<i>Moderate backscatter (-20 to -10 dB)</i>	<ul style="list-style-type: none"> ➤ <i>medium level of vegetation</i> ➤ <i>agricultural crops</i> ➤ <i>moderately rough surfaces</i>
<i>Low backscatter (below -20 dB)</i>	<ul style="list-style-type: none"> ➤ <i>smooth surface</i> ➤ <i>calm water</i> ➤ <i>road</i> ➤ <i>very dry soil (sand)</i>

Calibration of SAR Systems

- Instrument parameters to be calibrated:
 - transmit power
 - receiver gain
 - elevation antenna pattern (satellite roll angle)

- Calibration objects:
 - corner reflectors
 - active radar calibrators (ARCs)
 - rain forest

Corner Reflectors for SAR End-to-End Calibration



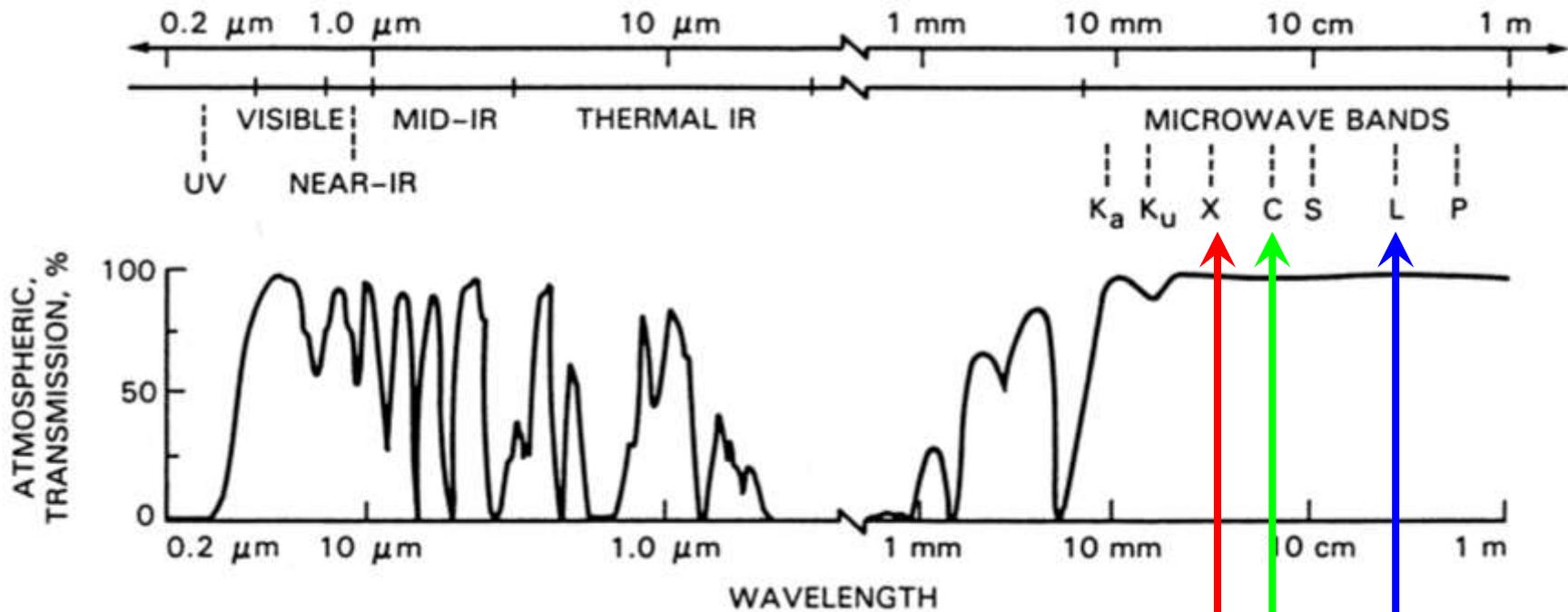
radar cross section of
a trihedral corner reflector:

$$\sigma = \frac{4\pi L^4}{3\lambda^2} \quad [m^2]$$



Active Radar Remote Sensing

Interaction with the object



Radar bands and transmission of Radar through the atmosphere (WICKS 2006:o.S.).

TerraSAR-X

ENVISAT, RADARSAT, ERS1&2

ALOS, JERS1

Penetration of Microwaves

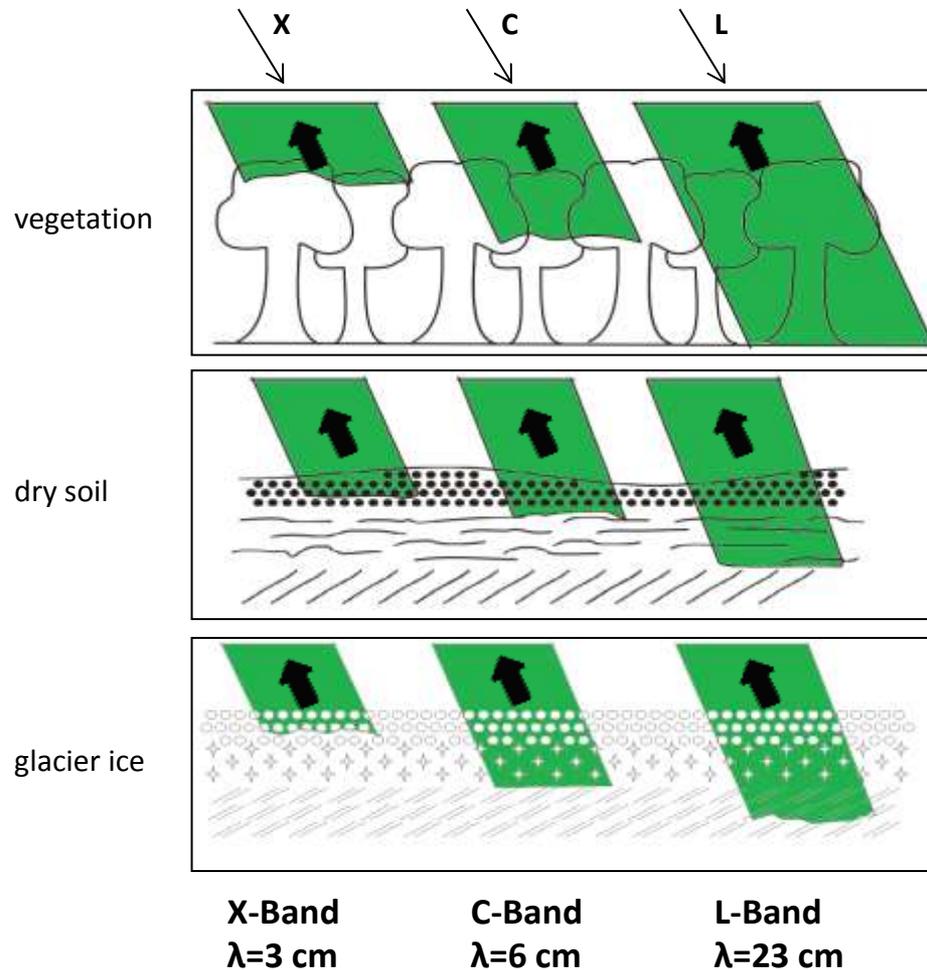
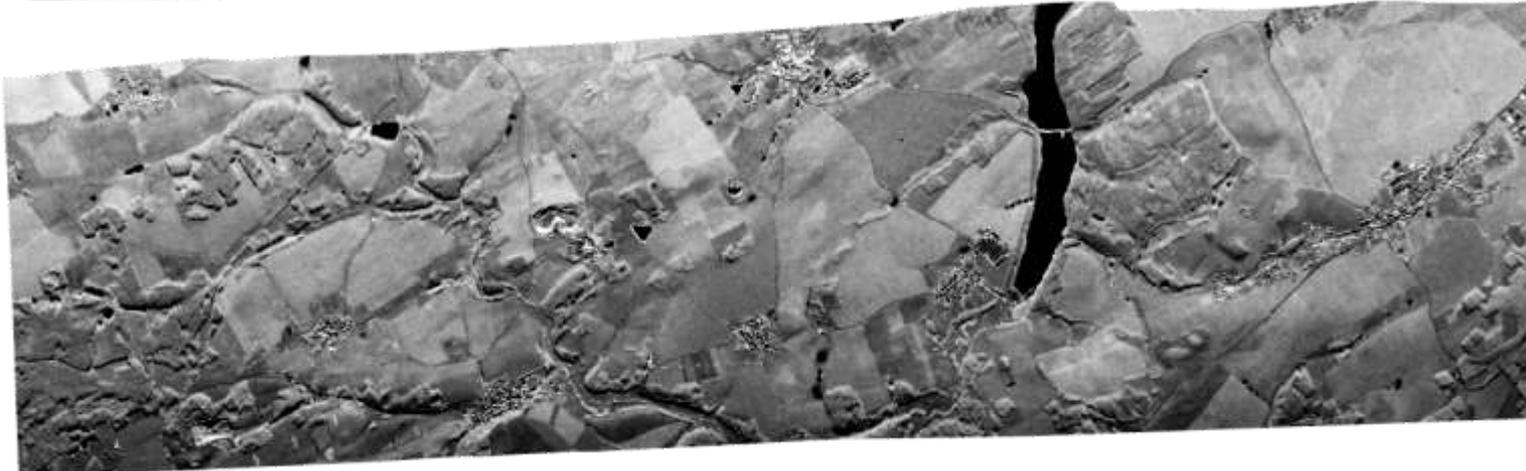


