# **Radar Remote Sensing**

### Introduction into SAR measurements, data characteristics & challenges



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# Friedrich-Schiller-University Jena, Germany

Dept. of Earth Observation

#### **Basic Research**

- E.g. SAR coherence & Forestry

#### **Applied Earth Observation**

 E.g. landcover mapping using multitemporal SAR data

#### **Project Coordination**

 Coordination of many international projects

#### Education

- BSc Geography
- MSc Geoinformatics
- Various PhD Projects
- SAR-EDU



### Outline

■ What is Remote Sensing/Earth Observation?

#### ■ Active Radar Remote Sensing

- Electromagnetic spectrum : Why microwaves?
- Basic characteristics of radar systems
- Imaging geometry of radar systems

### ■ SAR Remote Sensing

- SAR resolution cell
- Effects of SAR imaging geometry
- Influences on radar backscatter
- SAR measurements
- Speckle Effect
- Spaceborne SAR systems

#### ■ Summary

### What is Remote Sensing/Earth Observation?

Remote sensing (RS), also called earth observation, refers to obtaining information about objects or areas at the Earth's surface without being in direct contact with the object or area.



http://freeda.files.wordpress.com/2007/10/sv003.jpg



2

Source of electromagnetic energy Interaction with the object



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3

4

SPOT 5 Optical satellite visible part of the spectrum

5

energy scattered off the leaf is dependent on:

The "greenness" of the leaf as a function of the amount of chlorophyll, which absorbs the energy that is needed for photosynthesis

- Source of electromagnetic energy
  Interaction with the object
- Interaction with the object
- Radiation back to sensor
- Reception of radiation by sensor
- 5 Interpretation and analysis



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Source of electromagnetic energy



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Source of electromagnetic energy



#### Passive and Active Remote Sensing

**Passive** remote sensing systems:

- Detect the reflected or emitted EM radiation from natural sources
- Some of the images represent reflected solar radiation in the visible and the near infrared regions of the EM spectrum
- others are the measurements of the energy emitted by the earth surface itself i.e. in the thermal infrared wavelength region

Active remote sensing systems:

- Detect reflected responses from objects irradiated by artificiallygenerated energy sources
- energy is transmitted from the remote sensing platform

measurement of relative return from the earth's surface

#### Radar remote sensing & electromagnetic wave



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#### electromagnetic spectrum



#### electromagnetic spectrum



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Basic characteristics of radar systems/SAR sensors

• active  $\Rightarrow$  independent of sun illumination



Active remote sensing sensors generate EM-waves

- no sunlight required night time acquisitions possible
- no problems due to bad illumination

#### Basic characteristics of radar systems/SAR sensors

- active  $\Rightarrow$  independent of sun illumination
- microwave  $\Rightarrow$  penetrates into/through objects

clouds and (partially) canopy, soil, snow

(almost) no problems with clouds, dust, fog. Sensing of "hidden" objects



https://ubique.americangeo.org/company-and-not-for-profit-spotlights/ursa-space-systems/

Characteristics / Example all weather



These images were acquired over the city of Udine, northeastern Italy, by ERS-1 on the 4th of July 1993 at 9.59 a.m. (GMT) and Landsat-5 on the same date at 9.14 a.m. (GMT) respectively. The clouds that are clearly visible in the optical image, are not appearing in the SAR image.

#### Characteristics / Example all weather

Cloud cover is a big problem in remote sensing of moist tropics



Characteristics / penetration through sand



Landsat Thematic Mapper shows the desert's surface

<u>SIR-C/X-SAR</u> shows what the landscape might look like if stripped bare of sand

#### Advantages / Example all weather

- active  $\Rightarrow$  independent of sun illumination
- microwave ⇒ penetrates into/through objects

The penetration depth is depending on **wavelength** and **dielectric characteristics** of objects

wavelengths: X-band: 3 cm

C-band: 6 cm L-band: 23 cm



#### Heavy Clouds and Rain Cells in X-Band SAR Images

#### $\rightarrow$ Only visible at short wavelengths and extreme conditions



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#### Basic characteristics of radar systems/SAR sensors

- active  $\Rightarrow$  independent of sun illumination
- microwave  $\Rightarrow$  penetrates into/through objects
- coherent  $\Rightarrow$  interferometry, speckle
  - > Microwaves used for Earth Observation are <u>coherent waves</u>!!!
  - Waves maintaining a constant phase with respect to each other are coherent



#### Basic characteristics of radar systems/SAR sensors

- active  $\Rightarrow$  independent of sun illumination
  - microwave  $\Rightarrow$  penetrates into/through objects
- coherent  $\Rightarrow$  interferometry, speckle
- polarization  $\Rightarrow$  can be exploited





©http://www.wikipedia.org

#### Basic characteristics of radar systems/SAR sensors

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Microwave sensors emit signals in horizontal (H) or vertical (V) polarizations.