Fig. 30: © DLR

Impact of SAR Frequency



L-band



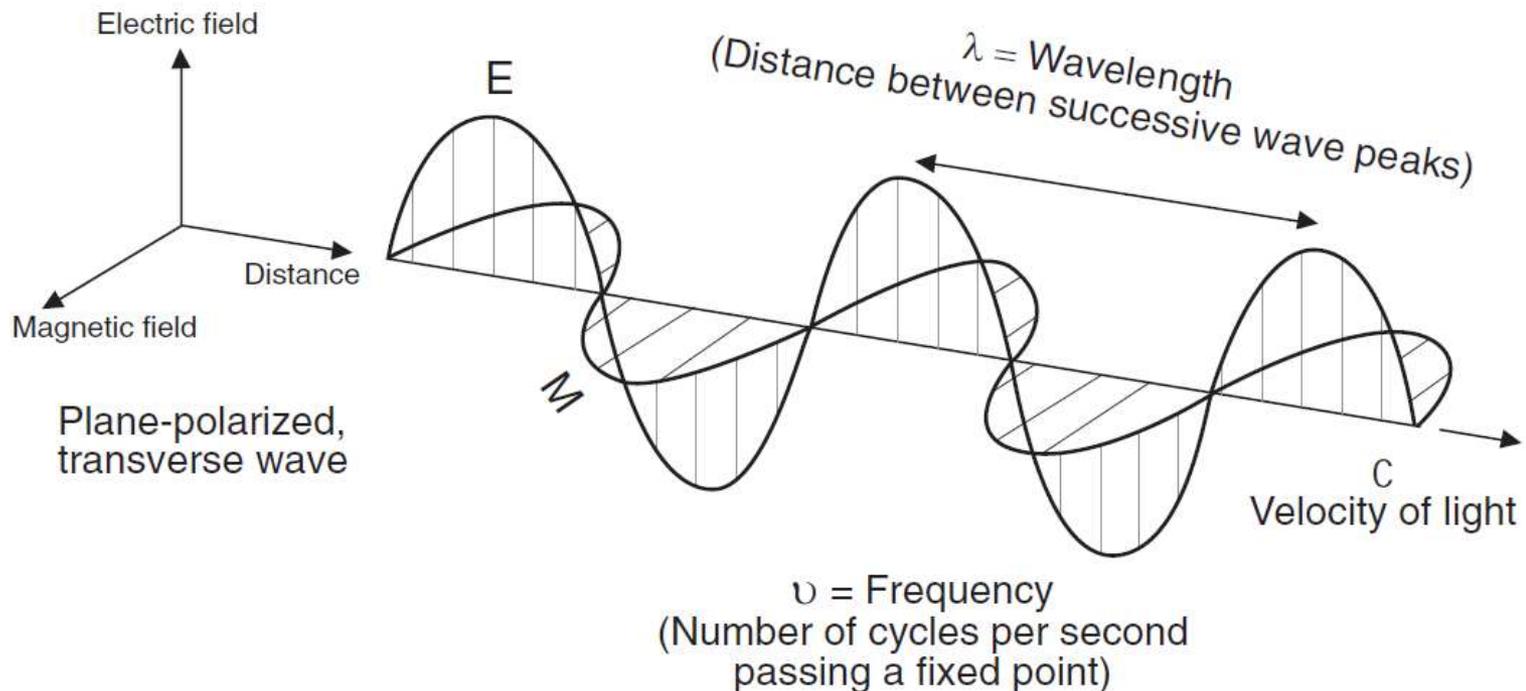
X-band

Active Radar Remote Sensing

Interaction with the object

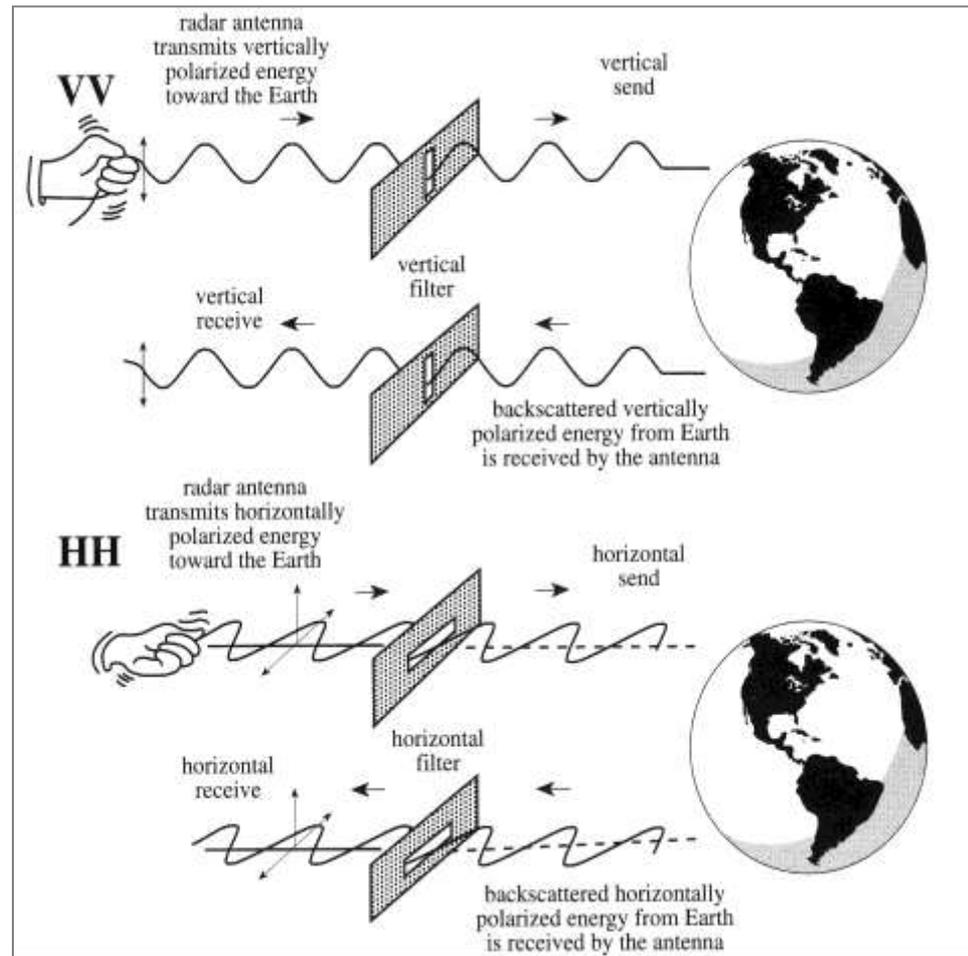
$$c = \lambda \nu$$

$$c = 3 \times 10^8 \text{ m s}^{-1}$$



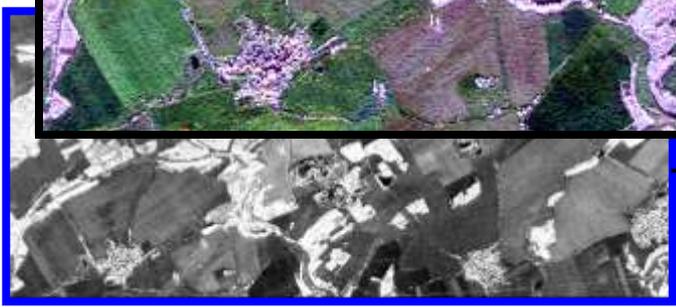
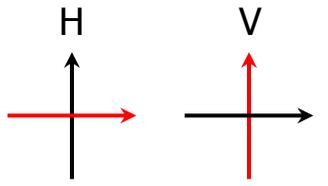
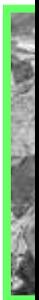
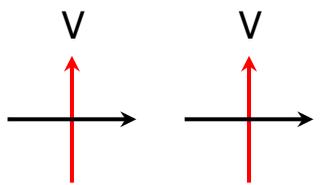
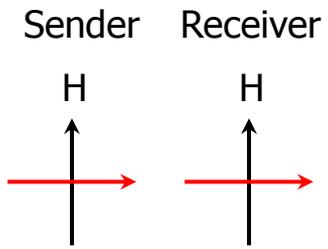
Wave Theory and Polarization
(David P. Lusch, 1999).