The four combinations of SAR data polarizations:

- HH: The emitted and backscattered signals have horizontal polarization
- HV: The emitted signal has horizontal polarization, and the backscattered signal has vertical polarization.
- VH: The emitted signal has vertical polarization, and the backscattered signal has horizontal polarization.
- VV: Both emitted and reflected signals have vertical polarization





#### Basic characteristics of radar systems/SAR sensors

- active  $\Rightarrow$  independent of sun illumination
- microwave  $\Rightarrow$  penetrates into/through objects
- coherent  $\Rightarrow$  interferometry, speckle
- polarization  $\Rightarrow$  can be exploited
- spatial resolution: space-borne: 0.5 m 100 m (Sentinel-1: ≈10 m, TerraSAR-X: ≈1 m) air-borne: > 0.2 m

#### Basic characteristics of radar systems/SAR sensors

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- polarization  $\Rightarrow$  can be exploited
- spatial resolution ⇒ space-borne: 0.5 m 100 m (Sentinel-1: ≈10 m, TerraSAR-X: ≈1 m) air-borne: > 0.2 m
- **backscatter**  $\Rightarrow$  is the reflection of signals back to the direction from which they came.

Magnitude and characteristics of backscatter depend on

geometric & dielectric properties of objects



Passive

#### Interaction with the object

**SPOT 5** Optical satellite *visible part of the spectrum* 

energy scattered off the leaf is dependent on:

The "greenness" of the leaf as a function of the amount of chlorophyll, which absorbs the energy that is needed for photosynthesis

**TerraSAR-X** Radar satellite *microwave part of the spectrum* 

octive

energy scattered off the leaf is dependent on:

size shape orientation dielectric properties

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### **Radar Remote Sensing**

Side looking imaging geometry



#### Side looking imaging geometry

resolution

Islant range

azimuth resolution

# slant-range resolution depends on the bandwidth of the system

azimuth resolution is a function of the antenna length and sensor height over the Earth's surface!

azimuth

flight path

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### Synthetic Aperture Radar (SAR)

The key factor that is utilized in SAR is to synthesize a much longer antenna in azimuth direction by making use of the motion of the SAR sensor in order to achieve finer resolution.


## Synthetic Aperture Radar (SAR) – azimuth resolution



## Synthetic Aperture Radar (SAR) – range resolution



## Synthetic Aperture Radar (SAR) – resolution cell

 $\delta_{az} = \frac{\lambda r_0}{2A_{az}}$ A SAR pixel = sum of all contributions Azimuth within the resolution cell resolution  $\lambda$  : carrier wavelength range r<sub>0</sub> : range distance A<sub>az</sub>: azimuth aperture azimuth with the slant-range resolution depending on the bandwidth transmitted PULSe  $\delta_{\rm sr} = \frac{1}{2W}$ Slant-range resolution δ c : speed of light W : pulse bandwidth SAR resolution cell

with the azimuth resolution being a function of the aperture in azimuth

## resolution vs. pixel spacing



**resolution** is a measure of the system's ability to distinguish between adjacent targets

**pixel spacing** represents the distance on the ground for a pixel in the range and azimuth directions

#### Acquisition resolution of Sentinel 1 Level-1 SLC

Mode	Resolution rg x az	Pixel spacing rg x az
SM	1.7x4.3 m to 3.6x4.9 m	1.5x3.6 m to 3.1x4.1 m
IW	2.7x22 m to 3.5x22 m	2.3x14.1 m
EW	7.9x43 m to 15x43 m	5.9x19.9 m
WV	2.0x4.8 m and 3.1x4.8 m	1.7x4.1 m and 2.7x4.1 m

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## **Geometric Effects in SAR images**

## Effects of side-looking geometry

→ The mapping of a radar image is contrary to the intuitive mapping of an optical image