Use of polarized waves



Polarisation (Jensen, 2000).

Use of polarized waves



Tab 1

Tab 2

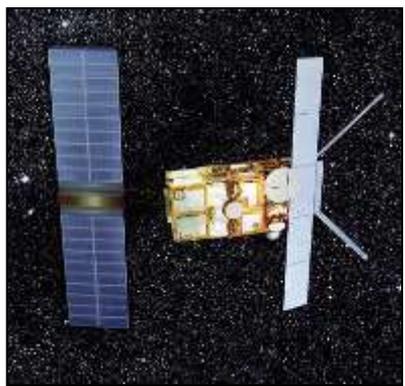
Tab 3

Tab 4

Tab 5

Active Radar Remote Sensing

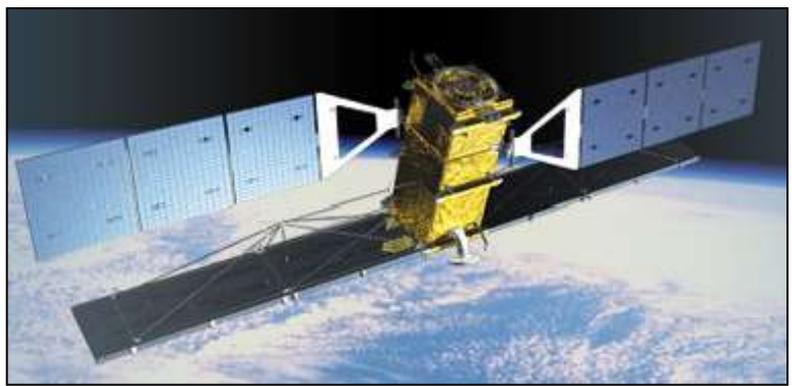
Examples of satellite based radar sensors



ERS-1, 2



JERS-1



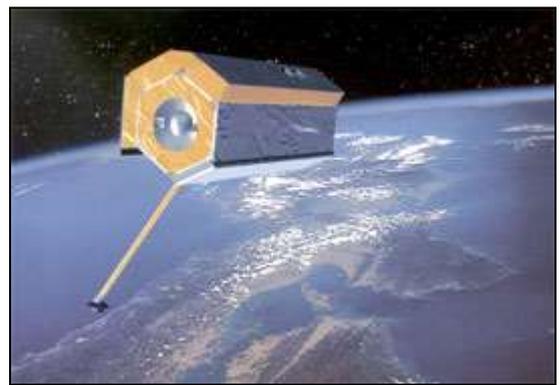
Radarsat 1, 2



ALOS (PALSAR)



Envisat (ASAR)



TerraSAR-X

Tab 1

Tab 2

Tab 3

Tab 4

Tab 5

Active Radar Remote Sensing

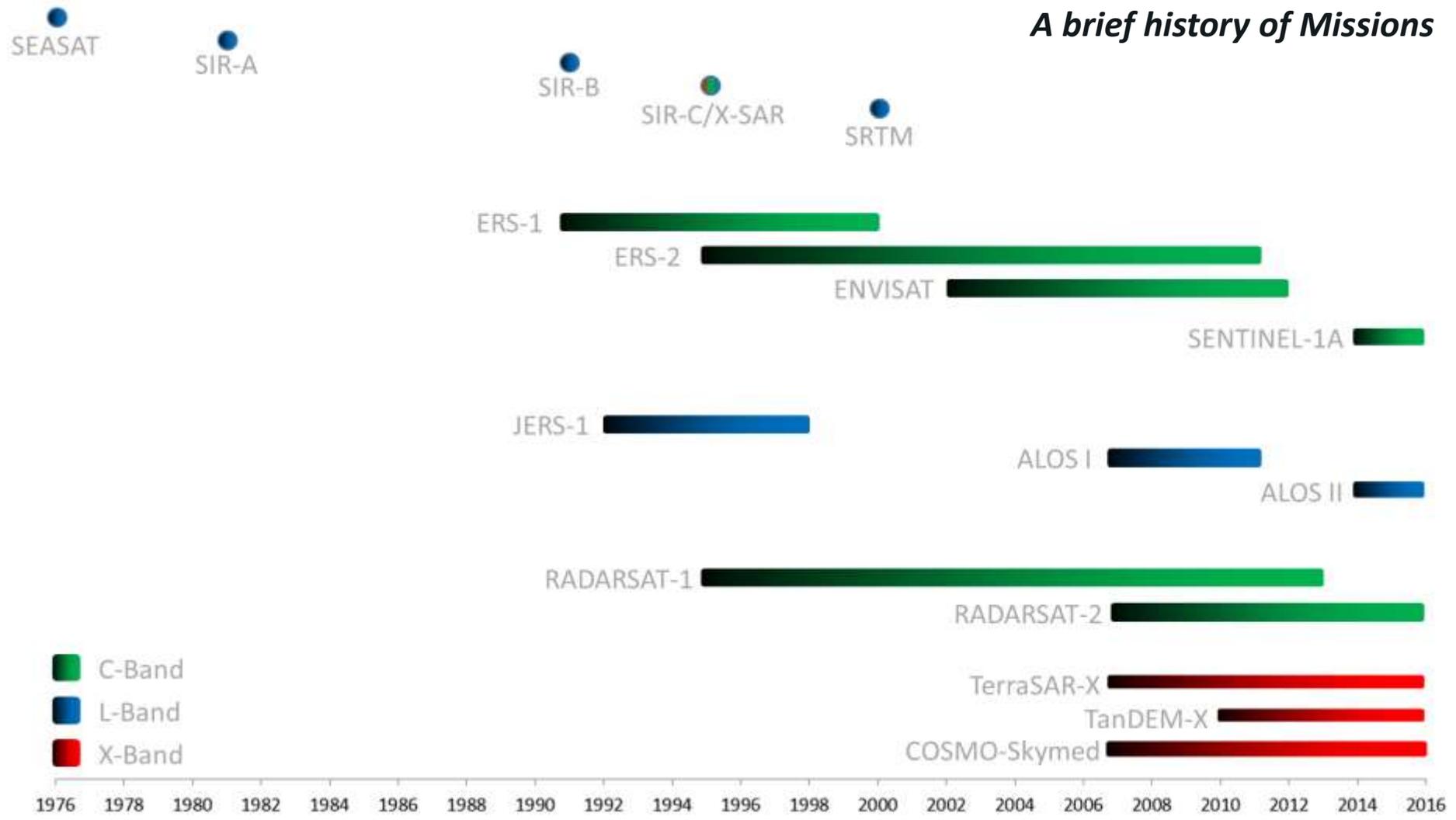
Examples of satellite based radar sensors



Sentinel-1A (launch: April 2014)

Active Radar Remote Sensing

A brief history of Missions



Current and Future Civil Spaceborne SARs

satellite	owner	band	resolution	look angle	swath	lifetime
ERS-1	ESA	C	25 m	23°	100 km	1991-2000
ERS-2	ESA	C	25 m	23°	100 km	1995-2012
Radarsat-1	Canada	C	10 m - 100 m	20° - 59°	50 - 500 km	1995-2013
ENVISAT	ESA	C	25 m - 1 km	15° - 40°	100 - 400 km	2002-2012
ALOS	Japan	L	10 m -100 m	35° - 41°	70 - 360 km	2006-2011
Cosmo	Italy	X	ca. 1 m - 16 m	2007-
TerraSAR-X & TanDEM-X	Germany	X	1 m - 16 m	15° - 60°	10 - 100 km	2007/2010-
Radarsat-2	Canada	C	3 m - 100 m	15° - 59°	10 - 500 km	2007-
ALOS-2	Japan	L	3 m – 100 m	8°-70°	25 – 350 km	2014-
Sentinel-1A	ESA	C	5 m – 50 m	20°-46°	20 - 400 km	2014-