→ Side looking geometry of SAR systems cause some typical geometric effects

- Controlled by:
  - ✤ Incidence angle
  - Topography
- The effects are:
  - Foreshortening
  - Layover
  - Radar shadow



• Slopes oriented to the SAR appear compressed (Distance between a and b is shortened)

range

- Appears as very bright area
- More pronounced in near range (small incidence angle) than in far range (high incidence angles)

Foreshortening

b

Slant range

 Steep slopes oriented to the SAR lead to ghost images

• When radar beam reaches the top of a high feature (b) before it reaches the base (a)

#### azimuth



b

Layover

- Steep slopes oriented away from the SAR return no signal
- No signals can be transmitted to this area (as it is blocked by the slope)
- Thus no signals can be scattered back from these areas

#### **Radar shadow**

• Appears as black area in the image

## SAR Data Example

Effects of side-looking geometry



Andreas R. Brenner and Ludwig Roessing, Radar Imaging of Urban Areas by Means of Very High-Resolution SAR and Interferometric SAR, IEEE TRANSACTIONS ON GEOSCIENCE AND REMOTE SENSING, VOL. 46, NO. 10, OCTOBER 2008 (X-band)

# SAR Data Examples



GoogleMaps

# Layover Mask Computed from DEM



100m DEM

simulated ERS-Image white: lay-over & foreshortening

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• The properties of the microwaves that were scattered back from Earth's surface depends on a number of parameters:



• local slope & orientation



**System parameters : Wavelength/Frequency** 

### **Penetration of Microwaves**



#### ×

System Parameters

polarization

resolution

Incidence angle

wavelength / frequency

Radar Backscatter

#### Target Parameters

- dielectric constant
- surface roughness
- 3-D distribution of scatterers & scattering mechanisms
- local slope & orientation

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#### LE TOAN



**System parameters : Polarization** 







local slope & orientation







# F-SAR Airborne SAR System of DLR - fully polarimetric X-Band Mode (R=HH, G= HV, B=VV)

Subset

Neu-Gablonz, Bavaria, Germany

©DLR-

HR

Subset of Neu-Gablonz Area - River, Fields and ... (R=HH, G= HV, B=VV)

a purification plant ©DLR-HR

H

**System parameters : Incident angle** 



Incidence angle at: far range > near range



- Backscatter decreases with increasing incidence angle (if all other conditions remain constant)
- Magnitude of decrease depends on surface roughness and dielectric properties



#### System parameters : resolution



Radar Backscatter



ENVISAT / ASAR IM 2 Oberpfaffenhofen 100 km x 100 km; 25 m resolution (© ESA)

TerrasAR-X Spotlent Image 2 m resolution

**Target parameters : Dielectric Properties** 

#### Determined by dielectric constant $\varepsilon_r$ :

- Strongly dependent on water content of natural media
- Controls reflection properties of natural media and thus the strength of radar backscatter (higher  $\varepsilon_r \rightarrow$  higher backscatter)



Effect of soil moisture on backscattering behavior



and dielectric constant (Woodhouse, 2006)

#### **Target parameters : Surface Roughness**





Radarsat, C-band, HH Bathirst Island, Canada

# mud, smooth surface, low radar backscatter



Lime stone, rough surface, high radar backscatter



**Target parameters : Scattering Mechanisms** 

The backscattered signal results from:

- surface scattering
- volume scattering
- multiple volume-surface scattering (double-bounce)





- 1) direct backscattering from plants
- 2) direct backscattering from underlying soil
- 3) multiple scattering between plants and soil
- 4) multiple scattering between plants,
- 5) leaves, stalks ect.

The relative importance of these contributions depend on

- surface roughness
- dielectric properties of the medium
- All of these factors depend on
  - the radar frequency
  - the polarization
  - the incidence angle

#### **Target parameters : Scattering Mechanisms**

#### Radar Backscatter

#### System Parameters

- wavelength / frequency
- polarization
- Incidence angle
- resolution

#### **Target Parameters**

- dielectric constant
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# Backscattering Coefficient $\sigma_o$

Levels of Radar backscatter	Typical scenario
Very high backscatter (above -5 dB)	Man-Made objects (urban)
	Terrain Slopes towards radar
	<ul> <li>very rough surface</li> </ul>
	<ul> <li>radar looking very steep</li> </ul>
High backscatter (-10 dB to 0 dB)	rough surface
	<ul> <li>dense vegetation (forest)</li> </ul>
Moderate backscatter (-20 to -10 dB)	medium level of vegetation
	agricultural crops
	<ul> <li>moderately rough surfaces</li> </ul>
Low backscatter (below -20 dB)	smooth surface
	calm water
	• road
	<ul> <li>very dry soil (sand)</li> </ul>