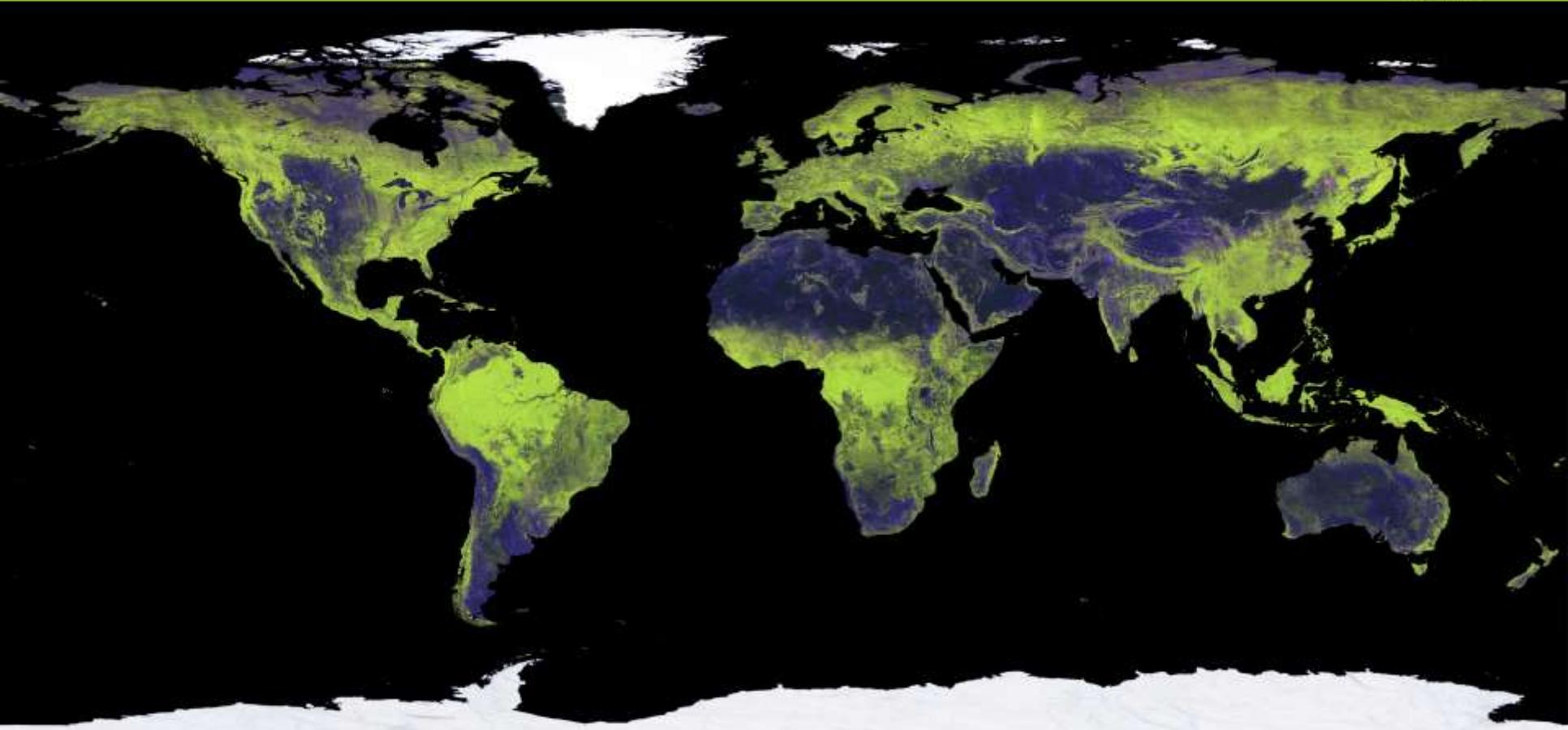
Active Radar Remote Sensing

Advantages

- ***all weather*** capability (small sensitivity of clouds, light rain)
- ***day and night*** operation (independence of sun illumination, active instruments, they have their own source of energy)
- ***no effects of atmospheric constituents*** (multitemporal analysis)
- sensitivity to ***dielectric properties*** (water content , biomass, ice)
- sensitivity to ***surface roughness*** (ocean wind speed)
- accurate measurements of ***distance*** (interferometry)
- sensitivity to ***man made objects***
- sensitivity to ***target structure*** (use of polarimetry)
- ***subsurface penetration*** (the longer the wavelength, the higher the transmission through a medium)