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## **SAR Measurements**





#### Most commonly used image is the intensity which is: **Intensity = amplitude**<sup>2</sup>

## **SAR Measurements**

## Single look complex (SLC) pixel value is a complex number!

## 1. Amplitude





## **SAR Measurements**

Phase is the shift angle between the phase of pulse and echo and relates to the object distance



due to contributions from different scatterers in the resolution cell: *Phase is random for one image* 



Second image of the same area from different sensor positions cancels out the phase contribution introduced by individual scatterers!!

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# Salt and Pepper Speckle "Noise"



### **Backscatter value of a pixel**

• Resolution cells are made up of many scatterers with different phases



- Every grey vector corresponds to a scatterer in the resolution cell
- Resultant value of the pixel (red vector) is the coherent sum of all those individual contributions



*Coherent sum of all the contributions (red vector)* 

after Sarti (2011)

# **Backscatter value of a pixel**



# **Speckle Reduction by spatial filtering**



original SAR image SAR data © AeroSensing GmbH speckle filtered Bayesian algorithm

# Speckle Reduction by temporal multilooking (ERS)





5 spatial looks 20 x 20 m ground resolution 2 dB radiometric resolution 320 spatio-temporal looks 20 x 20 m ground resolution 0.3 dB radiometric resolution

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#### Examples of satellite based radar sensors









Radarsat 1, 2



ALOS (PALSAR)



Envisat (ASAR)



TerraSAR-X

Examples of satellite based radar sensors

Sentinel-1 is the first of the Copernicus Programme satellite constellation conducted by the European Space Agency (ESA)



Based on a constellation of two satellites, the Sentinel-1 mission is developed for continuation of the Cband SAR data flow provided by its predecessor ERS and Envisat.

The constellation of 2 satellite provides revisit cycle of six days!!!



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# Spaceborne SARs

satellite	owner	band	resolution	look angle	swath	lifetime
ERS-1	ESA	С	25 m	23°	100 km	1991-2000
ERS-2	ESA	С	25 m	23°	100 km	1995-2012
Radarsat-1	Canada	С	10 m - 100 m	20°- 59°	50 - 500 km	1995-2013
ENVISAT	ESA	С	25 m - 1 km	15°- 40°	100 - 400 km	2002-2012
ALOS	Japan	L	10 m -100 m	35°- 41°	70 - 360 km	2006-2011
Cosmo	Italy	Х	ca. 1 m - 16 m			2007-
TerraSAR-X	Germany	Х	1 m - 16 m	15°- 60°	10 - 100 km	2007/2010-
& TanDEM-X						
Radarsat-2	Canada	С	3 m - 100 m	15°- 59°	10 - 500 km	2007-
ALOS-2	Japan	L	3 m – 100 m	8°-70°	25 – 350 km	2014-
Sentinel-1	ESA	С	5 m – 50 m	20°-46°	20 - 400 km	2014-

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#### Advantages

- → all weather capability (small sensitivity of clouds, light rain)
- day and night operation (independence of sun illumination, active instruments, they have their own source of energy)
- → no effects of atmospheric constituents (multitemporal analysis)
- ✓ sensitivity to *dielectric properties* (water content , biomass, ice)
- → sensitivity to surface roughness (ocean wind speed)
- → accurate measurements of *distance* (interferometry)
- ✓ sensitivity to man made objects
- → sensitivity to *target structure* (use of polarimetry)
- subsurface penetration (the longer the wavelength, the higher the transmission through a medium)

#### Inconvenients

- → *speckle* (difficulty in visual interpretation)
- → topographic effects
- → etc.

### **Summary**

#### Applications of radar remote sensing systems

SAR's ability to pass relatively unaffected through clouds, illuminate the Earth's surface with its own signals, and precisely measure distances makes it especially useful for variety of applications:



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# Water and Microwaves

• Water molecules (H<sub>2</sub>O) act like a electric dipoles



Water molecule [E. Generalic, https://glossary.periodni.com/glossary.php?en=water]



Compass [Source:openclipart.org]

 H<sub>2</sub>O shows strong orientational polarization if electromagnetic field is applied