PALSAR 10m Global Mosaic 2009



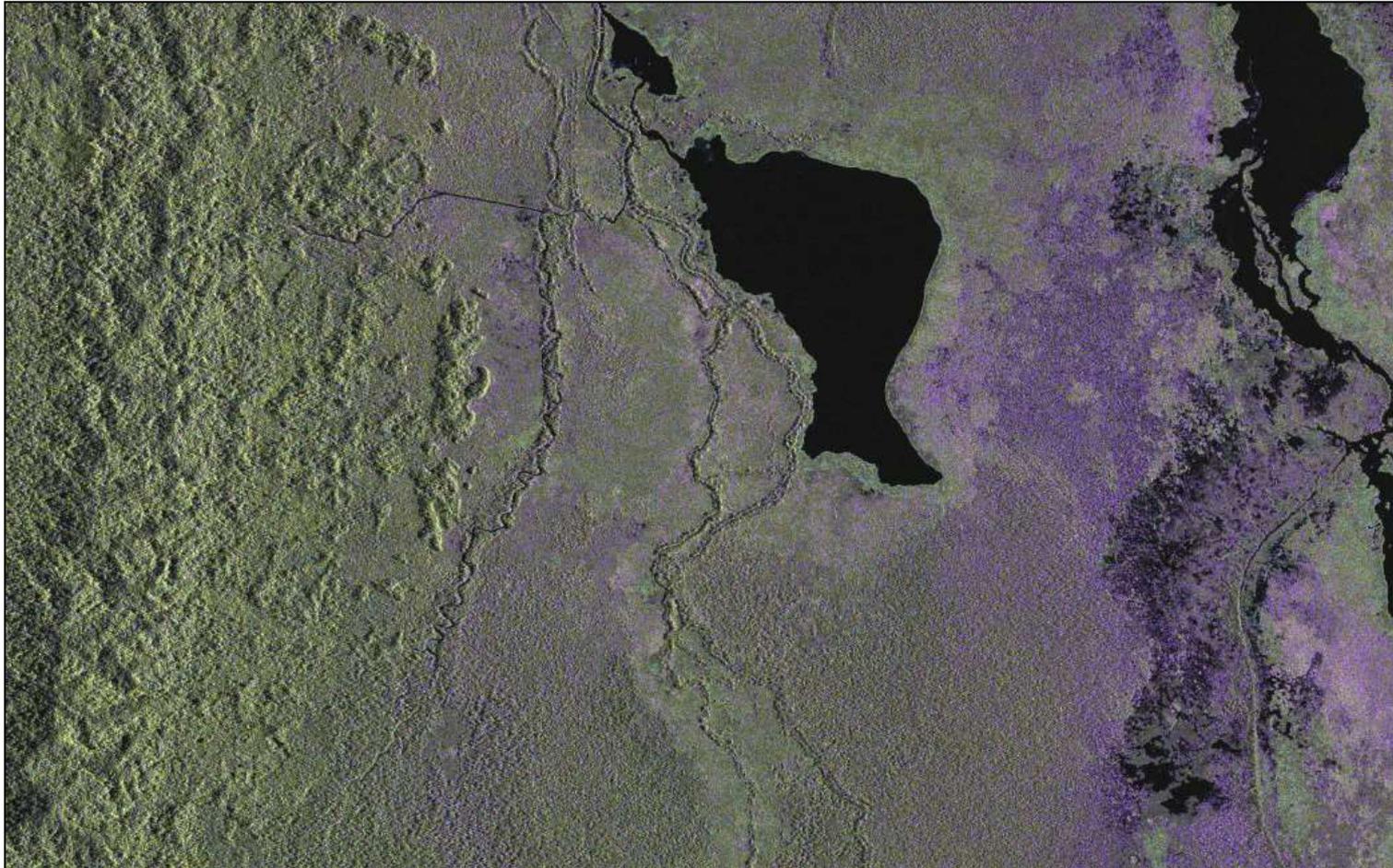
©JAXA, METI Analyzed by JAXA

R:HH G:HV B:HH/HV



Active Radar Remote Sensing

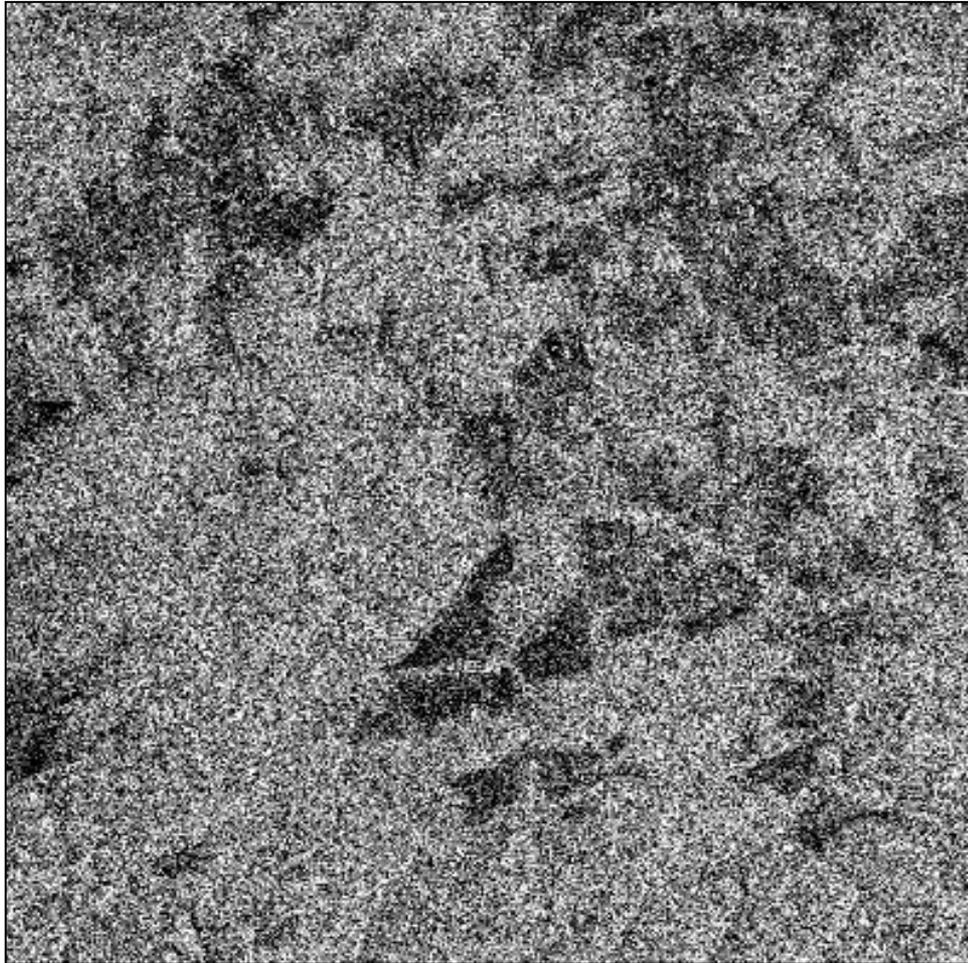
Advantages / Example all weather



TS-X, Brazil

Speckle “Noise” – Salt and Pepper

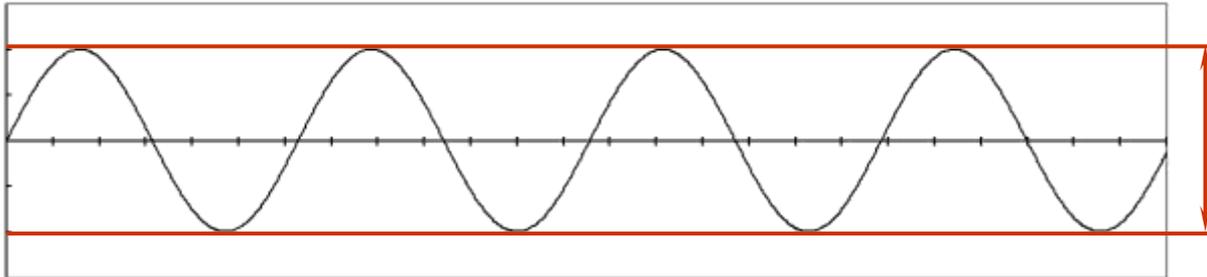
Speckle “Noise” – Salt and Pepper



Active Radar Remote Sensing

Parameters measured by SAR

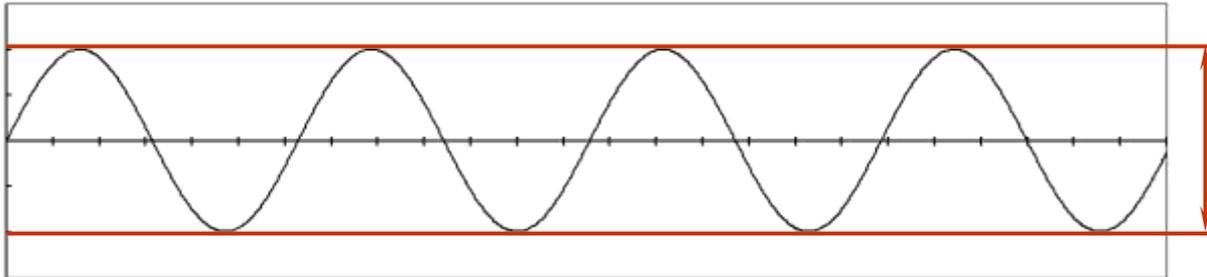
1. Amplitude



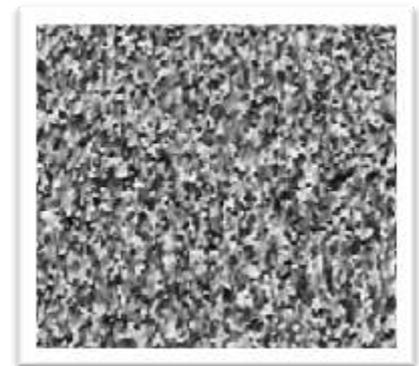
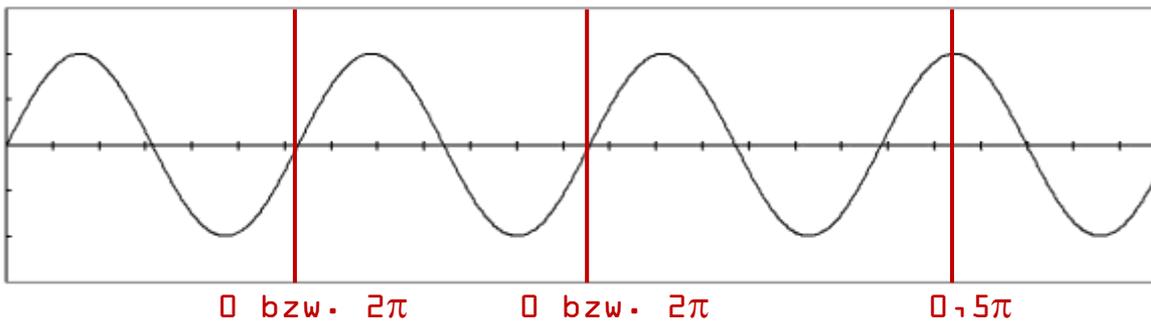
Active Radar Remote Sensing

Parameters measured by SAR

1. Amplitude



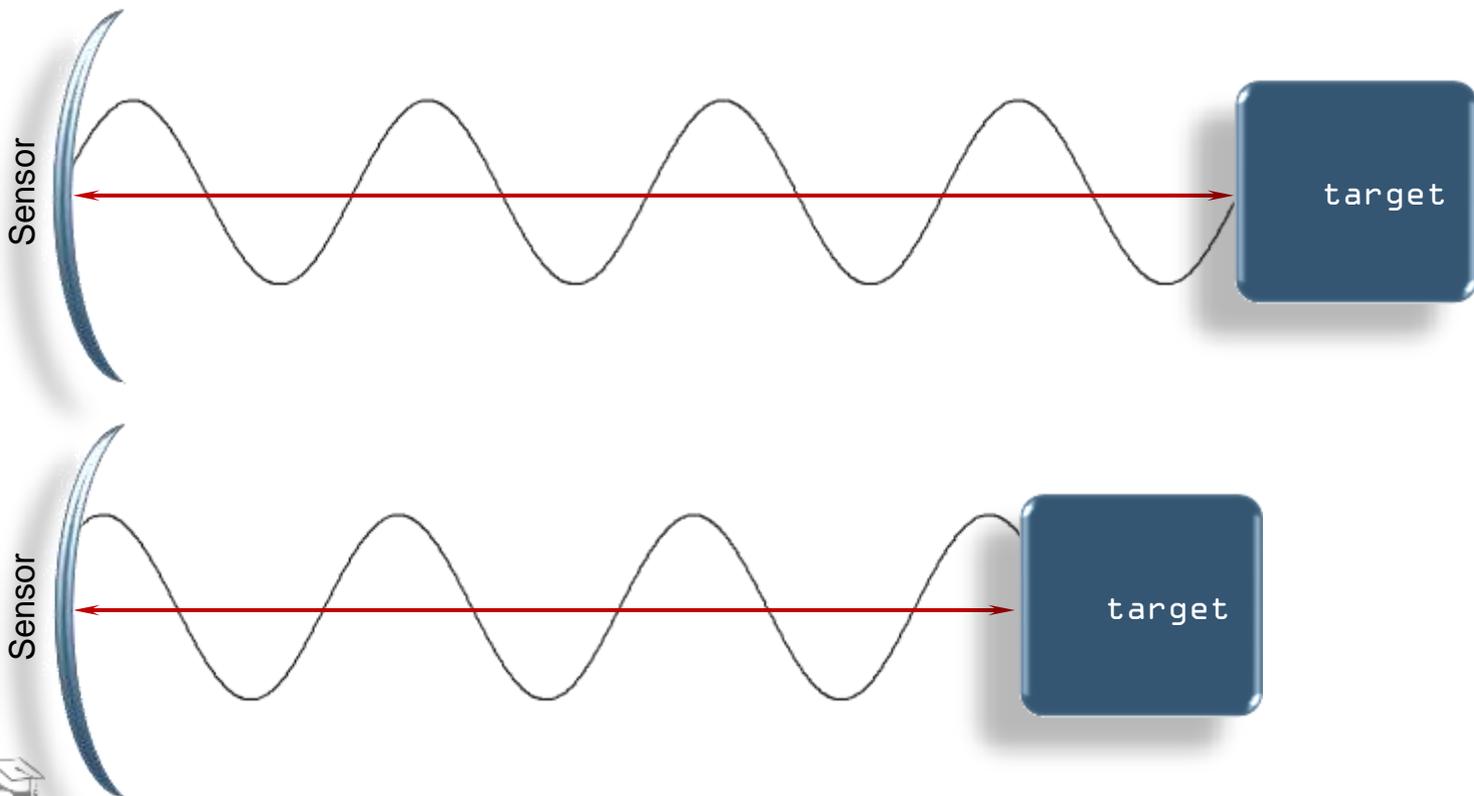
2. Phase $[0, 2\pi]$



Active Radar Remote Sensing

Phase depends on:

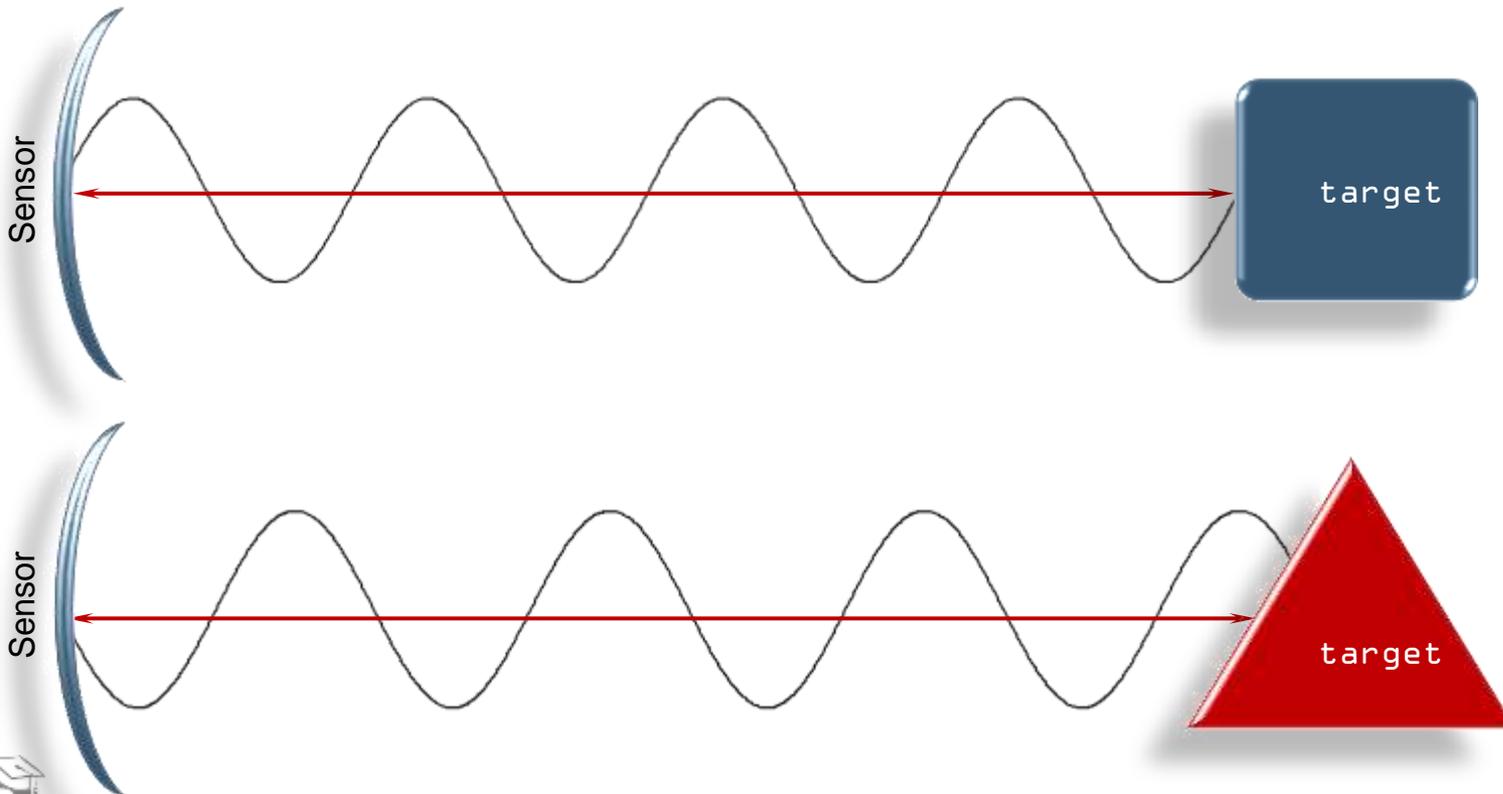
- 1. Distance between sensor und target



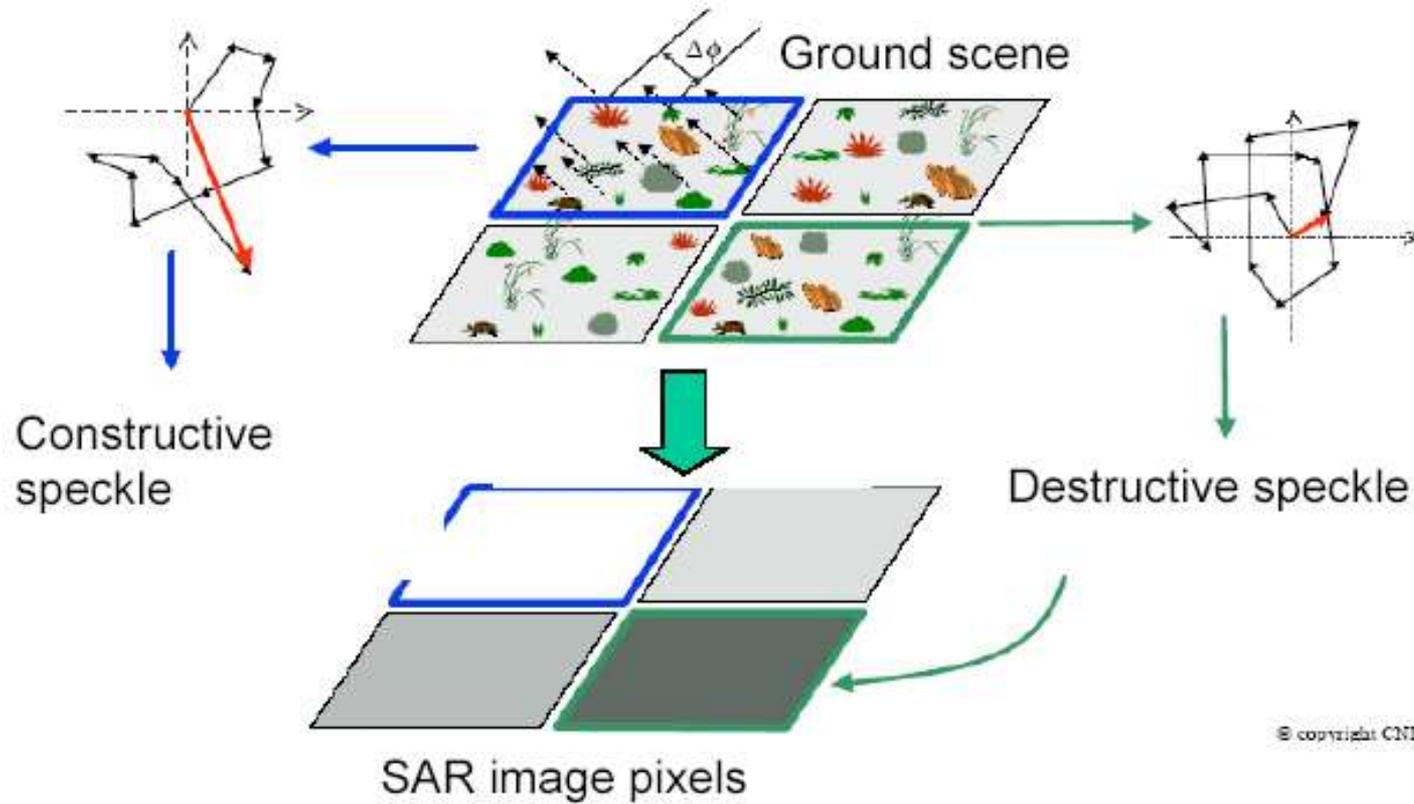
Active Radar Remote Sensing

Phase depends on:

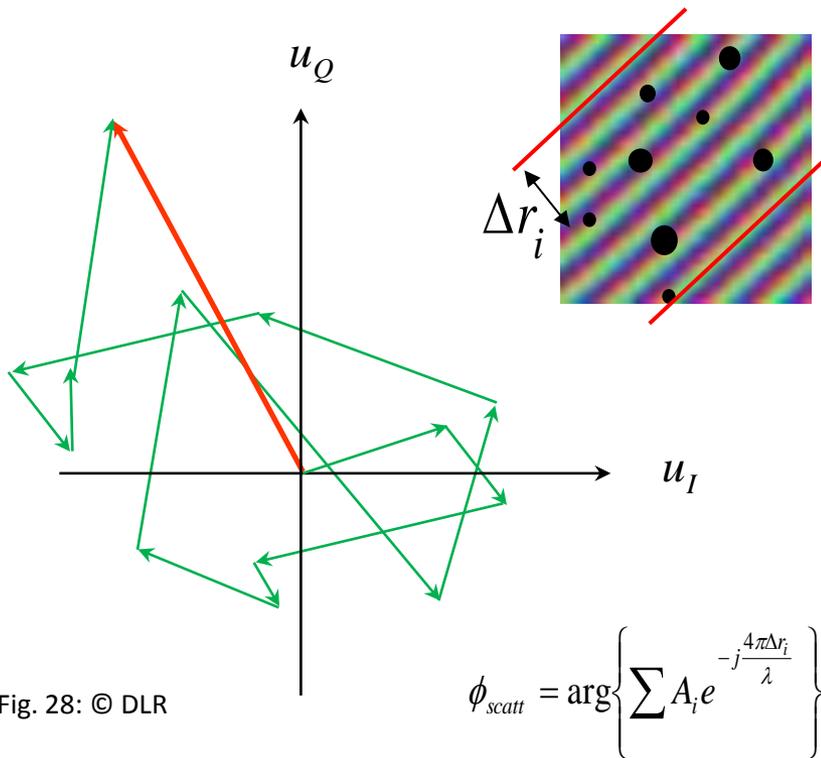
2. Characteristics of target



Speckle “Noise”



Speckle "Noise"



- Random positive and negative interference of wave contributions from the many individual scatterers within one resolution cell
- varying brightness from pixel to pixel even for constant σ_0
- granular appearance even of homogenous surfaces

Example for Bayesian Speckle Reduction



original SAR image
SAR data © AeroSensing GmbH



speckle filtered
Bayesian algorithm

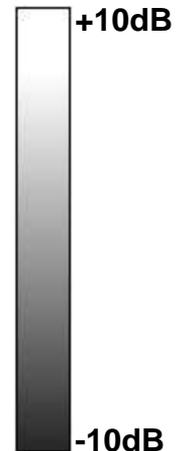
Speckle Reduction by Temporal Multilooking (ERS)



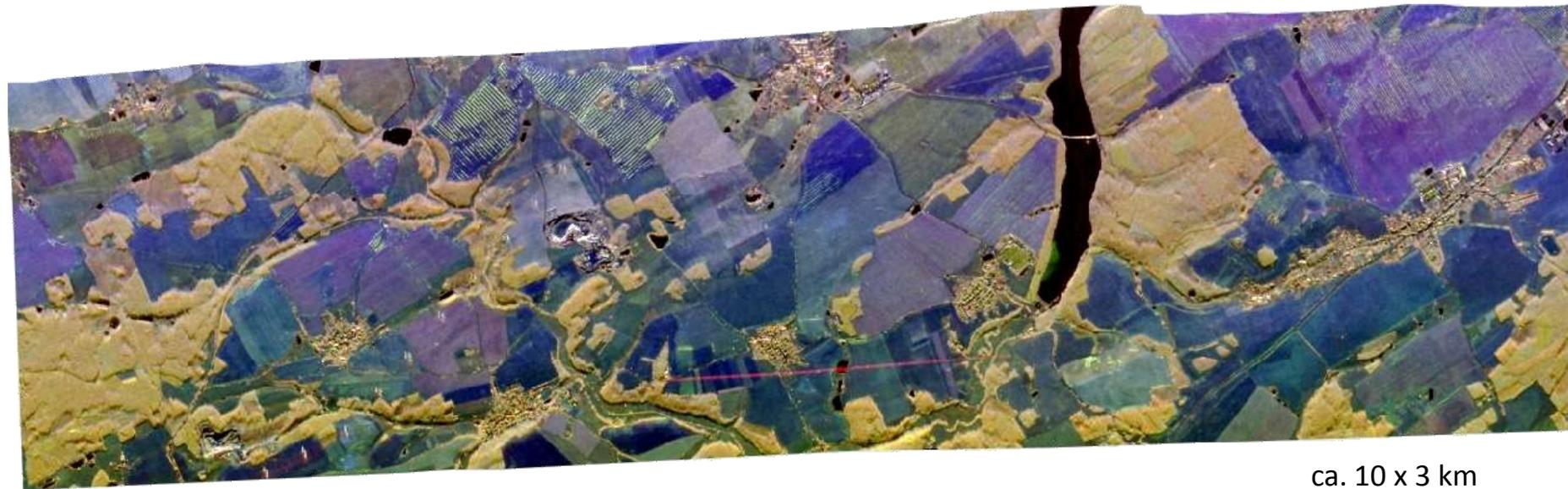
5 spatial looks
20 x 20 m ground resolution
2 dB radiometric resolution



320 spatio-temporal looks
20 x 20 m ground resolution
0.3 dB radiometric resolution



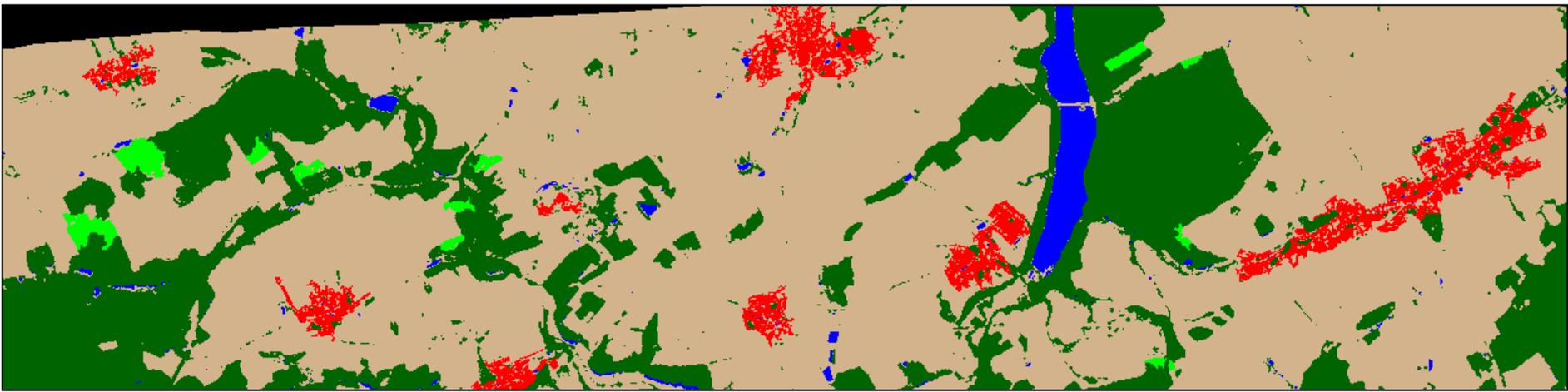
Applications - Examples



ca. 10 x 3 km

E-SAR (L-HH, L-HV, X-VV), Zeulenroda, Germany

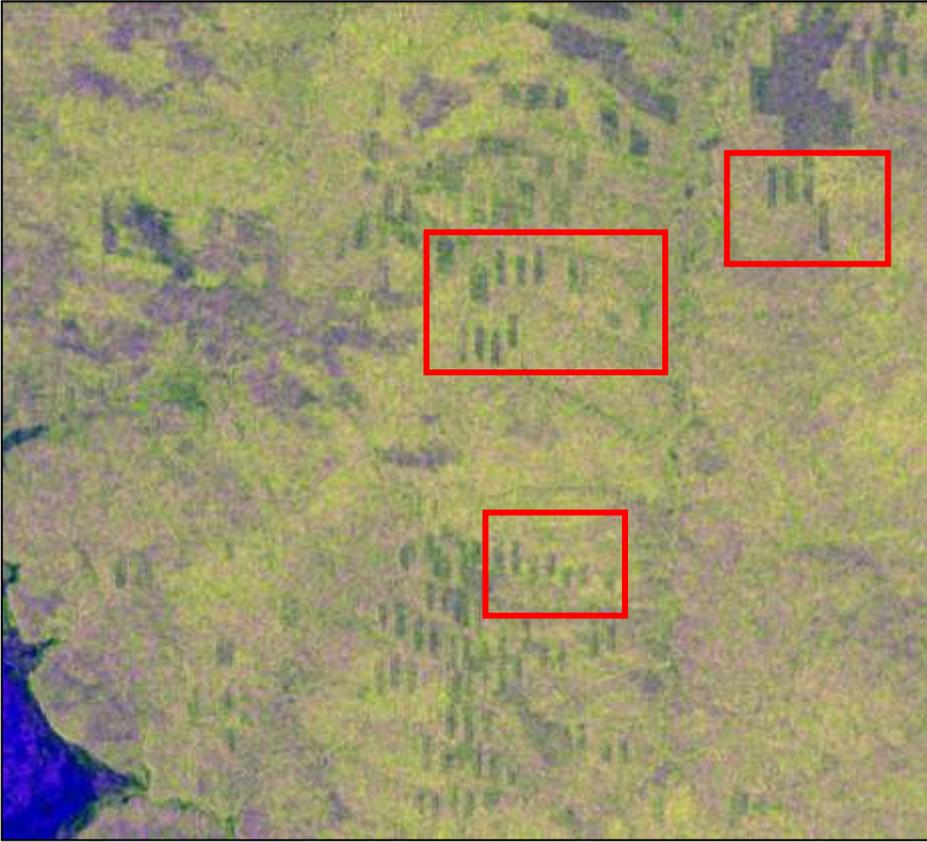
Applications - Examples



Classification of Land Cover

Applications - Examples

Detection of Change

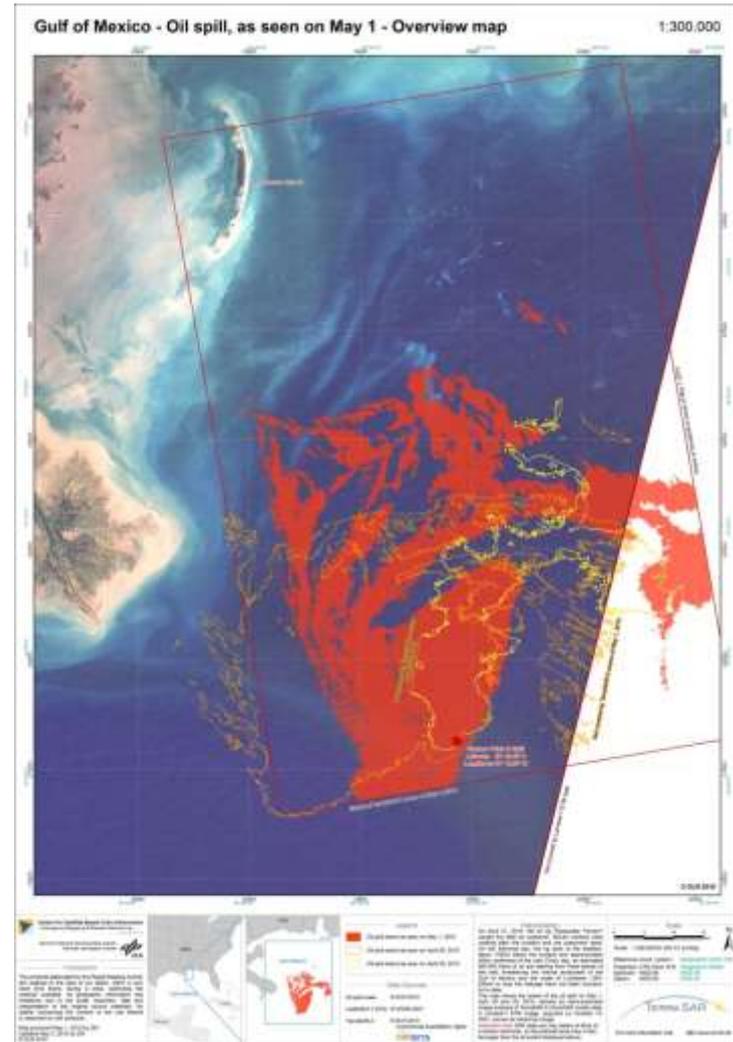
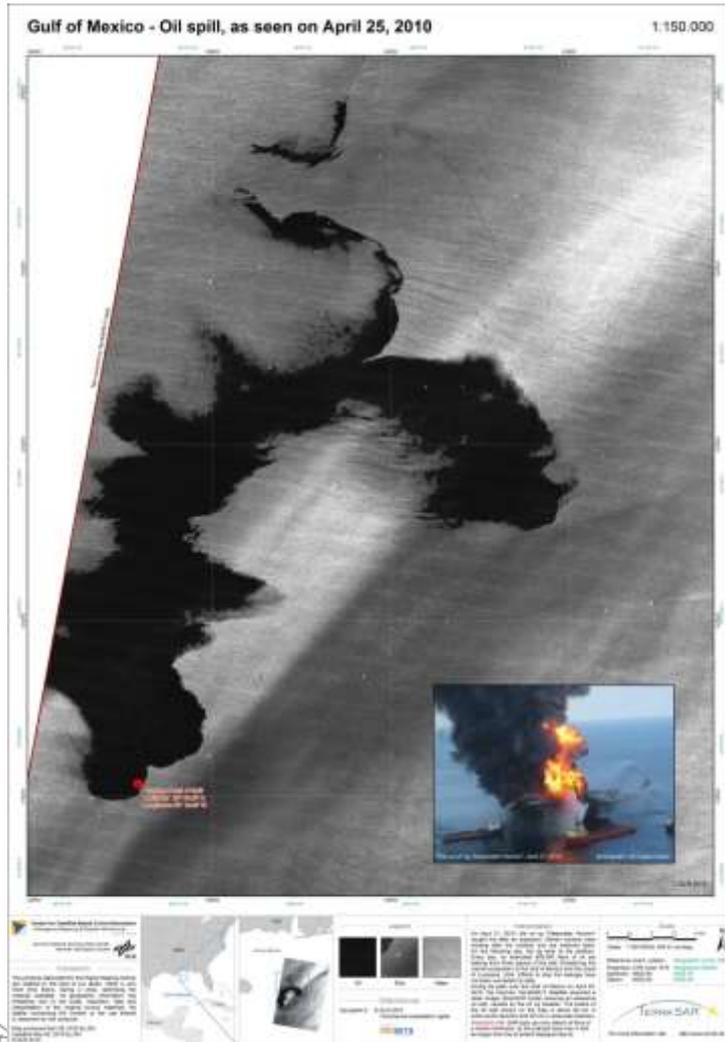


ASAR APP (HH, HV, HV/HH), Siberia 2006



Landsat (4, 5, 3), Siberia 1990

Applications - Examples



Rapid situation analysis

Summary

Applications of radar remote sensing systems

SAR's ability to pass relatively unaffected through clouds, illuminate the Earth's surface with its own signals, and precisely measure distances makes it especially useful for the following applications:

- Sea ice monitoring
- Cartography
- Surface deformation detection
- Glacier monitoring
- Crop production forecasting
- Forest cover mapping
- Ocean wave spectra
- Urban planning
- Coastal surveillance (erosion)
- Monitoring disasters such as forest fires, floods, volcanic eruptions, and oil spills
- etc.